



City of Pekin

REGULAR CITY COUNCIL MEETING MONDAY, MAY 13, 2024 5:30 PM

1. Pledge of Allegiance

2. Call to Order

3. Approve Agenda

4. Approval of Minutes

4.1. City Council - Regular Meeting - April 22, 2024 5:30 PM

5. Public Input

6. Presentation Lauterbach & Amen, LLP 2021 Audit

7. Consent Agenda

7.1. Accounts Payable To Be Paid Proof List March 29 thru April 12th 2024

7.2. Building Department Permit Report for April 2024

7.3. Pekin Fire Department Monthly Incidents April 2024

7.4. Proclamation Safe Boating Week May 18-24, 2024

7.5. Ordinance No. 4189-24/25 A Variance Request Submitted by Benjamin and Manda Brown for the Property Located at 1435 California Road to Construct a 36-foot Driveway Approach (Case V 2024-09)

7.6. Resolution No. 132-24/25 Approving and placing on file the FY21 Annual Financial Report (AFR)

7.7. Resolution No. 133-24/25 Annual Committees, Boards, Commissions, Members Appointments

8. New Business

8.1. Ordinance No. 4190-24/25 Approving First Amendment to the FY25 Annual Budget

- 8.2. Ordinance No. 4191-24/25 Approving Purchase of Property 1024 Court Street
- 8.3. Resolution No. 134-24/25 Approving Court Street Upgrades for Ameren
- 8.4. Resolution No. 135-24/25 Approving contract with Fire Truck Unlimited for refurbishment of 2000 Pierce Tower/Ladder
- 8.5. Resolution No. 136-24/25 Approving a Three-Year Contract with Rock Valley Health for Firefighter Physicals
- 8.6. Resolution No. 137-24/25 Approving the FY2025 Tourism Event Sponsorships
- 8.7. Resolution No. 138-24/25 Approving Agreement for Engineering and Construction Costs for the Airport T-Hangar Pavement with Hanson Engineering
- 8.8. Resolution No. 139-24/25 Approving Agreement for Airport Pavement for T-Hangars with UCM
- 8.9. Resolution No. 140-24/25 Approving a Contract for Waste Water Piping Change in the Phosphates Process
- 8.10. Resolution No. 141-24/25 Authorizing a Public Question Referendum Regarding Home Rule

9. Discussion

- 9.1. City Flag Design

10. Any Other Business To Come Before The Council

11. Executive Session 5 ILCS 120/2 (c)

12. Adjourn



City of Pekin

**PROCEEDINGS OF THE REGULAR MEETING
OF THE CITY COUNCIL OF THE CITY OF PEKIN, ILLINOIS,
HELD IN THE COUNCIL CHAMBERS OF CITY HALL
111 S. CAPITOL ST
ON MONDAY, APRIL 22, 2024 AT 5:30 PM
MAYOR MARY J. BURRESS PRESIDING**

Pledge of Allegiance

The Pledge of Allegiance was led by Council Member Hohimer.

Call to Order

City Clerk, Ms. Sue McMillan, confirmed all Council Members were physically present and logged in. Mayor Burress declared a quorum.

Attendee Name	Organization	Title	Status	Arrived
Rick Hilst	City of Pekin	Council Member	Present	5:30 PM
Karen Hohimer	City of Pekin	Council Member	Present	5:30 PM
Dave Nutter	City of Pekin	Mayor Pro Tem	Present	5:30 PM
Mary Burress	City of Pekin	Mayor	Present	5:30 PM
Lloyd Orrick	City of Pekin	Council Member	Present	5:30 PM
John P Abel	City of Pekin	Council Member	Present	5:30 PM
Chris Onken	City of Pekin	Council Member	Present	5:30 PM

Approve Agenda

RESULT:	PASSED (UNANIMOUS)
MOVER:	Council Member Lloyd Orrick
SECONDER:	Council Member Karen Hohimer
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick, Council Member Hohimer, Council Member Onken

Approval of Minutes

4.1. City Council - Regular Meeting April 8, 2024

RESULT:	PASSED (UNANIMOUS)
MOVER:	Council Member Lloyd Orrick

SECONDER: 1st Alternate Mayor Pro Tem John Abel
AYES: 1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick, Council Member Hohimer, Council Member Onken

4.2. City Council - Special Meeting April 8, 2024

RESULT: PASSED (UNANIMOUS)
MOVER: Council Member Lloyd Orrick
SECONDER: 1st Alternate Mayor Pro Tem John Abel
AYES: 1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick, Council Member Hohimer, Council Member Onken

Public Input

Mayor Burress expressed frustration with the current predicament facing Pekin, noting that despite the City's relative stability, previous administrations had left behind unresolved issues such as malpractice and precarious financial positions. The Mayor highlighted the challenge of honoring commitments made with imperfect information while also striving to do what is best for the City. Mayor Burress emphasized the necessity of making difficult but correct choices, especially when faced with changing circumstances, such as re-evaluating previous votes in light of new facts. She addressed ongoing legal and regulatory challenges, attributing them to past administrative failures in dealing with transparency and accountability. The Mayor stressed the importance of not sacrificing the City's prosperity and growth, committing to delivering high-quality services without compromising on standards or resorting to short-sighted solutions. She acknowledged the need for addressing the general fund deficit, which persists despite proposed adjustments by removing the Luticken's property and the proposed utility tax from the budget. Mayor Burress underscored the responsibility of the Council to make tough decisions for the greater good, even if unpopular, and pledged to demand better from city staff and pursue more cost-effective solutions. She concluded by emphasizing the gravity of the situation and the imperative for the Council to act decisively in the City's best interests.

Tim Golden addressed the Council with concerns regarding the ADA lawsuit and the Transition Plan on the Agenda. He highlighted the need for clarity regarding certain edits to the plan, particularly regarding the definition of "undue burden" and the responsibility for determining it. Mr. Golden emphasized the importance of ensuring accessibility for all residents, pointing out discrepancies in sidewalk continuity and accessibility within his district.

Mayor Burress informed Mr. Golden that his time to speak had surpassed.

A motion was made by Council Member Hilst seconded by Council Member Nutter to allow Mr. Golden two more minutes to speak.

RESULT:	PASSED (6 TO 1)
MOVER:	Council Member Rick Hilst
SECONDER:	Mayor Pro-Tem Dave Nutter
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick, Council Member Onken
NAYS:	Council Member Hohimer

Mr. Golden continued, reiterating the significance of the ADA lawsuit and the City's obligation to address accessibility issues outlined in the Transition Plan. He criticized past failures in addressing these concerns and called out specific instances of sidewalk discontinuity and neglect within the city, highlighting the need for accountability and action. Mr. Golden also expressed frustration with certain Council Members who, despite claiming to avoid tax increases, seemed to support measures that would ultimately burden taxpayers.

Terri Gambetti expressed gratitude on behalf of various organizations for the dedication of Mayor Burress, the council, and the staff. She thanked them for their commitment and perseverance, acknowledging the challenges they face and expressing appreciation for their efforts.

Bailey Gambetti commended the positive direction in which the city is heading, noting the ongoing efforts in rebuilding and development, particularly during the budget planning process. She extended thanks to everyone involved for their contributions to the city's progress and improvement.

John McNish addressed longstanding budget issues, noting the repeated lack of action despite numerous presentations and discussions. He criticized the retention of a nonexistent attorney and paralegal position, highlighting the need for fiscal responsibility and more prudent spending. Mr. McNish emphasized the City's substantial debt, which he claimed to be closer to \$90M rather than the reported \$12M, and stressed the importance of addressing pension obligations, particularly for fire and police departments. Regarding the ADA Transition Plan, Mr. McNish expressed hope for its accuracy and stressed the importance of ensuring compliance. Mr. McNish supported the utility tax and fee adjustments but advocated for greater scrutiny of city attorney billings and suggested exploring alternatives to salary increases, such as hourly wages. He concluded by urging a re-evaluation of the budget and more decisive action to address financial challenges.

Council Member Hohimer explained the need for budget cuts across departments to address the financial challenges left by the previous city manager. Despite efforts to implement these cuts, the current finance director indicated they would not suffice, prompting the consideration of increasing taxes and fees, which have remained unchanged since 2018 due to the COVID-19 pandemic. Council Member Hohimer emphasized the reluctance of the Council to make such decisions but asserted that

they believe it to be necessary after a four-year delay. Council Member Hohimer defended the Council's actions as responsible, including the replacement of the Finance Director/City Manager, and deemed it the most reasonable and fiscally responsible course of action.

Mayor Burress echoed concerns raised during the campaign about the lack of audits in the city for three years. She expressed gratitude for the foresight of having a forensic auditor on board, indicating that their presence has been instrumental in identifying potential issues that might have gone unnoticed otherwise.

5.1. Resolution in Support of the Completion of the Court Street Project

Written support by resolution received from the Pekin Area Chamber of Commerce.

Consent Agenda

Council Member Nutter requested to move consent agenda item 6.8 to New Business. Council Member Nutter read the remainder of the consent agenda items.

RESULT:	PASSED (UNANIMOUS)
MOVER:	Council Member Karen Hohimer
SECONDER:	Council Member Lloyd Orrick
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick, Council Member Hohimer, Council Member Onken

6.1. Accounts Payable To Be Paid Proof List thru March 25th

6.2. Financial Reports March 2024

6.3. Receive & File Illinois Department of Transportation Review #73 Motor Fuel Tax Funds January 1, 2023 - December 31, 2023

6.4. Ordinance No. 4181-23/24 A variance request submitted by Andrew Mickle for the property located at 200 Cottage Grove Avenue to reduce the minimum setbacks for an accessory structure. (Case V 2024-05)

RESULT:	PASSED (UNANIMOUS)
MOVER:	Council Member Karen Hohimer
SECONDER:	Council Member Lloyd Orrick
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick, Council Member Hohimer, Council Member Onken

- 6.5. Ordinance No. 4182-23/24 A variance request submitted by Kanieca Williams for the property located at 800 State Street to allow for two driveways on one parcel. (Case V 2024-06)**

RESULT:	PASSED (UNANIMOUS)
MOVER:	Council Member Karen Hohimer
SECONDER:	Council Member Lloyd Orrick
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick, Council Member Hohimer, Council Member Onken

- 6.6. Ordinance No. 4183-23/24 A variance request submitted by Raymond E. Whitaker and Kimberly D. Whitaker for the property located at 1709 Gingoteague Way to reduce property line setbacks. (Case V 2024-07)**

RESULT:	PASSED (UNANIMOUS)
MOVER:	Council Member Karen Hohimer
SECONDER:	Council Member Lloyd Orrick
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick, Council Member Hohimer, Council Member Onken

- 6.7. Ordinance No. 4184-23/24 A Rezoning request submitted by the City of Pekin from ED-1 Economic Development District to B-3 General Business District for the vacant property located at 121 South 2nd Street. (Case RZ 2024-03)**

RESULT:	PASSED (UNANIMOUS)
MOVER:	Council Member Karen Hohimer
SECONDER:	Council Member Lloyd Orrick
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick, Council Member Hohimer, Council Member Onken

New Business

- 7.1. Resolution No. 127-23/24 Annual Renewal of ESRI GIS Software**

Resolution No. 127-23/24 pertained to the annual renewal of the ESRI GIS Software for the City of Pekin. The Environmental Systems Research Institute (ESRI) Enterprise License Agreement for Geographic Information System (GIS) software was set to expire on May 14, 2024. The GIS software is essential for the city's operations as it is utilized by nearly all departments. The GIS system includes computer servers, informational databases housing city asset data, ESRI software utilizing these databases, and aerial imagery. Various applications of the GIS system include tracking sewers, road jurisdiction mapping, zoning information, street light and traffic sign locations, street maintenance history, and routing maps for solid waste and snow removal, among others. Additionally, ESRI software integrates with recently

purchased work order software by the Street Department to enhance work tracking efficiency. Staff recommended the approval of the resolution.

City Manager Mr. John Dossey brought up the need to renew mapping software for tracking sewers and streets related to solid waste routing.

City Engineer, Ms. Josie Esker, provided further details, explaining that Cloudpoint manages GIS services for the city, with an employee coming in twice a week to work on-site.

Council Member Orrick inquired about cost, to which Ms. Esker responded that it was in the \$70K range annually for two days of work per week.

RESULT:	PASSED (UNANIMOUS)
MOVER:	Council Member Rick Hilst
SECONDER:	1st Alternate Mayor Pro Tem John Abel
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick, Council Member Hohimer, Council Member Onken

7.2. Resolution No. 128-23/24 Approve Memorandum of Understanding with Alto Pekin, LLC for Grant Application

Resolution No. 128-23/24 was proposed to approve a Memorandum of Understanding (MOU) with Alto Pekin, LLC, regarding a grant application for the construction of a new barge terminal at their property located at 1301 Front Street on the Illinois River. The terminal would facilitate the transfer of commodities between truck, rail and barge, with Alto requesting that the City act as the Public Sponsor/Applicant for the PIDP Grant application. This grant would fund engineering, repairs, and equipment upgrades at the Alto site, with Alto covering all construction costs not covered by the grant and reimbursing the City for any administrative expenses related to managing the grant. Although the terminal would be situated on Alto's property, it would be publicly available and could potentially benefit other businesses in the Pekin area, potentially stimulating growth in the Riverway Business Park. The City and Alto had previously applied for the same grant in 2023 but were not selected. This resolution aimed to renew the City's support for the project.

Council Member Nutter expressed agreement with the decision to pursue the barge terminal project once again and inquired about the timeline for the grant application process, as well as the outcome of the previous attempt.

City Engineer, Ms. Josie Esker, provided clarification, stating that the grant deadline is May 10th, with representatives providing feedback and suggestions for improving the application. Ms. Esker mentioned that Alto is primarily responsible for the grant writing, with the city assisting in making enhancements. Ms. Esker explained efforts to address certain issues

highlighted in the previous application, such as ensuring repetitive language to emphasize benefits across all sections for reviewers. Regarding the approval timeline, she noted that it typically varies but could take anywhere from a few months to 4-6 months.

RESULT:	PASSED (UNANIMOUS)
MOVER:	Council Member Rick Hilst
SECONDER:	Council Member Karen Hohimer
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick, Council Member Hohimer, Council Member Onken

7.3. Resolution No. 129-23/24 Approve ADA Transition Plan

Resolution No. 129-23/24 pertained to the approval of the ADA Transition Plan for the City of Pekin. The plan was required as part of a Consent Decree resulting from a class-action lawsuit filed in 2018 regarding the city's failure to maintain accessible pedestrian rights-of-way. The transition plan, prepared by Thouvenot, Wade & Moerchen, Inc. (TWM), aimed to address accessibility issues within the city. Staff recommended approval of the plan, which had been made available to the public and council for review. During the discussion, City Engineer, Ms. Josie Esker, provided clarifications and updates regarding the transition plan. She addressed concerns raised by Council Members and the public, including issues related to the definition of undue burden and discontinuous sidewalks. Ms. Esker explained that while some adjustments were made based on feedback, not all suggestions were incorporated into the plan. Additionally, there were discussions regarding the responsibilities of property owners regarding sidewalk maintenance and enforcement of accessibility standards.

Council Member Nutter expressed concerns about certain aspects not being included in the current transition plan, particularly regarding the issues raised by Mr. Golden. Ms. Esker clarified the city's stance on these matters and emphasized the need to prioritize fixing existing sidewalks over adding new ones. She also highlighted the possibility of amendments to the plan if required by the judge.

Council Member Onken inquired about potential repercussions from the judge, to which Ms. Esker explained that the city would not use the undue burden excuse lightly and would carefully consider budgetary constraints when addressing accessibility requests.

Council Member Abel asked about the cost of ADA ramps, to which Ms. Esker responded that they typically cost between \$5,000 to \$8,000 for flat areas.

RESULT:	PASSED (UNANIMOUS)
MOVER:	1st Alternate Mayor Pro Tem John Abel
SECONDER:	Council Member Karen Hohimer

AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick, Council Member Hohimer, Council Member Onken
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7.4. Ordinance No. 4185-23/24 Amending Chapter 7 of the Pekin City Code Establishing Utility Taxes

Ordinance No. 4185-23/24 proposed amendments to Chapter 7 of the Pekin City Code, aiming to establish utility taxes on natural gas, electricity, and water. This ordinance was presented due to structural deficiencies highlighted during budget preparation, particularly stemming from mandated expenses such as the CSO project and the ADA transition plan. The city proposed utility taxes as a near-term solution to address the General Fund deficit.

During the discussion, Council Member Orrick raised concerns about potential confusion regarding the terminology used, particularly distinguishing between gas and gasoline. He also inquired about exemptions for certain entities and the consideration of telecommunication taxes, which were clarified by Economic Development Director, Mr. Josh Wray, based on state statutes.

Council Member Hilst questioned the inclusion of a water utility tax and the estimated impact on residents. He also sought clarification on the estimated financial impact on taxpayers and the rationale behind franchise fees in the proposal.

The discussion also touched on franchise fees, rebate money, exemptions, telecommunication tax, and electric aggregation. Mr. Wray also touched on the depletion of the TIFF and BDD funds for the following fiscal year.

Council Member Hilst questions the exemption of the school districts in the proposed ordinance and the exclusion of the prison, hospital and churches.

Council Member Nutter expressed skepticism about the necessity of the utility taxes, emphasizing their impact on taxpayers and questioning the allocation of funds. He expressed his opposition to the proposal.

Council Member Abel expressed frustration with the budget deficit and indicated his reluctance to support the ordinance.

Council Member Onken sought clarification on why certain payments were frozen after the COVID-19 pandemic and how the city would be affected if they weren't.

City Manager, Mr. Dossey provided context on the solid waste issue, indicating potential future reviews.

Mayor Burress emphasized the need to run the city like a business, and Mr.

Wray clarified the entities eligible for exemptions under the proposed ordinance.

Council Member Orrick calculated the potential extra payment he would incur, highlighting the financial impact on residents. Council Member Orrick felt that the City residents did their part on paying taxes and should not be penalized, therefore expressing his opposition for supporting the agenda item.

RESULT:	PASSED (4 TO 3)
MOVER:	1st Alternate Mayor Pro Tem John Abel
SECONDER:	Council Member Karen Hohimer
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Council Member Hohimer, Council Member Onken
NAYS:	Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick

7.5. Ordinance No. 4186-23/24 Amending Chapter 3 of the Pekin City Code Regarding Garbage Collection Fees

Ordinance No. 4186-23/24 was presented to Council which pertained to amending Chapter 3 of the City Code regarding garbage collection fees. The ordinance aims to address the current inadequacy of fees for garbage collection, which has led to negative cash balances in the Solid Waste Fund, amounting to over \$1M owed to the General Fund. To remedy the situation, the proposed ordinance includes increases to the garbage collection fees. The current monthly rate of \$20.00 is set to increase to \$25.00 as of May 1, 2024, with subsequent yearly increases of \$1 until May 1, 2029. Staff recommended approval of the ordinance citing the need to address the structural insufficiency in maintaining acceptable garbage and sewer services and to allocate funds for other projects. Additionally, staff intends to issue a Request for Proposal (RFP) for solid waste services in coordination with landfill contract negotiations and Teamster Union contract negotiations in 2025.

Council Member Orrick expressed concern about the allocation of funds from the solid waste for city services and stated opposition to the ordinance.

Council Member Hilst raised the issue of outstanding debts of the solid waste to the general fund and questioned the necessity of council action for forgiveness.

There was a discussion regarding the transfer of funds between different accounts and the implications of the auditors.

Council Member Nutter inquired about the official landfill contract expiration and raised concerns about operational changes and budgetary implications, including reductions in staff and recycling pickup frequency.

Mayor Burress and Council Member Abel provided insights based on their knowledge of other municipalities' practices, including Bloomington and Morton.

Council Member Nutter highlighted financial challenges within the solid waste budget and emphasized the need for efficiency measures and a study.

Council Member Orrick inquired about a late fee for garbage. Mr. Grogan clarified that it was not proposed to Council.

RESULT:	PASSED (4 TO 3)
MOVER:	Council Member Chris Onken
SECONDER:	Council Member Karen Hohimer
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Council Member Hohimer, Council Member Onken
NAYS:	Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick

7.6. Ordinance No. 4187-23/24 Amending Chapter 3 of the Pekin City Code Regarding Sewer Charges

Ordinance No. 4187-23/24 was presented, focusing on amending Chapter 3 of the Pekin City Code concerning sewer charges. The ordinance addresses the inadequacy of current fees for wastewater and stormwater collection and treatment, resulting in negative cash balances in the Sewer Fund, totaling over \$11 million owed to the General Fund. To rectify this, the proposed ordinance includes increases to these fees in the FY2025 budget. The volumetric rate for wastewater is set to increase from \$7.66 per 1,000 gallons to \$10.70 per 1,000 gallons as of May 1, 2024, with a yearly 5% increase thereafter. Similarly, the capital improvement fee is set to increase.

Council Member Orrick expressed support for the ordinance, emphasizing its fairness and compliance with state and EPA mandates.

Council Member Hilst raised concerns about the impact of fee increases on employees' pay and questioned the lack of cost-cutting measures.

There was discussion about including North Pekin in the fee increases and negotiating higher rates with them.

Council Member Orrick suggested creating a todo list for clarity on actions being taken, while Council Member Nutter emphasized the need to review winter averaging.

Staff recommended approval of the ordinance, citing the necessity to address the structural insufficiency in maintaining acceptable sewer services and

allocating funds for other projects. Further details were provided in a memo included in the packet for Council review.

RESULT:	PASSED (5 TO 2)
MOVER:	Council Member Chris Onken
SECONDER:	Council Member Lloyd Orrick
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Council Member Orrick, Council Member Hohimer, Council Member Onken
NAYS:	Mayor Pro-Tem Nutter, Council Member Hilst

7.7. Resolution No. 130-23/24 Approving a Cost of Living Adjustment for Non-Union Employees in the FY25 Budget

Resolution No. 130-23/24 was discussed, which pertains to approving a Cost of Living Adjustment (COLA) for non-union employees in the FY25 Budget. The resolution proposes a 3% COLA increase for the upcoming fiscal year. City Manager, Mr. John Dossey read the request.

Council Member Abel raised concerns about inflation.

Council Member Hohimer emphasized the importance of offering competitive wages to retain skilled employees, expressing worry about a potential turnover if living wages are not provided.

Council Member Orrick voiced support for the resolution, highlighting the negative impact on morale if raises were withheld.

Council Member Hilst took an opposing stance, citing concerns about affordability and the implementation of utility fee increases, advocating for equal treatment of all employees.

Council Member Nutter echoed Hilst's concerns, emphasizing the need for accountability and substantial merit increases rather than COLAs.

Mayor Burress echoed support for providing raises to non-union employees to maintain equality and acknowledge their contributions to the city.

Council Member Onken referenced similar situations in education regarding turnover due to lack of incentives.

RESULT:	PASSED (5 TO 2)
MOVER:	None
SECONDER:	None
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Council Member Orrick, Council Member Hohimer, Council Member

	Onken
NAYS:	Mayor Pro-Tem Nutter, Council Member Hilst

7.8. Ordinance No. 4188-23/24 Approving the Fiscal Year 2024-2025 Annual Budget

Ordinance No. 4188-23/24 was discussed, which approves the Fiscal Year 2024-2025 Annual Budget for the City of Pekin. The budget establishes projected revenues and spending limits across various categories and funds, including personnel, contractual, commodities, capital outlay, transfers, debt service, contingency, grants/economic assistance, and others. The budget also includes the Fiscal Year 2025 Capital Improvement Plan, outlining intended capital projects and funding sources.

Council Member Orrick expressed concerns about the impact of utility taxes and inflation on businesses and highlighted the need to address systemic problems such as pensions.

Council Member Nutter raised questions about revenue sources and expenditures, including the bulk waste fee, a loan for the CSO project, and funding for railroad-related projects.

Council Member Hilst motioned to remove two street department employees and to eliminate street department vehicles from the budget, seconded by Council Member Orrick.

RESULT:	FAILED (2-3-2)
MOVER:	Council Member Rick Hilst
SECONDER:	Council Member Lloyd Orrick
AYES:	Council Member Hilst, Council Member Orrick
NAYS:	Council Member Hohimer, Council Member Abel and Mayor Burress
ABSTAIN:	Council Member Nutter and Council Member Onken

A motion was made by Council Member Hilst seconded by Council Member Nutter to remove \$14M land purchase from the Budget. Concerns were raised about its impact on future land acquisitions and funding for other projects. Ultimately, it was clarified that the \$14M expense line includes a \$12M anticipated loan, leaving a net of \$2M from the general fund for the land purchase.

RESULT:	FAILED (2-4-1)
MOVER:	Council Member Hilst
SECONDER:	Council Member Nutter
AYES:	Council Member Hilst and Council Member Orrick
NAYS:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Council Member Hohimer, Council Member Nutter
ABSTAIN:	Council Member Onken

Motion to Approve Ordinance No. 4188-23/24 Approving the Fiscal Year 2024-2025 Annual Budget

RESULT:	PASSED (4 TO 3)
MOVER:	Mayor Pro-Tem Dave Nutter
SECONDER:	Council Member Karen Hohimer
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Council Member Hohimer, Council Member Onken
NAYS:	Mayor Pro-Tem Nutter, Council Member Hilst, Council Member Orrick

6.8. Resolution No. ~~126~~ 131-23/24 Approving the Mayor's Re-appointments to the City of Pekin Economic Development Advisory Committee

RESULT:	PASSED (6 TO 1)
MOVER:	Mayor Pro-Tem Dave Nutter
SECONDER:	Council Member Lloyd Orrick
AYES:	1st Alternate Mayor Pro Tem Abel, Mayor Burress, Mayor Pro-Tem Nutter, Council Member Orrick, Council Member Hohimer, Council Member Onken
NAYS:	Council Member Hilst

Economic Development Director, Mr. Joshua Wray, provided clarification regarding the integration of the Economic Development Advisory Committee in the city code. He explained that part of the ordinance involved reducing the committee's size from 13 to 7 members by May 1, 2026. To ensure compliance, members were given the option to leave at the end of their terms, while others expressed a desire to remain. The Mayor was tasked with reappointing members to staggered terms, with no more than 7 members by May 2026. The Mayor noted that she had initially been uncertain about these appointments until consulting the bylaws. Additionally, she addressed concerns about a citizen questioning why someone served on two committees, clarifying that the individual in question's role in the Chamber of Commerce and Tourism justified her presence on both the EDAC and Tourism Committees.

Any Other Business To Come Before The Council

Public Works Director, Mr. Dean Schneider, spoke regarding the GFL Recycling Agreement regarding the frequency of services outlined in the agreement. He referenced a section pertaining to weekly services, particularly regarding the sampling of recycling composition conducted by GFL. He was uncertain whether the sampling must occur every week or if it was simply during the weekly routes. Mr. Schenider mentioned that he waits until his recycling bin is nearly full before placing it out for collection often exceeding the weekly timeframe mentioned in the agreement.

Council Member Hilst requested to add to the next agenda to earmark revenue from the

Utility Tax for ADA Transition work and to streets and infrastructure that the City currently owns. The request was seconded by Council Member Nutter.

Council Member Hohimer addressed the public and staff acknowledging the gravity of the budget deliberations and assuring that the Council has not taken their responsibilities lightly. She recognized the collective struggle of the Council Member in crafting the budget, recognizing that there will be both satisfied and dissatisfied constituents.

Council Member Orrick shared his perspective on the budget vote, expressing his belief that he voted in the best interests of the city's residents given the prevailing economic circumstances. He acknowledged the economic challenges faced by the city but voiced concern over the burden placed on citizens to sustain city operations through increased taxes or fees. Council Member Orrick advocated for a more restrained approach to city spending, particularly in light of what he perceived as the worsening impact of inflation.

Council Member Onken expressed gratitude towards individuals that participated in the meeting regardless of whether their opinions aligned with council's. He emphasized the value of public engagement and dialogue. He acknowledged the city's challenges and the need for solutions, viewing proposed fees as a small step towards addressing them, albeit recognizing that it may not provide an immediate remedy.

Council Member Nutter emphasized the importance of the finance team staying vigilant with the budget, despite personal disagreements, and supported decisions made by the Council.

Mayor Burress recognized the city's recent accolades and echoed Nutter's sentiments regarding the finance committee's necessity.

Council Member Dossey requested participation from two council members for an upcoming matter, prompting discussion about logistics and scheduling.

Executive Session 5 ILCS 120/2 (c)

Adjourn

There being no further business to come to the Council Mayor Burress adjourned the meeting at 9:32 PM.

Accounts Payable

To Be Paid Proof List

User: cmbodine@ci.pekin.il.us
Printed: 04/01/2024 - 10:53AM
Batch: 00001.04.2024 - CMB_2024-03-29_MILLER



City Of Pekin
111 S. Capitol
Pekin, IL 61554

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number	Description				Reference				
Miller, Hall & Triggs Trust Account									
13363									
03292024	3/29/2024	2,500,000.00	0.00	03/29/2024				No	0
277-277-591200 Developer Agreement Payments				CULLINAN LOAN 1ST DISBMT/ORD. 4095-23/24					
03292024 Total:		2,500,000.00							
Miller, Hall & Triggs Trust		2,500,000.00							
Report Total:		2,500,000.00							

Accounts Payable

To Be Paid Proof List

User: cmbodine@ci.pekin.il.us
Printed: 04/03/2024 - 3:36PM
Batch: 00016.03.2024 - CMB_2024-04-05



City Of Pekin
111 S. Capitol
Pekin, IL 61554

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
Ace Hardware									
10911									
392753	3/19/2024	9.54	0.00	04/05/2024				No	0
231-030-522400 General Supplies				FASTENERS FOR CAMERA VAN					
392753 Total:		9.54							
Ace Hardware Total:		9.54							
Amazon Capital Services									
10020									
13LRKLHW9FFG	3/1/2024	461.29	0.00	04/05/2024				No	0
100-009-522400 General Supplies				USB CHARGERS/CABLE/CART					
13LRKLHW9FFG Total:		461.29							
16MV16YG9HDY	3/1/2024	179.94	0.00	04/05/2024				No	0
100-009-529000 Equipment				VIDEO CONVERTERS					
16MV16YG9HDY Total:		179.94							
1CNDPQJC1HC1	4/1/2024	318.99	0.00	04/05/2024				No	0
100-001-587100 Office Equipment & Furniture				SERTA OFFICE CHAIR					
1CNDPQJC1HC1 Total:		318.99							
*** 1F6WQTVLYE	4/1/2024	11.79	0.00	04/05/2024				No	0
100-793-520200 Office Supplies				PAPER MATE PENS, BLACK, 12 CT					
*** 1F6WQTVLYE	4/1/2024	57.72	0.00	04/05/2024				No	0
100-793-520200 Office Supplies				CANON CALCULATOR					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
*** 1F6WQTVLYF	4/1/2024	5.86	0.00	04/05/2024				No	0
100-793-520200 Office Supplies				BIC BALLPOINT PENS, 60 CT					
*** 1F6WQTVLYF	4/1/2024	39.55	0.00	04/05/2024				No	0
100-793-520200 Office Supplies				CASH DRAWER					
1F6WQTVLYH6H Total:		114.92							
*** 1GWRCFJ4XL	4/1/2024	22.46	0.00	04/05/2024				No	0
100-006-520200 Office Supplies				PILOT G2 PENS, BLUE, 10 CT					
*** 1GWRCFJ4XL	4/1/2024	146.89	0.00	04/05/2024				No	0
100-006-520200 Office Supplies				HP LASERJET 26A TONER					
*** 1GWRCFJ4XL	4/1/2024	7.07	0.00	04/05/2024				No	0
100-006-520200 Office Supplies				POST-IT MINI NOTES					
*** 1GWRCFJ4XL	4/1/2024	5.93	0.00	04/05/2024				No	0
100-006-520200 Office Supplies				MECHANICAL PENCILS, 0.5 MM LEAD, 6 CT					
1GWRCFJ4XLW3 Total:		182.35							
1QQPNKN1C3KK	3/1/2024	38.53	0.00	04/05/2024				No	0
100-009-522400 General Supplies				AUDIO CABLES					
1QQPNKN1C3KK Total:		38.53							
1TGPRM6X9TYF	3/1/2024	-111.94	0.00	04/05/2024				No	0
100-009-529000 Equipment				CREDIT ON CANCELED ORDER					
1TGPRM6X9TYF Total:		-111.94							
1TGPRM6XD7YT	3/1/2024	-152.50	0.00	04/05/2024				No	0
100-009-529000 Equipment				CREDIT ON CANCELED ORDER					
1TGPRM6XD7YT Total:		-152.50							
1TGPRM6XDL66	3/1/2024	74.83	0.00	04/05/2024				No	0
100-009-529000 Equipment				AUDIO CABLES/MICRO MIXER					
1TGPRM6XDL66 Total:		74.83							
*** 1TPKGYMJ46	4/1/2024	166.11	0.00	04/05/2024				No	0
100-793-520200 Office Supplies				FILE SORTER ORGANIZER					
*** 1TPKGYMJ46	4/1/2024	91.98	0.00	04/05/2024				No	0
100-793-520200 Office Supplies				BROTHER LABEL MAKER					

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Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
1TPKGYMJ46XT Total:		258.09							
1VMFD9W49773	3/1/2024	55.56	0.00	04/05/2024				No	0
100-009-522400 General Supplies				WIRE STRIPPERS/CUTTERS/WIRE TERMINATIONS					
1VMFD9W49773 Total:		55.56							
1XC7RWDG4DGV	4/1/2024	41.84	0.00	04/05/2024				No	0
100-005-520200 Office Supplies				SONY DIGITAL VOICE RECORDER					
1XC7RWDG4DGV Total:		41.84							
Amazon Capital Services T		1,461.90							
Ameren Illinois									
10021									
*** 0780071001	3/6/2024	102.37	0.00	04/05/2024				No	0
100-032-550500 Electricity For Street Li				500 PETRI LN MTRD STR LGTS - 02/04-03/04/24					
0780071001 Total:		102.37							
*** 1720124012	3/20/2024	217.56	0.00	04/05/2024				No	0
100-068-550100 Utilities				FIRE STATION #1 SHED - 02/18-03/18/24					
1720124012 Total:		217.56							
*** 4984141001	3/20/2024	37.08	0.00	04/05/2024				No	0
100-032-550600 Electricity For Signal Li				COURT & ENTRANCE TRF SIGNAL - 02/18-03/18/24					
4984141001 Total:		37.08							
*** 8695491773	3/18/2024	8,112.55	0.00	04/05/2024				No	0
100-032-550500 Electricity For Street Li				1208 KOCH ST STREET LIGHTS - 02/15-03/15/24					
8695491773 Total:		8,112.55							
Ameren Illinois Total:		8,469.56							

Armature Motor & Pump Co

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Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
12391									
40589	3/9/2024	2,395.50	0.00	04/05/2024				No	0
231-030-534400 Equipment Repairs				REPAIRS TO HICKORY HILLS LIFT STATION					
40589 Total:		2,395.50							
Armature Motor & Pump C		2,395.50							
Arrow Energy									
14369									
144658	3/8/2024	29,615.77	0.00	04/05/2024				No	0
525-000-160100 Fuel Inventory				AVGAS - 6581 GAL @ 4.50/GAL					
144658 Total:		29,615.77							
Arrow Energy Total:		29,615.77							
Beck Oil Company of Illinois									
14623									
*** 22924	3/5/2024	14.00	0.00	04/05/2024				No	0
100-001-599000 Miscellaneous				CITY VEHICLE CAR WASHES - 02/02-02/26/24					
*** 22924	3/5/2024	56.00	0.00	04/05/2024				No	0
100-007-534000 Automotive Expense				CITY VEHICLE CAR WASHES - 02/02-02/26/24					
22924 Total:		70.00							
Beck Oil Company of Illin		70.00							
Behrlawn Mowing & Yard Work									
14496									
4408	3/27/2024	1,410.00	0.00	04/05/2024				No	0
100-068-534200 Buildings And Grounds Rep				T & M					
4408 Total:		1,410.00							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description					
	Behrlawn Mowing & Yard	1,410.00							
Cat Financial Services									
10104									
*** 35161805	3/18/2024	1,434.67	0.00	04/05/2024				No	0
445-041-524000 Lease/Rental of Equipment					LTO WHEEL LOADER - PRINCIPAL				
*** 35161805	3/18/2024	238.50	0.00	04/05/2024				No	0
445-041-590400 Interest Paid					LTO WHEEL LOADER - INTEREST				
35161805 Total:		1,673.17							
Cat Financial Services Tota		1,673.17							
Cintas Corporation									
10115									
4187825573	3/28/2024	174.30	0.00	04/05/2024				No	0
699-069-569000 Other Contractual Service					VMF UNIFORM CLEANING				
4187825573 Total:		174.30							
Cintas Corporation Total:		174.30							
CivicPlus, LLC									
14637									
294696	2/29/2024	98.93	0.00	04/05/2024				No	0
100-009-538000 Maintenance Agreements					CLOSED CAPTIONING - FEB 2024				
294696 Total:		98.93							
CivicPlus, LLC Total:		98.93							
CivicServ, Inc									
14346									
1050	2/24/2024	1,000.00	0.00	04/05/2024				No	0
100-009-538000 Maintenance Agreements					WEB DOCUMENT CREATION & MAINTENANCE				

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number	Description			Reference					
	1050 Total:	1,000.00							
	CivicServ, Inc Total:	1,000.00							
Coe Equipment Inc 10123									
84014	3/14/2024	115.44	0.00	04/05/2024				No	0
231-030-534000 Automotive Expense					FLEXIBLE HOSE GUIDE ASSEMBLY FOR VACTOR				
	84014 Total:	115.44							
84045	3/15/2024	656.59	0.00	04/05/2024				No	0
231-030-534000 Automotive Expense					REAR DOOR SEAL FOR VACTOR				
	84045 Total:	656.59							
	Coe Equipment Inc Total:	772.03							
Comcast Cable Communications, Inc 14903									
*** 203200090660	3/19/2024	330.11	0.00	04/05/2024				No	0
525-525-550300 Telephone					AIRPORT PHONE/INTERNET - 03/23-04/22/24				
	203200090660 Total:	330.11							
	Comcast Cable Communic	330.11							
Connor Co 15153									
S010834114001	3/13/2024	293.45	0.00	04/05/2024				No	0
100-068-580401 Building Repair					FAUCETS				
	S010834114001 Total:	293.45							
S010834114002	3/15/2024	586.91	0.00	04/05/2024				No	0
100-068-580401 Building Repair					FAUCETS				

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Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number	Description			Reference					
	S010834114002 Total:	586.91							
	Connor Co Total:	880.36							
Cook and Son Autobody 11398									
*** PEKINPOLICI	3/28/2024	1,025.37	0.00	04/05/2024				No	0
695-093-518133 Claims Paid / Police Prot					SUPPLEMENT PMT FOR REPAIRS ON PPD 5181				
	PEKINPOLICE5181 Total	1,025.37							
	Cook and Son Autobody T	1,025.37							
Dell Marketing L.P. 11271									
10733592852	2/27/2024	1,288.00	0.00	04/05/2024				No	0
100-009-599801 Computer Software					AZURE CLOUD UPGRADE				
	10733592852 Total:	1,288.00							
	Dell Marketing L.P. Total:	1,288.00							
Flatley, Melissa 10199									
EXPENSE-0823	4/3/2024	108.00	0.00	04/05/2024				No	0
695-095-516700 Wellness Program					GYM REIMBURSEMENT - MAR-AUG 2023				
	EXPENSE-0823 Total:	108.00							
EXPENSE-1223	4/3/2024	5.00	0.00	04/05/2024				No	0
695-095-516700 Wellness Program					GYM REIMBURSEMENT - DEC 2023 - DEPENDENT				
	EXPENSE-1223 Total:	5.00							
	Flatley, Melissa Total:	113.00							

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Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
Gem City Tire 12935 44403	3/27/2024	3,744.05	0.00	04/05/2024				No	0
223-023-534000 Automotive Expense				TIRES - SOLID WASTE					
44403 Total:		3,744.05							
Gem City Tire Total:		3,744.05							
GFL Environmental Services USA, Inc 14249 P60005202962	3/15/2024	25,991.27	0.00	04/05/2024				No	0
223-023-566500 Landfill Expense				LANDFILL FEE - 03/01-03/15/24					
P60005202962 Total:		25,991.27							
P60005202970	3/15/2024	1,808.96	0.00	04/05/2024				No	0
231-031-536400 Sludge Removal				SLUDGE DISPOSAL - 03/01-03/15/24					
P60005202970 Total:		1,808.96							
P90005200230	3/15/2024	2,906.75	0.00	04/05/2024				No	0
223-026-566502 Recycling Expense				RC CURBSIDE FEES - 03/01-03/15/24					
P90005200230 Total:		2,906.75							
GFL Environmental Servic		30,706.98							
Havens, Michelle 11019 EXPENSE-0324A	3/27/2024	5.52	0.00	04/05/2024				No	0
501-501-554200 Meals/Lodging				PCHS DRAMA - TRIP 14534 - 03/11/24 - B'FAST					
EXPENSE-0324A Total:		5.52							
EXPENSE-0324B	3/27/2024	18.19	0.00	04/05/2024				No	0
501-501-554200 Meals/Lodging				PCHS DRAMA - TRIP 14534 - 03/11/24 - LUNCH					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
	EXPENSE-0324B Total:	18.19							
	Havens, Michelle Total:	23.71							
Haynes, Jessica 14809									
*** EXPENSE-032	3/27/2024	14.72	0.00	04/05/2024				No	0
501-501-554200 Meals/Lodging				PCHS SOPH BASEBALL - TRIP 15169 - 03/13/24					
	EXPENSE-0324 Total:	14.72							
	Haynes, Jessica Total:	14.72							
Heart Technologies, Inc 10258									
69057	3/19/2024	875.00	0.00	04/05/2024				No	0
100-009-569000 Other Contractual Service				LICENSE/CONTRACT WORK - MERAKI NETWORK					
	69057 Total:	875.00							
	Heart Technologies, Inc To	875.00							
Herr Petroleum Corporation 12712									
*** D42213	3/26/2024	17,156.23	0.00	04/05/2024				No	0
699-000-160100 Fuel Inventory				DIESEL - 5003 GAL @ 3.43/GAL					
*** D42213	3/26/2024	6,261.39	0.00	04/05/2024				No	0
699-000-160100 Fuel Inventory				REG N/L - 2002 GAL @ 3.13/GAL					
	D42213 Total:	23,417.62							
	Herr Petroleum Corporatio	23,417.62							
Hugh Saxe Enterprises, Inc									

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
14364									
3677TN	3/25/2024	105.70	0.00	04/05/2024				No	0
525-525-524000 Lease/rental Of Equipment				WATER SYSTEM RENTAL - 04/01-04/30/24					
3677TN Total:		105.70							
Hugh Saxe Enterprises, Inc		105.70							
i3 Broadband									
12074									
33097901	3/1/2024	9,308.67	0.00	04/05/2024				No	0
100-009-550300 Telephone				ACCT 423267 - PHONE/INTERNET - 03/01-03/31/24					
33097901 Total:		9,308.67							
i3 Broadband Total:		9,308.67							
Icenogle, Greg									
15037									
EXPENSE-0324	3/27/2024	13.17	0.00	04/05/2024				No	0
501-501-554200 Meals/Lodging				PCHS GIRLS TRACK - TRIP 15173 - 03/16/24					
EXPENSE-0324 Total:		13.17							
Icenogle, Greg Total:		13.17							
IL American Water									
10291									
*** 220038853805	3/19/2024	30.48	0.00	04/05/2024				No	0
100-068-550100 Utilities				FIRE STATION #1 SHED - 02/15-03/14/24					
220038853805 Total:		30.48							
IL American Water Total:		30.48							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
IL Environmental Protection Agency									
11923									
*** 04012024	4/1/2024	57,512.76	0.00	04/05/2024				No	0
231-029-590400 Interest Paid				PAYMENT ON L17-3081 - INTEREST					
*** 04012024	4/1/2024	457,640.76	0.00	04/05/2024				No	0
231-000-218500 IEPA Loan L17-3081				PAYMENT ON L17-3081 - PRINCIPAL					
	04012024 Total:	515,153.52							
	IL Environmental Protectio	515,153.52							
IL Oil Marketing Equipment									
10313									
48428	3/19/2024	23.20	0.00	04/05/2024				No	0
231-030-534000 Automotive Expense				STEEL COUPLING FOR VACTOR					
	48428 Total:	23.20							
48676	3/29/2024	5.62	0.00	04/05/2024				No	0
231-031-529000 Equipment				NIPPLES FOR SCREW PUMP EQUIPMENT					
	48676 Total:	5.62							
	IL Oil Marketing Equipme	28.82							
IT360, Inc									
12267									
41639	3/1/2024	100.00	0.00	04/05/2024				No	0
100-009-538000 Maintenance Agreements				SECURITY AWARENESS - MAR 2024					
	41639 Total:	100.00							
	IT360, Inc Total:	100.00							
IX Controls									
13373									
499	3/28/2024	13,350.00	0.00	04/05/2024				No	0

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
100-068-584009 General Public Improvements				VAV CONTROLS & STAT INSTALL - 75% BILLING					
499 Total:		13,350.00							
IX Controls Total:		13,350.00							
JustFOIA, Inc. 15114 NE16786	2/27/2024	8,437.50	0.00	04/05/2024	FOIA SOFTWARE INITIAL PURCHASE			No	0
100-001-569000 Other Contractual Service									
NE16786 Total:		8,437.50							
JustFOIA, Inc. Total:		8,437.50							
Kallister, David 12389 EXPENSE-0324A	3/27/2024	18.60	0.00	04/05/2024	PCHS JROTC - TRIP 15139 - 03/15/24 - DINNER			No	0
501-501-554200 Meals/Lodging									
EXPENSE-0324A Total:		18.60							
EXPENSE-0324B	3/27/2024	10.63	0.00	04/05/2024	PCHS JROTC - TRIP 15139 - 03/16/24 - DINNER			No	0
501-501-554200 Meals/Lodging									
EXPENSE-0324B Total:		10.63							
Kallister, David Total:		29.23							
Kimball Midwest 11679 *** 102030221	3/19/2024	153.96	0.00	04/05/2024	JULIE MARKING PAINT/WIPES/HI-BLAST BRAKES			No	0
231-030-522400 General Supplies									
*** 102030221	3/19/2024	179.76	0.00	04/05/2024	JULIE MARKING PAINT/WIPES/HI-BLAST BRAKES			No	0
100-032-522400 General Supplies									

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
	102030221 Total:	333.72							
	Kimball Midwest Total:	333.72							
Knackmuhs, Bridget 14836									
EXPENSE-0324	3/27/2024	11.95	0.00	04/05/2024				No	0
501-501-554200 Meals/Lodging				PCHS GIRLS TRACK - TRIP 15172 - 03/13/24					
	EXPENSE-0324 Total:	11.95							
	Knackmuhs, Bridget Total:	11.95							
Mathews, Trenten 15008									
EXPENSE-0224	3/28/2024	21.00	0.00	04/05/2024				No	0
695-095-516700 Wellness Program				GYM MEMBERSHIP - FEB 2024					
	EXPENSE-0224 Total:	21.00							
EXPENSE-0324	3/28/2024	21.00	0.00	04/05/2024				No	0
695-095-516700 Wellness Program				GYM MEMBERSHIP - MAR 2024					
	EXPENSE-0324 Total:	21.00							
	Mathews, Trenten Total:	42.00							
McMaster-Carr 11784									
23692101	3/13/2024	434.17	0.00	04/05/2024				No	0
231-031-529000 Equipment				SUPPLIES FOR BLOWER EQUIPMENT					
	23692101 Total:	434.17							
	McMaster-Carr Total:	434.17							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
Menards									
10414									
72412	3/15/2024	19.47	0.00	04/05/2024				No	0
100-032-522400 General Supplies				4' GARDEN STAKES					
72412 Total:		19.47							
72669	3/19/2024	61.54	0.00	04/05/2024				No	0
231-030-529000 Equipment				ITEMS FOR USE WITH VACTOR					
72669 Total:		61.54							
73118	3/25/2024	112.32	0.00	04/05/2024				No	0
100-068-522400 General Supplies				BASE COVER FOR CITY HALL					
73118 Total:		112.32							
73131	3/25/2024	107.84	0.00	04/05/2024				No	0
100-068-522400 General Supplies				CLEANING SUPPLIES - PUBLIC PROPERTY					
73131 Total:		107.84							
73206	3/26/2024	16.23	0.00	04/05/2024				No	0
100-068-522400 General Supplies				EXTENSION CORDS					
73206 Total:		16.23							
73214	3/26/2024	28.55	0.00	04/05/2024				No	0
100-068-522400 General Supplies				CHISEL SET FOR BASE COVE INSTALL					
73214 Total:		28.55							
73231	3/26/2024	43.98	0.00	04/05/2024				No	0
100-068-522400 General Supplies				BAR CLAMPS					
73231 Total:		43.98							
73296	3/27/2024	69.17	0.00	04/05/2024				No	0
100-068-522400 General Supplies				BASE COVE FOR CITY HALL					
73296 Total:		69.17							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
73386	3/28/2024	106.49	0.00	04/05/2024				No	0
100-068-534000 Automotive Expense				CLEANING SUPPLIES - PUBLIC PROPERTY					
73386 Total:		106.49							
73462	3/29/2024	10.99	0.00	04/05/2024				No	0
100-068-522400 General Supplies				BAR CHAIN OIL					
73462 Total:		10.99							
73465	3/29/2024	14.64	0.00	04/05/2024				No	0
231-031-561300 Testing Fees And Expenses				WATER FOR SAMPLES					
73465 Total:		14.64							
73482	3/29/2024	1,298.00	0.00	04/05/2024				No	0
100-068-529000 Equipment				WASHER/DRYER - PUBLIC PROPERTY					
73482 Total:		1,298.00							
73662	4/1/2024	60.72	0.00	04/05/2024				No	0
100-068-522400 General Supplies				PAINT FOR RIVERFRONT BATHROOMS					
73662 Total:		60.72							
73663	4/1/2024	1.28	0.00	04/05/2024				No	0
100-068-522400 General Supplies				BOLT FOR OFFICE CHAIR - CH					
73663 Total:		1.28							
Menards Total:		1,951.22							
MGT of America Consulting									
15098									
*** MGT35442	3/28/2024	439.34	0.00	04/05/2024				No	0
525-525-569000 Other Contractual Service				FINANCE TEMP - 03/03-03/23/24					
*** MGT35442	3/28/2024	876.75	0.00	04/05/2024				No	0
208-208-569000 Other Contractual Service				FINANCE TEMP - 03/03-03/23/24					
*** MGT35442	3/28/2024	526.05	0.00	04/05/2024				No	0
270-270-569000 Other Contractual Service				FINANCE TEMP - 03/03-03/23/24					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
*** MGT35442	3/28/2024	350.70	0.00	04/05/2024				No	0
273-273-569000 Other Contractual Service				FINANCE TEMP - 03/03-03/23/24					
*** MGT35442	3/28/2024	439.34	0.00	04/05/2024				No	0
275-275-569000 Other Contractual Service				FINANCE TEMP - 03/03-03/23/24					
*** MGT35442	3/28/2024	526.05	0.00	04/05/2024				No	0
277-277-569000 Other Contractual Services				FINANCE TEMP - 03/03-03/23/24					
*** MGT35442	3/28/2024	175.35	0.00	04/05/2024				No	0
570-570-569000 Other Contractual Service				FINANCE TEMP - 03/03-03/23/24					
*** MGT35442	3/28/2024	876.75	0.00	04/05/2024				No	0
501-501-569000 Other Contractual Service				FINANCE TEMP - 03/03-03/23/24					
*** MGT35442	3/28/2024	4,387.61	0.00	04/05/2024				No	0
231-029-569000 Other Contractual Service				FINANCE TEMP - 03/03-03/23/24					
*** MGT35442	3/28/2024	876.75	0.00	04/05/2024				No	0
231-031-569000 Other Contractual Service				FINANCE TEMP - 03/03-03/23/24					
*** MGT35442	3/28/2024	175.35	0.00	04/05/2024				No	0
231-033-569000 Other Contractual Service				FINANCE TEMP - 03/03-03/23/24					
*** MGT35442	3/28/2024	2,632.18	0.00	04/05/2024				No	0
223-023-569000 Other Contractual Service				FINANCE TEMP - 03/03-03/23/24					
*** MGT35442	3/28/2024	6,987.03	0.00	04/05/2024				No	0
100-006-569000 Other Contractual Service				FINANCE TEMP - 03/03-03/23/24					
MGT35442 Total:		19,269.25							
MGT of America Consultin		19,269.25							
National Rental of Pekin, Inc									
10838									
03142024	3/14/2024	36.00	0.00	04/05/2024				No	0
231-030-534000 Automotive Expense				5' 4" FLAT 3/16 METAL FOR VACTOR					
03142024 Total:		36.00							
318	3/18/2024	16.00	0.00	04/05/2024				No	0
231-030-534000 Automotive Expense				4' 2" FLAT 3/16 METAL FOR VACTOR					
318 Total:		16.00							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
National Rental of Pekin, I		52.00							
North Pekin-Marquette Heights Grade School #102 15156									
02122024	2/12/2024	2,355.01	0.00	04/05/2024				No	0
275-275-565300 School Distr Tax Reimbursement				ANNUAL TIF REIMB. TO SCHOOLS PER IGA					
02122024 Total:		2,355.01							
North Pekin-Marquette He		2,355.01							
Old Heritage Landscaping, Inc 11481									
53649	3/13/2024	69.93	0.00	04/05/2024				No	0
100-032-522400 General Supplies				SCREEN TOP SOIL FOR LAWN REPAIRS					
53649 Total:		69.93							
Old Heritage Landscaping,		69.93							
Otto Baum Co Inc 10462									
232003402	3/25/2024	53,509.50	0.00	04/05/2024				No	0
100-068-584009 General Public Improvements				CITY HALL RESTORATION - RES. 73-23/24					
232003402 Total:		53,509.50							
Otto Baum Co Inc Total:		53,509.50							
Pekin Community High School #303 11529									
02122024A	2/12/2024	38,555.38	0.00	04/05/2024				No	0
275-275-565300 School Distr Tax Reimbursement				ANNUAL TIF REIMB. TO SCHOOLS PER IGA					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
	02122024A Total:	38,555.38							
02122024B	2/12/2024	65,131.14	0.00	04/05/2024				No	0
270-270-565300 School Distr Tax Reimbursement				ANNUAL TIF REIMB. TO SCHOOLS PER IGA					
	02122024B Total:	65,131.14							
	Pekin Community High Sc	103,686.52							
Pekin Public School District #108 11000									
02122024A	2/12/2024	54,565.55	0.00	04/05/2024				No	0
275-275-565300 School Distr Tax Reimbursement				ANNUAL TIF REIMB. TO SCHOOLS PER IGA					
	02122024A Total:	54,565.55							
02122024B	2/12/2024	55,110.96	0.00	04/05/2024				No	0
270-270-565300 School Distr Tax Reimbursement				ANNUAL TIF REIMB. TO SCHOOLS PER IGA					
	02122024B Total:	55,110.96							
	Pekin Public School Distri	109,676.51							
Professional Benefit Administrator 13864									
132400	1/26/2024	720.00	0.00	04/05/2024				No	0
695-095-569000 Other Contractual Service				DECEMBER 2023 RUN OUT CLAIMS					
	132400 Total:	720.00							
	Professional Benefit Admin	720.00							
Puritan Springs Water 10766									
*** 1523786	3/14/2024	61.68	0.00	04/05/2024				No	0
100-990-569000 Other Contractual Service				WATER DISPENSER @ CITY HALL - 02/16-03/14/24					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
	1523786 Total:	61.68							
	Puritan Springs Water Tota	61.68							
Quikrete Companies 11426									
28776507	3/13/2024	313.11	0.00	04/05/2024				No	0
231-033-564100 Drainage Improvements				CONCRETE MIX FOR CURB REPAIRS					
	28776507 Total:	313.11							
	Quikrete Companies Total:	313.11							
Schwartz Electric 10579									
18471	3/14/2024	2,782.50	0.00	04/05/2024				No	0
100-032-569000 Other Contractual Service				JULIE LOCATES - 02/28-03/12/24					
	18471 Total:	2,782.50							
18474	3/14/2024	495.87	0.00	04/05/2024				No	0
100-068-580401 Building Repair				CEILING FAN INSTALLATION @ FIRE STATION #2					
	18474 Total:	495.87							
18477	3/14/2024	160.00	0.00	04/05/2024				No	0
100-032-534400 Equipment Repairs				REPAIR STREET LIGHT @ ASPEN CT					
	18477 Total:	160.00							
18515	3/29/2024	5,978.00	0.00	04/05/2024				No	0
100-068-584009 General Public Improvements				RIVERFRONT BATHROOMS LIGHTS/HAND DRYERS					
	18515 Total:	5,978.00							
	Schwartz Electric Total:	9,416.37							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
Screen Graphics 11875									
5445	3/25/2024	51.00	0.00	04/05/2024				No	0
100-001-599000 Miscellaneous				COUNCIL CHAMBER NAMEPLATES					
5445 Total:		51.00							
Screen Graphics Total:		51.00							
Sentry Safety Supply, Inc 10882									
*** 282870IN	3/28/2024	156.00	0.00	04/05/2024				No	0
100-032-522400 General Supplies				GLOVES - STREET DEPT					
*** 282870IN	3/28/2024	156.00	0.00	04/05/2024				No	0
223-023-522400 General Supplies				GLOVES - SOLID WASTE					
282870IN Total:		312.00							
Sentry Safety Supply, Inc T		312.00							
Shaw, Tina 14561									
EXPENSE-0324	3/27/2024	13.58	0.00	04/05/2024				No	0
501-501-554200 Meals/Lodging				PCHS SOFTBALL - TRIP 15174 - 03/12/24					
EXPENSE-0324 Total:		13.58							
Shaw, Tina Total:		13.58							
Standard Heating & Cooling 13042									
SD25638	3/27/2024	177.00	0.00	04/05/2024				No	0
100-068-584009 General Public Improvements				BOILER HEATER REPAIR - STATION #2 FIREHOUSE					
SD25638 Total:		177.00							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number	Description			Reference					
	Standard Heating & Coolin	177.00							
Tazewell County Recorder 11172									
04022024A	4/2/2024	69.00	0.00	04/05/2024				No	0
100-766-593300 Lien Filing & Release Fees				FILE ZERO/RELEASE 1 LIEN					
04022024A Total:		69.00							
04022024B	4/2/2024	69.00	0.00	04/05/2024				No	0
100-766-593300 Lien Filing & Release Fees				FILE ZERO/RELEASE 1 LIEN					
04022024B Total:		69.00							
04022024C	4/2/2024	69.00	0.00	04/05/2024				No	0
100-766-593300 Lien Filing & Release Fees				FILE ZERO/RELEASE 1 LIEN					
04022024C Total:		69.00							
04022024D	4/2/2024	1,311.00	0.00	04/05/2024				No	0
231-029-593300 Lien Filing Fee				FILE 15/RELEASE 4 LIENS					
04022024D Total:		1,311.00							
04022024E	4/2/2024	69.00	0.00	04/05/2024				No	0
100-766-593300 Lien Filing & Release Fees				FILE ZERO/RELEASE 1 LIEN					
04022024E Total:		69.00							
Tazewell County Recorder		1,587.00							
Tazewell County Treasurer 10639									
02262024	2/26/2024	58,345.17	0.00	04/05/2024				No	0
273-273-565400 Surplus Declaration				ANNUAL TIF SURPLUS PMTS TO TAXING BODIES					
02262024 Total:		58,345.17							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
Tazewell County Treasurer		58,345.17							
TeamViewer GmbH 11863									
R02844264	2/25/2024	4,112.40	0.00	04/05/2024				No	0
100-009-538000 Maintenance Agreements				TEAMVIEWER LICENSE - 02/25/24-02/25/25					
R02844264 Total:		4,112.40							
TeamViewer GmbH Total:		4,112.40							
The Greenhouse Flower Shoppe 11022									
4710	3/29/2024	74.99	0.00	04/05/2024				No	0
100-005-597900 Employee Recognition				SYMPATHY PLANT - JC MOTHER - 03/29/24					
4710 Total:		74.99							
4718	4/1/2024	85.00	0.00	04/05/2024				No	0
100-005-597900 Employee Recognition				SYMPATHY PLANT - WH BROTHER - 04/02/24					
4718 Total:		85.00							
4719	4/1/2024	84.99	0.00	04/05/2024				No	0
100-005-597900 Employee Recognition				SYMPATHY PLANT - SL FATHER - 04/02/24					
4719 Total:		84.99							
The Greenhouse Flower Sh		244.98							
Third Millennium Associates, Inc 11953									
*** 31192	3/19/2024	1,163.32	0.00	04/05/2024				No	0
231-029-569000 Other Contractual Service				MONTHLY BILL RENDERING - MAR 2024					
*** 31192	3/19/2024	1,163.32	0.00	04/05/2024				No	0
223-023-569000 Other Contractual Service				MONTHLY BILL RENDERING - MAR 2024					
*** 31192	3/19/2024	50.88	0.00	04/05/2024				No	0

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Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
231-029-520400 Postage				POSTAGE					
*** 31192	3/19/2024	50.88	0.00	04/05/2024				No	0
223-023-520400 Postage				POSTAGE					
31192 Total:		2,428.40							
*** 31196	2/29/2024	861.40	0.00	04/05/2024				No	0
231-029-569000 Other Contractual Service				MONTHLY LOCKBOX SERVICE - FEB 2024					
*** 31196	2/29/2024	861.41	0.00	04/05/2024				No	0
223-023-569000 Other Contractual Service				MONTHLY LOCKBOX SERVICE - FEB 2024					
31196 Total:		1,722.81							
Third Millennium Associat		4,151.21							
Topless Tree Service									
14332									
2746	3/20/2024	3,762.50	0.00	04/05/2024				No	0
100-032-536000 Tree Removal / Replacemen				REMOVE TREE & LIMBS @ 1101 HAMILTON ST					
2746 Total:		3,762.50							
2748	3/22/2024	3,125.00	0.00	04/05/2024				No	0
100-032-536000 Tree Removal / Replacemen				REMOVE TREE @ 915 HAMILTON ST					
2748 Total:		3,125.00							
Topless Tree Service Total:		6,887.50							
Truck Centers Inc									
10664									
F14042821201	3/27/2024	102.63	0.00	04/05/2024				No	0
100-034-534000 Automotive Expense				OIL COALESCING FILTER - FIRE DEPT					
F14042821201 Total:		102.63							
Truck Centers Inc Total:		102.63							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
Vercara, LLC 14954									
47114	2/29/2024	203.60	0.00	04/05/2024				No	0
100-009-538000 Maintenance Agreements				DNS SERVICES - 02/01-02/29/24					
47114 Total:		203.60							
Vercara, LLC Total:		203.60							
Verizon Connect Fleet USA LLC 12701									
611000058300	3/1/2024	1,524.85	0.00	04/05/2024				No	0
100-009-529500 AVL Equipment				AVL CITY VEHICLES - 02/01-02/29/24					
611000058300 Total:		1,524.85							
Verizon Connect Fleet USA		1,524.85							
Waste Management 12524									
326176220704	3/5/2024	1,148.64	0.00	04/05/2024				No	0
231-031-536400 Sludge Removal				DUMPSTER SERVICES - 03/01-03/31/24					
326176220704 Total:		1,148.64							
Waste Management Total:		1,148.64							
X Waste Inc 10721									
616368	1/31/2024	135.00	0.00	04/05/2024				No	0
100-032-535000 Material And Hauling				X WASTE FEE AT LANDFILL - FINAL					
616368 Total:		135.00							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			

X Waste Inc Total:	135.00
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Report Total:	1,037,026.21
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Accounts Payable

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User: cmbodine@ci.pekin.il.us
Printed: 04/12/2024 - 10:40AM
Batch: 00003.04.2024 - CMB_2024-04-12



City Of Pekin
111 S. Capitol
Pekin, IL 61554

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
Advance Auto Parts									
12965									
5146407153910	3/11/2024	154.68	0.00	04/12/2024				No	0
100-761-534000 Automotive Expense				ROTOR, 2 CT - SQUAD #5205					
5146407153910 Total:		154.68							
5146407153911	3/11/2024	99.43	0.00	04/12/2024				No	0
100-761-534000 Automotive Expense				BRAKE PADS & CALIPER - SQUAD #5205					
5146407153911 Total:		99.43							
5146407520931	3/15/2024	20.94	0.00	04/12/2024				No	0
100-761-534000 Automotive Expense				OIL FILTER, 6 CT - SQUAD #5206					
5146407520931 Total:		20.94							
5146407539950	3/15/2024	6.98	0.00	04/12/2024				No	0
100-761-534000 Automotive Expense				OIL FILTER, 2 CT - SQUAD #5206					
5146407539950 Total:		6.98							
5146407830121	3/18/2024	240.45	0.00	04/12/2024				No	0
100-761-534000 Automotive Expense				BRADE PADS & ROTOR FOR SQUAD					
5146407830121 Total:		240.45							
5146407930138	3/19/2024	35.09	0.00	04/12/2024				No	0
100-761-534000 Automotive Expense				BRAKE PADS FOR SQUADS					
5146407930138 Total:		35.09							
5146408030184	3/20/2024	7.54	0.00	04/12/2024				No	0

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Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
100-761-534000 Automotive Expense				ENGINE OIL FILTER FOR SQUAD					
5146408030184 Total:		7.54							
5146408054006	3/20/2024	106.94	0.00	04/12/2024				No	0
100-761-534000 Automotive Expense				WHEEL BEARING FOR SQUAD					
5146408054006 Total:		106.94							
5146408130224	3/21/2024	6.64	0.00	04/12/2024				No	0
699-069-522400 General Supplies				PAINT SCUFF PAD/SUPPLIES FOR SHOP					
5146408130224 Total:		6.64							
5146408154024	3/21/2024	46.39	0.00	04/12/2024				No	0
100-761-534000 Automotive Expense				WHEEL SENSOR					
5146408154024 Total:		46.39							
5146408530356	3/25/2024	18.75	0.00	04/12/2024				No	0
501-501-534000 Automotive Expense				WIPERS FOR #1071 (VAN)					
5146408530356 Total:		18.75							
*** 5146408730480	3/27/2024	50.83	0.00	04/12/2024				No	0
100-761-534000 Automotive Expense				IGNITION SWITCH - SQUAD #5161					
*** 5146408730480	3/27/2024	72.23	0.00	04/12/2024				No	0
100-032-534000 Automotive Expense				COOLANT RESERVOIR - STREET #445					
5146408730480 Total:		123.06							
5146408830547	3/28/2024	133.32	0.00	04/12/2024				No	0
699-069-534000 Automotive Expense				BATTERY VERSA					
5146408830547 Total:		133.32							
5146409221577	4/1/2024	622.99	0.00	04/12/2024				No	0
501-501-534000 Automotive Expense				WINDSHIELD WIPERS - BUS STOCK					
5146409221577 Total:		622.99							
5146409230772	4/1/2024	5.20	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				SPARK PLUG - FIRE DEPT					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
5146409230772 Total:		5.20							
5146409267134	4/1/2024	2.62	0.00	04/12/2024				No	0
100-007-534000 Automotive Expense				OIL FILTER (DEAN'S TRUCK) - PUBLIC WORKS					
5146409267134 Total:		2.62							
5146409330800	4/2/2024	3.14	0.00	04/12/2024				No	0
100-034-534400 Equipment Repairs				SPARK PLUG FOR FIRE DEPT CHAINSAW					
5146409330800 Total:		3.14							
Advance Auto Parts Total:		1,634.16							
Alexis Fire Equipment Co									
11112									
77277IN	1/29/2024	1,443.70	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				TRUCK REPAIRS					
77277IN Total:		1,443.70							
77608IN	3/21/2024	79.16	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				TRUCK REPAIRS					
77608IN Total:		79.16							
77619IN	3/25/2024	1,418.27	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				TRUCK REPAIRS					
77619IN Total:		1,418.27							
77644IN	3/27/2024	197.54	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				TRUCK REPAIRS					
77644IN Total:		197.54							
Alexis Fire Equipment Co		3,138.67							

Altorfer Inc

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Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
10019									
WO330040819	4/4/2024	1,113.23	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				ENG 2 REPAIRS					
	WO330040819 Total:	1,113.23							
	Altorfer Inc Total:	1,113.23							
Amazon Capital Services									
10020									
116DKF9W3R7N	4/1/2024	403.26	0.00	04/12/2024				No	0
100-764-520200 Office Supplies				OFFICE SUPPLIES - RECORDS					
	116DKF9W3R7N Total:	403.26							
11KPHHYG3CGN	4/1/2024	59.07	0.00	04/12/2024				No	0
100-034-520200 Office Supplies				PENS					
	11KPHHYG3CGN Total:	59.07							
1317X4YM1733	4/1/2024	45.35	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				WEDGELOCK ELEC. CONNECTORS - ENGINE 2					
	1317X4YM1733 Total:	45.35							
169617X1XWP7	4/1/2024	17.66	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				POWER CABLE EXTENSION - ENGINE 2					
	169617X1XWP7 Total:	17.66							
193TCJMP446M	4/1/2024	13.19	0.00	04/12/2024				No	0
100-764-520200 Office Supplies				OFFICE SUPPLIES - RECORDS					
	193TCJMP446M Total:	13.19							
1C4R7RVDW74N	4/1/2024	1,436.06	0.00	04/12/2024				No	0
100-068-534000 Automotive Expense				CLEANING SUPPLIES - PUBLIC PROPERTY					
	1C4R7RVDW74N Total:	1,436.06							
1DT7C6CXYJ93	4/1/2024	9.98	0.00	04/12/2024				No	0

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*** means this invoice number is a duplicate.

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Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
100-761-520200 Office Supplies				OFFICE SUPPLIES					
1DT7C6CXYJ93 Total:		9.98							
*** 1F16LF99XM4	4/1/2024	242.35	0.00	04/12/2024				No	0
100-763-522400 General Supplies				OFFICE SUPPLIES					
*** 1F16LF99XM4	4/1/2024	95.49	0.00	04/12/2024				No	0
100-763-520200 Office Supplies				INVES. SUPPLIES					
*** 1F16LF99XM4	4/1/2024	985.34	0.00	04/12/2024				No	0
100-761-520200 Office Supplies				INVES. EQUIPMENT					
1F16LF99XM4V Total:		1,323.18							
1F16LF99XTQF	4/1/2024	259.44	0.00	04/12/2024				No	0
100-763-522400 General Supplies				MAJOR CASE FILE PREP MAT'LS/EOC SUPPLIES					
1F16LF99XTQF Total:		259.44							
1FR6KXDR11LM	4/1/2024	26.17	0.00	04/12/2024				No	0
100-034-529000 Equipment				IPHONE CASES					
1FR6KXDR11LM Total:		26.17							
1GWRCFJ4WH7Q	4/1/2024	192.84	0.00	04/12/2024				No	0
100-034-599802 Computer Hardware				BATTERY REPLACEMENT FOR COMPUTER BACKUP					
1GWRCFJ4WH7Q Total:		192.84							
*** 1HLQKPPT1T	4/1/2024	149.99	0.00	04/12/2024				No	0
100-763-522400 General Supplies				HEADPHONES FOR SOCIAL WORKER					
*** 1HLQKPPT1T	4/1/2024	59.70	0.00	04/12/2024				No	0
100-763-522400 General Supplies				PATROL EQUIPMENT					
1HLQKPPT1TPQ Total:		209.69							
1J3YW9DV1PXL	4/1/2024	93.98	0.00	04/12/2024				No	0
100-761-520200 Office Supplies				OFFICE SUPPLIES					
1J3YW9DV1PXL Total:		93.98							
1JQDX9MG4P46	4/1/2024	791.99	0.00	04/12/2024				No	0
100-763-587100 Office Equipment & Furniture				INVES. EQUIPMENT					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
	1JQDX9MG4P46 Total:	791.99							
1KMRTNWW3J4Y	4/1/2024	22.66	0.00	04/12/2024				No	0
699-069-522400 General Supplies				GLOVES - VMF DEPT					
	1KMRTNWW3J4Y Total:	22.66							
1NPDWQG946D1	4/1/2024	56.22	0.00	04/12/2024				No	0
100-761-599000 Miscellaneous				EVIDENCE BINS					
	1NPDWQG946D1 Total:	56.22							
1NWFKNJYLMP	4/1/2024	138.46	0.00	04/12/2024				No	0
100-763-522400 General Supplies				INVES. SUPPLIES					
	1NWFKNJYLMP Total:	138.46							
*** 1PR1CQK9VX	4/1/2024	50.18	0.00	04/12/2024				No	0
100-764-520200 Office Supplies				BOSTITCH HEAVY DUTY ELECTRIC STAPLER					
*** 1PR1CQK9VX	4/1/2024	159.27	0.00	04/12/2024				No	0
100-764-520200 Office Supplies				ZEBRA 4 X 2 DIRECT THERMAL PAPER LABELS					
	1PR1CQK9VX1Q Total:	209.45							
1T3D1NCYW7NK	4/1/2024	21.99	0.00	04/12/2024				No	0
100-761-522400 General Supplies				COAT HANGER WALL MOUNT					
	1T3D1NCYW7NK Total:	21.99							
1T3D1NCYD3H	4/1/2024	49.90	0.00	04/12/2024				No	0
100-034-529000 Equipment				PHONE CASE					
	1T3D1NCYD3H Total:	49.90							
1VTXT7HPXXR1	4/1/2024	106.48	0.00	04/12/2024				No	0
100-032-522400 General Supplies				PLOTTER BLADES - SIGN SHOP					
	1VTXT7HPXXR1 Total:	106.48							
1VW3QK7P3YYG	4/1/2024	-159.27	0.00	04/12/2024				No	0
100-761-522400 General Supplies				CREDIT FOR RETURNED ITEM					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
	1VW3QK7P3YYG Total:	-159.27							
1WXYHV1TXXQM	4/1/2024	-121.77	0.00	04/12/2024				No	0
100-761-587100 Office Equipment & Furniture				CREDIT - PATROL RECEIPT PAPER					
	1WXYHV1TXXQM Total:	-121.77							
1WXYHV1TYJ1H	4/1/2024	11.98	0.00	04/12/2024				No	0
100-761-520200 Office Supplies				DRY ERASE MARKERS					
	1WXYHV1TYJ1H Total:	11.98							
1XC7RWDG1F3N	4/1/2024	9.99	0.00	04/12/2024				No	0
100-763-520200 Office Supplies				INVES. DESK PLATE HOLDER					
	1XC7RWDG1F3N Total:	9.99							
1XTVNMMKX76R	4/1/2024	72.91	0.00	04/12/2024				No	0
100-034-529000 Equipment				CHARGING CABLES/SCREEN PROTECTORS					
	1XTVNMMKX76R Total:	72.91							
	Amazon Capital Services T	5,300.86							
Ameren Illinois 10021 *** 0951952119	4/2/2024	99.62	0.00	04/12/2024				No	0
100-068-550100 Utilities				MARGARET ST PARK'G LOT LIGHTS 02/28-03/31/24					
	0951952119 Total:	99.62							
*** 0963065243	4/1/2024	34.61	0.00	04/12/2024				No	0
100-068-550100 Utilities				310 MARGARET ST/ELEC - 01/30-03/26/24					
*** 0963065243	4/1/2024	36.93	0.00	04/12/2024				No	0
100-068-550100 Utilities				407 ANN ELIZA ST/ELEC - 01/30-03/26/24					
*** 0963065243	4/1/2024	32.01	0.00	04/12/2024				No	0
100-068-550100 Utilities				111 S CAPITOL ST OTHR POLE - 01/30-03/26/24					
*** 0963065243	4/1/2024	32.01	0.00	04/12/2024				No	0
100-068-550100 Utilities				330 S 7TH ST - 01/30-03/26/24					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
*** 0963065243	4/1/2024	32.01	0.00	04/12/2024				No	0
100-068-550100 Utilities				9502 CARGILL - 01/30-03/26/24					
*** 0963065243	4/1/2024	32.65	0.00	04/12/2024				No	0
100-068-550100 Utilities				2504 S 2ND ST - 01/30-03/26/24					
*** 0963065243	4/1/2024	124.67	0.00	04/12/2024				No	0
100-068-550100 Utilities				95 COURT ST/ELEC - 01/30-03/26/24					
*** 0963065243	4/1/2024	1,062.10	0.00	04/12/2024				No	0
100-068-550100 Utilities				111 S CAPITOL ST/ELEC - 01/30-03/26/24					
*** 0963065243	4/1/2024	32.01	0.00	04/12/2024				No	0
100-068-550100 Utilities				215 ENTERPRISE DR/ELEC - 01/30-03/26/24					
*** 0963065243	4/1/2024	45.59	0.00	04/12/2024				No	0
100-068-550100 Utilities				1411 ELMGROVE TOWER - 01/30-03/26/24					
*** 0963065243	4/1/2024	455.11	0.00	04/12/2024				No	0
501-501-550100 Utilities				1130 KOCH ST UNIT B/ELEC BUS - 01/30-03/26/24					
*** 0963065243	4/1/2024	66.28	0.00	04/12/2024				No	0
223-026-550100 Utilities				201 S 2ND ST/RC ELEC - 01/30-03/26/24					
*** 0963065243	4/1/2024	374.75	0.00	04/12/2024				No	0
100-034-550100 Utilities				120 SAINT MARY ST/GAS/ELEC - 01/30-03/26/24					
*** 0963065243	4/1/2024	422.19	0.00	04/12/2024				No	0
100-034-550100 Utilities				1000 N 14TH ST/ELEC - 01/30-03/26/24					
*** 0963065243	4/1/2024	325.53	0.00	04/12/2024				No	0
100-034-550100 Utilities				3232 COURT ST/GAS/ELEC - 01/30-03/26/24					
*** 0963065243	4/1/2024	81.18	0.00	04/12/2024				No	0
100-032-550100 Utilities				1140 KOCH ST (SALT DOME)/ELEC - 01/30-03/26/24					
*** 0963065243	4/1/2024	114.59	0.00	04/12/2024				No	0
100-032-550100 Utilities				1208 KOCH ST OTHR - 01/30-03/26/24					
*** 0963065243	4/1/2024	74.03	0.00	04/12/2024				No	0
525-525-550100 Utilities				13918 AIRPORT LN UNIT B1/ELEC - 01/30-03/26/24					
*** 0963065243	4/1/2024	738.57	0.00	04/12/2024				No	0
525-525-550100 Utilities				13906 AIRPORT LN HNGR 1/ELEC - 01/30-03/26/24					
*** 0963065243	4/1/2024	126.70	0.00	04/12/2024				No	0
525-525-550100 Utilities				13906 AIRPORT LN UNIT A - 01/30-03/26/24					
*** 0963065243	4/1/2024	141.52	0.00	04/12/2024				No	0
525-525-550100 Utilities				13906 AIRPORT LN UNIT B - 01/30-03/26/24					
*** 0963065243	4/1/2024	86.38	0.00	04/12/2024				No	0
231-031-550100 Utilities				606 S FRONT ST/GAS/ELEC - 01/30-03/26/24					
0963065243 Total:		4,471.42							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
*** 2063131008	3/15/2024	1,574.67	0.00	04/12/2024				No	0
100-032-550600 Electricity For Signal Li				TRAFFIC LIGHTS - 01/19-03/12/24					
2063131008 Total:		1,574.67							
*** 2349029006	3/18/2024	1,380.71	0.00	04/12/2024				No	0
231-030-550100 Utilities				SERVICE @ LIFT STATIONS 01/21-03/12/24					
2349029006 Total:		1,380.71							
*** 2434114066	3/18/2024	3,087.26	0.00	04/12/2024				No	0
100-032-550500 Electricity For Street Li				1208 KOCH CUST OWNED LIGHTS 02/15-03/15/24					
2434114066 Total:		3,087.26							
*** 3955025012	3/25/2024	41.67	0.00	04/12/2024				No	0
100-032-550500 Electricity For Street Li				1703 REMINGTON RD 02/20-03/20/24					
3955025012 Total:		41.67							
Ameren Illinois Total:		10,655.35							
Atlas Supply Company									
10038									
37680	3/26/2024	287.96	0.00	04/12/2024				No	0
100-034-522400 General Supplies				HAND SOAP					
37680 Total:		287.96							
Atlas Supply Company Tot		287.96							
Beecher, Chris									
12149									
EXPENSE-0424	4/5/2024	30.00	0.00	04/12/2024				No	0
100-763-519000 Training And Education				IL DRUG ENFORC. OFFICER CONF 04/17-04/19/24					
EXPENSE-0424 Total:		30.00							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
	Beecher, Chris Total:	30.00							
BoundTree Medical 11262									
85290571	3/25/2024	563.72	0.00	04/12/2024				No	0
100-034-522500 Emergency Medical Supplie				EMERGENCY MEDICAL SUPPLIES					
	85290571 Total:	563.72							
	BoundTree Medical Total:	563.72							
Cat Financial Services 10104									
*** 35194763	3/26/2024	1,280.62	0.00	04/12/2024				No	0
445-041-524000 Lease/Rental of Equipment				LTO BACKHOE - PRINCIPAL					
*** 35194763	3/26/2024	225.11	0.00	04/12/2024				No	0
445-041-590400 Interest Paid				LTO BACKHOE - INTEREST					
	35194763 Total:	1,505.73							
	Cat Financial Services Tota	1,505.73							
Central Illinois Police Training Ctr - ICC North 10930									
FY2564	4/5/2024	5,700.00	0.00	04/12/2024				No	0
100-761-551600 Dues And Subscriptions				ANNUAL MEMBER DUES - 57 FULL-TIME OFFICERS					
	FY2564 Total:	5,700.00							
	Central Illinois Police Trai	5,700.00							
Centre State International Trucks, Inc 10109									
01P85671	4/4/2024	637.02	0.00	04/12/2024				No	0
100-032-534000 Automotive Expense				STARTER MOTOR - STREET DEPT #423					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number	Description			Reference					
	01P85671 Total:	637.02							
	Centre State International T	637.02							
Choice1 Health Care Services, LLC									
12200									
11430	3/25/2024	105.70	0.00	04/12/2024				No	0
100-034-522500 Emergency Medical Supplie					EMERGENCY MEDICAL SUPPLIES				
	11430 Total:	105.70							
	Choice1 Health Care Servi	105.70							
Clark Baird Smith LLP									
14844									
18187	3/31/2024	6,006.25	0.00	04/12/2024				No	0
100-003-561001 Legal Services - Police Labor					PEKIN-PBPA/GENERAL/TEAMSTERS - MAR 2024				
	18187 Total:	6,006.25							
	Clark Baird Smith LLP Tot	6,006.25							
Cloudpoint Geospatial									
11838									
INV3859	3/28/2024	7,850.00	0.00	04/12/2024				No	0
100-007-569000 Other Contractual Service					GIS SERVICES - MAR 2024				
	INV3859 Total:	7,850.00							
	Cloudpoint Geospatial Tota	7,850.00							
Coe Equipment Inc									
10123									
84158	4/1/2024	174.70	0.00	04/12/2024				No	0

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
231-030-534000 Automotive Expense					HOSE END - MALE SWAGE SHARK FOR VACTOR				
84158 Total:		174.70							
Coe Equipment Inc Total:		174.70							
Comcast Cable Communications, Inc 14903									
*** 203130447667	3/4/2024	210.85	0.00	04/12/2024				No	0
100-032-569000 Other Contractual Service					TRAFFIC CAMS - 03/11-04/10/24				
203130447667 Total:		210.85							
Comcast Cable Communic		210.85							
Confidential Security Corp 10891									
106945	3/31/2024	45.90	0.00	04/12/2024				No	0
100-761-569000 Other Contractual Service					MONTHLY DISPOSAL OF CONFIDENTIAL MAT'LS				
106945 Total:		45.90							
Confidential Security Corp		45.90							
Conway Shield 14482									
517147	1/18/2024	513.15	0.00	04/12/2024				No	0
100-034-529000 Equipment					GEAR LOCKER FOR MUSEUM				
517147 Total:		513.15							
518252	2/12/2024	335.00	0.00	04/12/2024				No	0
100-034-529000 Equipment					GLOVES				
518252 Total:		335.00							
518378	2/14/2024	1,400.00	0.00	04/12/2024				No	0

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
100-034-529000 Equipment				HELMETS					
518378 Total:		1,400.00							
518738	2/21/2024	21.25	0.00	04/12/2024				No	0
100-034-529000 Equipment				NAMETAG					
518738 Total:		21.25							
519051	2/29/2024	152.00	0.00	04/12/2024				No	0
100-034-529000 Equipment				FLASHLIGHTS					
519051 Total:		152.00							
519959	3/21/2024	336.50	0.00	04/12/2024				No	0
100-034-529000 Equipment				HELMET SHIELDS					
519959 Total:		336.50							
520134	3/26/2024	393.51	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				HARD PLASTICS FOR ENG 4					
520134 Total:		393.51							
520224	3/29/2024	164.50	0.00	04/12/2024				No	0
100-034-529000 Equipment				SCBA AIR MASK BAG					
520224 Total:		164.50							
Conway Shield Total:		3,315.91							
Core & Main LP									
10255									
U342657	3/21/2024	5,999.00	0.00	04/12/2024				No	0
231-031-529000 Equipment				NEW VALVE EXERCISER					
U342657 Total:		5,999.00							
Core & Main LP Total:		5,999.00							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
Cox, Gerald W. 15158									
02212024	2/21/2024	600.00	0.00	04/12/2024				No	0
445-041-534900 Sidewalk Renovations				ADA REQUEST - 2105 MARIGOLD					
02212024 Total:		600.00							
Cox, Gerald W. Total:		600.00							
Decision Optimization Technology (DOT) 14658									
59	3/20/2024	8,000.00	0.00	04/12/2024				No	0
100-007-569000 Other Contractual Service				DOT SOFTWARE RENEWAL - 05/01/24-04/30/25					
59 Total:		8,000.00							
Decision Optimization Tec		8,000.00							
Dinges Fire Company 12462									
52202	4/5/2024	195.95	0.00	04/12/2024				No	0
100-034-534200 Buildings & Grounds Maintenanc				AMKUS REPAIR					
52202 Total:		195.95							
Dinges Fire Company Tota		195.95							
Eaton, Joshua 14660									
EXPENSE-0424A	4/5/2024	224.00	0.00	04/12/2024				No	0
100-761-519000 Training And Education				LEDA OMAHA TRNG 04/21-04/24/24 - MEALS					
EXPENSE-0424A Total:		224.00							
EXPENSE-0424B	4/5/2024	381.23	0.00	04/12/2024				No	0
100-761-519000 Training And Education				LEDA OMAHA TRNG 04/21-04/24/24 - LODGING					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
	EXPENSE-0424B Total:	381.23							
	Eaton, Joshua Total:	605.23							
Enterprise FM Trust 14302									
*** FBN4984598	3/5/2024	1,210.63	0.00	04/12/2024				No	0
100-032-524000 Lease/rental Of Equipment				F550 PMT					
*** FBN4984598	3/5/2024	27,322.20	0.00	04/12/2024				No	0
100-761-524000 Lease/rental Of Equipment				POLICE SQUADS					
	FBN4984598 Total:	28,532.83							
*** FBN5008086	4/3/2024	1,210.63	0.00	04/12/2024				No	0
100-032-524000 Lease/rental Of Equipment				F550 PMT					
*** FBN5008086	4/3/2024	94,892.57	0.00	04/12/2024				No	0
100-761-524000 Lease/rental Of Equipment				POLICE SQUADS					
	FBN5008086 Total:	96,103.20							
	Enterprise FM Trust Total:	124,636.03							
Esker, Josie 13323									
EXPENSE-1223A	4/4/2024	157.74	0.00	04/12/2024				No	0
695-095-516700 Wellness Program				GYM REIMBURSEMENT - ALL 2023					
	EXPENSE-1223A Total:	157.74							
EXPENSE-1223B	4/4/2024	157.74	0.00	04/12/2024				No	0
695-095-516700 Wellness Program				GYM REIMBURSEMENT - ALL 2023 - DEPENDENT					
	EXPENSE-1223B Total:	157.74							
	Esker, Josie Total:	315.48							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
Farnsworth Group Inc									
10180									
250126	3/15/2024	3,300.00	0.00	04/12/2024				No	0
100-001-569000 Other Contractual Service				ENVIRONMENTAL ASSESSMENT/301 BROADWAY					
250126 Total:		3,300.00							
250165	3/18/2024	10,667.50	0.00	04/12/2024				No	0
231-000-150700 Sewers				PHASE 3B & 3C - CSO PROJECT ENGINEERING					
250165 Total:		10,667.50							
Farnsworth Group Inc Tota		13,967.50							
Fastenal Company									
10181									
ILPRA463290	3/29/2024	26.85	0.00	04/12/2024				No	0
699-069-522400 General Supplies				NUTS/BOLTS - VMF DEPT					
ILPRA463290 Total:		26.85							
Fastenal Company Total:		26.85							
Fitzgerald, Justin									
10197									
EXPENSE-0424	4/5/2024	30.00	0.00	04/12/2024				No	0
100-763-519000 Training And Education				IL DRUG ENFORC. OFFICER CONF 04/17-04/19/24					
EXPENSE-0424 Total:		30.00							
Fitzgerald, Justin Total:		30.00							
Gatehouse Media Illinois Holdings Inc									
14577									
*** 6261967	2/29/2024	395.00	0.00	04/12/2024				No	0
100-990-590900 Police And Fire Commission				PPD - DIGITAL - RECRUITMENT 02/09/24					
*** 6261967	2/29/2024	240.00	0.00	04/12/2024				No	0

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Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number					Description	Reference			
100-990-590900 Police And Fire Commission					PPD - PRINT - PEKIN DAILY TIMES - 02/09-02/15/24				
*** 6261967	2/29/2024	80.00	0.00	04/12/2024				No	0
100-990-590900 Police And Fire Commission					PPD - PRINT - PJSTAR - 02/09-02/15/24				
*** 6261967	2/29/2024	27.00	0.00	04/12/2024				No	0
100-004-551000 Printing And Publications					220 MARGARET ST PARKING/ALLEY RECONST.				
*** 6261967	2/29/2024	24.00	0.00	04/12/2024				No	0
100-004-551000 Printing And Publications					2024 SIDEWALK IMPROVEMENTS RFP				
*** 6261967	2/29/2024	113.00	0.00	04/12/2024				No	0
261-323-551000 Printing & Publication					CDGB 2020-2024 CONSOLIDATED PLAN				
*** 6261967	2/29/2024	34.00	0.00	04/12/2024				No	0
100-004-551000 Printing And Publications					CITY PROPERTY - RT 98 FOR PURCHASE				
*** 6261967	2/29/2024	35.00	0.00	04/12/2024				No	0
100-004-551000 Printing And Publications					PUBLIC NOTICE - ELIZABETH ST VACATION				
*** 6261967	2/29/2024	25.00	0.00	04/12/2024				No	0
100-004-551000 Printing And Publications					DANGEROUS AND/OR VACANT BLDG SERVICES				
*** 6261967	2/29/2024	24.00	0.00	04/12/2024				No	0
100-004-551000 Printing And Publications					2024 MOWING - CODE ENFORCEMENT				
*** 6261967	2/29/2024	16.00	0.00	04/12/2024				No	0
100-004-551000 Printing And Publications					MOWING SERVICES - CITY PROPERTY				
*** 6261967	2/29/2024	27.00	0.00	04/12/2024				No	0
100-004-551000 Printing And Publications					300 MARGARET ST PARKING LOT				
*** 6261967	2/29/2024	100.00	0.00	04/12/2024				No	0
100-793-551000 Printing And Publications					PUBLIC NOTICE - MARCH ZBA				
*** 6261967	2/29/2024	28.00	0.00	04/12/2024				No	0
100-004-551000 Printing And Publications					LEGAL NOTICE - NFPA 1582 COMPLIANT RFP				
6261967 Total:		1,168.00							
Gatehouse Media Illinois H		1,168.00							
Gem City Tire									
12935									
44418	3/29/2024	86.50	0.00	04/12/2024				No	0
223-023-534000 Automotive Expense					TIRES - SOLID WASTE				
44418 Total:		86.50							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
Gem City Tire Total:		86.50							
GFL Environmental Services USA, Inc									
14249									
P60005203030	3/31/2024	3,616.19	0.00	04/12/2024				No	0
231-031-561300 Testing Fees And Expenses				SLUDGE DISPOSAL - 03/19-03/29/24					
P60005203030 Total:		3,616.19							
P90005200253	3/31/2024	2,290.26	0.00	04/12/2024				No	0
223-026-566502 Recycling Expense				RC CURBSIDE FEES - 03/18-03/29/24					
P90005200253 Total:		2,290.26							
GFL Environmental Servic		5,906.45							
Grainger									
10235									
9066993578	3/27/2024	165.08	0.00	04/12/2024				No	0
100-034-529000 Equipment				CABLE WIRE					
9066993578 Total:		165.08							
Grainger Total:		165.08							
Grogan, Robert									
14883									
2036A	4/4/2024	407.36	0.00	04/12/2024				No	0
100-006-569000 Other Contractual Service				MILEAGE - 03/24-04/04/24					
2036A Total:		407.36							
2036B	4/4/2024	939.63	0.00	04/12/2024				No	0
100-006-569000 Other Contractual Service				LODGING - 03/24-04/04/24					
2036B Total:		939.63							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
Grogan, Robert Total:		1,346.99							
Gutters by Tunyuck									
14510									
871372	3/22/2024	647.50	0.00	04/12/2024				No	0
100-766-536100 Property Cleanup Fees				FULL BOARD-UP @ 1404 MATILDA ST					
871372 Total:		647.50							
871373	3/21/2024	595.00	0.00	04/12/2024				No	0
100-766-536100 Property Cleanup Fees				PARTIAL BOARD-UP @ 205 HERGET					
871373 Total:		595.00							
871374	3/25/2024	495.00	0.00	04/12/2024				No	0
100-766-536100 Property Cleanup Fees				BOARD-UP @ 1820 PARKFIELD					
871374 Total:		495.00							
Gutters by Tunyuck Total:		1,737.50							
Hanson Professional Services Inc									
10248									
ARIV1004970	3/27/2024	31,760.32	0.00	04/12/2024	DERB-ENGR	engr		No	0
445-041-561200 Engineering Fees				DERBY STREET DESIGN ENGINEERING					
ARIV1004970 Total:		31,760.32							
Hanson Professional Servic		31,760.32							
Harris Pest Control									
10251									
127681	4/4/2024	125.00	0.00	04/12/2024				No	0
100-068-566600 Pest Control				PEST CONTROL @ BUS/VMF/9-1-1					
127681 Total:		125.00							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
127682	4/4/2024	75.00	0.00	04/12/2024				No	0
100-068-566600 Pest Control				PEST CONTROL @ SOLID WASTE & STREET DEPT					
127682 Total:		75.00							
127683	4/4/2024	110.00	0.00	04/12/2024				No	0
100-068-566600 Pest Control				PEST CONTROL @ CITY HALL & FIRE HOUSES					
127683 Total:		110.00							
127684	4/4/2024	215.00	0.00	04/12/2024				No	0
100-068-566600 Pest Control				PEST CONTROL @ WW SUB & PRISON					
127684 Total:		215.00							
127685	4/4/2024	60.00	0.00	04/12/2024				No	0
100-068-566600 Pest Control				PEST CONTROL @ AIRPORT					
127685 Total:		60.00							
Harris Pest Control Total:		585.00							
Hawkins Inc									
12742									
6709893	3/14/2024	30.00	0.00	04/12/2024				No	0
231-031-522200 Chemical Supplies				CHLORINE EQUIPMENT					
6709893 Total:		30.00							
6712415	3/12/2024	11,464.00	0.00	04/12/2024				No	0
231-031-522200 Chemical Supplies				POLYMER FOR OPERATIONS					
6712415 Total:		11,464.00							
Hawkins Inc Total:		11,494.00							
Heart Technologies, Inc									
10258									
*** 10261858	3/31/2024	3,000.00	0.00	04/12/2024				No	0

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
100-009-569000 Other Contractual Service				IT MAINTENANCE WORK					
*** 10261858	3/31/2024	1,492.68	0.00	04/12/2024				No	0
100-761-569000 Other Contractual Service				IT MAINTENANCE WORK					
10261858 Total:		4,492.68							
Heart Technologies, Inc To		4,492.68							
Hutchinson, Courtney 10281									
EXPENSE-0424A	4/8/2024	310.50	0.00	04/12/2024				No	0
100-761-519000 Training And Education				AXON WEEK CONF 04/22-04/26/24 - MEALS					
EXPENSE-0424A Total:		310.50							
EXPENSE-0424B	4/8/2024	954.18	0.00	04/12/2024				No	0
100-761-519000 Training And Education				AXON WEEK CONF 04/22-04/26/24 - LODGING					
EXPENSE-0424B Total:		954.18							
Hutchinson, Courtney Tota		1,264.68							
IL Dept of Transportation 12276									
126080	4/1/2024	582,180.88	0.00	04/12/2024	COUR-8TOV	idotout		No	0
277-277-584009 General Public Improvements				COURT CONST BTW HILLTOP & STAD. RES 47-23/24					
126080 Total:		582,180.88							
IL Dept of Transportation T		582,180.88							
IL Oil Marketing Equipment 10313									
48800	3/31/2024	298.25	0.00	04/12/2024				No	0
699-069-534400 Equipment Repairs				REPAIRS TO FUEL PUMPS					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
48800 Total:		298.25							
IL Oil Marketing Equipme		298.25							
Interstate Batteries of Central IL									
10330									
40903709	3/27/2024	274.38	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				BATTERY - RESCUE 2					
40903709 Total:		274.38							
Interstate Batteries of Cent		274.38							
IWIRC									
10335									
400702	3/25/2024	74.00	0.00	04/12/2024				No	0
223-023-559000 Medical Expense/supplies				SOLID WASTE DRUG/ALC SCREEN 03/04/24 - SH					
400702 Total:		74.00							
IWIRC Total:		74.00							
Jacob & Klein, Ltd									
13448									
04032024A	4/3/2024	293.45	0.00	04/12/2024				No	0
270-270-560500 Consulting Services				CBD TIF - 1ST QTR 2024					
04032024A Total:		293.45							
04032024B	4/3/2024	439.15	0.00	04/12/2024				No	0
271-271-560500 Consulting Services				EAST RESIDENTIAL TIF - 1ST QTR 2024					
04032024B Total:		439.15							
04032024C	4/3/2024	439.15	0.00	04/12/2024				No	0
272-272-560500 Consulting Services				SOUTH RESIDENTIAL TIF - 1ST QTR 2024					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
	04032024C Total:	439.15							
04032024D	4/3/2024	205.40	0.00	04/12/2024				No	0
273-273-560500 Consulting Services				SOUTH IND TIF - 1ST QTR 2024					
	04032024D Total:	205.40							
04032024E	4/3/2024	486.20	0.00	04/12/2024				No	0
275-275-560500 Consulting Services				COURT ST TIF - 1ST QTR 2024					
	04032024E Total:	486.20							
04032024F	4/3/2024	200.20	0.00	04/12/2024				No	0
277-277-560500 Consulting Services				BDD - 1ST QTR 2024					
	04032024F Total:	200.20							
	Jacob & Klein, Ltd Total:	2,063.55							
Kimball Midwest 11679									
102079949	4/3/2024	87.00	0.00	04/12/2024				No	0
100-032-522400 General Supplies				5/16 X 15.25 BLACK CABLE TIES FOR SHOP, 300 CT					
	102079949 Total:	87.00							
	Kimball Midwest Total:	87.00							
Kirk, Matt 12064									
EXPENSE-0424A	4/8/2024	103.25	0.00	04/12/2024				No	0
100-761-519000 Training And Education				TASER INSTRUCTOR 04/25-04/26/24 - MEALS					
	EXPENSE-0424A Total:	103.25							
EXPENSE-0424B	4/8/2024	120.77	0.00	04/12/2024				No	0
100-761-519000 Training And Education				TASER INSTRUCTOR 04/25-04/26/24 - LODGING					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
EXPENSE-0424B Total:		120.77							
Kirk, Matt Total:		224.02							
Koenig Body & Equipment									
10359									
JO50589	3/20/2024	154.00	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				CYLINDER REPAIR					
JO50589 Total:		154.00							
Koenig Body & Equipmen		154.00							
Kramer, Alina									
14995									
EXPENSE-0324A	4/4/2024	18.50	0.00	04/12/2024				No	0
695-095-516700 Wellness Program				GYM REIMBURSEMENT - MAR 2024					
EXPENSE-0324A Total:		18.50							
EXPENSE-0324B	4/4/2024	15.00	0.00	04/12/2024				No	0
695-095-516700 Wellness Program				GYM REIMBURSEMENT - MAR 2024 - DEPENDENT					
EXPENSE-0324B Total:		15.00							
Kramer, Alina Total:		33.50							
Laser Electric Inc									
10367									
SC27210	3/11/2024	301.00	0.00	04/12/2024				No	0
100-032-532000 Electronic Equipment Main				REPLACE LED @ PARKWAY & UAW - 01/05/24					
SC27210 Total:		301.00							
SC27231	3/11/2024	519.00	0.00	04/12/2024				No	0
100-032-532000 Electronic Equipment Main				REPAIR SIGNALS @ COURT & 8TH - 01/14/24					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
	SC27231 Total:	519.00							
SC27236	3/11/2024	257.00	0.00	04/12/2024				No	0
100-032-532000 Electronic Equipment Main				FIX LAMP @ VETERANS & COMMERCIAL - 01/11/24					
	SC27236 Total:	257.00							
	Laser Electric Inc Total:	1,077.00							
Linde Gas & Equipment Inc									
10518									
41844879	3/22/2024	327.53	0.00	04/12/2024				No	0
100-034-524000 Lease/rental Of Equipment				O2					
	41844879 Total:	327.53							
42093440	3/31/2024	301.38	0.00	04/12/2024				No	0
100-034-524000 Lease/rental Of Equipment				O2					
	42093440 Total:	301.38							
	Linde Gas & Equipment In	628.91							
MacQueen Emergency									
10230									
P02411	3/26/2024	1,483.02	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				TRUCK REPAIRS					
	P02411 Total:	1,483.02							
P02412	3/26/2024	2,504.64	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				TRUCK REPAIRS					
	P02412 Total:	2,504.64							
P02413	3/26/2024	455.10	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				GASKET REPLACEMENT KIT					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
	P02413 Total:	455.10							
P02430	4/5/2024	80.99	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				L-1 REPAIRS					
	P02430 Total:	80.99							
P02431	4/5/2024	15.69	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				ENG 2 REPAIRS					
	P02431 Total:	15.69							
W02222	4/5/2024	300.00	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				ENG 2 REPAIRS					
	W02222 Total:	300.00							
	MacQueen Emergency Tot	4,839.44							
Magnet Forensics LLC 13809									
SIN067397	4/4/2024	6,495.00	0.00	04/12/2024				No	0
100-763-519000 Training And Education				TRAINING ANNUAL PASS - 05/01/24-04/30/25					
	SIN067397 Total:	6,495.00							
	Magnet Forensics LLC Tot	6,495.00							
Martin Equipment of Illinois Inc 11110									
792183	4/1/2024	552.00	0.00	04/12/2024				No	0
100-032-524000 Lease/rental Of Equipment				FREIGHT FOR DELIVERY OF HITACHI - 03/30/24					
	792183 Total:	552.00							
	Martin Equipment of Illino	552.00							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
Mathis-Kelley 10401									
168154	4/3/2024	391.89	0.00	04/12/2024				No	0
100-032-529000 Equipment				PUSH BROOM/48" TECH LEVEL FOR CEMENT WORK					
	168154 Total:	391.89							
	Mathis-Kelley Total:	391.89							
Maurer-Stutz Inc 10402									
45166	2/29/2024	2,007.50	0.00	04/12/2024				No	0
100-001-569000 Other Contractual Service				PLAT FOR ELIZABETH ST & ALLEY VACATIONS					
	45166 Total:	2,007.50							
	Maurer-Stutz Inc Total:	2,007.50							
McKenzie, Dallas 10406									
EXPENSE-0324A	4/4/2024	23.50	0.00	04/12/2024				No	0
695-095-516700 Wellness Program				GYM REIMBURSEMENT - MAR 2024					
	EXPENSE-0324A Total:	23.50							
EXPENSE-0324B	4/4/2024	18.50	0.00	04/12/2024				No	0
695-095-516700 Wellness Program				GYM REIMBURSEMENT - MAR 2024 - DEPENDENT					
	EXPENSE-0324B Total:	18.50							
	McKenzie, Dallas Total:	42.00							
McKesson Medical-Surgical Gov't Solutions LLC 10431									
21877429	3/25/2024	1,985.96	0.00	04/12/2024				No	0
100-034-522500 Emergency Medical Supplie				EMERGENCY MEDICAL SUPPLIES					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
	21877429 Total:	1,985.96							
	McKesson Medical-Surgic	1,985.96							
Menards									
10414									
72894	3/22/2024	1,551.15	0.00	04/12/2024				No	0
100-766-536100	Property Cleanup Fees			PROPERTY CLEAN UP EQUIP - CODE ENFORCEM'T					
	72894 Total:	1,551.15							
73120	3/25/2024	33.68	0.00	04/12/2024				No	0
100-032-522400	General Supplies			5 GAL DIESEL CAN - STREET DEPT					
	73120 Total:	33.68							
73138	3/25/2024	51.27	0.00	04/12/2024				No	0
100-766-529000	Equipment			CODE ENFORCEMENT SUPPLIES					
	73138 Total:	51.27							
73378	3/28/2024	85.22	0.00	04/12/2024				No	0
100-034-522400	General Supplies			STATION SUPPLIES					
	73378 Total:	85.22							
73468	3/29/2024	194.19	0.00	04/12/2024				No	0
100-034-522400	General Supplies			VEHICLE WASH/DETAIL SUPPLIES					
	73468 Total:	194.19							
73796	4/3/2024	82.50	0.00	04/12/2024				No	0
100-068-584009	General Public Improvements			BASE COVE FOR CITY HALL					
	73796 Total:	82.50							
73805	4/3/2024	13.51	0.00	04/12/2024				No	0
100-032-523000	Traffic/Street Signs & Marking			FENDER WASHER/BOLTS FOR SIGN SHOP					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
73805 Total:		13.51							
73897	4/4/2024	15.96	0.00	04/12/2024				No	0
100-068-584009 General Public Improvements				WALL BASE GLUE FOR CITY HALL					
73897 Total:		15.96							
73975	4/5/2024	87.94	0.00	04/12/2024				No	0
100-068-584009 General Public Improvements				PLUMBING FOR WASHER/DRYER					
73975 Total:		87.94							
Menards Total:		2,115.42							
Mercury Medical									
14716									
INV219618	3/25/2024	595.41	0.00	04/12/2024				No	0
100-034-522500 Emergency Medical Supplie				EMERGENCY MEDICAL SUPPLIES					
INV219618 Total:		595.41							
Mercury Medical Total:		595.41							
Midwest Transit Equipment									
10421									
X10107037402	4/1/2024	80.00	0.00	04/12/2024				No	0
501-501-534000 Automotive Expense				WASHER - BUS #1213					
X10107037402 Total:		80.00							
X10107067401	4/2/2024	74.85	0.00	04/12/2024				No	0
501-501-534000 Automotive Expense				MICROPHONE - BUS #1949					
X10107067401 Total:		74.85							
X10107067501	4/2/2024	94.02	0.00	04/12/2024				No	0
501-501-534000 Automotive Expense				STEP RISER - BUS #1915					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
X10107067501 Total:		94.02							
X10107068502	4/3/2024	267.16	0.00	04/12/2024				No	0
501-501-534000 Automotive Expense				LIGHT - BUS #1927					
X10107068502 Total:		267.16							
X10107070501	4/3/2024	19.15	0.00	04/12/2024				No	0
501-501-534000 Automotive Expense				SENSOR - BUS #1908					
X10107070501 Total:		19.15							
Midwest Transit Equipmen		535.18							
Millennia Professional Services									
11801									
ME20022027	3/13/2024	19,575.50	0.00	04/12/2024	COUR-8TOV	engr		No	0
445-041-561200 Engineering Fees				COURT ST CONST. ENGINEERING - THRU 02/24/24					
ME20022027 Total:		19,575.50							
Millennia Professional Ser		19,575.50							
Mitchell, Cory									
12131									
*** EXPENSE-042	4/8/2024	30.00	0.00	04/12/2024				No	0
100-763-519000 Training And Education				IL DRUG ENFORC. OFFICER CONF 04/17-04/19/24					
EXPENSE-0424 Total:		30.00							
Mitchell, Cory Total:		30.00							
Neaveill, Tyler									
13154									
*** EXPENSE-042	4/8/2024	224.00	0.00	04/12/2024				No	0
100-761-519000 Training And Education				LEDA OMAHA TRNG 04/21-04/24/24 - MEALS					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
*** EXPENSE-042	4/8/2024	381.23	0.00	04/12/2024				No	0
100-761-519000 Training And Education				LEDA OMAHA TRNG 04/21-04/24/24 - LODGING					
EXPENSE-0424 Total:		605.23							
Neaveill, Tyler Total:		605.23							
Notary Express Inc									
10452									
04052024	4/5/2024	64.99	0.00	04/12/2024				No	0
100-761-557200 License And Inspection Fees				NOTARY PUBLIC RENEWAL - RH					
04052024 Total:		64.99							
Notary Express Inc Total:		64.99							
Otto Baum Co Inc									
10462									
12	4/4/2024	316,040.15	0.00	04/12/2024	DERB-CNST	contr		No	0
277-277-584009 General Public Improvements				DERBY ST CONST. 8TH TO 14TH - 12/16/23-03/28/24					
12 Total:		316,040.15							
Otto Baum Co Inc Total:		316,040.15							
Parkside Fitness									
10470									
04012024	4/1/2024	660.13	0.00	04/12/2024				No	0
695-095-516700 Wellness Program				PARKSIDE MEMBERSHIPS - APR 2024					
04012024 Total:		660.13							
Parkside Fitness Total:		660.13							

Patrick N. Meyer & Associates, Inc

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
10471									
20241356	4/3/2024	3,000.00	0.00	04/12/2024				No	0
100-007-569000 Other Contractual Service				MS4 PERMIT ADMIN. - MAR 2023 THRU MAR 2024					
20241356 Total:		3,000.00							
Patrick N. Meyer & Associ		3,000.00							
Pekin Animal Hospital									
10477									
03012024	3/1/2024	60.99	0.00	04/12/2024				No	0
100-761-592602 Canine Unit				FLEA & TICK MEDS FOR K9 DRACO					
03012024 Total:		60.99							
Pekin Animal Hospital Tot		60.99							
Pekin Park District									
10487									
04012024	4/1/2024	124.99	0.00	04/12/2024				No	0
100-068-550100 Utilities				RIVERFRONT UTILITIES - 03/01-03/29/24					
04012024 Total:		124.99							
Pekin Park District Total:		124.99							
Pekin Police Premium Trust									
11610									
72	3/18/2024	132,216.73	0.00	04/12/2024				No	0
695-095-517509 Police BCBS Health Ins Plan				APR 2024 POLICE INSURANCE PREMIUMS BCBS					
72 Total:		132,216.73							
Pekin Police Premium Trus		132,216.73							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
Pekin Public Library 10488									
04042024	4/4/2024	16,793.25	0.00	04/12/2024				No	0
100-990-496200 PPRT Tax Clearing Acct				LIBRARY PPRT DISBURSEMENT - APR 2024					
	04042024 Total:	16,793.25							
	Pekin Public Library Total:	16,793.25							
Rabe, Justin 10530									
EXPENSE-0424A	4/8/2024	103.25	0.00	04/12/2024				No	0
100-761-519000 Training And Education				TASER INSTRUCTOR 04/25-04/26/24 - MEALS					
	EXPENSE-0424A Total:	103.25							
EXPENSE-0424B	4/8/2024	120.77	0.00	04/12/2024				No	0
100-761-519000 Training And Education				TASER INSTRUCTOR 04/25-04/26/24 - LODGING					
	EXPENSE-0424B Total:	120.77							
EXPENSE-0424C	4/8/2024	224.00	0.00	04/12/2024				No	0
100-761-519000 Training And Education				LEDA OMAHA TRNG 04/21-04/24/24 - MEALS					
	EXPENSE-0424C Total:	224.00							
EXPENSE-0424D	4/8/2024	381.23	0.00	04/12/2024				No	0
100-761-519000 Training And Education				LEDA OMAHA TRNG 04/21-04/24/24 - LODGING					
	EXPENSE-0424D Total:	381.23							
	Rabe, Justin Total:	829.25							
Ragan Communications Inc 10533									
30176	3/28/2024	763.88	0.00	04/12/2024				No	0
100-034-555000 Radio Expense				MONTHLY SMR CHARGES - 03/31-04/29/24					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
	30176 Total:	763.88							
30178	3/28/2024	1,248.65	0.00	04/12/2024				No	0
100-761-555000	Radio Expense			MONTHLY SMR CHARGES - 03/31-04/29/24					
	30178 Total:	1,248.65							
	Ragan Communications In	2,012.53							
Ray Dennison Chevrolet 10154									
324077CVW	3/19/2024	531.38	0.00	04/12/2024				No	0
100-761-534000	Automotive Expense			FAN - SQUAD #5181					
	324077CVW Total:	531.38							
	Ray Dennison Chevrolet To	531.38							
Ray O'Herron Co Inc 10539									
3194457	3/22/2024	1,798.90	0.00	04/12/2024	FED1-FORT	expense		No	0
100-763-592901	Federal Forfeiture Expense			DRESS UNIFORMS					
	3194457 Total:	1,798.90							
	Ray O'Herron Co Inc Total	1,798.90							
Schwartz Electric 10579									
18478	3/14/2024	1,550.00	0.00	04/12/2024				No	0
100-032-532000	Electronic Equipment Main			REMOVE SIGN AND FLAG POLE @ COURT & 5TH					
	18478 Total:	1,550.00							
18514	3/29/2024	3,097.50	0.00	04/12/2024				No	0
100-032-569000	Other Contractual Service			JULIE LOCATES - 03/13-03/26/24					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
18514 Total:		3,097.50							
18517	3/29/2024	3,168.00	0.00	04/12/2024				No	0
100-032-529000 Equipment					LED CORN LAMPS FOR STREET LIGHTS, 48 CT				
18517 Total:		3,168.00							
Schwartz Electric Total:		7,815.50							
SecureIt Tactical, Inc									
15159									
S331898	3/26/2024	1,473.27	0.00	04/12/2024				No	0
100-761-592700 Shooting Range					RANGE EQUIPMENT				
S331898 Total:		1,473.27							
SecureIt Tactical, Inc Total		1,473.27							
Station 2 Custom Creations LLC									
15160									
240032	3/22/2024	475.00	0.00	04/12/2024				No	0
100-761-522400 General Supplies					TC3-TCW				
240032 Total:		475.00							
Station 2 Custom Creation		475.00							
Stryker Sales, LLC									
13886									
9205530959	2/3/2024	4,481.38	0.00	04/12/2024				No	0
261-320-511400 Fire Prevent Activity/Delivery					CARDIAC MONITOR SUPPLIES - CDBG CV FUNDS				
9205530959 Total:		4,481.38							
Stryker Sales, LLC Total:		4,481.38							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
Tazewell County Recorder 11172									
04082024A	4/8/2024	276.00	0.00	04/12/2024				No	0
231-029-593300 Lien Filing Fee				FILE ZERO/RELEASE 4 WW LIENS					
04082024A Total:		276.00							
04082024B	4/8/2024	69.00	0.00	04/12/2024				No	0
100-766-593300 Lien Filing & Release Fees				FILE ZERO/RELEASE 1 LIEN					
04082024B Total:		69.00							
Tazewell County Recorder		345.00							
Terra Engineering Ltd 14512									
22406	3/18/2024	34,530.67	0.00	04/12/2024				No	0
445-041-561200 Engineering Fees				B'WAY ST ADA RAMP DESIGN ENG. THRU 02/24/24					
22406 Total:		34,530.67							
Terra Engineering Ltd Tota		34,530.67							
The Economic Development Group, LTD 13450									
04032024A	4/3/2024	1,173.80	0.00	04/12/2024				No	0
270-270-560500 Consulting Services				CBD TIF - 1ST QTR 2024					
04032024A Total:		1,173.80							
04032024B	4/3/2024	1,756.60	0.00	04/12/2024				No	0
271-271-560500 Consulting Services				EAST RESIDENTIAL TIF - 1ST QTR 2024					
04032024B Total:		1,756.60							
04032024C	4/3/2024	1,756.60	0.00	04/12/2024				No	0
272-272-560500 Consulting Services				SOUTH RESIDENTIAL TIF - 1ST QTR 2024					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
	04032024C Total:	1,756.60							
04032024D	4/3/2024	821.60	0.00	04/12/2024				No	0
273-273-560500 Consulting Services				SOUTH IND TIF - 1ST QTR 2024					
	04032024D Total:	821.60							
04032024E	4/3/2024	1,944.80	0.00	04/12/2024				No	0
275-275-560500 Consulting Services				COURT ST TIF - 1ST QTR 2024					
	04032024E Total:	1,944.80							
04032024F	4/3/2024	800.80	0.00	04/12/2024				No	0
277-277-560500 Consulting Services				BDD - 1ST QTR 2024					
	04032024F Total:	800.80							
	The Economic Developme	8,254.20							
The Greenhouse Flower Shoppe 11022									
4653	3/14/2024	94.99	0.00	04/12/2024				No	0
100-761-599000 Miscellaneous				FLOWERS FOR FUNERAL					
	4653 Total:	94.99							
	The Greenhouse Flower Sh	94.99							
Thompson, Andrew 10649									
EXPENSE-0424A	4/8/2024	448.50	0.00	04/12/2024				No	0
100-763-519000 Training And Education				IACIS DEVICE FORENSICS 04/21-04/27/24 - MEALS					
	EXPENSE-0424A Total:	448.50							
EXPENSE-0424B	4/8/2024	840.00	0.00	04/12/2024				No	0
100-763-519000 Training And Education				IACIS DEVICE FORENSICS 04/21-04/27/24 - LODGING					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description	Reference				
EXPENSE-0424B Total:		840.00							
EXPENSE-0424C	4/8/2024	445.16	0.00	04/12/2024				No	0
100-763-519000 Training And Education		IACIS DEVICE FORENSICS 04/21-04/27/24 - FLIGHTS							
EXPENSE-0424C Total:		445.16							
Thompson, Andrew Total:		1,733.66							
Thouvenot, Wade, and Moerchen Inc									
14645									
84324	3/26/2024	67,200.00	0.00	04/12/2024				No	0
445-041-561200 Engineering Fees		ADA TRANSITION PLAN DEV. THRU 03/16/24							
84324 Total:		67,200.00							
Thouvenot, Wade, and Mo		67,200.00							
Topless Tree Service									
14332									
2753	3/27/2024	999.00	0.00	04/12/2024				No	0
100-032-536000 Tree Removal / Replacemen		GRIND STUMP @ 400 HENRIETTA ST 03/27/24							
2753 Total:		999.00							
2754	3/31/2024	1,620.00	0.00	04/12/2024				No	0
100-032-536000 Tree Removal / Replacemen		GRIND STUMP @ 515 HENRIETTA ST 03/28/24							
2754 Total:		1,620.00							
2755	3/31/2024	865.00	0.00	04/12/2024				No	0
100-032-536000 Tree Removal / Replacemen		GRIND STUMP @ 1200 BROADWAY ST 03/28/24							
2755 Total:		865.00							
2756	3/31/2024	756.00	0.00	04/12/2024				No	0
100-032-536000 Tree Removal / Replacemen		GRIND STUMP @ 6TH & CHARLES ST 03/28/24							

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
	2756 Total:	756.00							
2757	3/31/2024	3,025.00	0.00	04/12/2024				No	0
100-032-536000	Tree Removal / Replacemen			GRIND STUMPS @ 1102/1114/1116/1118 B'WAY					
	2757 Total:	3,025.00							
2758	3/31/2024	2,390.00	0.00	04/12/2024				No	0
100-032-536000	Tree Removal / Replacemen			LIMB REMOVAL @ SUNDIAL/PARK AVE 03/28/24					
	2758 Total:	2,390.00							
2761	4/3/2024	4,800.00	0.00	04/12/2024				No	0
100-032-536000	Tree Removal / Replacemen			EMERGENCY TREE REMOVAL @ 1222 S 4TH ST					
	2761 Total:	4,800.00							
2762	4/4/2024	2,375.00	0.00	04/12/2024				No	0
100-032-536000	Tree Removal / Replacemen			REMOVE TREE @ 646 S 11TH ST 04/04/24					
	2762 Total:	2,375.00							
	Topless Tree Service Total:	16,830.00							
Tractor Supply Co									
10659									
695528	3/28/2024	41.98	0.00	04/12/2024				No	0
100-068-554300	Uniforms And Tools			SAFETY VESTS					
	695528 Total:	41.98							
696833	4/4/2024	50.97	0.00	04/12/2024				No	0
223-023-534000	Automotive Expense			WHEEL SPINNERS FOR GARBAGE TRUCKS					
	696833 Total:	50.97							
	Tractor Supply Co Total:	92.95							

Truck Centers Inc

AP-To Be Paid Proof List (04/12/2024 - 10:40 AM)

*** means this invoice number is a duplicate.

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Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
10664									
F14042821202	3/28/2024	102.63	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				FILTER - ENGINE 3					
F14042821202 Total:		102.63							
F14042877101	4/3/2024	165.56	0.00	04/12/2024				No	0
223-023-534000 Automotive Expense				FUEL FILTERS - SOLID WASTE					
F14042877101 Total:		165.56							
F14042879801	4/3/2024	124.36	0.00	04/12/2024				No	0
100-034-534000 Automotive Expense				SPEED SENSOR ASSEMBLY - ENGINE 4					
F14042879801 Total:		124.36							
R14008171501	4/3/2024	229.50	0.00	04/12/2024				No	0
501-501-534000 Automotive Expense				LABOR/REPAIRS - BUS #1905					
R14008171501 Total:		229.50							
Truck Centers Inc Total:		622.05							
Uftring Auto Group									
10829									
168713FOW	3/27/2024	341.08	0.00	04/12/2024				No	0
100-032-534000 Automotive Expense				CAB MOUNTS - #553 PICKUP					
168713FOW Total:		341.08							
256575CHW	3/11/2024	304.50	0.00	04/12/2024				No	0
100-761-534000 Automotive Expense				ADAPTER - SQUAD #5205					
256575CHW Total:		304.50							
Uftring Auto Group Total:		645.58							
Unland Insurance & Benefits									
10671									

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
160724	4/2/2024	2,917.50	0.00	04/12/2024				No	0
695-095-569000 Other Contractual Service				BENEFIT/INS. CONSULTANT FEES - JAN/FEB 2024					
160724 Total:		2,917.50							
161180	4/4/2024	1,460.00	0.00	04/12/2024				No	0
695-095-569000 Other Contractual Service				BENEFIT/INS. CONSULTANT FEES - MAR 2024					
161180 Total:		1,460.00							
Unland Insurance & Benef		4,377.50							
US Bank Equipment Finance									
13878									
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
100-001-524000 Lease/rental Of Equipment				COPIER LEASE/ADMINISTRATION - APR 2024					
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
501-501-524000 Lease/rental Of Equipment				COPIER LEASE/BUS DEPT - APR 2024					
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
100-005-524000 Lease/rental Of Equipment				COPIER LEASE/HUMAN RESOURCES - APR 2024					
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
100-034-524000 Lease/rental Of Equipment				COPIER LEASE/FIRE DEPT - APR 2024					
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
100-763-524000 Lease/rental Of Equipment				COPIER LEASE/PPD EVIDENCE - APR 2024					
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
100-763-524000 Lease/rental Of Equipment				COPIER LEASE/PPD ADMIN - APR 2024					
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
100-763-524000 Lease/rental Of Equipment				COPIER LEASE/IT OFFICE - APR 2024					
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
100-763-524000 Lease/rental Of Equipment				COPIER LEASE/PPD INVESTIGATIONS - APR 2024					
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
100-763-524000 Lease/rental Of Equipment				COPIER LEASE/PPD LIEUTENANT - APR 2024					
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
100-761-524000 Lease/rental Of Equipment				COPIER LEASE/PPD PATROL - APR 2024					
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
100-764-524000 Lease/rental Of Equipment				COPIER LEASE/PPD RECORDS - APR 2024					
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
100-763-524000 Lease/rental Of Equipment				COPIER LEASE/PPD SERGEANT - APR 2024					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description			Reference		
*** 526098033	4/2/2024	135.54	0.00	04/12/2024				No	0
100-032-524000 Lease/rental Of Equipment				COPIER LEASE/STREET DEPT - APR 2024					
*** 526098033	4/2/2024	135.56	0.00	04/12/2024				No	0
100-990-524000 Lease/rental Of Equipment				COPIER LEASE/WORK ROOM - APR 2024					
526098033 Total:		1,897.58							
US Bank Equipment Finan		1,897.58							
Wiegand, Janel E. 15157 2401	3/20/2024	162.88	0.00	04/12/2024				No	0
100-007-569000 Other Contractual Service				ADA/SIGN LANG. INTERPRETER @ MTG 02/06/24					
2401 Total:		162.88							
Wiegand, Janel E. Total:		162.88							
Will Harms Co 10706 37670	3/8/2024	124.80	0.00	04/12/2024				No	0
100-032-520200 Office Supplies				OFFICE SUPPLIES					
37670 Total:		124.80							
Will Harms Co Total:		124.80							
Willmert, Brian 12133 EXPENSE-0324	4/8/2024	59.02	0.00	04/12/2024				No	0
100-763-556100 Gasoline/diesel Fuel				FUEL REIMBURSE/PUMPS WERE DOWN 03/27/24					
EXPENSE-0324 Total:		59.02							
EXPENSE-0424A	4/8/2024	310.50	0.00	04/12/2024				No	0
100-763-519000 Training And Education				AXON WEEK CONF 04/22-04/26/24 - MEALS					

Invoice Number	Invoice Date	Amount	Quantity	Payment Date	Task Label	Type	PO #	Close PO	Line #
Account Number				Description		Reference			
EXPENSE-0424A Total:		310.50							
EXPENSE-0424B	4/8/2024	954.18	0.00	04/12/2024				No	0
100-763-519000 Training And Education				AXON WEEK CONF 04/22-04/26/24 - LODGING					
EXPENSE-0424B Total:		954.18							
Willmert, Brian Total:		1,323.70							
Wolfe, Derek									
14702									
EXPENSE-0224	4/8/2024	98.30	0.00	04/12/2024				No	0
100-761-554300 Tools & Uniforms				UNIFORM PANT REIMBURSEMENT 02/27/24					
EXPENSE-0224 Total:		98.30							
Wolfe, Derek Total:		98.30							
Woodworkers Shop									
11802									
533732	12/15/2023	266.00	0.00	04/12/2024				No	0
100-032-534000 Automotive Expense				WHITE OAK SIDEBORD FOR TRUCK					
533732 Total:		266.00							
Woodworkers Shop Total:		266.00							
Report Total:		1,516,503.57							

**REQUEST FOR COUNCIL ACTION**

Agenda Date: May 13, 2024
To: Members of the City Council
From: Nic Maquet, Chief Building Official

AGENDA ITEM: Building Department Permit Report for April 2024

DESCRIPTION: Building Department Permit Report from April 1- April 30, 2024

FINANCIAL IMPACT:

REVIEWED BY:

Nic Maquet, Chief Building Official
 John Dossey, City Manager
 Sue McMillan, City Clerk

Approved - 5/4/2024
 Approved - 5/10/2024
 Final Approval - 5/10/2024

Cash Receipts

Receipt Listing by GL

User: nmaquet@ci.pekin.il.us

Printed: 05/04/2024 - 11:03 AM



City Of Pekin
111 S. Capitol
Pekin, IL 61554

Account Number			Account Description			Amount
Receipt No	Void	Cust No Name		Receipt Date	Line Item Description	
100-793-425500			Hvac Permit			
01213146	False	026009 Craig Stuber		4/10/2024	HVAC Permit - 24PEK-HV-0055 - 11	20.00
01213251	False	031148 Josh Wallick		4/11/2024	HVAC Permit - 24PEK-HV-0056 - 17	160.00
01213252	False	026448 David Ingram		4/11/2024	HVAC Permit - 24PEK-HV-0057 - 80	40.00
01213382	False	014294 Frank Shearer		4/12/2024	HVAC Permit - 24PEK-HV-0058 - 13	20.00
01214487	False	014294 Frank Shearer		4/19/2024	HVAC Permit - 24PEK-HV-0059 - 28	20.00
01215237	False	027600 Nicholas Mucciante		4/22/2024	HVAC Permit - 24PEK-HV-0060 - 22	40.00
01216737	False	026372 Brent Braker		4/25/2024	HVAC Permit - 24PEK-HV-0064 - 19	20.00
01217285	False	025881 Dave Bratcher		4/26/2024	HVAC Permit - 24PEK-HV-0063 - 33	80.00
01218387	False	014294 Frank Shearer		4/30/2024	HVAC Permit - 24PEK-HV-0067 - 21	20.00
01218388	False	014294 Frank Shearer		4/30/2024	HVAC Permit - 24PEK-HV-0065 - 15	40.00
01218389	False	014294 Frank Shearer		4/30/2024	HVAC Permit - 24PEK-HV-0066 - 32	272.00
					Fund: 100 Total:	732.00
100-793-425700			Special Use Permit			
01213482	False	013591 Doug Cobb		4/15/2024	Resi Variance Application - 804 Amar	85.00
01213484	False	015891 Manda Brown		4/15/2024	Resi Variance Application - 1435 Cali	85.00
01215256	False	028227 Central Life Church		4/23/2024	Special Use Permit	0.00
					Fund: 100 Total:	170.00
100-793-426000			Electrical Permits			
01210798	False	029307 Shawn Taylor		4/3/2024	Electrical Permit - 24PEK-EL-0049 -	43.00
01212602	False	034826 Bright Planet Solar		4/8/2024	Electrical Permit - 24PEK-SOL-0043	43.00
01212603	False	027549 Gregory Porter		4/8/2024	Electrical Permit - 24PEK-SOL-0045	43.00
01212942	False	034835 Howard McIntosh		4/9/2024	Electrical Permit - 24PEK-EL-0050 -	97.00
01213078	False	033332 Bruce Wood		4/10/2024	Electrical Permit - 24PEK-SOL-0046	43.00
01213083	False	033332 Bruce Wood		4/10/2024	Electrical Permit - 24PEK-SOL-0048	43.00
01213250	False	033191 The Solar Panther		4/11/2024	Electrical Permit - 24PEK-SOL-0047	43.00

Account Number			Account Description			Amount
Receipt No	Void	Cust No	Name	Receipt Date	Line Item Description	
01213253	False	033248	Greg Kierzkowski	4/11/2024	Electrical Permit - 24PEK-EL-0051 -	43.00
01213528	False	034815	Noah Mrowczynski	4/15/2024	Electrical Permit - 24PEK-EL-0052 -	43.00
01214058	False	034635	Paul Joyce	4/16/2024	Electrical Permit - 24PEK-SOL-0051	43.00
01214059	False	034635	Paul Joyce	4/16/2024	Electrical Permit - 24PEK-SOL-0052	43.00
01214061	False	034635	Paul Joyce	4/16/2024	Electrical Permit - 24PEK-SOL-0053	43.00
01214063	False	034635	Paul Joyce	4/16/2024	Electrical Permit - 24PEK-SOL-0054	43.00
01214075	False	029307	Shawn Taylor	4/16/2024	Electrical Permit - 24PEK-EL-0053 -	43.00
01214480	False	028140	Scott Sauder	4/19/2024	Electrical Permit - 24PEK-EL-0054 -	571.00
01214482	False	028140	Scott Sauder	4/19/2024	Electrical Permit - 24PEK-EL-0055 -	43.00
01215238	False	028140	Scott Sauder	4/22/2024	Electrical Permit - 24PEK-EL-0057 -	52.00
01215246	False	028140	Scott Sauder	4/22/2024	Electrical Permit - 24PEK-SOL-0056	43.00
01215354	False	033332	Bruce Wood	4/23/2024	Electrical Permit - 24PEK-SOL-0057	43.00
01215355	False	033332	Bruce Wood	4/23/2024	Electrical Permit - 24PEK-SOL-0061	43.00
01215472	False	028140	Scott Sauder	4/23/2024	Electrical Permit - 24PEK-EL-0059 -	43.00
01215547	False	033747	Thomas Bunn	4/24/2024	Electrical Permit - 24PEK-EL-0056 -	43.00
01216319	False	026281	Matt Stropes	4/24/2024	Electrical Permit - 24PEK-EL-0060 -	20.00
01216529	False	034826	Bright Planet Solar	4/25/2024	Electrical Permit - 24PEK-SOL-0044	43.00
01216678	False	034826	Bright Planet Solar	4/25/2024	Electrical Permit - 24PEK-SOL-0055	43.00
01216679	False	034826	Bright Planet Solar	4/25/2024	Electrical Permit - 24PEK-SOL-0060	43.00
01216681	False	034635	Paul Joyce	4/25/2024	Electrical Permit - 24PEK-SOL-0058	43.00
01216682	False	034635	Paul Joyce	4/25/2024	Electrical Permit - 24PEK-SOL-0059	43.00
01218382	False	026503	Ray Trapp	4/30/2024	Electrical Permit - 24PEK-EL-0063 -	43.00
01218383	False	025867	Allen Kaiser	4/30/2024	Electrical Permit - 24PEK-EL-0062 -	43.00
01218384	False	026016	Joshua Fryman	4/30/2024	Electrical Permit - 24PEK-EL-0061 -	43.00
01220036	False	018309	William & Janice Heaver	4/30/2024	Temp Pole Service Electrical Permit -	20.00
					Fund: 100	
					Total:	1,921.00
100-793-426400	Building And Rezoning Permit					
01209635	False	016663	Wilma Phillips	4/1/2024	Fence Permit - 24PEK-FE-0029 - 247	20.00
01209636	False	017643	Scott Smith	4/1/2024	Fence Permit - 24PEK-FE-0030 - 150	30.00
01210178	False	034863	Bill Theinert	4/2/2024	Demolition Permit - 24PEK-DEMO-0	40.00
01210259	False	032796	Tana Faichney	4/2/2024	Roofing Permit - 24PEK-BP-0122 - 70	80.00
01210531	False	032033	Peerless Fence	4/2/2024	Fence Permit - 24PEK-FE-0031 - 171	86.00
01210535	False	010197	Benassi Roofing	4/2/2024	Roofing Permit - 24PEK-BP-0121 - 10	80.00
01210776	False	031154	Jennifer Innis	4/3/2024	Fence Permit - 24PEK-FE-0033 - 112	30.00
01211133	False	034878	Center for Youth & Family Services	4/4/2024	Dumpster - 24PEK-BP-0125 - 246 C	100.00

Account Number			Account Description			Amount
Receipt No	Void	Cust No	Name	Receipt Date	Line Item Description	
01211141	False	033513	Emily Tracy	4/4/2024	Fence Permit - 24PEK-FE-0035 - 304	20.00
01211412	False	030774	Leonard Fitch	4/5/2024	Building Permit - 24PEK-BP-0130 - 1	20.00
01211413	False	028718	Bob Salter	4/5/2024	Curb Cut/Approach/Drive - 24PEK-B	20.00
01211922	False	034409	Robert Taylor	4/5/2024	Building Permit - 24PEK-BP-0132 - 1	20.00
01211947	False	006031	LaGondola Spaghetti House, Inc.	4/5/2024	Sign Permit - 24PEK-SN-0005 - 2996	20.00
01211988	False	014753	Jennifer Jones	4/5/2024	Fence Permit - 24PEK-FE-0036 - 111	20.00
01211994	False	033943	A & J Recreational Services	4/5/2024	Building Permit - 24PEK-BP-0128 - 1	80.00
01211996	False	034479	Strange Construction	4/5/2024	Curb Cut/Approach/Drive - 24PEK-B	20.00
01212002	False	010197	Benassi Roofing	4/5/2024	Roofing Permit - 24PEK-BP-0131 - 1	68.00
01212049	False	018309	William & Janice Heaver	4/8/2024	Building Permit - 24PEK-BP-0124-NI	2,943.00
01212524	False	013591	Doug Cobb	4/8/2024	Roofing Permit - 24PEK-BP-0133 - 1	80.00
01212600	False	034530	H2 Build and Remodel LLC	4/8/2024	Building Permit - 24PEK-BP-0099 - 1	40.00
01212601	False	034169	Anne Zuercher	4/8/2024	Building Permit - 24PEK-BP-0103 - 2	20.00
01212602	False	034826	Bright Planet Solar	4/8/2024	Solar Permit - 24PEK-SOL-0043 - 90	200.00
01212603	False	027549	Gregory Porter	4/8/2024	Solar Permit - 24PEK-SOL-0045 - 17	350.00
01212604	False	031640	Hohulin Residential Fence LLC	4/8/2024	Fence Permit - 24PEK-FE-0034 - 601	95.00
01212925	False	032640	Christopher Shirley	4/9/2024	Fence Permit - 24PEK-FE-0038 - 101	30.00
01212926	False	034026	Kathy Devine	4/9/2024	Fence Permit - 24PEK-FE-0039 - 211	20.00
01212939	False	034886	Borchers Decorating LLC	4/9/2024	Building Permit - 24PEK-BP-0108 - 2	194.00
01212940	False	025271	Benjamin & Alyse Clark	4/9/2024	Building Permit - 24PEK-BP-0127 - 1	80.00
01212941	False	014975	Justin Rodgers	4/9/2024	Fence Permit - 24PEK-FE-0037 - 606	50.00
01213050	False	014136	Walter Beach	4/11/2024	Roofing Permit - 24PEK-BP-0137 - 3	20.00
01213078	False	033332	Bruce Wood	4/10/2024	Solar Permit - 24PEK-SOL-0046 - 12	200.00
01213083	False	033332	Bruce Wood	4/10/2024	Solar Permit - 24PEK-SOL-0048 - 21	200.00
01213142	False	034897	Martin Stout	4/10/2024	Building Permit - 24PEK-BP-0135 - 1	116.00
01213158	False	034063	Cupples Construction	4/10/2024	Building Permit - 24PEK-BP-0136 - 1	74.00
01213199	False	029165	Austin Kleiber	4/11/2024	Building Permit - 24PEK-BP-0138 - 5	110.00
01213200	False	033567	Hall and Galleger Roofing	4/11/2024	Roofing Permit - 24PEK-BP-0139 - 1	68.00
01213250	False	033191	The Solar Panther	4/11/2024	Solar Permit - 24PEK-SOL-0047 - 10	350.00
01213259	False	026692	Andy Wagenbach	4/12/2024	Building Permit - 24PEK-BP-0028 - 2	4,117.00
01213358	False	010130	Restoration Builders of IL	4/12/2024	Building Permit - 24PEK-BP-0134 - 1	122.00
01213377	False	033580	High Point Fencing	4/12/2024	Building Permit - 24PEK-FE-0032 - 1	92.00
01213404	False	034527	River City Demolition	4/12/2024	Demolition Permit - 24PEK-DEMO-0	40.00
01213506	False	021800	Rick Simpson	4/15/2024	Building Permit - 24PEK-BP-0140 - 1	20.00
01213841	False	005712	Steven & Kylie Lockhart	4/17/2024	Dumpster - 208 Herget - New rental	25.00

Account Number			Account Description			Amount
Receipt No	Void	Cust No	Name	Receipt Date	Line Item Description	
01213883	False	030775	Riverside Exteriors, Inc	4/17/2024	Fence Permit - 24PEK-FE-0044 - 141:	20.00
01214027	False	033851	FIVE B'S LIQUIDATION AND CRA	4/17/2024	Fence Permit - 24PEK-FE-43 - 602 N	20.00
01214058	False	034635	Paul Joyce	4/16/2024	Solar Permit - 24PEK-SOL-0051 - 11:	200.00
01214059	False	034635	Paul Joyce	4/16/2024	Solar Permit - 24PEK-SOL-0052 - 110	350.00
01214061	False	034635	Paul Joyce	4/16/2024	Solar Permit - 24PEK-SOL-0053 - 170	350.00
01214063	False	034635	Paul Joyce	4/16/2024	Solar Permit - 24PEK-SOL-0054 - 100	200.00
01214065	False	010197	Benassi Roofing	4/16/2024	Roofing Permit - 24PEK-BP-0141 - 1:	74.00
01214074	False	031047	Dana & Tammara Markham	4/16/2024	Fence Permit - 24PEK-FE-0042 - 210:	20.00
01214077	False	010197	Benassi Roofing	4/16/2024	Roofing Permit - 24PEK-BP-0143 - 3	74.00
01214197	False	031765	Crossroads Concrete Inc	4/18/2024	Building Permit - 24PEK-BP-0142 - 1	71.00
01214201	False	028607	Shirley Bauman	4/18/2024	Fence Permit - 24PEK-FE-0045 - 119	20.00
01214210	False	034393	ReVamp Fence & Deck	4/18/2024	Fence Permit - 24PEK-FE-0040 - 319	107.00
01214297	False	031765	Crossroads Concrete Inc	4/19/2024	Building Permit - 24PEK-BP-0152 - 1	50.00
01214303	False	031395	Lynnette Horner	4/19/2024	Building Permit - 24PEK-BP-0149 - 1	68.00
01214304	False	001771	Gregory Wheeler	4/19/2024	Fence Permit - 24PEK-FE-0046 - 931	20.00
01214305	False	005358	Eric Rasmussen	4/19/2024	Demolition Permit - 24PEK-DEMO-0	40.00
01214305	False	005358	Eric Rasmussen	4/19/2024	Dumpster - 24PEK-BP-0147 - 1411 S	75.00
01214313	False	031791	Gwendolyn Montford	4/19/2024	Roofing Permit - 24PEK-BP-0153 - 10	30.00
01214461	False	010197	Benassi Roofing	4/19/2024	Roofing Permit - 24PEK-BP-0148 - 40	77.00
01214709	False	034403	Paul Helmig	4/22/2024	Fence Permit - 24PEK-FE-0051 - 321	20.00
01214712	False	034923	Rich Mibbs	4/22/2024	Building Permit - 24PEK-BP-0157 - 1	80.00
01214725	False	032749	Kaela Smith	4/22/2024	Pool Permit - 24PEK-BP-0156 - 205 F	20.00
01215239	False	031092	Ekhoff Builders Inc	4/22/2024	Building Permit - 24PEK-BP-0150 - 1	74.00
01215241	False	031092	Ekhoff Builders Inc	4/22/2024	Fence Permit - 24PEK-FE-0047 - 110	86.00
01215243	False	031640	Hohulin Residential Fence LLC	4/22/2024	Fence Permit - 24PEK-FE-0049 - 1010	86.00
01215244	False	005089	Justin Robinson	4/22/2024	Fence Permit - 24PEK-FE-0048 - 122'	68.00
01215245	False	000000		4/22/2024	Building Permit - 24PEK-BP-0151 - 1	50.00
01215246	False	028140	Scott Sauder	4/22/2024	Solar Permit - 24PEK-SOL-0056 - 16:	200.00
01215285	False	024945	Mike Cruce	4/23/2024	Building Permit - 24PEK-BP-0155 - 1	329.00
01215354	False	033332	Bruce Wood	4/23/2024	Solar Permit - 24PEK-SOL-0057 - 120	200.00
01215355	False	033332	Bruce Wood	4/23/2024	Solar Permit - 24PEK-SOL-0061 - 80:	200.00
01215467	False	014464	First United Methodist	4/24/2024	Building Permit - 24PEK-BP-0146 - 1	905.00
01215525	False	029459	Double D's Ice Cream	4/24/2024	Building Permit - 24PEK-BP-0161 - 1	40.00
01216239	False	014464	First United Methodist	4/24/2024	Building Permit - 24PEK-BP-0146 - 1	3,330.00
01216297	False	017463	Connie Taylor	4/24/2024	Building Permit - 24PEK-BP-0162 - 2	20.00

Account Number			Account Description			Amount
Receipt No	Void	Cust No	Name	Receipt Date	Line Item Description	
01216515	False	027049	Dwight L Potts Jr	4/25/2024	Building Permit - 24PEK-BP-0168 - 1	20.00
01216529	False	034826	Bright Planet Solar	4/25/2024	Solar Permit - 24PEK-SOL-0044 - 200	200.00
01216674	False	030702	Daniel Lake	4/25/2024	Curb Cut/Approach/Drive/Sidewalk -	20.00
01216678	False	034826	Bright Planet Solar	4/25/2024	Solar Permit - 24PEK-SOL-0055 - 110	200.00
01216679	False	034826	Bright Planet Solar	4/25/2024	Solar Permit - 24PEK-SOL-0060 - 100	200.00
01216681	False	034635	Paul Joyce	4/25/2024	Solar Permit - 24PEK-SOL-0058 - 170	200.00
01216682	False	034635	Paul Joyce	4/25/2024	Solar Permit - 24PEK-SOL-0059 - 10	350.00
01216699	False	005089	Justin Robinson	4/25/2024	Building Permit - 24PEK-BP-0167 - 1	50.00
01216705	False	010197	Benassi Roofing	4/25/2024	Roofing Permit - 24PEK-BP-0166 - 1	20.00
01216709	False	031208	Perfect Choice Exteriors LLC	4/25/2024	Roofing Permit - 24PEK-BP0165 - 14	68.00
01216781	False	033826	Steve Burling	4/26/2024	Curb Cut/Approach/Drive/Sidewalk -	20.00
01216852	False	033826	Steve Burling	4/26/2024	Curb Cut/Approach/Drive - 24PEK-B	20.00
01217283	False	027806	Kevin & Coleen Gregory	4/26/2024	Fence Permit - 24PEK-FE-0052 - 231	20.00
01217382	True	023534	Peter Kalman	4/29/2024	Building Permit - 24PEK-BP-0178- 1	20.00
01217382	True	023534	Peter Kalman	4/29/2024	Fence Permit - 24PEK-FE-0053 - 131	20.00
01217403	False	023534	Peter Kalman	4/29/2024	Fence Permit - 24PEK-FE-0053 - 131	20.00
01217403	False	023534	Peter Kalman	4/29/2024	Building Permit - 24PEK-BP-0178 - 1	20.00
01217689	False	034853	Don Borsellino	4/29/2024	Fence Permit - 24PEK-FE-0054 - 97 S	20.00
01217875	False	005358	Eric Rasmussen	4/29/2024	Building Permit - 24PEK-BP-0180 - 1	20.00
01217878	False	010197	Benassi Roofing	4/29/2024	Roofing Permit - 24PEK-BP-0174 - 1	68.00
01217880	False	031217	Michael Hartnett	4/29/2024	Curb Cut/Approach/Drive - 24PEK-B	20.00
01217902	False	030705	Midwest Reconstruction Co	4/30/2024	Building Permit - 24PEK-BP-0181 - 1	71.00
01218013	False	030705	Midwest Reconstruction Co	4/30/2024	Building Permit - 24PEK-BP-0183 - 9	56.00
01218016	False	014214	Antonini Builders	4/30/2024	Roofing Permit - 24PEK-BP-0184 - 30	40.00
01218080	False	031092	Ekhoft Builders Inc	4/30/2024	Building Permit - 24PEK-BP-0173 - 1	20.00
01218080	False	031092	Ekhoft Builders Inc	4/30/2024	Building Permit - 24PEK-BP-0172 - 1	20.00
01218083	False	028283	Melissa Mickle	4/30/2024	Building Permit - 24PEK-BP-0185 - 2	20.00
01218153	False	034967	Fullerton Engineering Consultants LL	4/30/2024	Cell Tower Building Permit - 24PEK-4	1,000.00
01219375	False	014464	First United Methodist	4/24/2024	Building Permit - 24PEK-BP-0146 - 1	-3,430.00
01219431	True	014464	First United Methodist	4/24/2024	Building Permit - 24PEK-BP-0146 - 1	-120.00
01219432	False	014464	First United Methodist	4/24/2024	Curb Cut/Approach/Drive - 24PEK-B	20.00
01219559	True	018309	William & Janice Heaver	4/8/2024	Building Permit - 24PEK-BP-0124-NI	-1,810.00
01220024	False	018309	William & Janice Heaver	4/30/2024	Building Permit - 24PEK-BP-0124-NI	-1,810.00
01220036	False	018309	William & Janice Heaver	4/30/2024	Curb Cut/Approach/Drive - 24PEK-B	20.00
01220047	False	026692	Andy Wagenbach	4/30/2024	Building Permit - 24PEK-BP-0028-N0	-1,820.00

Account Number Receipt No	Void	Cust No	Name	Account Description	Receipt Date	Line Item Description	Amount
01220057	False	026692	Andy Wagenbach		4/30/2024	Curb Cut/Approach/Drive - 24PEK-B	20.00
						Fund: 100	Total:
							13,767.00
100-793-427000				Plan Review Services			
01213654	False	025530	Valerie Moehle		4/16/2024	Plan Review Services - 1307 N 5th	100.00
01219432	False	014464	First United Methodist		4/24/2024	Plan Review Services - 24PEK-BP-01	100.00
01220036	False	018309	William & Janice Heaver		4/30/2024	Storm Water Dev Plan Review - 24PE	100.00
01220057	False	026692	Andy Wagenbach		4/30/2024	Plan Review Services - 24PEK-BP-00	100.00
						Fund: 100	Total:
							400.00
100-793-451200				Plumbing Permits			
01209650	False	014294	Frank Shearer		4/1/2024	Plumbing Permit - 24PEK-PL-0075 -	38.00
01210527	False	034062	Plumbing Solutions and Repair LLC		4/2/2024	Plumbing Permit - 24PEK-PL-0073 -	58.00
01210801	False	030693	Paluska Plumbing Inc		4/3/2024	Plumbing Permit - 24PEK-PL-0076 -	38.00
01212526	False	014818	David Burling		4/8/2024	Plumbing Permit - 24PEK-PL-0079 -	223.00
01212527	False	014818	David Burling		4/8/2024	Plumbing Permit - 24PEK-PL-0080 -	43.00
01212808	False	031326	Vlachos Plumbing		4/8/2024	Plumbing Permit - 24PEK-PL-0078 -	68.00
01213156	False	026514	Kenneth Dunn		4/10/2024	Plumbing Permit - 24PEK-PL-0081 -	116.00
01213380	False	016439	Robert Maquet		4/12/2024	Plumbing Permit - 24PEK-PL-0077 -	38.00
01213552	False	030693	Paluska Plumbing Inc		4/15/2024	Plumbing Permit - 24PEK-PL-0083 -	162.00
01214070	False	014294	Frank Shearer		4/16/2024	Plumbing Permit - 24PEK-PL-0084 -	38.00
01214078	False	026011	Joe Barth		4/16/2024	Plumbing Permit - 24PEK-PL-0085 -	38.00
01214084	False	026024	Reading & Son Plumbing Inc		4/17/2024	Plumbing Permit - 24PEK-PL-0087 -	43.00
01214208	False	031978	Tiger Heating and Air Conditioning S		4/18/2024	Plumbing Permit - 24PEK-PL-0082 -	88.00
01215255	False	034925	DRF Trusted Property Solution		4/22/2024	Plumbing Permit - 24PEK-PL-0088 -	38.00
01215351	False	022434	Joe McAllister		4/24/2024	Plumbing Permit - 24PEK-PL-0091 -	199.00
01215356	False	033205	NB Plumbing		4/23/2024	Plumbing Permit - 24PEK-PL-0089 -	329.00
01215470	False	016439	Robert Maquet		4/23/2024	Plumbing Permit - 24PEK-PL-0090 -	38.00
01215530	False	034934	Clayton Clark		4/24/2024	Plumbing Permit - 24PEK-PL-0092 -	58.00
01216736	False	014818	David Burling		4/25/2024	Plumbing Permit - 24PEK-PL-0094 -	223.00
01217282	False	034950	Bruce Baebler		4/26/2024	Plumbing Permit - 24PEK-PL-0093 -	58.00
01217286	False	026040	Remodeling Solutions LLC		4/26/2024	Plumbing Permit - 24PEK-PL-0095 -	58.00
01217879	False	016439	Robert Maquet		4/29/2024	Plumbing Permit - 24PEK-PL-0096 -	38.00
01217881	False	027600	Nicholas Mucciante		4/29/2024	Plumbing Permit - 24PEK-PL-0097 -	43.00
01220036	False	018309	William & Janice Heaver		4/30/2024	Water Line Plumbing Permit - 24PEK	15.00
						Fund: 100	Total:
							2,088.00

Account Number			Account Description			Amount
Receipt No	Void	Cust No	Name	Receipt Date	Line Item Description	
100-793-451400			Electrical Inspection Fee			
01216183	False	032671	Sunset Plaza LLC	4/24/2024	Electrical Inspection/OCC - 24PEK-B	108.00
01216635	False	034937	Allison & Patrick Salinas	4/25/2024	Electrical Inspection/OCC - 24PEK-B	108.00
					Fund: 100	Total: 216.00

Report Totals: 19,294.00



PEKIN FIRE DEPARTMENT

3232 COURT ST — Pekin, IL 61554

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Monthly Incident Type Report (Pekin Fire)

Basic Incident Type	Total Incidents	Total Incidents Percent of Incidents
Incident Type Category: 1 - Fire		
100 - Fire, other	1	0.19%
111 - Building fire	4	0.78%
112 - Fires in structure other than in a building	1	0.19%
113 - Cooking fire, confined to container	4	0.78%
130 - Mobile property (vehicle) fire, other	1	0.19%
131 - Passenger vehicle fire	3	0.58%
142 - Brush or brush-and-grass mixture fire	1	0.19%
150 - Outside rubbish fire, other	1	0.19%
Total: 16		Total: 3.11%
Incident Type Category: 2 - Overpressure Rupture, Explosion, Overheat (No Fire)		
200 - Overpressure rupture, explosion, overheat, other	1	0.19%
211 - Overpressure rupture of steam pipe or pipeline	1	0.19%
251 - Excessive heat, scorch burns with no ignition	2	0.39%
Total: 4		Total: 0.78%
Incident Type Category: 3 - Rescue & Emergency Medical Service Incident		
311 - Medical assist, assist EMS crew	5	0.97%
321 - EMS call, excluding vehicle accident with injury	328	63.81%
322 - Motor vehicle accident with injuries	11	2.14%
323 - Motor vehicle/pedestrian accident (MV Ped)	1	0.19%
324 - Motor vehicle accident with no injuries.	4	0.78%
381 - Rescue or EMS standby	1	0.19%
Total: 350		Total: 68.09%
Incident Type Category: 4 - Hazardous Condition (No Fire)		
411 - Gasoline or other flammable liquid spill	1	0.19%
412 - Gas leak (natural gas or LPG)	3	0.58%
440 - Electrical wiring/equipment problem, other	4	0.78%
444 - Power line down	3	0.58%
445 - Arcing, shorted electrical equipment	1	0.19%
461 - Building or structure weakened or collapsed	1	0.19%
Total: 13		Total: 2.53%
Incident Type Category: 5 - Service Call		
511 - Lock-out	1	0.19%
531 - Smoke or odor removal	1	0.19%
550 - Public service assistance, other	12	2.33%
551 - Assist police or other governmental agency	7	1.36%
553 - Public service	3	0.58%
554 - Assist invalid	39	7.59%
561 - Unauthorized burning	13	2.53%
571 - Cover assignment, standby, moveup	1	0.19%
Total: 77		Total: 14.98%
Incident Type Category: 6 - Good Intent Call		
600 - Good intent call, other	2	0.39%
611 - Dispatched and cancelled en route	15	2.92%
622 - No incident found on arrival at dispatch address	2	0.39%
651 - Smoke scare, odor of smoke	1	0.19%

Basic Incident Type	Total Incidents	Total Incidents Percent of Incidents
661 - EMS call, party transported by non-fire agency	2	0.39%
Total: 22		Total: 4.28%
Incident Type Category: 7 - False Alarm & False Call		
700 - False alarm or false call, other	9	1.75%
730 - System malfunction, other	1	0.19%
733 - Smoke detector activation due to malfunction	1	0.19%
735 - Alarm system sounded due to malfunction	4	0.78%
736 - CO detector activation due to malfunction	2	0.39%
740 - Unintentional transmission of alarm, other	4	0.78%
743 - Smoke detector activation, no fire - unintentional	3	0.58%
744 - Detector activation, no fire - unintentional	1	0.19%
745 - Alarm system activation, no fire - unintentional	4	0.78%
746 - Carbon monoxide detector activation, no CO	2	0.39%
Total: 31		Total: 6.03%
Incident Type Category: 9 - Special Incident Type		
900 - Special type of incident, other	1	0.19%
Total: 1		Total: 0.19%
Total: 514		Total: 100.00%

UNITED STATES COAST GUARD AUXILIARY



DEPARTMENT OF HOMELAND SECURITY

The civilian component of the U. S. Coast Guard

(Authorized by Congress in 1939)

PROCLAMATION

For over 100 million Americans, boating continues to be a popular recreational activity. From coast to coast, and everywhere in between, people are taking to the water and enjoying time together boating, sailing, paddling and fishing. During National Safe Boating Week, the U.S. Coast guard and the National Safe Boating Council, along with federal, state, and local safe boating partners encourage all boaters to explore and enjoy America's beautiful waters responsibly.

Safe boating begins with preparation. The Coast Guard estimates that human error accounts for most boating accidents and that life jackets could prevent nearly 75 percent of boating fatalities. Through basic boating safety procedures-carrying lifesaving emergency distress and communications equipment, wearing life jackets, attending safe boating courses, participating in free boat safety checks, and staying sober when navigating-we can help ensure boaters on America's coastal, inland and offshore waters stay safe throughout the season.

National Safe Boating Week is observed to bring attention to important life- saving tips for recreational boaters so that they can have a safer, more fun experience out on the water throughout the year.

- Whereas, on average, 650 people die each year in boating-related accidents in the U.S., 75 percent of these are fatalities caused by drowning; and
- Whereas, the vast majority of these accidents are caused by human error or poor judgment and not by the boat, equipment or environmental factors; and
- Whereas, a significant number of boaters who lose their lives by drowning each year would be alive today had they worn their life jackets.

Therefore, I, Mary Burress do hereby support the goals of the Safe Boating Campaign and proclaim May 18-24, 2024 as National Safe Boating Week and the start of the year-round effort to promote safe boating.

In Witness Thereof, I urge all those who boat to practice safe boating habits and wear a life jacket at all times while boating.

Given under my signature and the seal of at the City/State of Pekin Illinois

this 13th day of May 2024

Dedicated to promoting recreational boating safety

United States Coast Guard Auxiliary

Illinois River Flotilla 095-37-03

APR 04 2024

Bolingbrook, Illinois

My name is Darryl Haefner, I am the Public Affairs Officer from the Coast Guard Auxiliary Flotilla in Bolingbrook, IL.

I am reaching out to all the Municipalities for their support promoting Safe Boating Week, May 18-24, 2024.

I am enclosing a blank Proclamation and asking the Mayors or Managers to sign and read this at a board meeting in May, if possible. It can also be read any month that is convenient. Please send me a copy of the Proclamation after signing and reading it.

Any questions please call or email me.

Thank you for your assistance,

Darryl J. Haefner
21551 W. Larch Drive
Plainfield, IL 60544
630-610-5074
jlyrrad@comcast.net



REQUEST FOR COUNCIL ACTION

Agenda Date: May 13, 2024
To: Members of the City Council
From: Nic Maquet, Chief Building Official

AGENDA ITEM: Ordinance No. 4189-24/25 A Variance Request Submitted by Benjamin and Manda Brown for the Property Located at 1435 California Road to Construct a 36-foot Driveway Approach (Case V 2024-09)

DESCRIPTION: Case V 2024-09

A variance request was submitted by Benjamin and Manda Brown for the property located at 1435 California Road to Construct a 36-foot driveway approach. Said property has a legal description of SEC 32 T25N R4W COUNTRY CLUB ESTATES #6 LOT 97 NW ¼, with the following PIN 05-05-32-102-015, in Tazewell County, Illinois.

SUMMARY

The applicant wishes to install a 36-foot wide driveway approach due to home renovations that include reconstructing the garage and adding a third stall to allow for more off-street parking. The property is not within the corporate limits of the City of Pekin, but the driveway approach is within the City-controlled Right-Of-Way and has a lot frontage of 114 Feet. The City Code allows for a maximum driveway approach width of 30 feet with a lot frontage greater than 90 feet. Most driveway approaches in this unincorporated area are currently larger than 30 feet in width.

Section 4-3-9-1 General Requirements

(2) *Location.*

3. Driveway areas used as means of ingress and egress of any residential lot, shall not exceed 24 feet in width for residential lots with less than 90 feet of frontage or 30 feet in width for residential lots with 90 feet or more of frontage, not including driveway approach flares.

Requested Action

Provide a variance that would increase the maximum width of the driveway from 30 ft to 36 feet, which is 6 feet greater than what is currently allowed by the city code for a lot that has frontage greater than 90 feet.

STAFF NOTES

- Staff recommends approval and believes there will be no negative impact on surrounding properties if this variance is granted as the size of the proposed driveway matches the existing driveways in that area.
- The Zoning Board of Appeals has recommended approval

Ordinance No. 4189-24/25 A Variance Request Submitted by Benjamin and Manda Brown for the Property Located at 1435 California Road to Construct a 36-foot Driveway Approach (Case V 2024-09)

WHEREAS, Benjamin and Manda Brown ("Petitioner") are the owners of the property located at 1435 California Road in Tazewell County, Illinois (the "Property"); and

WHEREAS, a portion of the Petitioner's property, including the driveway approach from California Road, is within the corporate limits of the City of Pekin; and

WHEREAS, Petitioner has applied to the City for a variance to the provisions of section 4-3-9-1(2)(c)(3) of the City Zoning Code to allow for a driveway 36 feet in width; and

WHEREAS, after a hearing pursuant to duly published notice, the City of Pekin Zoning Board of Appeals has recommended approval of the requested variance; and

WHEREAS, the City Council of the City of Pekin finds that the Petition meets the standards for variance set forth in section 4-3-2-1-2 of the City Zoning Code.

NOW, THEREFORE, BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF PEKIN, TAZEWELL COUNTY, ILLINOIS, THAT:

Section 1. The findings and recitations set forth above are found to be true and correct and are adopted herein.

Section 2. The variance requested by Petitioner to waive the requirement of section 4-3-9-1(2)(c)(3) of the City of Pekin Zoning Code and allow for the consruciton of a 36-foot wide driveway on the Property is approved.

Section 3. The variance shall be in effect as of the effective date of this Ordinance,

Section 4. This Ordinance is in addition to all other ordinances on the subject and shall be construed therewith, excepting as to that part in direct conflict with any other ordinance, and in the event of such conflict, the provisions hereof shall govern.

Section 5. This Ordinance shall be in full force and effect from and after its passage and approval in the manner provided by law.

RESULT:	(0 TO 0)
MOVER:	None
SECONDER:	None
AYES:	None
NAYS:	None
ABSTAIN:	None
ABSENT:	

ADOPTED AND APPROVED at a Regular meeting of the City Council of the City of Pekin this _____ day
of _____, 20____.

Mayor

ATTEST:

City Clerk



Planning and Zoning Department
111 S. Capitol Street, Pekin, Illinois 61554 – (309) 477-2300

Board of Appeals Application for Consideration

<u>Type of Request (check one):</u> ☐ A. Special Use (\$85) ☒ B. Residential Variance (\$85) ☐ C. Non-Residential Variance (\$85) ☐ D. Map Amendment/Rezoning (\$75) ☐ E. Annexation (\$75)	Request Property Address or PIN: <u>1435 California Rd, Pekin, IL</u> Current Zoning (if known): <u>Residential</u> Proposed Zoning (if rezoning request): _____ Current Use: <u>Front Lawn</u> Proposed Use (if different): <u>Driveway</u>
--	---

Property Owner Name:	<u>Benjamin + Manda Brown</u>
Mailing Address:	<u>1435 California Rd, Pekin, IL 61554</u>
Phone:	<u>309 620 4149</u>
Alternative Phone:	<u>309 620 0039</u>
E-Mail Address:	<u>manda.brown00@gmail.com</u>

Applicant Name (if different):	
Mailing Address:	
Phone:	
Alternative Phone:	
E-Mail Address:	



Planning and Zoning Department
111 S. Capitol Street, Pekin, Illinois 61554 – (309) 477-2300

Please summarize your request and explain why it is necessary:

The desire would be to have a 36' x 40' driveway leading up to our garage. Our garage is under construction currently, which includes adding a third stall and changing our current garage opening from north facing to east facing. With the addition of the third stall we would like to have a 36' wide driveway, which is cohesive with many homes on our street.

CERTIFICATION BY PROPERTY OWNER

I certify that I am the owner of the property, which is the subject of this request. I certify that all the information contained in this application form or any attachments, documents, or plans submitted herewith are true to the best of my knowledge and belief. If an applicant is signing below, that applicant has received my approval to proceed with this request.

Manda L Brown

4/15/2024

Owner Signature

Date

CERTIFICATION BY THE APPLICANT (if different)

I certify that all the information contained in this application form or any attachments, documents, or plans submitted herewith are true to the best of my knowledge and belief.

Applicant Signature

Date



Planning and Zoning Department
111 S. Capitol Street, Pekin, Illinois 61554 – (309) 477-2300

II. Payment: Payment of the amount indicated on the first page to accompany your application. If making payment via check, please make payment payable to the “City of Pekin”.

III. Variance: If requesting a variance, you must complete and attach this page to your application:

1. Variances are intended to relieve situations involving a hardship in meeting Zoning Code requirements. What is the hardship that this variance request is intended to relieve? Examples of hardships can include the topography of the lot, unique shape of the lot or other physical conditions that prevent the request from meeting the requirements of the Zoning Code.

We are reconstructing our garage and adding a third stall, we would like our approach to be 36' to allow most off street parking.

2. Is the variance needed due to special and unique circumstances not caused by the applicant's or owner's own actions?

We are technically a County property, but because of the City's ownership of California we are under the 30' approach ordinance through the city.

3. Should the request be approved, will the variance negatively impact adjoining properties in any way?

In no way will this negatively impact our neighbors. Most neighbors have a 36' drive.

4. Will the variance be consistent with the purpose and intent of the Zoning Code and not alter the essential character of the locality?

Yes

5. Does the benefit(s) of granting the variance outweighs any anticipated negative impacts to the surrounding properties (if any)?

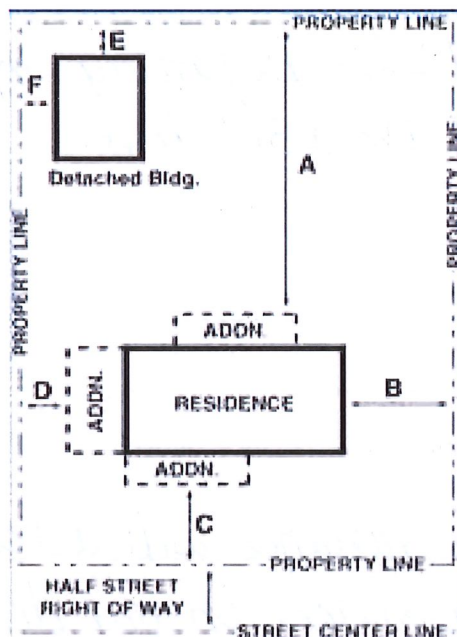
The granting of this variance will allow at least two additional parking spaces in our driveway to be utilized during gatherings which will reduce or omit the need for on street parking.



Planning and Zoning Department
111 S. Capitol Street, Pekin, Illinois 61554 – (309) 477-2300

The following attachments are required for consideration of your request:

- I. Site Plan:** An electronic copy (preferred) or two physical copies of a site plan for the proposal showing:
1. A scale of not less than one inch equals fifty feet (1" = 50') if the subject property is less than three (3) acres and one inch equals one hundred feet (1" = 100') if three (3) acres or more. Date, north point and scale.
 2. The dimensions of all lot and property lines, showing the relationship of the subject property to abutting properties. The location of all existing and proposed structures on the subject property and all structures within one hundred feet (100') of the subject property. The location of all existing and proposed drives and parking areas. The location and right-of-way widths of all abutting streets and alleys.
 3. Attachments such as landscape plan, photograph or renderings of existing and proposed site, building layouts or elevations, etc. are commended in order to provide accurate and sufficient information for review by the Development Review Committee and consideration by the Plan Commission.
 4. The names, addresses, and phone numbers of the architect, planner, designer, engineer or person responsible for the preparation of the site plan (commercial projects only).



↑

3/32 = 1'

no well

septic

16' to property line

Existing Home

44' to property line

Remove existing wall

Existing Drive To be removed Grass to be planted

new concrete side walk

close old doorway
move 16' overhead door

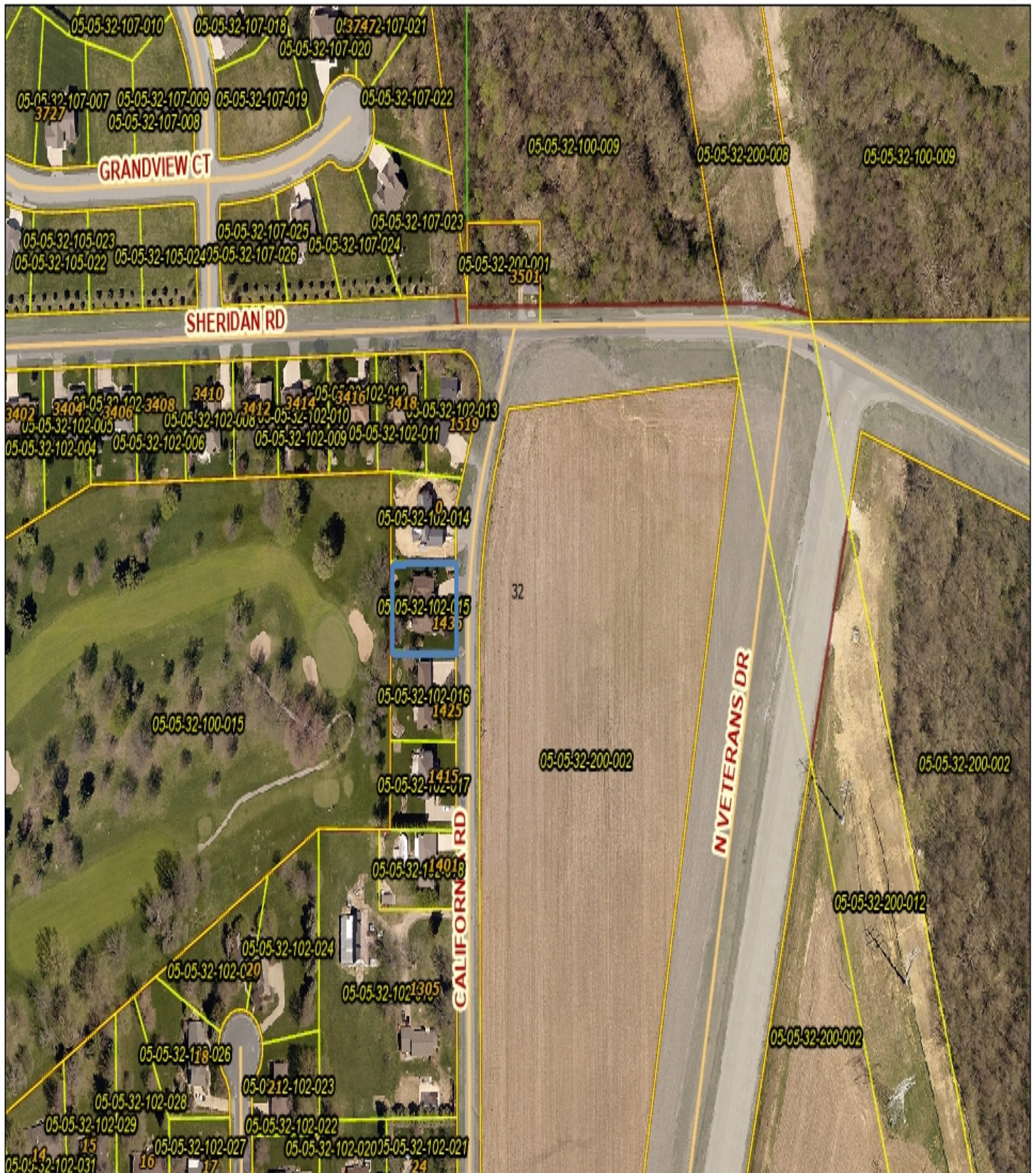
new 16' overhead door opening new concrete driveway

Garage addition proposed

Slab on grade

Property line

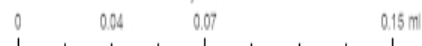
california



Tazewell County GIS parcel and map records contained herein are for property tax purposes only. This information has been compiled from the most accurate source data from the public records of Tazewell County. This information must be accepted and used with the understanding that the data was collected primarily for the use and purpose of creating a Property Tax Roll per Illinois Statute. The information contained herein is for reference purposes only and should not be relied upon as a substitute for a title search. Any reliance on the information contained herein is at the user's own risk. The Tazewell County GIS assumes no responsibility for any use of the information contained herein or any loss resulting therefrom. Users of Tazewell County GIS site assume all risk and liability when accessing any third-party site linked to this site. All data is subject to change.



1:3,664



**REQUEST FOR COUNCIL ACTION**

Agenda Date: May 13, 2024
To: Members of the City Council
From: Robert Grogan, Finance Consultant

AGENDA ITEM: Resolution No. 132-24/25 Approving and placing on file the FY21 Annual Financial Report (AFR)

DESCRIPTION:**FINANCIAL IMPACT:**

Requested Amount:
 Line Item:
 Budgeted Amount:
 Remaining Funds:
 Notes:

REVIEWED BY:

Katherine Swise, City Attorney
 Robert Grogan, Finance Consultant
 John Dossey, City Manager
 Sue McMillan, City Clerk

Approved - 5/8/2024
 Approved - 5/9/2024
 New -

Resolution No. 132-24/25 Approving and placing on file the FY21 Annual Financial Report (AFR)

WHEREAS, the City of Pekin ("the City") is a home rule municipality as described in Section 6(a), Article VII of the 1970 Constitution of the State of Illinois; and

WHEREAS, the Governmental Account Audit Act, 50 ILCS 310, and the Illinois Municipal Auditing Law, 65 ILCS 5/8-8, requires all municipalities in the State of Illinois to cause an annual audit of the accounts of the municipality; and

WHEREAS, the City previously engaged Lauterbach & Amen LLP to perform an annual audit for Fiscal Year 2020-2021; and

WHEREAS, the audit has been completed and the Annual Financial Report for Fiscal Year 2020-2021 has been prepared; and

WHEREAS, the City Council finds the Annual Financial Report to be satisfactory.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF PEKIN, TAZEWELL COUNTY, ILLINOIS, THAT:

Section 1. The foregoing findings and recitals are found to be true and correct and are incorporated herein.

Section 2. The Annual Financial Report for Fiscal Year 2020-2021 is hereby approved and filed with the City Clerk's Office.

Section 3. The City Clerk, or her designee, is directed to file the approved Annual Financial Report with the State of Illinois Comptroller in accordance with all requirements of law.

RESULT:	(0 TO 0)
MOVER:	None
SECONDER:	None
AYES:	None
NAYS:	None
ABSTAIN:	None
ABSENT:	

ADOPTED AND APPROVED at a Regular meeting of the City Council of the City of Pekin this _____ day of _____, 20____.

Mayor

ATTEST:

City Clerk

CITY OF PEKIN, ILLINOIS

ANNUAL FINANCIAL REPORT



FOR THE FISCAL YEAR ENDED
APRIL 30, 2021

111 South Capital Street
Pekin, IL 61554
Phone: 309.478.5381
www.ci.pekin.il.us

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FINANCIAL SECTION

This section includes:

Independent Auditors' Report

Management's Discussion and Analysis

Basic Financial Statements

Required Supplementary Information

Other Supplementary Information

Supplemental Schedules

INDEPENDENT AUDITORS' REPORT

This section includes the opinion of the City's independent auditing firm.



INDEPENDENT AUDITORS' REPORT

April 22, 2024

The Honorable City Mayor
Members of the City Council
City of Pekin, Illinois

Report on the Audit of the Financial Statements

Opinion

We have audited the accompanying financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Pekin (the City), Illinois, as of and for the year ended April 30, 2021, and the related notes to the financial statements, which collectively comprise the City's basic financial statements as listed in the table of contents.

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Pekin, Illinois, as of April 30, 2021, and the respective changes in financial position, and, where applicable, cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinions

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the City, and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the City's ability to continue as a going concern for twelve months beyond the financial statement date, including any currently known information that may raise substantial doubt shortly thereafter.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinions. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards and *Government Auditing Standards*, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the City's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis, the budgetary comparison schedules, and required pension and other post-employment benefit (OPEB) schedules, as listed in the table of contents, be presented to supplement the basic financial statements. Such information is the responsibility of management and, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Supplementary Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the City of Pekin, Illinois' basic financial statements. The other supplementary information and supplemental schedules are presented for purposes of additional analysis and are not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, other supplementary information and supplemental schedules are fairly stated, in all material respects, in relation to the basic financial statements as a whole.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated April 22, 2024, on our consideration of the City's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the City's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City's internal control over financial reporting and compliance.

Lauterbach & Amen, LLP
LAUTERBACH & AMEN, LLP

MANAGEMENT'S DISCUSSION AND ANALYSIS

Management's Discussion and Analysis

April 30, 2021

This Management's Discussion and Analysis (MD&A) provides the reader with a narrative overview and analysis of the overall financial position and results of operations for the year ended April 30, 2021, for the City of Pekin. Please read it in conjunction with the transmittal letter which can be found in the introductory section of this report and the City's financial statements, which can be found in the basic financial statements section of this report.

FINANCIAL HIGHLIGHTS

- The City's net position totaled \$26,754,241 on April 30, 2021, which includes \$93,892,650 net investment in capital assets, \$8,757,989 subject to external restrictions, and a deficit of \$75,896,398 in unrestricted net position that may be used to meet the ongoing obligations to citizens and creditors.
- The City's net position increased as a result of this year's operations. Net position of the governmental activities increased by \$5,394,251, or 621.6 percent and net position of the business-type activities decreased by \$86,629, or 0.4 percent.
- During the year, government-wide revenues for the primary government totaled \$54,747,703, while expenses totaled \$49,440,081, resulting in an increase to net position of \$5,307,622.
- At the close of the current fiscal year, the City's governmental funds reported combined ending fund balances of \$31,331,767, an increase of \$3,541,555 in comparison with the prior year.
- The General Fund reported an increase this year of \$3,912,001, resulting in ending fund balance of \$18,386,704, an increase of 27.0 percent.
- Beginning net position/fund balance was restated to true-up prior years transfers of internal service expenses.

USING THIS ANNUAL REPORT

This annual report consists of a series of financial statements. The Statement of Net Position and the Statement of Activities provide information about the activities of the City as a whole and present a longer-term view of the City's finances. Fund financial statements can be found in the financial section of this report. For governmental activities, these statements tell how these services were financed in the short term as well as what remains for future spending. Fund financial statements also report the City's operations in more detail than the government-wide statements by providing information about the City's most significant funds. The remaining statements provide financial information about activities for which the City acts solely as a trustee or agent for the benefit of those outside of the government.

Government-Wide Financial Statements

The government-wide financial statements provide readers with a broad overview of the City's finances, in a matter similar to a private-sector business. The government wide financial statements which can be found in the financial section of this report.

The Statement of Net Position reports information on all of the City's assets/deferred outflows and liabilities/deferred inflows, with the difference between the two reported as net position. Over time, increases or decreases in net position may serve as a useful indicator of whether the financial position of the City is improving or deteriorating. Consideration of other nonfinancial factors, such as changes in the City's property tax base and the condition of the City's infrastructure, is needed to assess the overall health of the City.

Management's Discussion and Analysis

April 30, 2021

OVERVIEW OF THE FINANCIAL STATEMENTS - Continued

Government-Wide Financial Statements - Continued

The Statement of Activities presents information showing how the government's net position changed during the most recent fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of the timing of related cash flows. Thus, revenues and expenses are reported in this statement for some items that will only result in cash flows in future fiscal periods (e.g., uncollected taxes and earned but unused vacation leave).

Both of the government-wide financial statements distinguish functions of the City that are principally supported by taxes and intergovernmental revenues (governmental activities) from other functions that are intended to recover all or a significant portion of their costs through user fees and charges (business-type activities). The governmental activities of the City include general government, public safety, public works, economic development, and culture and recreation. The business-type activities of the City include school bus, solid waste, sewerage, economic development, and airport.

Fund Financial Statements

A fund is a grouping of related accounts that is used to maintain control over resources that have been segregated for specific activities or objectives. The City, like other local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements. All of the funds of the City can be divided into three categories: governmental funds, proprietary funds, and fiduciary funds.

Governmental Funds

Governmental funds are used to account for essentially the same functions reported as governmental activities in the government-wide financial statements. However, governmental fund financial statements focus on near-term inflows and outflows of spendable resources, as well as on balances of spendable resources available at the end of the fiscal year. Such information may be useful in evaluating the City's near-term financing requirements.

Because the focus of governmental funds is narrower than that of the government-wide financial statements, it is useful to compare the information presented for governmental funds with similar information presented for governmental activities in the government-wide financial statements. By doing so, readers may better understand the long-term impact of the government's near-term financing decisions. Both the governmental fund balance sheet and the governmental fund statement of revenues, expenditures, and changes in fund balances provide a reconciliation to facilitate the comparison between governmental funds and governmental activities.

The City maintains ten individual governmental funds. Information is presented separately in the governmental fund balance sheet and in the governmental fund statement of revenues, expenditures, and changes in fund balances for the General, Motor Fuel Tax, Library, TIF #1 Central Business District, and Capital Projects Funds, which are considered major funds. Data from the other five governmental funds are combined into a single, aggregated presentation. Individual fund data for each of these nonmajor governmental funds is provided in the form of combining statements elsewhere in this report.

The City adopts an annual appropriated budget for all of the governmental funds. A budgetary comparison statement for these funds has been provided to demonstrate compliance with this budget.

Management's Discussion and Analysis

April 30, 2021

OVERVIEW OF THE FINANCIAL STATEMENTS - Continued

Proprietary Funds

The City maintains two proprietary fund types: enterprise and internal service. Enterprise funds are used to report the same functions presented as business-type activities in the government-wide financial statements.

Proprietary fund financial statements provide the same type of information as the government-wide financial statements, only in more detail. The City uses enterprise funds to account for its School Bus, Solid Waste, Sewerage, Airport, and Economic Development functions. The proprietary fund financial statements provide separate information for the School Bus, Solid Waste, Sewerage, and Airport Funds, which are considered major funds of the City, and the Economic Development Fund, which is considered a nonmajor fund. Internal Service Funds are an accounting device used to accumulate and allocate costs internally among the City's various functions. The City uses Internal Service Funds to account for the vehicle fuel, repairs and maintenance of City vehicles, insurance premiums, and claims activities. Because these services predominantly benefit government rather than business-type functions, they have been included within governmental activities in the government-wide financial statement.

Fiduciary Funds

Fiduciary funds are used to account for resources held for the benefit of parties outside the government. Fiduciary funds are not reflected in the government-wide financial statements because the resources of those funds are not available to support the City's own programs. The accounting use for fiduciary funds is much like that used for proprietary funds.

Notes to the Financial Statements

The notes provide additional information that is essential to a full understanding of the data provided in the government-wide and fund financial statements.

Other Information

In addition to the basic financial statements and accompanying notes, this report also presents certain required supplementary information concerning the City's I.M.R.F. and police and fire employee pension obligations, other post-employment benefit obligations and budgetary comparison schedules for the General Fund and major special revenue funds.

CITY OF PEKIN, ILLINOIS

Management's Discussion and Analysis

April 30, 2021

GOVERNMENT-WIDE FINANCIAL ANALYSIS

The following table represents the net position as of April 30, 2021. Net position serves over time as a useful indicator of a government's financial position. The following tables show that assets/deferred outflows exceeded liabilities/deferred inflows by \$26,754,241.

	Net Position					
	Governmental		Business-Type		Totals	
	Activities		Activities			
	2021	2020	2021	2020	2021	2020
Current Assets	\$ 44,845,990	39,613,714	1,153,883	5,179,076	45,999,873	44,792,790
Capital Assets	70,914,317	70,862,298	62,043,080	59,404,100	132,957,397	130,266,398
Other Assets	—	—	59,897	1,219,783	59,897	1,219,783
Total Assets	115,760,307	110,476,012	63,256,860	65,802,959	179,017,167	176,278,971
Deferred Outflows	7,633,771	11,003,475	1,050,770	842,014	8,684,541	11,845,489
Total Assets and Deferred Outflows	123,394,078	121,479,487	64,307,630	66,644,973	187,701,708	188,124,460
Long-Term Liabilities	92,094,593	104,494,653	39,099,630	42,061,997	131,194,223	146,556,650
Other Liabilities	3,090,447	1,862,587	2,278,181	992,788	5,368,628	2,855,375
Total Liabilities	95,185,040	106,357,240	41,377,811	43,054,785	136,562,851	149,412,025
Deferred Inflows	21,946,979	15,397,041	2,437,637	1,868,607	24,384,616	17,265,648
Total Liabilities and Deferred Inflows	117,132,019	121,754,281	43,815,448	44,923,392	160,947,467	166,677,673
Net Position						
Net Investment in Capital Assets	65,988,559	65,296,717	27,904,091	22,724,701	93,892,650	88,021,418
Restricted	8,757,989	8,815,511	—	—	8,757,989	8,815,511
Unrestricted (Deficit)	(68,484,489)	(74,387,022)	(7,411,909)	(1,003,120)	(75,896,398)	(75,390,142)
Total Net Position	6,262,059	(274,794)	20,492,182	21,721,581	26,754,241	21,446,787

A large portion of the City's net position, \$93,892,650, reflects its net investment in capital assets (for example, land, construction in progress, buildings, infrastructure, machinery and equipment, vehicles, library books, land improvements, utility plant, and loan closing costs), less any related debt used to acquire those assets that is still outstanding. The City uses these capital assets to provide services to citizens. Although the City's investment in its capital assets is reported net of related debt, it should be noted that the resources needed to repay this debt must be provided from other sources, since the capital assets themselves cannot be used to liquidate these liabilities.

An additional portion, \$8,757,989 of the City's net position represents resources that are subject to external restrictions on how they may be used. The remaining deficit of \$75,896,398, represents unrestricted net position and may be used to meet the government's ongoing obligations to citizens and creditors.

CITY OF PEKIN, ILLINOIS

Management's Discussion and Analysis

April 30, 2021

GOVERNMENT-WIDE FINANCIAL ANALYSIS - Continued

	Change in Net Position					
	Governmental		Business-Type		Totals	
	Activities		Activities			
	2021	2020	2021	2020	2021	2020
Revenues						
Program Revenues						
Charges for Services	\$ 2,814,280	2,697,558	15,632,142	15,227,166	18,446,422	17,924,724
Operating Grants/Contrib.	3,839,275	1,374,183	514,278	220,662	4,353,553	1,594,845
Capital Grants/Contrib.	1,514,646	878,244	—	2,529,863	1,514,646	3,408,107
General Revenues						
Property Tax	7,422,599	7,303,000	—	—	7,422,599	7,303,000
Intergovernmental	13,674,050	12,893,925	—	—	13,674,050	12,893,925
Other Taxes	8,468,887	7,729,290	—	—	8,468,887	7,729,290
Other General Revenues	715,100	832,829	152,446	337,824	867,546	1,170,653
Total Revenues	38,448,837	33,709,029	16,298,866	18,315,515	54,747,703	52,024,544
Expenses						
General Government	3,365,719	1,281,360	—	—	3,365,719	1,281,360
Public Safety	23,493,827	24,991,694	—	—	23,493,827	24,991,694
Public Works	5,396,495	3,307,818	—	—	5,396,495	3,307,818
Economic Development	1,152,326	1,002,339	(39,469)	181,213	1,112,857	1,183,552
Culture and Recreation	1,749,587	1,888,871	—	—	1,749,587	1,888,871
Interest on Long-Term Debt	194,731	179,014	—	—	194,731	179,014
School Bus	—	—	5,046,558	4,803,096	5,046,558	4,803,096
Solid Waste	—	—	2,723,622	2,953,357	2,723,622	2,953,357
Sewerage	—	—	6,007,743	5,672,643	6,007,743	5,672,643
Airport	—	—	348,942	368,934	348,942	368,934
Total Expenses	35,352,685	32,651,096	14,087,396	13,979,243	49,440,081	46,630,339
Change in Net Position						
Before Transfers	3,096,152	1,057,933	2,211,470	4,336,272	5,307,622	5,394,205
Transfers	2,298,099	8,077,819	(2,298,099)	(8,077,819)	—	—
Change in Net Position	5,394,251	9,135,752	(86,629)	(3,741,547)	5,307,622	5,394,205
Net Position - Beginning as Restated	867,808	(9,410,546)	20,578,811	25,463,128	21,446,619	16,052,582
Net Position - Ending	6,262,059	(274,794)	20,492,182	21,721,581	26,754,241	21,446,787

Management's Discussion and Analysis

April 30, 2021

GOVERNMENT-WIDE FINANCIAL ANALYSIS - Continued

Net position of the City's governmental activities increased by 621.6 percent (\$6,262,059 in 2021 compared to a restated \$867,808 in 2020). Unrestricted net position, the part of net position that can be used to finance day-to-day operations without constraints, totaled a deficit of \$68,484,489 at April 30, 2021.

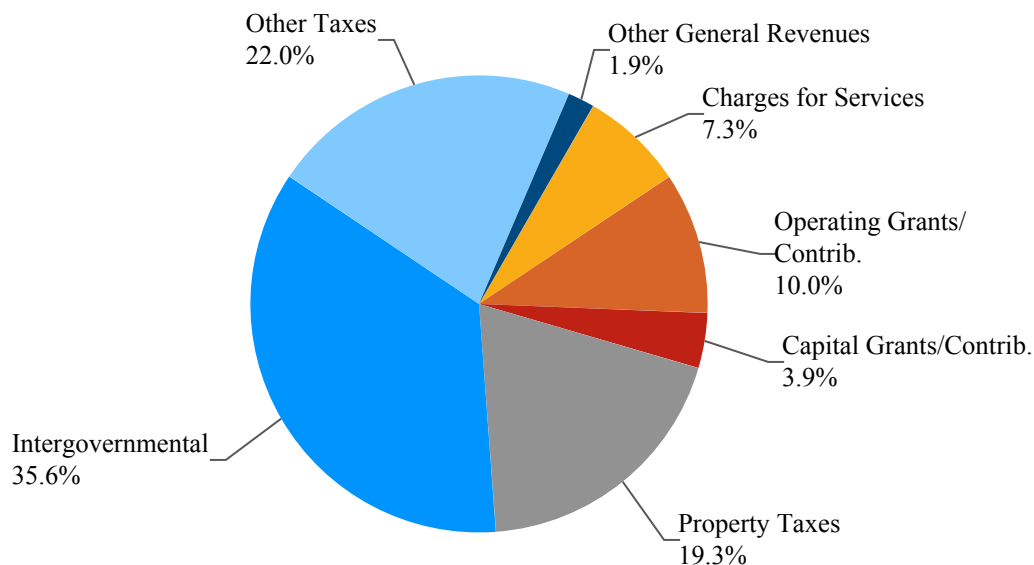
Net position of business-type activities decreased by 0.4 percent (\$20,492,182 in 2021 compared to a restated \$20,578,811 in 2020).

Governmental Activities

Revenues for governmental activities totaled \$38,448,837, while the cost of all governmental functions totaled \$35,352,685. This results in a surplus of \$3,096,152 prior to transfers in of \$2,298,099. In 2020, revenues of \$33,709,029 exceeded expenses of \$32,651,096, resulting in an increase of \$1,057,933 prior to transfers in of \$8,077,819. The surplus in 2021 was due to the City's ongoing focus to control costs, as well as the addition of new operating and capital grant revenue. Additionally, operations were impacted in numerous ways due to the COVID Pandemic.

The following table graphically depicts the major revenue sources of the City. It depicts very clearly the reliance of intergovernmental revenues to fund governmental activities. It also clearly identifies the less significant percentage the City receives from charges for services.

Revenue by Source - Governmental Activities



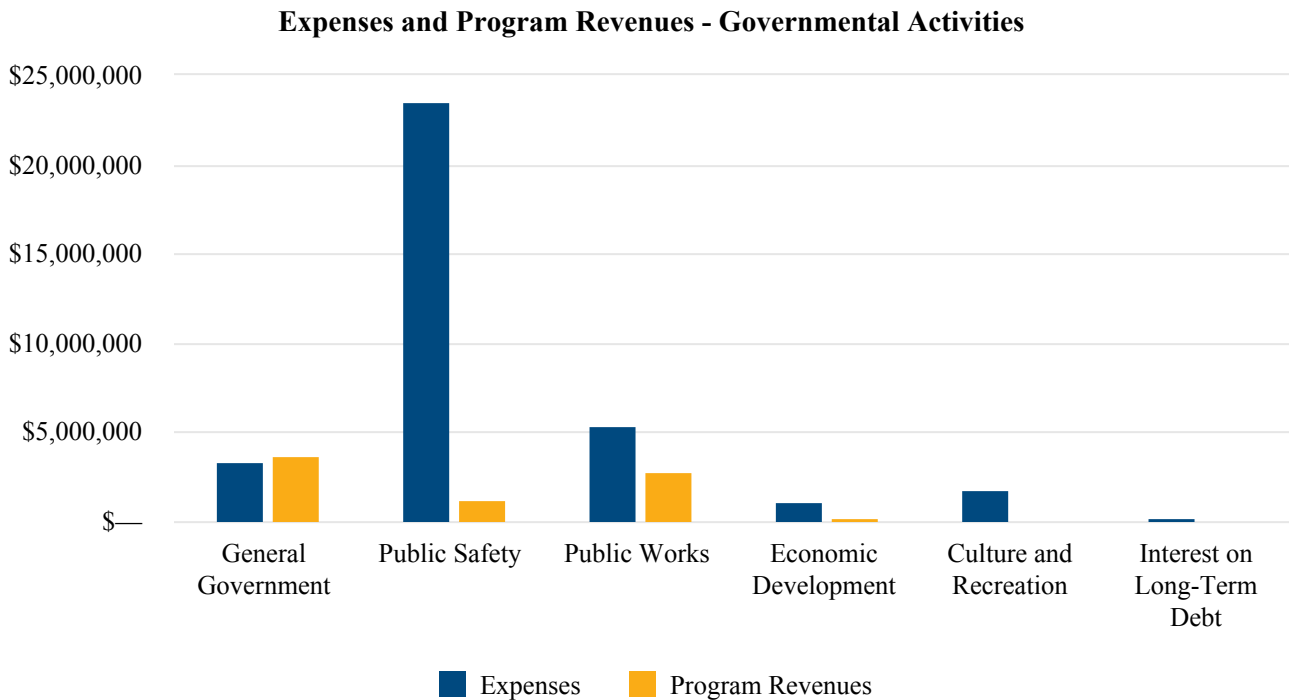
Management's Discussion and Analysis

April 30, 2021

GOVERNMENT-WIDE FINANCIAL ANALYSIS - Continued

Governmental Activities - Continued

The 'Expenses and Program Revenues' Table identifies those governmental functions where program expenses greatly exceed revenues.



As depicted in the chart above, general government, public safety, public works, economic development, and culture and recreation expenses far exceed any directly allocated revenues. Unlike the enterprise funds that are supported by user fees, these activities are substantially tax supported.

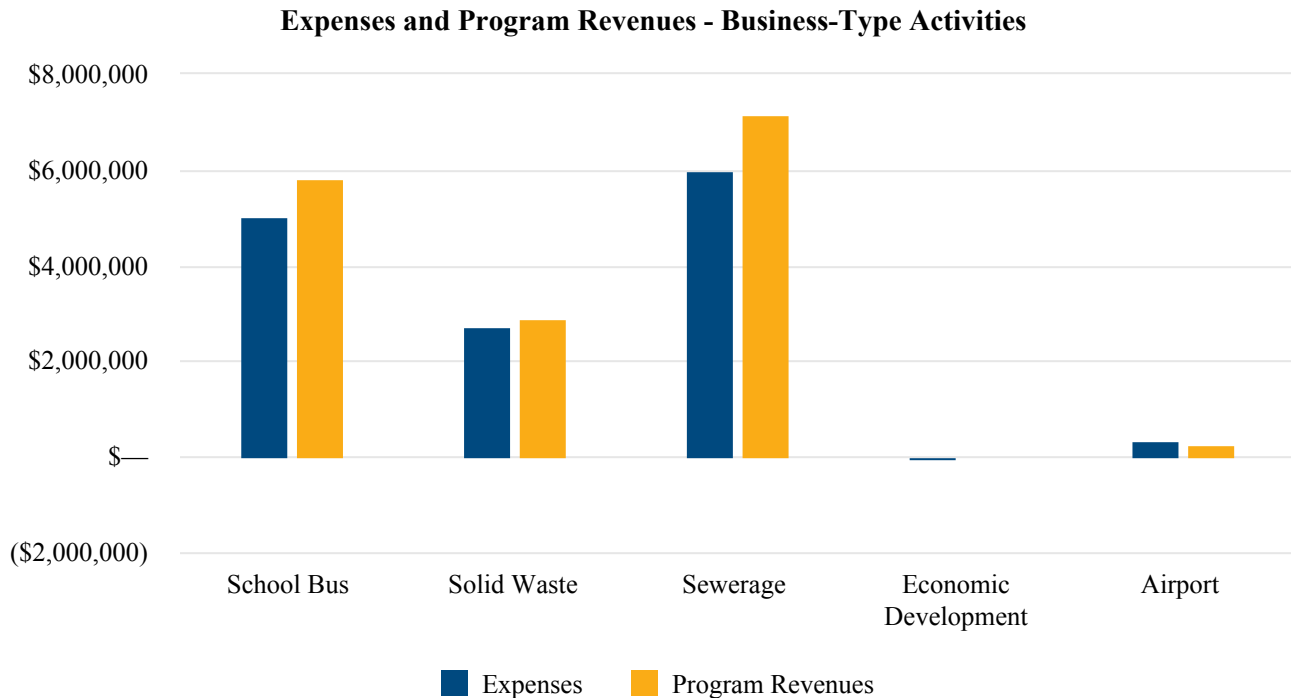
Business-Type Activities

Business-Type activities reported total revenues of \$16,298,866, while the cost of all business-type activities totaled \$14,087,396. This results in a surplus of \$2,211,470, prior to transfers out of \$2,298,099. In 2020, revenues of \$18,315,515 exceeded expenses of \$13,979,243, resulting in an increase of \$4,336,272, prior to transfers out of \$8,077,819. The surplus in 2021 was due to the City's continuous efforts to efficiently manage its business-type activities, as well as the impact of the COVID Pandemic on operations.

Management's Discussion and Analysis
April 30, 2021

GOVERNMENT-WIDE FINANCIAL ANALYSIS - Continued

Business-Type Activities - Continued



The above graph compares program revenues to expenses for the business-type activities.

FINANCIAL ANALYSIS OF THE GOVERNMENT'S FUNDS

As noted earlier, the City uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Governmental Funds

The focus of the City's governmental funds is to provide information on near-term inflows, outflows, and balances of spendable resources. In particular, unassigned fund balance may serve as a useful measure of a government's net resources available for spending at the end of the fiscal year.

The City's governmental funds reported combining ending fund balances of \$31,331,767, which is \$3,541,555, or 12.7 percent, higher than last year's restated total of \$27,790,212. Of the \$31,331,767 total, \$18,260,836, or approximately 58.3 percent, of the fund balance constitutes unassigned fund balance.

The General Fund is the chief operating fund of the City. As mentioned earlier, the General Fund reported an increase in fund balance for the year of \$3,912,001, which is an increase of 27.0 percent. This increase was mostly due to the receipt of a CURES grant.

Management's Discussion and Analysis

April 30, 2021

FINANCIAL ANALYSIS OF THE GOVERNMENT'S FUNDS - Continued

Governmental Funds - Continued

Unassigned fund balance in the General Fund was \$18,280,840, which represents 99.4 percent of the total fund balance of the General Fund. As a measure of the General Fund's liquidity, it may be useful to compare unassigned fund balance to total fund expenditures. Unassigned fund balance in the General Fund represents approximately 59.2 percent of total General Fund expenditures.

The Motor Fuel Tax Fund is a major special revenue fund of the City. At the end of the current fiscal year, the Motor Fuel Tax Fund reported an increase in fund balance of \$185,391, or 5.2 percent, resulting in an ending fund balance of \$3,736,007. This increase was due to higher distributions of motor fuel taxes from the state with lower capital outlay expenditures, partially due to the COVID Pandemic.

The Library Fund is a major special revenue fund of the City. At the end of the current fiscal year, the Library Fund reported a decrease in fund balance of \$75,542, or 9.6 percent, resulting in an ending fund balance of \$711,010. This decrease is due mostly due to increases in insurance costs.

The TIF #1 Central Business District Fund is a major special revenue fund of the City. At the end of the current fiscal year, the TIF #1 Central Business District Fund reported a decrease in fund balance of \$869,495, or 26.1 percent, resulting in an ending fund balance of \$2,456,665. This decrease is due to the planned buildup of reserves to fund future projects in addition to a transfers out of \$677,062 to the TIF #2 South Industrial Park Conservation Area Fund (a nonmajor fund).

The Capital Projects Fund is a major fund of the City. At the end of the current fiscal year, the Capital Projects Fund reported a decrease in fund balance of \$338,920, or 7.7 percent, resulting in an ending fund balance of \$4,045,406. This decrease is due to normal variance in timing projects.

Proprietary Funds

The City's proprietary fund provides the same type of information found in the government-wide financial statements, but in more detail.

The City reports the School Bus, Solid Waste, Sewerage, and Airport Funds as a major proprietary funds.

The School Bus Fund reported a decrease in net position of \$415,032, or 37.4 percent, resulting in an ending net position of \$693,238. Unrestricted net position in the School Bus Fund totaled a deficit of \$496,314 at April 30, 2021. The decrease in the current year is due to higher nonoperating expenses, in particular the transfer to the General Fund to accommodate cashflow issues due to COVID.

The Solid Waste Fund reported an increase in net position of \$170,930, or 4.6 percent, resulting in an ending net position deficit of \$3,562,620. Unrestricted net position in the Solid Waste Fund totaled a deficit of \$4,451,230 at April 30, 2021. The increase in the current year is due to slightly higher revenues and continued improvement in controlling expenses.

The Sewerage Fund reported an increase in net position of \$346,366, or 2.0 percent, resulting in an ending net position of \$17,523,534. Unrestricted net position in the Sewerage Fund totaled a deficit of \$3,645,280 at April 30, 2021. The increase in the current year is due to higher revenues.

The Airport Fund reported a decrease in net position of \$100,511, or 3.0 percent, resulting in an ending net position of \$3,211,378. Unrestricted net position in the Airport Fund totaled a deficit of \$1,445,737 at April 30, 2021. The decrease in the current year is due to state funding of certain airport capital improvement projects.

CITY OF PEKIN, ILLINOIS

Management's Discussion and Analysis

April 30, 2021

GENERAL FUND BUDGETARY HIGHLIGHTS

The City Council did not make any amendments to the General Fund during the year. General Fund actual revenues for the year totaled \$32,507,925, compared to budgeted revenues of \$21,067,951. All revenues came in higher than budgeted, with the exception of charges for services and investment income which came in lower than budgeted.

General Fund actual expenditures for the year were \$8,097,925 higher than budgeted (\$30,897,252 actual compared to \$22,799,327 budgeted) as all functions came in over budget, with the exception of debt service which came in lower than budgeted.

CAPITAL ASSETS AND DEBT ADMINISTRATION

Capital Assets

The City's investment in capital assets for its governmental and business type activities as of April 30, 2021 was \$132,957,397 (net of accumulated depreciation and amortization). This investment in capital assets includes land, construction in progress, buildings, infrastructure, machinery and equipment, vehicles, library books, land improvements, utility plant, and loan closing costs).

		Capital Assets - Net of Depreciation and Amortization					
		Governmental		Business-Type			
		Activities		Activities		Totals	
		2021	2020	2021	2020	2021	2020
Land	\$	14,181,732	14,181,732	268,289	249,884	14,450,021	14,431,616
Construction in Progress		1,067,849	415,615	7,669,073	4,035,669	8,736,922	4,451,284
Buildings		12,238,874	12,135,135	907,509	891,602	13,146,383	13,026,737
Infrastructure		40,881,462	41,690,929	—	—	40,881,462	41,690,929
Machinery and Equipment		1,271,737	1,090,328	1,138,209	1,202,665	2,409,946	2,292,993
Vehicles		831,353	883,861	1,758,769	1,440,142	2,590,122	2,324,003
Library Books		441,310	464,698	—	—	441,310	464,698
Land Improvements		—	—	471,642	507,243	471,642	507,243
Utility Plant		—	—	49,387,116	50,602,691	49,387,116	50,602,691
Loan Closing Costs		—	—	442,473	474,204	442,473	474,204
Totals		70,914,317	70,862,298	62,043,080	59,404,100	132,957,397	130,266,398

CITY OF PEKIN, ILLINOIS

Management's Discussion and Analysis

April 30, 2021

CAPITAL ASSETS AND DEBT ADMINISTRATION - Continued

Capital Assets - Continued

This year's major additions included:

Land	\$	18,405
Construction in Progress		4,437,749
Buildings		539,862
Infrastructure		416,019
Machinery and Equipment		599,485
Vehicles		950,388
Library Books		71,293
Utility Plant		403,896
		<u>7,437,097</u>

Additional information on the City's capital assets can be found in Note 3 of this report.

Debt Administration

As of April 30, 2021, the City had total outstanding debt of \$39,179,330 as compared to \$42,244,980 the previous year, a decrease of 7.3 percent. The following is a comparative statement of outstanding debt:

	Long-Term Debt Outstanding					
	Governmental		Business-Type		Totals	
	Activities		Activities			
	2021	2020	2021	2020	2021	2020
General Obligation Bonds	\$ 4,340,000	4,580,000	4,245,000	4,385,000	8,585,000	8,965,000
Promissory Notes	585,758	985,581	1,119,312	1,393,328	1,705,070	2,378,909
IEPA Loans	—	—	28,708,903	30,666,143	28,708,903	30,666,143
Leases	—	—	180,357	234,928	180,357	234,928
	<u>4,925,758</u>	<u>5,565,581</u>	<u>34,253,572</u>	<u>36,679,399</u>	<u>39,179,330</u>	<u>42,244,980</u>

The City maintains an AA- rating from S&P Global Ratings for general obligation debt. This rating has not changed in the past five years.

Additional information on the City's long-term debt can be found in Note 3 of this report.

Management's Discussion and Analysis

April 30, 2021

ECONOMIC FACTORS AND NEXT YEAR'S BUDGET AND RATES

The City's elected and appointed officials considered many factors when setting the fiscal year 2020-21 budget, including tax rates and fees that will be charged for its various activities. The state of the economy was a major factor, as the City is faced with a similar economic environment as many other local municipalities are faced with, including inflation, unemployment rates, and the continued effects on residents and businesses due to the global health emergency from COVID-19.

REQUESTS FOR INFORMATION

This financial report is designed to provide citizens, customers, investors and creditors and for all those with an interest in the government's finances, a general overview of the City's finances and to demonstrate the City's accountability for the money it receives. Questions concerning this report or requests for additional financial information should contact the Finance Department, City of Pekin, 111 South Capital Street, Pekin, IL 61554.

BASIC FINANCIAL STATEMENTS

The basic financial Statements include integrated sets of financial statements as required by the GASB. The sets of statements include:

- Government-Wide Financial Statements

- Fund Financial Statements

 - Governmental Funds

 - Proprietary Funds

 - Fiduciary Funds

In addition, the notes to the financial statements are included to provide information that is essential to a user's understanding of the basic financial statements.

CITY OF PEKIN, ILLINOIS

Statement of Net Position

April 30, 2021

See Following Page

CITY OF PEKIN, ILLINOIS

Statement of Net Position

April 30, 2021

	Primary Government		
	Governmental Activities	Business-Type Activities	Totals
ASSETS			
Current Assets			
Cash and Investments	\$ 18,593,692	5,201,668	23,795,360
Receivables - Net of Allowances	15,639,826	6,076,052	21,715,878
Due from Other Governments	21,469	—	21,469
Internal Balances	10,556,322	(10,556,322)	—
Inventories	34,681	40,883	75,564
Prepays	—	391,602	391,602
Total Current Assets	44,845,990	1,153,883	45,999,873
Noncurrent Assets			
Capital Assets			
Nondepreciable	15,249,581	7,937,362	23,186,943
Depreciable and Amortizable	89,810,999	84,326,390	174,137,389
Accumulated Depreciation and Amortization	(34,146,263)	(30,220,672)	(64,366,935)
Total Capital Assets	70,914,317	62,043,080	132,957,397
Other Assets			
Loans Receivable	—	59,897	59,897
Total Noncurrent Assets	70,914,317	62,102,977	133,017,294
Total Assets	115,760,307	63,256,860	179,017,167
DEFERRED OUTFLOWS OF RESOURCES			
Deferred Items - IMRF	256,130	251,479	507,609
Deferred Items - Police Pension	1,029,670	—	1,029,670
Deferred Items - Firefighter's Pension	2,854,713	—	2,854,713
Deferred Items - RBP	3,493,258	684,708	4,177,966
Unamortized Loss on Refunding	—	114,583	114,583
Total Deferred Outflows of Resources	7,633,771	1,050,770	8,684,541
Total Assets and Deferred Outflows of Resources	123,394,078	64,307,630	187,701,708

The notes to the financial statements are an integral part of this statement.

LIABILITIES	Primary Government		
	Governmental Activities	Business-Type Activities	Totals
Current Liabilities			
Accounts Payable	\$ 1,898,204	1,285,601	3,183,805
Retainage Payable	—	378,452	378,452
Accrued Payroll	1,108,453	239,827	1,348,280
Accrued Interest	66,553	87,723	154,276
Unearned Revenues	—	36,578	36,578
Deposits Payable	—	250,000	250,000
Other Payables	17,237	—	17,237
Current Portion of Long-Term Debt	1,395,266	2,582,286	3,977,552
Total Current Liabilities	4,485,713	4,860,467	9,346,180
Noncurrent Liabilities			
Claims Payable	525,359	—	525,359
Compensated Absences Payable	3,358,756	209,144	3,567,900
Net Pension Liability - IMRF	117,464	115,329	232,793
Net Pension Liability - Police Pension	18,711,291	—	18,711,291
Net Pension Liability - Firefighter's Pension	40,814,707	—	40,814,707
Total OPEB Liability - RBP	22,801,569	4,469,299	27,270,868
General Obligation Bonds Payable - Net	4,095,000	4,045,000	8,140,000
IEPA Loans Payable	—	26,716,762	26,716,762
Promissory Notes Payable	275,181	838,709	1,113,890
Leases	—	123,101	123,101
Total Noncurrent Liabilities	90,699,327	36,517,344	127,216,671
Total Liabilities	95,185,040	41,377,811	136,562,851
DEFERRED INFLOWS OF RESOURCES			
Property Taxes	7,139,851	—	7,139,851
Grants	33,320	—	33,320
Deferred Items - IMRF	1,524,806	1,497,104	3,021,910
Deferred Items - Police Pension	4,500,596	—	4,500,596
Deferred Items - Firefighter's Pension	3,949,974	—	3,949,974
Deferred Items - RBP	4,798,432	940,533	5,738,965
Total Deferred Inflows of Resources	21,946,979	2,437,637	24,384,616
Total Liabilities and Deferred Inflows of Resources	117,132,019	43,815,448	160,947,467
NET POSITION			
Net Investment in Capital Assets	65,988,559	27,904,091	93,892,650
Restricted			
Loans	2,067,627	—	2,067,627
Library Services	711,010	—	711,010
Federal and State Regulations	5,979,352	—	5,979,352
Unrestricted (Deficit)	(68,484,489)	(7,411,909)	(75,896,398)
Total Net Position	6,262,059	20,492,182	26,754,241

The notes to the financial statements are an integral part of this statement.

CITY OF PEKIN, ILLINOIS

Statement of Activities

For the Fiscal Year Ended April 30, 2021

		Program Revenues		
		Charges for Services	Operating Grants/ Contributions	Capital Grants/ Contributions
	Expenses			
Governmental Activities				
General Government	\$ 3,365,719	1,182,975	2,548,959	—
Public Safety	23,493,827	1,207,455	—	—
Public Works	5,396,495	380,997	1,220,261	1,241,400
Economic Development	1,152,326	—	—	273,246
Culture and Recreation	1,749,587	42,853	70,055	—
Interest on Long-Term Debt	194,731	—	—	—
Total Governmental Activities	35,352,685	2,814,280	3,839,275	1,514,646
Business-Type Activities				
School Bus	5,046,558	5,364,028	479,230	—
Solid Waste	2,723,622	2,909,686	—	—
Sewerage	6,007,743	7,145,078	—	—
Economic Development	(39,469)	—	—	—
Airport	348,942	213,350	35,048	—
Total Business Type Activities	14,087,396	15,632,142	514,278	—
Total Primary Government	49,440,081	18,446,422	4,353,553	1,514,646

General Revenues

Taxes

Property Tax

Home Rule Sales Tax

Food and Beverage Taxes

Other Taxes

Intergovernmental - Unrestricted

Sales Tax

State Income Tax

Use Tax

Replacement Tax

Other

Investment Income

Miscellaneous

Transfers - Internal Balances

Change in Net Position

Net Position - Beginning as Restated

Net Position - Ending

The notes to the financial statements are an integral part of this statement.

Net (Expenses), Revenues and Changes in Net Position		
Governmental Activities	Business-Type Activities	Totals
366,215	—	366,215
(22,286,372)	—	(22,286,372)
(2,553,837)	—	(2,553,837)
(879,080)	—	(879,080)
(1,636,679)	—	(1,636,679)
(194,731)	—	(194,731)
(27,184,484)	—	(27,184,484)
—	796,700	796,700
—	186,064	186,064
—	1,137,335	1,137,335
—	39,469	39,469
—	(100,544)	(100,544)
—	2,059,024	2,059,024
(27,184,484)	2,059,024	(25,125,460)
7,422,599	—	7,422,599
6,262,861	—	6,262,861
1,287,785	—	1,287,785
918,241	—	918,241
5,930,012	—	5,930,012
3,908,445	—	3,908,445
1,524,324	—	1,524,324
1,488,881	—	1,488,881
822,388	—	822,388
195,726	172,663	368,389
519,374	(20,217)	499,157
2,298,099	(2,298,099)	—
32,578,735	(2,145,653)	30,433,082
5,394,251	(86,629)	5,307,622
867,808	20,578,811	21,446,619
6,262,059	20,492,182	26,754,241

The notes to the financial statements are an integral part of this statement.

CITY OF PEKIN, ILLINOIS**Balance Sheet - Governmental Funds****April 30, 2021**

	<u>General</u>
ASSETS	
Cash and Investments	\$ 8,562,887
Receivables - Net of Allowances	
Taxes	8,203,309
Accounts	1,077,455
Loans	—
Other	248,915
Due from Other Governments	—
Due from Other Funds	10,226,170
Prepays	<u>15,956</u>
Total Assets	<u><u>28,334,692</u></u>
LIABILITIES	
Accounts Payable	478,363
Accrued Payroll	543,554
Due to Other Funds	3,623,936
Other Payables	<u>17,237</u>
Total Liabilities	<u><u>4,663,090</u></u>
DEFERRED INFLOWS OF RESOURCES	
Property Taxes	4,699,812
Grants	
Unearned Revenues	<u>585,086</u>
Total Deferred Inflows of Resources	<u><u>5,284,898</u></u>
Total Liabilities and Deferred Inflows of Resources	<u><u>9,947,988</u></u>
FUND BALANCES	
Nonspendable	105,864
Restricted	—
Committed	—
Assigned	—
Unassigned	<u>18,280,840</u>
Total Fund Balances	<u><u>18,386,704</u></u>
Total Liabilities, Deferred Inflows of Resources and Fund Balances	<u><u><u>28,334,692</u></u></u>

The notes to the financial statements are an integral part of this statement.

Special Revenue					
Motor Fuel Tax	Library	TIF #1 Central Business District	Capital Projects	Nonmajor	Totals
3,669,317	840,545	506,973	4,152,412	577,715	18,309,849
112,316	1,559,842	486,542	51,266	420,893	10,834,168
105,440	—	—	51,902	485,277	1,720,074
—	—	951,392	—	1,116,235	2,067,627
52,683	—	—	553,748	2,519	857,865
—	—	—	—	21,469	21,469
708	—	1,000,000	16,221	—	11,243,099
—	—	—	—	—	15,956
3,940,464	2,400,387	2,944,907	4,825,549	2,624,108	45,070,107
64,513	—	1,609	80,738	13,587	638,810
—	35,602	91	—	3,854	583,101
139,944	93,933	—	197,300	173,643	4,228,756
—	—	—	—	—	17,237
204,457	129,535	1,700	278,038	191,084	5,467,904
—	1,559,842	486,542	—	393,655	7,139,851
—	—	—	—	33,320	33,320
—	—	—	502,105	10,074	1,097,265
—	1,559,842	486,542	502,105	437,049	8,270,436
204,457	1,689,377	488,242	780,143	628,133	13,738,340
—	—	—	—	—	105,864
3,736,007	711,010	2,456,665	—	1,920,860	8,824,542
—	—	—	—	95,119	95,119
—	—	—	4,045,406	—	4,045,406
—	—	—	—	(20,004)	18,260,836
3,736,007	711,010	2,456,665	4,045,406	1,995,975	31,331,767
3,940,464	2,400,387	2,944,907	4,825,549	2,624,108	45,070,107

The notes to the financial statements are an integral part of this statement.

CITY OF PEKIN, ILLINOIS

Reconciliation of the Total Governmental Fund Balance to the Statement of Net Position - Governmental Activities

April 30, 2021

Total Governmental Fund Balances	\$ 31,331,767
Amounts reported for governmental activities in the Statement of Net Position are different because:	
Capital assets used in Governmental Activities are not financial resources and therefore, are not reported in the funds.	70,914,317
Less: Internal Service Capital Assets	(15,056)
Internal service funds are used by the City to charge the costs of insurance and supporting services to individual funds.	
The assets and liabilities of the internal service funds are included in the governmental activities in the Statement of Net Position.	897,193
Deferred outflows (inflows) of resources related to the pensions not reported in the funds.	
Deferred Items - IMRF	(1,109,984)
Deferred Items - Police Pension	(3,470,926)
Deferred Items - Firefighters' Pension	(1,095,261)
Deferred Items - RBP	(1,274,715)
Certain revenues that are deferred in the governmental funds are recognized as revenue in the governmental activities.	1,097,265
Long-term liabilities are not due and payable in the current period and therefore are not reported in the funds.	
Compensated Absences Payable	(4,122,010)
Net Pension Liability - IMRF	(102,771)
Net Pension Liability - Police Pension	(18,711,291)
Net Pension Liability - Firefighters' Pension	(40,814,707)
Total OPEB Liability - RBP	(22,269,451)
General Obligation Bonds Payable	(4,340,000)
Promissory Notes	(585,758)
Accrued Interest Payable	(66,553)
Net Position of Governmental Activities	6,262,059

CITY OF PEKIN, ILLINOIS

**Statement of Revenues, Expenditures and Changes in Fund Balances - Governmental Funds
For the Fiscal Year Ended April 30, 2021**

See Following Page

CITY OF PEKIN, ILLINOIS**Statement of Revenues, Expenditures and Changes in Fund Balances - Governmental Funds
For the Fiscal Year Ended April 30, 2021**

	<u>General</u>
Revenues	
Taxes	\$ 13,401,378
Intergovernmental	15,761,678
Licenses and Permits	543,853
Charges for Services	2,021,284
Fines, Forfeitures and Penalties	180,584
Investment Income	96,586
Miscellaneous	502,562
Total Revenues	<u>32,507,925</u>
Expenditures	
General Government	2,916,031
Public Safety	24,336,227
Public Works	1,751,700
Economic Development	—
Culture and Recreation	—
Capital Outlay	1,162,189
Debt Service	
Principal Retirement	546,308
Interest and Fiscal Charges	184,797
Total Expenditures	<u>30,897,252</u>
Excess (Deficiency) of Revenues Over (Under) Expenditures	<u>1,610,673</u>
Other Financing Sources (Uses)	
Disposal of Capital Assets	729
Transfers In	2,313,099
Transfers Out	(12,500)
	<u>2,301,328</u>
Net Change in Fund Balances	3,912,001
Fund Balances - Beginning as Restated	<u>14,474,703</u>
Fund Balances - Ending	<u><u>18,386,704</u></u>

The notes to the financial statements are an integral part of this statement.

Special Revenue					
Motor Fuel Tax	Library	TIF #1 Central Business District	Capital Projects	Nonmajor	Totals
—	1,151,829	518,313	487,865	332,101	15,891,486
2,461,661	151,316	—	—	273,246	18,647,901
—	—	—	—	—	543,853
—	37,579	—	22,611	—	2,081,474
—	5,274	—	3,095	—	188,953
18,297	899	23,202	53,947	2,602	195,533
—	13,590	1,488	1,734	—	519,374
2,479,958	1,360,487	543,003	569,252	607,949	38,068,574
—	—	—	609,831	—	3,525,862
—	—	—	—	45,010	24,381,237
390,523	—	—	—	—	2,142,223
—	—	731,196	—	413,075	1,144,271
—	1,415,054	—	—	—	1,415,054
1,904,044	5,975	4,240	310,841	2,294	3,389,583
—	—	—	—	93,515	639,823
—	—	—	—	2,997	187,794
2,294,567	1,421,029	735,436	920,672	556,891	36,825,847
185,391	(60,542)	(192,433)	(351,420)	51,058	1,242,727
—	—	—	—	—	729
—	—	—	12,500	677,062	3,002,661
—	(15,000)	(677,062)	—	—	(704,562)
—	(15,000)	(677,062)	12,500	677,062	2,298,828
185,391	(75,542)	(869,495)	(338,920)	728,120	3,541,555
3,550,616	786,552	3,326,160	4,384,326	1,267,855	27,790,212
3,736,007	711,010	2,456,665	4,045,406	1,995,975	31,331,767

The notes to the financial statements are an integral part of this statement.

CITY OF PEKIN, ILLINOIS

Reconciliation of the Statement of Revenues, Expenditures and Changes in Fund Balances of the Governmental Funds to the Statement of Activities - Governmental Activities For the Fiscal Year Ended April 30, 2021

Net Change in Fund Balances - Total Governmental Funds	\$ 3,541,555
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Amounts reported for governmental activities in the Statement of Activities
are different because:

Governmental funds report capital outlays as expenditures. However, in the
Statement of Activities the cost of those assets is allocated over their estimated
useful lives and reported as depreciation expense.

Capital Outlays	2,389,426
Depreciation Expense	(2,305,494)
Disposals - Cost	(156,636)
Disposals - Accumulated Depreciation	124,723
Less: Internal Service	938

Internal service funds are used by the City to charge the costs of insurance and supporting
services to individual funds. The net revenue of certain activities of internal service funds is
reported with governmental activities.

(1,139,701)

The net effect of deferred outflows (inflows) of resources related
to the pensions not reported in the funds.

Change in Deferred Items - IMRF	(700,908)
Change in Deferred Items - Police Pension	(6,158,986)
Change in Deferred Items - Firefighters' Pension	(5,140,264)
Change in Deferred Items - RBP	1,943,544

A deduction to certain revenues recognized as revenue only in the governmental funds.

380,070

The issuance of long-term debt provides current financial resources to
governmental funds, while the repayment of the principal on long-term
debt consumes the current financial resources of the governmental funds.

Change in Compensated Absences	(245,737)
Change in Net Pension Liability - IMRF	1,134,676
Change in Net Pension Liability - Police Pension	6,693,562
Change in Net Pension Liability - Firefighters' Pension	5,966,912
Change in Total OPEB Liability - RBP	(1,566,315)
Retirement of Debt	639,823

Changes to accrued interest on long-term debt in the Statement of Activities
does not require the use of current financial resources and, therefore, are not
reported as expenditures in the governmental funds.

(6,937)

Changes in Net Position of Governmental Activities

5,394,251

The notes to the financial statements are an integral part of this statement.

CITY OF PEKIN, ILLINOIS

Statement of Net Position - Proprietary Funds

April 30, 2021

See Following Page

CITY OF PEKIN, ILLINOIS

Statement of Net Position - Proprietary Funds

April 30, 2021

	<u>School Bus</u>
ASSETS	
Current Assets	
Cash and Investments	\$ 335,033
Receivables - Net of Allowances	
Accounts	1,089,221
Other	—
Due from Other Funds	—
Inventories	—
Prepays	235,591
Total Current Assets	<u>1,659,845</u>
Noncurrent Assets	
Capital Assets	
Nondepreciable	—
Depreciable and Amortizable	2,573,196
Accumulated Depreciation and Amortization	(1,383,644)
Total Capital Assets	<u>1,189,552</u>
Other Assets	
Loans Receivable	—
Total Noncurrent Assets	<u>1,189,552</u>
Total Assets	<u>2,849,397</u>
DEFERRED OUTFLOWS OF RESOURCES	
Deferred Items - IMRF	80,291
Deferred Items - RBP	138,880
Unamortized Loss on Refunding	—
Total Deferred Outflows of Resources	<u>219,171</u>
Total Assets and Deferred Outflows of Resources	<u>3,068,568</u>

The notes to the financial statements are an integral part of this statement.

Business-Type Activities					Governmental
Solid			Nonmajor		Activities
Waste	Sewerage	Airport	Economic	Totals	Internal
			Development		Services
260,991	4,287,801	32	317,811	5,201,668	283,843
650,928	1,827,842	23,985	2,258,460	5,850,436	160,092
—	225,616	—	—	225,616	—
222,437	92,722	—	—	315,159	4,432,394
—	—	40,883	—	40,883	—
—	—	156,011	—	391,602	18,725
1,134,356	6,433,981	220,911	2,576,271	12,025,364	4,895,054
—	4,168,037	3,769,325	—	7,937,362	—
2,232,641	76,991,778	2,528,775	—	84,326,390	89,537
(1,344,031)	(25,852,012)	(1,640,985)	—	(30,220,672)	(74,481)
888,610	55,307,803	4,657,115	—	62,043,080	15,056
—	—	—	59,897	59,897	—
888,610	55,307,803	4,657,115	59,897	62,102,977	15,056
2,022,966	61,741,784	4,878,026	2,636,168	74,128,341	4,910,110
75,065	89,599	6,309	215	251,479	32,038
263,833	252,573	28,318	1,104	684,708	81,522
—	114,583	—	—	114,583	—
338,898	456,755	34,627	1,319	1,050,770	113,560
2,361,864	62,198,539	4,912,653	2,637,487	75,179,111	5,023,670

The notes to the financial statements are an integral part of this statement.

CITY OF PEKIN, ILLINOIS

Statement of Net Position - Proprietary Funds - Continued
April 30, 2021

	School Bus
LIABILITIES	
Current Liabilities	
Accounts Payable	\$ 17,243
Retainage Payable	—
Accrued Payroll	141,321
Accrued Interest	—
Unearned Revenues	—
Deposits Payable	—
Due to Other Funds	554,877
Current Portion of Long-Term Debt	9,960
Total Current Liabilities	<u>723,401</u>
Noncurrent Liabilities	
Claims Payable	—
Compensated Absences Payable	39,838
Net Pension Liability - IMRF	36,822
Total OPEB Liability - RBP	906,512
General Obligation Bonds Payable	—
IEPA Loans Payable	—
Promissory Notes	—
Leases Payable	—
Total Noncurrent Liabilities	<u>983,172</u>
Total Liabilities	<u>1,706,573</u>
DEFERRED INFLOWS OF RESOURCES	
Deferred Items - IMRF	477,988
Deferred Items - RBP	190,769
Total Deferred Inflows of Resources	<u>668,757</u>
Total Liabilities and Deferred Inflows of Resources	<u>2,375,330</u>
NET POSITION	
Net Investment in Capital Assets	1,189,552
Unrestricted (Deficit)	<u>(496,314)</u>
Total Net Position	<u>693,238</u>

The notes to the financial statements are an integral part of this statement.

Business-Type Activities					Governmental
Solid			Nonmajor		Activities
Waste	Sewerage	Airport	Economic	Totals	Internal
			Development		Services
47,904	1,202,905	17,549	—	1,285,601	1,260,053
—	378,452	—	—	378,452	—
41,305	53,124	4,000	77	239,827	525,352
—	87,723	—	—	87,723	—
36,578	—	—	—	36,578	—
250,000	—	—	—	250,000	—
2,878,154	6,024,687	1,413,100	663	10,871,481	889,756
20,943	2,550,897	486	—	2,582,286	15,287
3,274,884	10,297,788	1,435,135	740	15,731,948	2,690,448
—	—	—	—	—	525,359
83,773	83,587	1,946	—	209,144	61,148
34,425	41,091	2,893	98	115,329	14,693
1,722,118	1,648,622	184,843	7,204	4,469,299	532,118
—	4,045,000	—	—	4,045,000	—
—	26,716,762	—	—	26,716,762	—
—	838,709	—	—	838,709	—
—	123,101	—	—	123,101	—
1,840,316	33,496,872	189,682	7,302	36,517,344	1,133,318
5,115,200	43,794,660	1,624,817	8,042	52,249,292	3,823,766
446,876	533,404	37,559	1,277	1,497,104	190,730
362,408	346,941	38,899	1,516	940,533	111,981
809,284	880,345	76,458	2,793	2,437,637	302,711
5,924,484	44,675,005	1,701,275	10,835	54,686,929	4,126,477
888,610	21,168,814	4,657,115	—	27,904,091	15,056
(4,451,230)	(3,645,280)	(1,445,737)	2,626,652	(7,411,909)	882,137
(3,562,620)	17,523,534	3,211,378	2,626,652	20,492,182	897,193

The notes to the financial statements are an integral part of this statement.

CITY OF PEKIN, ILLINOIS

**Statement of Revenues, Expenses, and Changes in Net Position - Proprietary Fund
For the Fiscal Year Ended April 30, 2021**

	<u>School Bus</u>
Operating Revenues	
Charges for Services	<u>\$ 5,364,028</u>
Operating Expenses	
Operations	4,904,736
Depreciation	<u>141,822</u>
Total Operating Expenses	<u>5,046,558</u>
Operating Income (Loss)	<u>317,470</u>
Nonoperating Revenues (Expenses)	
Investment Income	1,367
Grants	479,230
Other Income (Expenses)	—
Interest Expense	<u>—</u>
	<u>480,597</u>
Income (Loss) Before Transfers	798,067
Transfers Out	<u>(1,213,099)</u>
Change in Net Position	(415,032)
Net Position - Beginning as Restated	<u>1,108,270</u>
Net Position - Ending	<u><u>693,238</u></u>

The notes to the financial statements are an integral part of this statement.

Business-Type Activities					Governmental Activities
Solid Waste	Sewerage	Airport	Nonmajor Economic Development	Totals	Internal Services
2,909,686	7,145,078	213,350	—	15,632,142	6,989,427
2,547,959	3,297,935	282,635	(39,469)	10,993,796	8,128,383
175,663	2,024,899	66,307	—	2,408,691	938
2,723,622	5,322,834	348,942	(39,469)	13,402,487	8,129,321
186,064	1,822,244	(135,592)	39,469	2,229,655	(1,139,894)
5,083	69,031	33	97,149	172,663	193
—	—	35,048	—	514,278	—
(20,217)	—	—	—	(20,217)	—
—	(684,909)	—	—	(684,909)	—
(15,134)	(615,878)	35,081	97,149	(18,185)	193
170,930	1,206,366	(100,511)	136,618	2,211,470	(1,139,701)
—	(860,000)	—	(225,000)	(2,298,099)	—
170,930	346,366	(100,511)	(88,382)	(86,629)	(1,139,701)
(3,733,550)	17,177,168	3,311,889	2,715,034	20,578,811	2,036,894
(3,562,620)	17,523,534	3,211,378	2,626,652	20,492,182	897,193

The notes to the financial statements are an integral part of this statement.

CITY OF PEKIN, ILLINOIS

Statement of Cash Flows - Proprietary Fund For the Fiscal Year Ended April 30, 2021

	School Bus
Cash Flows from Operating Activities	
Receipts from Customers and Users	\$ 5,526,688
Payments to Employees	(3,441,646)
Payments to Suppliers	(1,265,585)
	<u>819,457</u>
Cash Flows from Capital and Related Financing Activities	
Purchase of Capital Assets	(565,615)
Issuance of Debt	—
Retirement of Debt	—
Interest Expense	—
	<u>(565,615)</u>
Cash Flows from Noncapital Financing Activities	
Transfers Out	<u>(1,213,099)</u>
Cash Flows from Investing Activities	
Investment Income	<u>1,367</u>
Net Change in Cash and Cash Equivalents	(957,890)
Cash and Cash Equivalents - Beginning	<u>1,292,923</u>
Cash and Cash Equivalents - Ending	<u><u>335,033</u></u>
Reconciliation of Operating Income to Net Cash Provided (Used) by Operating Activities	
Operating (Loss)	317,470
Adjustments to Reconcile Operating Income to Net Income to Net Cash	
Provided by (Used in) Operating Activities	
Depreciation Expense	141,822
Other Income	479,230
(Increase) Decrease in Current Assets	(316,570)
Increase (Decrease) in Current Liabilities	<u>197,505</u>
Net Cash Provided by Operating Activities	<u><u>819,457</u></u>

The notes to the financial statements are an integral part of this statement.

Business-Type Activities					Governmental
Solid Waste	Sewerage	Airport	Nonmajor	Totals	Activities
			Economic Development		Internal Service
2,729,219	7,363,438	93,026	233,741	15,946,112	7,290,733
(1,209,893)	(1,454,851)	(213,350)	39,498	(6,280,242)	(607,986)
(1,263,418)	5,119,669	(20,718)	(49,407)	2,520,541	(6,399,097)
255,908	11,028,256	(141,042)	223,832	12,186,411	283,650
—	(4,623,097)	141,041	—	(5,047,671)	—
—	4,130,417	—	—	4,130,417	—
—	(6,670,827)	—	—	(6,670,827)	—
—	(684,909)	—	—	(684,909)	—
—	(7,848,416)	141,041	—	(8,272,990)	—
—	(860,000)	—	(225,000)	(2,298,099)	—
5,083	69,031	33	97,149	172,663	193
260,991	2,388,871	32	95,981	1,787,985	283,843
—	1,898,930	—	221,830	3,413,683	—
260,991	4,287,801	32	317,811	5,201,668	283,843
186,064	1,822,244	(135,592)	39,469	2,229,655	(1,139,894)
175,663	2,024,899	66,307	—	2,408,691	938
(20,217)	—	35,048	—	494,061	—
(160,250)	218,360	(155,372)	233,741	(180,091)	301,306
74,648	6,962,753	48,567	(49,378)	7,234,095	1,121,300
255,908	11,028,256	(141,042)	223,832	12,186,411	283,650

The notes to the financial statements are an integral part of this statement.

CITY OF PEKIN, ILLINOIS

Statement of Fiduciary Net Position

April 30, 2021

	<u>Pension Trusts</u>
ASSETS	
Cash and Cash Equivalents	\$ 2,390,045
Investments	
U.S. Treasury Obligations	14,320,205
U.S Agency Obligations	1,280,573
Corporate Bonds	6,912,799
Municipal Bonds	1,006,669
Mutual Funds	46,428,145
Common Stock	5,584,825
Receivables - Net of Allowances	
Accrued Interest	149,872
Due from Other Funds	136
Prepays	27,113
Total Assets	<u>78,100,382</u>
LIABILITIES	
Accounts Payable	6,623
Due to Other Funds	795
Total Liabilities	<u>7,418</u>
NET POSITION	
Net Position Restricted for Pensions	<u>78,092,964</u>

The notes to the financial statements are an integral part of this statement.

CITY OF PEKIN, ILLINOIS

Statement of Changes in Fiduciary Net Position For the Fiscal Year Ended April 30, 2021

	Pension Trusts
Additions	
Contributions - Employer	\$ 5,909,702
Contributions - Plan Members	877,507
Other	57,467
Total Contributions	<u>6,844,676</u>
Investment Income	
Interest Earned	2,545,511
Net Change in Fair Value	15,466,685
	<u>18,012,196</u>
Less Investment Expenses	(152,810)
Net Investment Income	<u>17,859,386</u>
Total Additions	<u>24,704,062</u>
Deductions	
Administration	145,557
Benefits and Refunds	7,082,316
Total Deductions	<u>7,227,873</u>
Change in Fiduciary Net Position	17,476,189
Net Position Restricted for Pensions	
Beginning	<u>60,616,775</u>
Ending	<u><u>78,092,964</u></u>

The notes to the financial statements are an integral part of this statement.

Notes to the Financial Statements

April 30, 2021

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The City of Pekin, Illinois (the City) is a municipal corporation governed by an elected mayor and six-member council. The City's major operations include: public safety, public works, economic development, culture and recreation, school bus, solid waste, sewerage, airport, and general administrative services.

The government-wide financial statements are prepared in accordance with generally accepted accounting principles (GAAP). The Governmental Accounting Standards Board (GASB) is responsible for establishing GAAP for state and local governments through its pronouncements (Statements and Interpretations). The more significant of the City's accounting policies established in GAAP and used by the City are described below.

REPORTING ENTITY

The City is a municipal corporation governed by an elected mayor and six-member council. The accompanying financial statements present the government and its component units, entities for which the government is considered to be financially accountable. Blended component units are, in substance, part of the primary government's operations, even though they are legally separate entities. Thus, blended component units are appropriately presented as funds of the primary government. Each discretely presented component unit is reported in a separate column in the government-wide financial statements to emphasize that it is both legally and substantively separate from the government. Management has determined that there are two fiduciary component units that are required to be included in the financial statements of the City as pension trust funds and there are no discretely component units to include in the reporting entity.

Blended Component Units

Police Pension Employees Retirement System

The City's sworn police employees participate in the Police Pension Employees Retirement System (PPERS). PPERS functions for the benefit of these employees and is governed by a five-member pension board. Two members appointed by the City Mayor, one elected pension beneficiary and two elected police employees constitute the pension board. The participants are required to contribute a percentage of salary as established by state statute and the City is obligated to fund all remaining PPERS costs based upon actuarial valuations. The State of Illinois is authorized to establish benefit levels and the City is authorized to approve the actuarial assumptions used in the determination of contribution levels. Although it is legally separate from the City, the PPERS is reported as if it were part of the primary government because its sole purpose is to provide retirement benefits for the City's police employees. The PPERS is reported as a fiduciary fund, and specifically a pension trust fund, due to the fiduciary responsibility exercised over the PPERS.

Firefighters' Pension Employees Retirement System

The City's sworn firefighters participate in the Firefighters' Pension Employees Retirement System (FPERS). FPERS functions for the benefit of those employees and is governed by a five-member pension board, with two members appointed by the City Mayor, two elected from active participants of the Fund, and one elected from the retired members of the Fund. The participants are required to contribute a percentage of salary as established by state statute and the City is obligated to fund all remaining FPERS costs based upon actuarial valuations. The State of Illinois is authorized to establish benefit levels and the City is authorized to approve the actuarial assumptions used in the determination of contribution levels. Although it is legally separate from the City, the FPERS is reported as if it were part of the primary government because its sole purpose is to provide retirement benefits for the City's sworn firefighters. The FPERS is reported as a fiduciary fund, and specifically a pension trust fund, due to the fiduciary responsibility exercised over the FPERS.

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES - Continued

BASIS OF PRESENTATION

Government-Wide Statements

The City's basic financial statements include both government-wide (reporting the City as a whole) and fund financial statements (reporting the City's major funds). Both the government-wide and fund financial statements categorize primary activities as either governmental or business type. The City's general government, public safety, public works, economic development, and culture and recreation services are classified as governmental activities. The City's school bus, solid waste, sewerage, economic development, and airport services are classified as business-type activities.

In the government-wide Statement of Net Position, both the governmental and business-type activities columns are: (a) presented on a consolidated basis by column, and (b) reported on a full accrual, economic resource basis, which recognizes all long-term assets/deferred outflows and receivables as well as long-term debt/deferred inflows and obligations. The City's net position is reported in three parts: net investment in capital assets; restricted; and unrestricted. The City first utilizes restricted resources to finance qualifying activities.

The government-wide Statement of Activities reports both the gross and net cost of each of the City's functions and business-type activities (general government, public safety, public works, etc.). The functions are supported by general government revenues (property, sales and use taxes, certain intergovernmental revenues, fines, licenses, permits and charges, etc.). The Statement of Activities reduces gross expenses (including depreciation) by related program revenues, operating and capital grants. Program revenues must be directly associated with the function (general government, public safety, public works, etc.) or a business-type activity. Operating grants include operating-specific and discretionary (either operating or capital) grants while the capital grants column reflects capital-specific grants.

The net costs (by function or business-type activity) are normally covered by general revenue (property tax, home rule sales tax, intergovernmental revenues, interest income, etc.).

The City does not allocate indirect costs. An administrative service fee is charged by the General Fund to the other operating funds that is eliminated like a reimbursement (reducing the revenue and expense in the General Fund) to recover the direct costs of General Fund services provided (finance, personnel, purchasing, legal, technology management, etc.).

This government-wide focus is more on the sustainability of the City as an entity and the change in the City's net position resulting from the current year's activities.

Fund Financial Statements

The financial transactions of the City are reported in individual funds in the fund financial statements. Each fund is accounted for by providing a separate set of self-balancing accounts that comprise its assets/deferred outflows, liabilities/deferred inflows, fund equity, revenues and expenditures/expenses. Funds are organized into three major categories: governmental, proprietary, and fiduciary. The emphasis in fund financial statements is on the major funds in either the governmental or business-type activities categories.

Notes to the Financial Statements

April 30, 2021

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES - Continued

BASIS OF PRESENTATION - Continued

Fund Financial Statements - Continued

GASB Statement No. 34 sets forth minimum criteria (percentage of the assets/deferred outflows, liabilities/deferred inflows, revenues or expenditures/expenses of either fund category or the governmental and enterprise combined) for the determination of major funds. The City electively added funds, as major funds, which either had debt outstanding or specific community focus. The nonmajor funds are combined in a column in the fund financial statements. A fund is considered major if it is a primary operating fund of the City or meets the following criteria:

Total assets/deferred outflows, liabilities/deferred inflows, revenues, or expenditures/expenses of that individual governmental or enterprise fund are at least 10 percent of the corresponding total for all funds of that category or type; and

Total assets/deferred outflows, liabilities/deferred inflows, revenues, or expenditures/expenses of the individual governmental or enterprise fund are at least 5 percent of the corresponding total for all governmental and enterprise funds combined.

The various funds are reported by generic classification within the financial statements. The following fund types are used by the City:

Governmental Funds

The focus of the governmental funds' measurement (in the fund statements) is upon determination of financial position and changes in financial position (sources, uses, and balances of financial resources) rather than upon net income. The following is a description of the governmental funds of the City:

General Fund is the general operating fund of the City. It is used to account for all financial resources except those required to be accounted for in another fund. The General Fund is a major fund.

Special Revenue Funds are used to account for the proceeds of specific revenue sources that are legally restricted to expenditures for specified purposes. The City maintains three major special revenue funds. The Motor Fuel Tax Fund is used to account for motor fuel tax allocations from the State of Illinois and expenditures related to the City's annual road rehabilitation and construction program. The Library Fund is used to account for taxes restricted for activities of the Pekin Public Library. The TIF #1 Central Business District Fund is used to account for tax increment financing district related property taxes and expenditures for the Central Business District. Additionally, the City maintains five nonmajor special revenue funds.

Capital Projects Funds are used to account for all financial resources used for the acquisition or construction of major capital facilities, equipment, and capital asset replacement (other than those financed by business-type/proprietary funds). The City maintains one major capital projects fund.

Proprietary Funds

The focus of proprietary fund measurement is upon determination of operating income, changes in net position, financial position, and cash flows. The generally accepted accounting principles applicable are those similar to businesses in the private sector. The following is a description of the proprietary funds of the City.

Notes to the Financial Statements

April 30, 2021

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES - Continued

BASIS OF PRESENTATION - Continued

Fund Financial Statements - Continued

Proprietary Funds - Continued

Enterprise Funds are required to account for operations for which a fee is charged to external users for goods or services and the activity (a) is financed with debt that is solely secured by a pledge of the net revenues, (b) has third party requirements that the cost of providing services, including capital costs, be recovered with fees and charges or (c) establishes fees and charges based on a pricing policy designed to recover similar costs. The City maintains four major enterprise funds. The School Bus Fund is used to account for the operations of the City's school bus operations. The Solid Waste Fund is used to account for the provision of solid waste collection and disposal services. The Sewerage Fund is used to account for the operations of the City's sewerage Services. The Airport Fund is used to account for the operation of the City's municipal airport.

Internal Service Funds are used to account for the financing of goods or services provided by an activity to other departments, funds or component units of the City on a cost-reimbursement basis. The City maintains two internal service funds. The Vehicle Maintenance Fund is used to account for vehicle fuel, repairs and maintenance services provided to the various City departments for City owned vehicles. The Insurance Fund is used to account for insurance premiums and claims for City employees and their dependents. The City's internal service funds are presented in the proprietary funds financial statements. Because the principal users of the internal services are the City's governmental activities, the financial statements of the internal service funds are consolidated into the governmental column when presented in the government-wide financial statements. To the extent possible, the cost of these services is reported in the appropriate functional activity (general government, public safety, public works, etc.).

Fiduciary Funds

Fiduciary funds are used to report assets held in a trustee or custodial capacity for others and therefore are not available to support City programs. The reporting focus is on net position and changes in net position and is reported using accounting principles similar to proprietary funds.

Pension Trust Funds are used to account for assets held in a trustee capacity for pension benefit payments. The Police Pension Fund accounts for the accumulation of resources to pay retirement and other related benefits for sworn members of the City's police force. The Firefighters' Pension Fund accounts for the accumulation of resources to pay retirement and other related benefits for sworn members of the City's Fire Department.

The City's pension trust funds are presented in the fiduciary fund financial statements. Since by definition these assets are being held for the benefit of a third party (pension participants and others) and cannot be used to address activities or obligations of the City, these funds are not incorporated into the government-wide statements.

MEASUREMENT FOCUS AND BASIS OF ACCOUNTING

Measurement focus is a term used to describe "which" transactions are recorded within the various financial statements. Basis of accounting refers to "when" transactions are recorded regardless of the measurement focus applied.

Notes to the Financial Statements

April 30, 2021

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES - Continued

MEASUREMENT FOCUS AND BASIS OF ACCOUNTING - Continued

Measurement Focus

On the government-wide Statement of Net Position and the Statement of Activities, both governmental and business-type activities are presented using the economic resources measurement focus as defined below. In the fund financial statements, the “current financial resources” measurement focus or the “economic resources” measurement focus is used as appropriate.

All governmental funds utilize a “current financial resources” measurement focus. Only current financial assets/deferred outflows and liabilities/deferred inflows are generally included on their balance sheets. Their operating statements present sources and uses of available spendable financial resources during a given period. These funds use fund balance as their measure of available spendable financial resources at the end of the period.

All proprietary and pension trust funds utilize an “economic resources” measurement focus. The accounting objectives of the “economic resources” measurement focus is the determination of operating income, changes in net position (or cost recovery), financial position, and cash flows. All assets/deferred outflows, liabilities/deferred inflows (whether current or noncurrent) associated with their activities are reported. Proprietary and pension trust fund equity is classified as net position.

Basis of Accounting

In the government-wide Statement of Net Position and Statement of Activities, governmental and business-type activities are presented using the accrual basis of accounting. Under the accrual basis of accounting, revenues are recognized when earned and expenses are recorded when the liability/deferred inflow is incurred or economic asset used. Revenues, expenses, gains, losses, assets/deferred outflows, and liabilities/deferred inflows resulting from exchange and exchange-like transactions are recognized when the exchange takes place.

In the fund financial statements, governmental funds are presented on the modified accrual basis of accounting. Under this modified accrual basis of accounting, revenues are recognized when “measurable and available.” Measurable means knowing or being able to reasonably estimate the amount. Available means collectible within the current period or within sixty days after year-end. The City recognizes property taxes when they become both measurable and available in accordance with GASB Codification Section P70. A sixty-day availability period is used for revenue recognition for all other governmental fund revenues, except for sales taxes and utility taxes which use a ninety-day period. Expenditures (including capital outlay) are recorded when the related fund liability is incurred, except for expenditures related to compensated absences, claims and judgments, general obligation bond principal and interest, and pension and other post-employment benefits, which are recognized when due.

In applying the susceptible to accrual concept under the modified accrual basis, those revenues susceptible to accrual are property taxes, sales and use taxes, franchise taxes, licenses, interest revenue, and charges for services. All other revenues are not susceptible to accrual because generally they are not measurable until received in cash.

All proprietary and pension trust funds utilize the accrual basis of accounting. Under the accrual basis of accounting, revenues are recognized when earned and expenses are recorded when the liability is incurred or economic asset used.

Notes to the Financial Statements

April 30, 2021

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES - Continued

MEASUREMENT FOCUS AND BASIS OF ACCOUNTING - Continued

Basis of Accounting - Continued

Proprietary funds distinguish operating revenues and expenses from nonoperating items. Operating revenues and expenses generally result from providing services and producing and delivering goods in connection with a proprietary fund's principal ongoing operations. The principal operating revenues of the City's enterprise funds and of the City's internal service funds are charges to customers for sales and services. Operating expenses for enterprise funds and internal service funds include the cost of sales and services, administrative expenses, and depreciation on capital assets. All revenues and expenses not meeting this definition are reported as nonoperating revenues and expenses.

ASSETS/DEFERRED OUTFLOWS, LIABILITIES/DEFERRED INFLOWS, AND NET POSITION OR EQUITY

Cash and Investments

For the purpose of the Statement of Net Position, cash and cash equivalents are considered to be cash on hand, demand deposits, and cash with fiscal agent. For the purpose of the proprietary funds' Statement of Cash Flows, cash and cash equivalents are considered to be cash on hand, demand deposits, cash with fiscal agent, and all highly liquid investments with an original maturity of three months or less.

Investments are generally reported at fair value. Short-term investments are reported at cost, which approximates fair value. For investments, the City categorizes its fair value measurements within the fair value hierarchy established by generally accepted accounting principles. The hierarchy is based on the valuation inputs used to measure the fair value of the asset. Level 1 inputs are quoted prices in active markets for identical assets; Level 2 inputs are significant other observable inputs; Level 3 inputs are significant unobservable inputs.

Interfund Receivables, Payables and Activity

Interfund activity is reported as loans, services provided, reimbursements or transfers. Loans are reported as interfund receivables and payables as appropriate and are subject to elimination upon consolidation. Services provided, deemed to be at market or near market rates, are treated as revenues and expenditures/expenses. Internal service fund services provided and used are not eliminated in the process of consolidation. Reimbursements are when one fund incurs a cost, charges the appropriate benefiting fund and reduces its related cost as a reimbursement. All other interfund transactions are treated as transfers. Transfers between governmental or proprietary funds are netted as part of the reconciliation to the government-wide financial statements.

Deferred Outflows/Inflows of Resources

Deferred outflows/inflows of resources represents a consumption/acquisition of net assets that applies to a future period and therefore will not be recognized as an outflow of resources (expense)/inflow of resources (revenue) until that future time.

Notes to the Financial Statements

April 30, 2021

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES - Continued

ASSETS/DEFERRED OUTFLOWS, LIABILITIES/DEFERRED INFLOWS, AND NET POSITION OR EQUITY - Continued

Receivables

In the government-wide financial statements, receivables consist of all revenues earned at year-end and not yet received. Allowances for uncollectible accounts receivable are based upon historical trends and the periodic aging of accounts receivable. Major receivables balances for governmental activities include property taxes, sales and use taxes, franchise taxes, and grants. Business-type activities report charges for services as their major receivables.

Prepays/Inventories

Certain payments to vendors reflect costs applicable to future accounting periods and are recorded as prepaids/inventories in both the government-wide and fund financial statements. Prepaids/inventories are valued at cost, which approximates market, using the first-in/first-out (FIFO) method. The costs of governmental fund-type prepaids/inventories are recorded as expenditures when consumed rather than when purchased.

Capital Assets

Capital assets purchased or acquired with an original cost of \$5,000, depending on asset class, or more are reported at historical cost or estimated historical cost. Contributed assets are reported at acquisition value as of the date received. Additions, improvements and other capital outlays that significantly extend the useful life of an asset are capitalized. Other costs incurred for repairs and maintenance are expensed as incurred.

The accounting and financial reporting treatment applied to a fund is determined by its measurement focus. General capital assets are long-lived assets of the City as a whole. When purchased, such assets are recorded as expenditures in the governmental funds and capitalized. Infrastructure such as streets, traffic signals and signs are capitalized. The valuation basis for general capital assets are historical cost, or where historical cost is not available, estimated historical cost based on replacement costs.

Capital assets in the proprietary funds are capitalized/amortized in the fund in which they are utilized. The valuation basis for proprietary fund capital assets are the same as those used for the general capital assets.

Depreciation/amortization on all assets is computed and recorded using the straight-line method of depreciation/amortization over the following estimated useful lives:

Buildings	10 - 50 Years
Infrastructure	20 - 50 Years
Machinery and Equipment	5 - 20 Years
Vehicles	5 - 10 Years
Library Books	10 Years
Land Improvements	20 - 50 Years
Utility Plant	20 - 50 Years
Loan Closing Costs	20 Years

Notes to the Financial Statements

April 30, 2021

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES - Continued

ASSETS/DEFERRED OUTFLOWS, LIABILITIES/DEFERRED INFLOWS, AND NET POSITION OR EQUITY - Continued

Compensated Absences

The City accrues accumulated unpaid vacation and associated employee-related costs when earned (or estimated to be earned) by the employee. In accordance with GASB Statement No. 16, no liability is recorded for nonvesting accumulation rights to receive sick pay benefits. However, a liability is recognized for that portion of accumulated sick leave that is estimated to be taken as “terminal leave” prior to retirement.

All vacation pay is accrued when incurred in the government-wide and proprietary fund financial statements. A liability for these amounts is reported in the governmental funds only if they have matured, for example, as a result of employee resignations and retirements.

Long-Term Obligations

In the government-wide financial statements, and proprietary fund types in the fund financial statements, long-term debt and other long-term obligations are reported as liabilities in the applicable governmental activities, business-type activities, or proprietary fund type Statement of Net Position. Bond premiums and discounts are deferred and amortized over the life of the bonds using the effective interest method. Bonds payable are reported net of the applicable bond premium or discount. Bond issuance costs are reported as expenses at the time of issuance.

In the fund financial statements, governmental fund types recognize bond premiums and discounts, as well as bond issuance costs, during the current period. The face amount of debt issued is reported as other financing sources. Premiums received on debt issuances are reported as other financing sources while discounts on debt issuances are reported as other financing uses. Issuance costs, whether or not withheld from the actual debt proceeds received, are reported as debt service expenditures.

Net Position

In the government-wide financial statements, equity is classified as net position and displayed in three components:

Net Investment in Capital Assets - Consists of capital assets, including restricted capital assets, net of accumulated depreciation/amortization, and reduced by the outstanding balances of any bonds, mortgages, notes or other borrowings that are attributable to the acquisition, construction, or improvement of those assets.

Restricted - Consists of net position with constraints placed on the use either by (1) external groups such as creditors, grantors, contributors, or laws or regulations of other governments; or (2) law through constitutional provisions or enabling legislation.

Unrestricted - All other net position balances that do not meet the definition of “restricted” or “net investment in capital assets.”

Notes to the Financial Statements

April 30, 2021

NOTE 2 - STEWARDSHIP, COMPLIANCE AND ACCOUNTABILITY**BUDGETARY INFORMATION**

In 1996 the City Council adopted budget procedures established by law which replaced the former appropriation ordinances process. The budget, unlike the replaced annual appropriations ordinance, is required to be adopted before the beginning of the fiscal year to which it applies. Unexpended budget items lapse each year.

The budget may be changed in almost any manner and without public hearings at any time during the year pursuant to a two-thirds vote of the Council members. Further, they may delegate the power, by the same vote, to make changes within an appropriation to a budget officer. The legal level of control is by fund.

The budget for all governmental funds is prepared on the modified accrual basis of accounting; therefore, the budget comparison with actual is presented in accordance with generally accepted accounting principles. The City budgets for all fund, except the Foreign Fire Insurance Board Fund and TIF Court Fund.

EXCESS OF ACTUAL EXPENDITURES/EXPENSES OVER BUDGET IN INDIVIDUAL FUNDS

The following funds had an excess of actual expenditures/expenses, exclusive of depreciation, over budget for the fiscal year:

Fund	Excess
General	\$ 8,097,925
Motor Fuel Tax	1,729,260
Library	36,074
Foreign Fire Insurance Board	6,804
School Bus	625,400
Solid Waste	175,229
Sewerage	321,065
Airport	16,928
Insurance	1,053,180
Police Pension	465,960
Firefighters' Pension	55,099

DEFICIT NET POSITION

The following funds had deficit net position as of the date of this report:

Fund	Deficit
Solid Waste	\$ 3,562,620
Vehicle Maintenance	23,574

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS

DEPOSITS AND INVESTMENTS

The City maintains a cash and investment pool that is available for use by all funds except the pension trust funds. Each fund type's portion of this pool is displayed on the financial statements as "cash and investments." In addition, investments are separately held by several of the City's funds. The deposits and investments of the pension trust fund are held separately from those of other funds.

Permitted Deposits and Investments - Illinois Statutes authorizes the City to make deposits/invest in commercial banks, savings and loan institutions, obligations of the U.S. Treasury and U.S. Agencies, obligations of States and their political subdivisions, credit union shares, repurchase agreements, commercial paper rated within the three highest classifications by at least two standard rating services, local government investment pools, and the Illinois Funds.

The deposits and investments of the Pension Funds are held separately from those of other City funds. Illinois Statutes authorizes the Pension Funds to make deposits/invest in interest bearing direct obligations of the United States of America; obligations that are fully guaranteed or insured as to the payment of principal and interest by the United States of America; bonds, notes, debentures, or similar obligations of agencies of the United States of America; savings accounts or certificates of deposit issued by banks or savings and loan associations chartered by the United States of America or by the State of Illinois, to the extent that the deposits are insured by the agencies or instrumentalities of the federal government; credit unions, to the extent that the deposits are insured by the agencies or instrumentalities of the federal government; State of Illinois bonds; pooled accounts managed by the Illinois Funds Market Fund (Formerly known as IPTIP, Illinois Public Treasurer's Investment Pool), or by banks, their subsidiaries or holding companies, in accordance with the laws of the State of Illinois; bonds or tax anticipation warrants of any county, township, or municipal corporation of the State of Illinois; direct obligations of the State of Israel; money market mutual funds managed by investment companies that are registered under the Federal Investment Company Act of 1940 and the Illinois Securities Law of 1953 and are diversified, open-ended management investment companies, provided the portfolio is limited to specified restrictions; general accounts of life insurance companies; and separate accounts of life insurance companies and mutual funds, the mutual funds must meet specific restrictions, provided the investment in separate accounts and mutual funds does not exceed ten percent of the Pension Fund's plan net position; and corporate bonds managed through an investment advisor, rated as investment grade by one of the two largest rating services at the time of purchase. Pension Funds with plan net position of \$2.5 million or more may invest up to forty-five percent of plan net position in separate accounts of life insurance companies and mutual funds. Pension Funds with plan net position of at least \$5 million that have appointed an investment advisor, may through that investment advisor invest up to forty-five percent of the plan net position in common and preferred stocks that meet specific restrictions. In addition, Pension Funds with plan net position of at least \$10 million that have appointed an investment advisor, may invest up to fifty percent of its net position in common and preferred stocks and mutual funds that meet specific restrictions effective July 1, 2011 and up to fifty-five percent effective July 1, 2012.

Illinois Funds is an investment pool managed by the Illinois Public Treasurer's Office which allows governments within the State to pool their funds for investment purposes. The Illinois Funds is not registered with the SEC as an investment company. Investments in Illinois Funds are in 2a7-like investment pools that are measured at the net asset value per share determined by the pool.

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

DEPOSITS AND INVESTMENTS - Continued

City

Deposits. At year-end, the carrying amount of the City's deposits for governmental and business-type totaled \$17,398,777 and the bank balances totaled \$18,162,883. In addition, the City has \$6,396,583 invested in the Illinois Funds at year-end, which has an average maturity of less than one year.

Interest Rate Risk. Interest rate risk is the risk that changes in interest rates will adversely affect the fair value of an investment. In accordance with its investment policy, the City limit its exposure to interest rate risk by structuring the portfolio so that investments will have maturities to have available sufficient cash for all operating purposes. The policy limits the average maturity of investments will not exceed five years, but specifically limits investments of (1) certificates of deposit to maturities of two years from the date of purchase, (2) U.S. Treasury Securities and U.S. Agencies back securities to maturities of ten years from the date of purchase, (3) repurchase agreements to six months to maturity from the date of purchase and, (4) commercial paper to 180 days to maturity from the date of purchase.

Credit Risk. Credit risk is the risk that an issuer or other counterparty to an investment will not fulfill its obligations. The City's investment policy does not specifically address credit risk for investments, except that commercial paper must be rated within two highest classifications by two standard rating services. At year-end, the City's investments in the Illinois Funds were rated AAA by Fitch.

Custodial Credit Risk. In the case of deposits, this is the risk that in the event of a bank failure, the City's deposits may not be returned to it. The City's investment policy requires all deposits with financial institutions in excess of federal depository insurance be collateralized at 100% of the uninsured bank balance, with collateral held by the City or third party safekeeping institution, evidenced by a written safekeeping agreement. At year-end, the entire carrying amount of the bank balance of deposits is covered by federal depository or equivalent insurance.

For an investment, this is the risk that in the event of the failure of the counterparty, the City will not be able to recover the value of its investments or collateral securities that are in the possession of an outside party. The City's investment policy requires securities be held by institutions with whom the City has executed safekeeping agreements. The investment in the Illinois Funds is not subject to custodial credit risk.

Concentration of Credit Risk. This is the risk of loss attributed to the magnitude of the City's investment in a single issuer. The City limit its exposure to concentration of credit risk by limiting the amount that may be held of the City's investment portfolio to (1) 50% of the total City investment for certificates of deposit, (2) 40% of the total City investment for money market mutual funds, (3) 30% of the total City investments for securities backed by the full faith and credit of the U.S. Government, (4) 25% of the total City investments for U.S. Agency backed securities, (5) 25% of the total City investments for repurchase agreements, and (6) 10% of the total City investments for commercial paper. At year-end, the City does not have any investments over 5 percent of the total cash and investment portfolio (other than investments issued or explicitly guaranteed by the U.S. government and investments in mutual funds, external investment pools, and other pooled investments).

Police Pension Fund

Deposits. At year-end, the carrying amount of the Fund's deposits totaled \$442,908 and the bank balances totaled \$439,419.

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

DEPOSITS AND INVESTMENTS - Continued

Police Pension Fund - Continued

Investments. The following table presents the investments and maturities of the Fund's debt securities as of April 30, 2021:

Investment Type	Fair Value	Investment Maturities (in Years)			
		Less Than 1	1-5	6-10	More Than 10
U.S. Treasury Obligations	\$ 9,447,808	1,009,531	5,824,282	2,613,995	—
U.S. Agency Obligations	337,214	—	337,214	—	—
Corporate Bonds	3,721,569	366,666	1,820,177	1,534,726	—
Municipal Bonds	125,961	—	4,153	23,854	97,954
	<u>13,632,552</u>	<u>1,376,197</u>	<u>7,985,826</u>	<u>4,172,575</u>	<u>97,954</u>

The Fund has the following recurring fair value measurements as of April 30, 2021:

Investments by Fair Value Level	Totals	Fair Value Measurements Using		
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Debt Securities				
U.S. Treasury Obligations	\$ 9,447,808	9,447,808	—	—
U.S. Agency Obligations	337,214	—	337,214	—
Corporate Bonds	3,721,569	—	3,721,569	—
Municipal Bonds	125,961	—	125,961	—
Equity Securities				
Mutual Funds	23,205,806	23,205,806	—	—
Common Stock	5,584,825	5,584,825	—	—
Total Investments by Fair Value Level	<u>42,423,183</u>	<u>38,238,439</u>	<u>4,184,744</u>	<u>—</u>

Debt Securities classified in Level 2 of the fair value hierarchy are valued using a matrix pricing technique. Matrix pricing is used to value securities based on the securities' relationship to benchmark quoted prices.

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

DEPOSITS AND INVESTMENTS - Continued

Police Pension Fund - Continued

Interest Rate Risk. In accordance with the Fund's investment policy, the Fund limits its exposure to interest rate risk by structuring the portfolio so that investments will have maturities to have available sufficient cash for all operating purposes.

Credit Risk. The Fund's investment policy does not specifically address credit risk. At year-end, the Fund's investments in U.S. Agency obligations were all rated AA+ rated by Standard & Poor's. The Fund's investment in the corporate bonds were rated AA by Moody's and the Fund's investment in municipal bonds were rated AA by Moody's.

Custodial Credit Risk. The Fund's investment policy does not specifically address custodial credit risk. At year-end, the entire carrying amount of the bank balance of deposits is covered by federal depository or equivalent insurance.

For investments, the Fund's investment policy requires securities be held by a third party custodian acting as an agent to safe-keep the assets of the funds.

Concentration of Credit Risk. The Fund's investment policy states that the allocation of assets shall be achieved through the prudent diversification of asset classes. The goal shall be to maintain liquidity while providing reasonable diversification consistent with the objectives and guidelines for the Fund. In addition to the securities and fair values listed above, the Fund also has \$23,205,806 invested in mutual funds and \$5,584,825 invested in common stock. At year-end, the Fund does not have any investments over 5 percent of plan net position (other than investments issued or explicitly guaranteed by the U.S. government and investments in mutual funds, external investment pools, and other pooled investments).

The Fund's investment policy in accordance with Illinois Compiled Statutes (ILCS) establishes the following target allocation across asset classes:

Asset Class	Target	Long-Term Expected Real Rate of Return
Fixed Income	36.00%	0.50%
Corporate Investment Grade	4.00%	1.00%
Domestic Equities	45.00%	6.60%
International Developed	15.00%	6.00%
Cash and Cash Equivalents	0.00%	0.70%

Illinois Compiled Statutes (ILCS) limit the Fund's investments in equities, mutual funds and variable annuities to 65%. Securities in any one company should not exceed 5% of the total fund.

Notes to the Financial Statements
April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

DEPOSITS AND INVESTMENTS - Continued

Police Pension Fund - Continued

Concentration of Risk - Continued. The long-term expected rate of return on the Fund's investments was determined using an asset allocation study conducted by the Fund's investment management consultant in May 2021 in which best-estimate ranges of expected future real rates of return (net of pension plan investment expense and inflation) were developed for each major asset class. These ranges were combined to produce the long-term expected rate of return by weighting the expected future real rates of return by the target asset allocation percentage and by adding the expected inflation. Best estimates or arithmetic real rates of return for each major asset class included in the Fund's target asset allocation as of April 30, 2021 are listed in the table above.

Rate of Return

For the year ended April 30, 2021, the annual money-weighted rate of return on pension plan investments, net of pension plan investment expense, was 7.36%. The money-weighted rate of return expresses investment performance, net of investment expense, adjusted for the changing amounts actually invested.

Firefighters' Pension Fund

Deposits. At year-end, the carrying amount of the Fund's deposits totaled \$1,947,137 and the bank balances totaled \$1,536,365.

Investments. The following table presents the investments and maturities of the Fund's debt securities as of April 30, 2021:

Investment Type	Fair Value	Investment Maturities (in Years)			
		Less Than 1	1-5	6-10	More Than 10
U.S. Treasury Obligations	\$ 4,872,397	—	2,491,349	2,210,236	170,812
U.S. Agency Obligations	943,359	—	—	943,359	—
Corporate Bonds	3,191,230	—	1,646,326	1,544,904	—
Municipal Bonds	880,708	—	660,071	155,232	65,405
Totals	9,887,694	—	4,797,746	4,853,731	236,217

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

DEPOSITS AND INVESTMENTS - Continued

Firefighters' Pension Fund - Continued

Investments - Continued. The Fund has the following recurring fair value measurements as of April 30, 2021:

Investments by Fair Value Level	Totals	Fair Value Measurements Using		
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Debt Securities				
U.S. Treasury Obligations	\$ 4,872,397	4,872,397	—	—
U.S. Agency Obligations	943,359	—	943,359	—
Corporate Bonds	3,191,230	—	3,191,230	—
Municipal Bonds	880,708	—	880,708	—
Equity Securities				
Mutual Funds	23,222,339	23,222,339	—	—
Total Investments Measured at Fair Value	33,110,033	28,094,736	5,015,297	—

Debt Securities classified in Level 2 of the fair value hierarchy are valued using a matrix pricing technique. Matrix pricing is used to value securities based on the securities' relationship to benchmark quoted prices.

Interest Rate Risk. In accordance with the Fund's investment policy, the Fund limits its exposure to interest rate risk by structuring the portfolio so that investments will have maturities to have available sufficient cash for all operating purposes.

Credit Risk. The Fund's investment policy does not specifically address credit risk. At year-end, the Fund's investments in U.S. agency securities are rated AA+ by Standard & Poor's. The Fund's investment in corporate bonds were rated BBB+ to A+ by Standard & Poor's and the Fund's investment in municipal bonds were rated BBB+ to A+ by Standard & Poor's.

Custodial Credit Risk. The Fund's investment policy does not specifically address custodial credit risk for deposits. At year-end, the \$245,730 of the bank balance of deposits was not covered by collateral, federal depository or equivalent insurance. For investments, the Fund's investment policy requires securities be held by a third party custodian acting as an agent to safe-keep the assets of the funds.

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

DEPOSITS AND INVESTMENTS - Continued

Firefighters' Pension Fund - Continued

Concentration of Risk. The Fund's investment policy states that the allocation of assets shall be achieved through the prudent diversification of asset classes. The goal shall be to maintain liquidity while providing reasonable diversification consistent with the objectives and guidelines for the Fund. In addition to the securities and fair values listed above, the Fund also has \$23,222,339 invested in mutual funds. At year-end, the Fund does not have any investments over 5 percent of plan net position (other than investments issued or explicitly guaranteed by the U.S. government and investments in mutual funds, external investment pools, and other pooled investments).

The Fund's investment policy in accordance with Illinois Compiled Statutes (ILCS) establishes the following target allocation across asset classes:

Asset Class	Target	Long-Term Expected Real Rate of Return
Fixed Income	36.00%	0.29%
Corporate Investment Grade	4.00%	0.54%
Domestic Equities	45.00%	6.39%
International Developed	15.00%	6.09%
Cash and Cash Equivalents	0.00%	0.00%

Illinois Compiled Statutes (ILCS) limit the Fund's investments in equities, mutual funds and variable annuities to 65%. Securities in any one company should not exceed 5% of the total fund.

The long-term expected rate of return on the Fund's investments was determined using an asset allocation study conducted by the Fund's investment management consultant in May 2021 in which best-estimate ranges of expected future real rates of return (net of pension plan investment expense and inflation) were developed for each major asset class. These ranges were combined to produce the long-term expected rate of return by weighting the expected future real rates of return by the target asset allocation percentage and by adding the expected inflation. Best estimates or arithmetic real rates of return for each major asset class included in the Fund's target asset allocation as of April 30, 2021 are listed in the table above.

Rate of Return

For the year ended April 30, 2021, the annual money-weighted rate of return on pension plan investments, net of pension plan investment expense, was 12.08%. The money-weighted rate of return expresses investment performance, net of investment expense, adjusted for the changing amounts actually invested.

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

INTERFUND BALANCES

Interfund balances result from the time lag between when transactions are recorded in the accounting system and payments between funds are made. The composition of interfund balances are as follows:

Receivable Fund	Payable Fund	Amount
General	Motor Fuel Tax	\$ 123,723
General	Capital Projects	196,592
General	Nonmajor Governmental	173,643
General	Solid Waste	1,907,525
General	Sewerage	5,607,735
General	Airport	1,387,637
General	Internal Service	828,520
General	Firefighters' Pension	795
Motor Fuel Tax	Capital Projects	708
TIF #1 Central Business District	General	1,000,000
Capital Projects	Motor Fuel Tax	16,221
Solid Waste	Sewerage	222,437
Sewerage	General	1,170
Internal Service	General	2,622,630
Sewerage	Solid Waste	91,552
Internal Service	Library	93,933
Internal Service	School Bus	554,877
Internal Service	Solid Waste	879,077
Internal Service	Sewerage	194,515
Internal Service	Airport	25,463
Internal Service	Nonmajor Business-Type	663
Internal Service	Internal Service	61,236
Firefighters' Pension	General	136
		<u>15,990,788</u>

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

INTERFUND TRANSFERS

Interfund transfers for the year consisted of the following:

Transfer In	Transfer Out	Amount
General	Library	\$ 15,000
General	School Bus	1,213,099
General	Sewerage	860,000
General	Nonmajor Business-Type	225,000
Capital Projects	General	12,500
Nonmajor Governmental	TIF #1 Central Business	<u>677,062</u>
		<u>3,002,661</u>

Transfers are used to move revenues from the fund that statute or budget requires to collect them to the fund that statute or budget requires to expend them.

PROPERTY TAXES

Property taxes for 2021 attach as an enforceable lien on January 1, on property values assessed as of the same date. Taxes are levied by December of the subsequent fiscal year (by passage of a Tax Levy Ordinance). Tax bills are prepared by County and are payable in two installments, , on or about June 1, and September 1. The County collects such taxes and remits them periodically. The allowance for uncollectible taxes has been stated at 1% of the tax levy, to reflect actual collection experience.

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

CAPITAL ASSETS

Governmental Activities

Governmental capital asset activity for the year was as follows:

	Beginning Balances	Increases	Decreases	Ending Balances
Nondepreciable Capital Assets				
Land	\$ 14,181,732	—	—	14,181,732
Construction in Progress	415,615	652,234	—	1,067,849
	<u>14,597,347</u>	<u>652,234</u>	<u>—</u>	<u>15,249,581</u>
Depreciable Capital Assets				
Buildings	18,132,940	474,570	—	18,607,510
Infrastructure	52,322,362	416,019	—	52,738,381
Machinery and Equipment	5,473,969	472,958	31,913	5,915,014
Vehicles	9,695,881	302,352	—	9,998,233
Library Books	2,605,291	71,293	124,723	2,551,861
	<u>88,230,443</u>	<u>1,737,192</u>	<u>156,636</u>	<u>89,810,999</u>
Less Accumulated Depreciation				
Buildings	5,997,805	370,831	—	6,368,636
Infrastructure	10,631,433	1,225,486	—	11,856,919
Machinery and Equipment	4,383,641	259,636	—	4,643,277
Vehicles	8,812,020	354,860	—	9,166,880
Library Books	2,140,593	94,681	124,723	2,110,551
	<u>31,965,492</u>	<u>2,305,494</u>	<u>124,723</u>	<u>34,146,263</u>
Total Net Depreciable Capital Assets	<u>56,264,951</u>	<u>(568,302)</u>	<u>31,913</u>	<u>55,664,736</u>
Total Net Capital Assets	<u>70,862,298</u>	<u>83,932</u>	<u>31,913</u>	<u>70,914,317</u>

Depreciation and amortization expense was charged to governmental activities as follows:

General Government	\$ 182,348
Public Safety	471,520
Public Works	1,350,228
Economic Development	3,815
Culture and Recreation	296,645
Internal Service	<u>938</u>
	<u>2,305,494</u>

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

CAPITAL ASSETS - Continued

Business-Type Activities

Business-type capital asset activity for the year was as follows:

	Beginning Balances	Increases	Decreases	Ending Balances
Nondepreciable Capital Assets				
Land	\$ 249,884	18,405	—	268,289
Construction in Progress	4,035,669	3,785,515	152,111	7,669,073
	<u>4,285,553</u>	<u>3,803,920</u>	<u>152,111</u>	<u>7,937,362</u>
Depreciable and Amortizable Capital Assets				
Land Improvements	1,824,029	—	—	1,824,029
Buildings	1,273,036	65,292	—	1,338,328
Utility Plant	70,587,848	556,007	—	71,143,855
Machinery and Equipment	4,574,652	126,527	—	4,701,179
Vehicles	4,010,095	648,036	6,000	4,652,131
Loan Closing Costs	666,868	—	—	666,868
	<u>82,936,528</u>	<u>1,395,862</u>	<u>6,000</u>	<u>84,326,390</u>
Less Accumulated Depreciation and Amortization				
Land Improvements	1,316,786	35,601	—	1,352,387
Buildings	381,434	49,385	—	430,819
Utility Plant	19,985,157	1,771,582	—	21,756,739
Machinery and Equipment	3,371,987	190,983	—	3,562,970
Vehicles	2,569,953	329,409	6,000	2,893,362
Loan Closing Costs	192,664	31,731	—	224,395
	<u>27,817,981</u>	<u>2,408,691</u>	<u>6,000</u>	<u>30,220,672</u>
Total Net Depreciable and Amortizable Capital Assets	<u>55,118,547</u>	<u>(1,012,829)</u>	<u>—</u>	<u>54,105,718</u>
Total Net Capital Assets	<u>59,404,100</u>	<u>2,791,091</u>	<u>152,111</u>	<u>62,043,080</u>

Depreciation/amortization expense was charged to business-type activities as follows:

School Bus	\$ 141,822
Solid Waste	175,663
Sewerage	2,024,899
Airport	<u>66,307</u>
	<u>2,408,691</u>

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

LONG-TERM DEBT

General Obligation Bonds

The City issues general obligation bonds to provide funds for the acquisition and construction of major capital facilities. General obligation bonds have been issued for governmental and business-type activities. General obligation bonds are direct obligations and pledge the full faith and credit of the City. General obligation bonds currently outstanding are as follows:

Issue	Fund Debt Retired by	Beginning Balances	Issuances	Retirements	Ending Balances
General Obligation Bonds of 2010, due in annual installments of \$285,000 to \$475,000 plus interest at 1.00% to 6.15% through August 1, 2030.	Sewerage	\$ 4,385,000	—	4,050,000 * 335,000	—
General Obligation Bonds of 2012, due in annual installments of \$195,000 to \$315,000 plus interest at 2.00% through August 8, 2019.	General	—	—	—	—
General Obligation Bonds of 2014, due in annual installments of \$210,000 to \$395,000 plus interest at 2.00% to 4.00% through December 1, 2034.	General	4,580,000	—	240,000	4,340,000
General Obligation Refunding Bonds of 2020A, due in annual installments of \$200,000 to \$480,000 plus interest at 1.38% to 2.22% through August 1, 2030.	Sewerage	—	4,245,000	—	4,245,000
		8,965,000	4,245,000	4,625,000	8,585,000

*Refunded Amount

Defeased Debt

On May 10, 2020, the City issued \$4,245,000 par value General Obligation Refunding Bonds of 2020A to refund \$4,050,000 of the General Obligation Bonds of 2010. The City defeased bonds by placing the proceeds of the new bonds in an irrevocable trust to provide for all future debt service payment of the old bonds. Since the requirements that normally satisfy defeasance have been met, the financial statements reflect satisfaction of the original liability through the irrevocable transfer to an escrow agent of an amount computed to be adequate to meet the future debt service requirements of the issue. Accordingly, the trust account assets and the liability for the defeased bonds are not included in the government's basic financial statements. Through this refunding, the City reduced its total debt service by \$43,003 and obtained an economic gain of \$59,315.

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

LONG-TERM DEBT - Continued

IEPA Loans Payable

The City has entered into loan agreements with the IEPA to provide low interest financing for waterworks and sewerage improvements. IEPA loans currently outstanding are as follows:

Issue	Fund Debt Retired by	Beginning Balances	Issuances	Retirements	Ending Balances
Illinois Environmental Protection Agency (IEPA) Loan Payable of 2011, due in annual installments of \$1,030,307 including interest at 1.25% through April 30, 2033.	Sewerage	\$ 12,326,918	—	878,958	11,447,960
Illinois Environmental Protection Agency (IEPA) Loan Payable of 2012, due in annual installments of \$217,292 including interest at 2.295% through November 9, 2033.	Sewerage	2,510,260	—	160,598	2,349,662
Illinois Environmental Protection Agency (IEPA) Loan Payable of 2015A, due in annual installments of \$746,964 including interest at 2.295% through September 4, 2034.	Sewerage	9,167,200	—	539,655	8,627,545
Illinois Environmental Protection Agency (IEPA) Loan Payable of 2015B, due in annual installments of \$308,003 including interest at 1.93% through January 31, 2035.	Sewerage	3,994,815	—	232,016	3,762,799
Illinois Environmental Protection Agency (IEPA) Loan Payable of 2015C, due in annual installments of \$204,150 including interest at 2.21% through August 26, 2035.	Sewerage	2,666,950	—	146,013	2,520,937
		30,666,143	—	1,957,240	28,708,903

CITY OF PEKIN, ILLINOIS**Notes to the Financial Statements****April 30, 2021****NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued****LONG-TERM DEBT - Continued****Promissory Notes**

The City enters into promissory notes payable for the purpose of infrastructure improvements and financing capital project expenditures. Promissory notes payable have been issued for governmental activities. Promissory notes currently outstanding are as follows:

Issue	Fund Debt Retired by	Beginning Balances	Issuances	Retirements	Ending Balances
Promissory Notes of 2013, due in annual installments of \$96,462, including interest at 2.50%, through May 1, 2023.	Tourism	\$ 93,515	—	93,515	—
Promissory Notes of 2015, due in monthly installments of \$26,953, including interest at 2.50%, through May 1, 2022.	General	892,066	—	306,308	585,758
Promissory Notes of 2017, due in monthly installments of \$17,678, including interest at 2.00%, through February 1, 2025.	Sewerage	975,328	—	194,385	780,943
Promissory Notes of 2020, due in monthly installments of \$7,684, including interest at 3.25%, through March 20, 2025.	Sewerage	418,000	—	79,631	338,369
		2,378,909	—	673,839	1,705,070

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

LONG-TERM DEBT - Continued

Leases Payable

The City has entered into a lease agreement as lessee for financing the acquisition of a street sweeper. Capital assets of \$286,940 have been added to machinery and equipment as a result of this capital lease. This lease agreement qualifies as a capital lease for accounting purposes and; therefore, has been recorded at the present value of the future minimum lease payments as of the inception date. This lease has been recorded as liabilities of the Sewerage Fund. The future minimum lease payments and the net present value of these minimum lease payments are as follows:

Fiscal Year	Lease Payment
2022	\$ 66,129
2023	66,129
2024	66,129
2025	—
	<u>198,387</u>
Interest Portion	<u>(18,030)</u>
Principal Balance	<u><u>180,357</u></u>

Legal Debt Margin

Chapter 65, Section 5/8-5-1 of the Illinois Compiled Statutes provides, "...no municipality having a population of less than 500,000 shall become indebted in any manner or for any purpose, to an amount, including existing indebtedness in the aggregate exceeding 8.625% on the value of the taxable property therein, to be ascertained by the last assessment for state and county purposes, previous to the incurring of the indebtedness or, until January 1, 1983, if greater, the sum that is produced by multiplying the municipality's 1978 equalized assessed valuation by the debt limitation percentage in effect on January 1, 1979."

Assessed Valuation - 2020	<u>\$ 451,240,390</u>
Legal Debt Limit - 8.625% of Assessed Value	38,919,484
Amount of Debt Applicable to Limit	
General Obligation Bonds	<u>8,585,000</u>
Legal Debt Margin	<u><u>30,334,484</u></u>

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements
April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

LONG-TERM DEBT - Continued

Long-Term Liabilities Activity

Changes in long-term liabilities during the fiscal year were as follows:

Type of Debt	Beginning Balances	Additions	Deductions	Ending Balances	Amounts Due within One Year
Governmental Activities					
Compensated Absences					
Governmental	\$ 3,876,273	491,474	245,737	4,122,010	824,402
Internal Service	83,830	7,395	14,790	76,435	15,287
Net Pension Liability - IMRF	1,368,771	—	1,251,307	117,464	—
Net Pension Liability - Police	25,404,853	—	6,693,562	18,711,291	—
Net Pension Liability - Firefighters'	46,781,619	—	5,966,912	40,814,707	—
Total OPEB Liability - RBP	21,109,074	1,692,495	—	22,801,569	—
General Obligation Bonds	4,580,000	—	240,000	4,340,000	245,000
Promissory Notes	985,581	—	399,823	585,758	310,577
	<u>104,190,001</u>	<u>2,191,364</u>	<u>14,812,131</u>	<u>91,569,234</u>	<u>1,395,266</u>
Business-Type Activities					
Compensated Absences	253,801	15,258	7,629	261,430	52,286
Net Pension Liability - IMRF	1,309,488	—	1,194,159	115,329	—
Total OPEB Liability - RBP	3,819,309	649,990	—	4,469,299	—
General Obligation Bonds	4,385,000	4,245,000	4,385,000	4,245,000	200,000
IEPA Loans Payable	30,666,143	—	1,957,240	28,708,903	1,992,141
Promissory Notes	1,393,328	—	274,016	1,119,312	280,603
Leases Payable	234,928	—	54,571	180,357	57,256
	<u>42,061,997</u>	<u>4,910,248</u>	<u>7,872,615</u>	<u>39,099,630</u>	<u>2,582,286</u>

For the governmental activities, payments on the compensated absences, net pension liabilities, and total OPEB liability are made by the General Fund and Vehicle Maintenance Fund. Payments on general obligation bonds are liquidated by the General Fund. Payments on the promissory notes are made by the General Fund and Tourism Fund.

Additionally, for business-type activities, the compensated absences, net pension liability, total OPEB liability, general obligation bonds, IEPA loans payable, promissory notes, and leases payable are made by the Sewerage Fund.

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

LONG-TERM DEBT - Continued

Debt Service Requirements to Maturity

The annual debt service requirements to maturity, including principal and interest, are as follows:

Fiscal Year	Governmental Activities				Business-Type Activities					
	General		Promissory		General		IEPA		Promissory	
	Obligation Bonds		Notes		Obligation Bonds		Loans		Notes	
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
2022	\$ 245,000	157,725	310,577	11,088	200,000	75,915	1,992,141	514,575	280,603	23,751
2023	250,000	152,375	275,181	3,234	420,000	71,554	2,027,769	478,947	287,359	16,995
2024	260,000	144,875	—	—	430,000	65,389	2,064,053	442,663	294,279	10,075
2025	270,000	137,075	—	—	435,000	58,748	2,101,034	405,682	257,071	4,228
2026	275,000	128,975	—	—	440,000	51,396	2,138,729	367,987	—	—
2027	285,000	120,725	—	—	445,000	43,386	2,177,149	329,567	—	—
2028	300,000	109,325	—	—	455,000	34,857	2,216,312	290,404	—	—
2029	310,000	97,325	—	—	470,000	25,602	2,256,232	250,484	—	—
2030	325,000	84,925	—	—	470,000	15,709	2,296,923	209,793	—	—
2031	335,000	71,925	—	—	480,000	5,328	2,338,404	168,312	—	—
2032	350,000	58,524	—	—	—	—	2,380,688	126,028	—	—
2033	365,000	45,400	—	—	—	—	2,423,795	82,921	—	—
2034	375,000	30,800	—	—	—	—	1,325,565	42,198	—	—
2035	395,000	15,800	—	—	—	—	869,153	14,113	—	—
2036	—	—	—	—	—	—	100,956	1,121	—	—
Totals	4,340,000	1,355,774	585,758	14,322	4,245,000	447,884	28,708,903	3,724,795	1,119,312	55,049

Operating Lease Payable

The City has entered into three operating leases of school uses with terms in excess of one year. These agreements contain purchase options but it is not the City's intent to exercise those purchase options after the lease term. The lease costs for the buses for the fiscal year ended April 30, 2021 total \$930,571. Future minimum lease payments for the buses are as follows:

Fiscal Year	Amount
2022	\$ 152,750
2023	152,750
	<u>305,500</u>

Notes to the Financial Statements
April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued**NET POSITION CLASSIFICATIONS**

Net investment in capital assets was comprised of the following as of April 30, 2021:

Governmental Activities	
Capital Assets - Net of Accumulated Depreciation	\$ 70,914,317
Less Capital Related Debt:	
General Obligation Bonds	(4,340,000)
Tax Increment Financing Bonds	<u>(585,758)</u>
Net Investment in Capital Assets	<u>65,988,559</u>
Business-Type Activities	
Capital Assets - Net of Accumulated Depreciation and Amortization	62,043,080
Unamortized Loss on Refunding	114,583
Less Capital Related Debt:	
General Obligation Bonds	(4,245,000)
IEPA Loans	(28,708,903)
Promissory Notes	(1,119,312)
Leases Payable	<u>(180,357)</u>
Net Investment in Capital Assets	<u>27,904,091</u>

FUND BALANCE CLASSIFICATIONS

In the governmental funds financial statements, the City considers restricted amounts to have been spent when an expenditure is incurred for purposes for which both restricted and unrestricted fund balance is available. The City first utilizes committed, then assigned and then unassigned fund balance when an expenditure is incurred for purposes for which all three unrestricted fund balances are available.

Nonspendable Fund Balance. Consists of resources that cannot be spent because they are either: a) not in a spendable form; or b) legally or contractually required to be maintained intact.

Restricted Fund Balance. Consists of resources that are restricted to specific purposes, that is, when constraints placed on the use of resources are either: a) externally imposed by creditors (such as through debt covenants), grantors, contributors, or laws or regulations of other governments; or b) imposed by law through constitutional provisions or enabling legislation.

Committed Fund Balance. Consists of resources constrained (issuance of an ordinance) to specific purposes by the government itself, using its highest level of decision-making authority, the Board of Trustees; to be reported as committed, amounts cannot be used for any other purpose unless the government takes the same highest-level action to remove or change the constraint.

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

FUND BALANCE CLASSIFICATIONS - Continued

Assigned Fund Balance. Consists of amounts that are constrained by the City Council' intent to be used for specific purposes but are neither restricted nor committed. Intent is expressed by a) the City Council itself or b) a body or official to which the City Council has delegated the authority to assign amounts to be used for specific purposes. The City's highest level of decision-making authority is the City Council, who is authorized to assign amounts to a specific purpose.

Unassigned Fund Balance. Consists of residual net resources of a fund that has not been restricted, committed, or assigned within the General Fund and deficit fund balances of other governmental funds.

Minimum Fund Balance Policy. The City's policy manual states that the General Fund should maintain a minimum fund balance equal to 25% of budgeted operating expenditures less capital outlay.

The following is a schedule of fund balance classifications for the governmental funds as of the date of this report:

	Special Revenue						Totals
	General	Motor Fuel Tax	Library	TIF #1 Central Business District	Capital Projects	Nonmajor	
Fund Balances							
Nonspendable							
Prepays	\$ 15,956	—	—	—	—	—	15,956
Developer Agreements	89,908	—	—	—	—	—	89,908
	105,864	—	—	—	—	—	105,864
Restricted							
Loans	—	—	—	951,392	—	1,116,235	2,067,627
Library Services	—	—	711,010	—	—	—	711,010
Federal and State Regulations	—	3,736,007	—	1,505,273	—	804,625	6,045,905
	—	3,736,007	711,010	2,456,665	—	1,920,860	8,824,542
Committed							
Convention & Visitors	—	—	—	—	—	95,119	95,119
Assigned							
Capital Projects	—	—	—	—	4,045,406	—	4,045,406
Unassigned	18,280,840	—	—	—	—	(20,004)	18,260,836
Total Fund Balances	18,386,704	3,736,007	711,010	2,456,665	4,045,406	1,995,975	31,331,767

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 3 - DETAIL NOTES ON ALL FUNDS - Continued

NET POSITION/FUND BALANCE RESTATEMENTS

Beginning net position/fund balance was restated to true-up prior years transfers of internal service expenses. The following is a summary of the net position/fund balance as originally reported and as restated:

Net Position/Fund Balance	As Reported	As Restated	Increases/ (Decreases)
Governmental Activities	\$ (274,794)	867,808	1,142,602
Business-Type Activities	21,721,581	20,578,811	(1,142,770)
General	17,554,020	14,474,703	(3,079,317)
Tourism	91,988	91,038	(950)
School Bus	1,615,450	1,108,270	(507,180)
Solid Waste	(3,303,663)	(3,733,550)	(429,887)
Sewerage	17,357,217	17,177,168	(180,049)
Airport	3,336,881	3,311,889	(24,992)
Economic Development	2,715,696	2,715,034	(662)
Vehicle Maintenance	(1,009,618)	(11,236)	998,382
Employee Benefits	(1,176,357)	2,048,130	3,224,487

NOTE 4 - OTHER INFORMATION

RISK MANAGEMENT

The City is exposed to various risks of loss related to torts, theft of, damage to, and destruction to assets; errors and omissions; injuries to employees; and natural disasters. The City purchases commercial insurance and participates in the Illinois Municipal League Risk Management Association to manage these risks.

The City has purchased private insurance for medical, dental, and other employee benefits. The City is self-insured for the first \$250,000 of workers' compensation benefits and has purchased specific excess coverage for the next \$1,100,000. The City is also self-insured for the first \$50,000 per occurrence for liability coverage. In addition the City maintains an aggregate excess policy for combined workers' compensation and liability claims in excess of \$1,100,000. There were no significant changes in insurance coverages from the prior year and settlements did not exceed insurance coverage in any of the past three fiscal years. The City uses an internal service fund to account for and finance its uninsured risks of loss.

Liabilities are reported when it is probable that a loss has occurred and the amount of the loss can be reasonably estimated. Liabilities include an amount of claims that have been incurred but not reported (IBNR). Claims liabilities are calculated considering the effects of inflation, recent claim settlement trends including frequency and amount of payouts, and other economic and social factors. Changes in the balances of claims liabilities are as follows:

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

RISK MANAGEMENT - Continued

	2021	2020
Claims Payable - Beginning	\$ 304,652	445,091
Incurred Claims	3,190,408	2,947,099
Claims Paid	(2,969,701)	(3,087,538)
Claims Payable - Ending	525,359	304,652

CONTINGENT LIABILITIES

Litigation

The City is also party to various other legal proceedings that have occurred during normal governmental operations. There are multiple suits filed for automobile accidents involving City vehicles. The City is contesting all liability. Although outcome of these law suits is not presently determinable, in the opinion of the City Attorney, the resolutions will not have a material adverse effect on the financial condition of the City.

Grants

Amounts received or receivable from grantor agencies are subject to audit and adjustment by grantor agencies, principally the federal government. Any disallowed claims, including amounts already collected, may constitute a liability of the applicable funds. The amount, if any, of expenditures which may be disallowed by the grantor cannot be determined at this time although the City expects such amounts, if any, to be immaterial.

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS

The City contributes to three defined benefit pension plans, the Illinois Municipal Retirement Fund (IMRF), a defined benefit agent multiple-employer public employee retirement system, the Police Pension Plan which is a single-employer pension plan, and the Firefighters' Pension Plan which is a single-employer pension plan. A separate report is issued for the Police Pension Plan and Firefighters' Pension Plan and may be obtained by writing to the City at 111 South Capitol Street, Pekin, Illinois 61554. IMRF issues a publicly available financial report that includes financial statements and required supplementary information for the plan as a whole, but not by individual employer. That report may be obtained online at www.imrf.org. The benefits, benefit levels, employee contributions, and employer contributions are governed by Illinois Compiled Statutes (ILCS) and can only be amended by the Illinois General Assembly.

Notes to the Financial Statements
April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued**EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued**

The aggregate amounts recognized for the pension plans are:

	Pension Expense/ (Revenue)	Net Pension Liability	Deferred Outflows of Resources	Deferred Inflows of Resources
IMRF	\$ (135,067)	232,793	507,609	3,021,910
Police Pension	1,529,411	18,711,291	1,029,670	4,500,596
Firefighters' Pension	3,019,067	40,814,707	2,854,713	3,949,974
	<u>2,036,775</u>	<u>14,373,782</u>	<u>3,792,005</u>	<u>2,290,135</u>

Illinois Municipal Retirement Fund (IMRF)**Plan Descriptions**

Plan Administration. All employees (other than those covered by the Police and Firefighters' Pension Plan) hired in positions that meet or exceed the prescribed annual hourly standard must be enrolled in IMRF as participating members. The plan is accounted for on the economic resources measurement focus and the accrual basis of accounting. Employer and employee contributions are recognized when earned in the year that the contributions are required, benefits and refunds are recognized as an expense and liability when due and payable.

Benefits Provided. IMRF has three benefit plans. The vast majority of IMRF members participate in the Regular Plan (RP). The Sheriff's Law Enforcement Personnel (SLEP) plan is for sheriffs, deputy sheriffs, and selected police chiefs. Counties could adopt the Elected County Official (ECO) plan for officials elected prior to August 8, 2011 (the ECO plan was closed to new participants after that date).

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Illinois Municipal Retirement Fund (IMRF) - Continued

Plan Descriptions - Continued

Benefits Provided - Continued. IMRF provides two tiers of pension benefits. Employees hired *before* January 1, 2011, are eligible for Tier 1 benefits. Tier 1 employees are vested for pension benefits when they have at least eight years of qualifying service credit. Tier 1 employees who retire at age 55 (at reduced benefits) or after age 60 (at full benefits) with eight years of service are entitled to an annual retirement benefit, payable monthly for life, in an amount equal to 1-2/3% of the final rate of earnings for the first 15 years of service credit, plus 2% for each year of service credit after 15 years to a maximum of 75% of their final rate of earnings. Final rate of earnings is the highest total earnings during any consecutive 48 months within the last 10 years of service, divided by 48. Under Tier 1, the pension is increased by 3% of the original amount on January 1 every year after retirement.

Employees hired on or after January 1, 2011, are eligible for Tier 2 benefits. For Tier 2 employees, pension benefits vest after ten years of service. Participating employees who retire at age 62 (at reduced benefits) or after age 67 (at full benefits) with ten years of service are entitled to an annual retirement benefit, payable monthly for life, in an amount equal to 1-2/3% of the final rate of earnings for the first 15 years of service credit, plus 2% for each year of service credit after 15 years to a maximum of 75% of their final rate of earnings. Final rate of earnings is the highest total earnings during any 96 consecutive months within the last 10 years of service, divided by 96. Under Tier 2, the pension is increased on January 1 every year after retirement, upon reaching age 67, by the lesser of:

- 3% of the original pension amount, or
- 1/2 of the increase in the Consumer Price Index of the original pension amount.

Plan Membership. As of December 31, 2021, the measurement date, the following employees were covered by the benefit terms:

Inactive Plan Members Currently Receiving Benefits	130
Inactive Plan Members Entitled to but not yet Receiving Benefits	38
Active Plan Members	109
Total	277

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued**EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued****Illinois Municipal Retirement Fund (IMRF) - Continued****Plan Descriptions - Continued**

Contributions. As set by statute, the City's Regular Plan Members are required to contribute 4.50% of their annual covered salary. The statute requires employers to contribute the amount necessary, in addition to member contributions, to finance the retirement coverage of its own employees. For the year-ended April 30, 2021, the City's contribution was 11.99% of covered payroll.

Net Pension Liability. The City's net pension liability was measured as of December 31, 2021. The total pension liability used to calculate the net pension liability was determined by an actuarial valuation as of that date.

Actuarial Assumptions. The total pension liability was determined by an actuarial valuation performed, as of December 31, 2021, using the following actuarial methods and assumptions:

Actuarial Cost Method	Entry Age Normal
Asset Valuation Method	Fair Value
Actuarial Assumptions	
Interest Rate	7.25%
Salary Increases	2.85% to 13.75%
Cost of Living Adjustments	2.25%
Inflation	2.25%

For nondisabled retirees, the Pub-2010, Amount-Weighted, below-median income, General, Retiree, Male (adjusted 106%) and Female (adjusted 105%) tables, and future mortality improvements projected using scale MP-2020. For disabled retirees, the Pub-2010, Amount-Weighted, below-median income, General, Disabled Retiree, Male and Female (both unadjusted) tables, and future mortality improvements projected using scale MP-2020. For active members, the Pub-2010, Amount-Weighted, below-median income, General, Employee, Male and Female (both unadjusted) tables, and future mortality improvements projected using scale MP-2020.

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued**EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued****Illinois Municipal Retirement Fund (IMRF) - Continued****Plan Descriptions - Continued**

Actuarial Assumptions - Continued. The long-term expected rate of return on pension plan investments was determined using a building-block method in which best-estimate ranges of expected future real rates of return (expected returns, net of pension plan investment expense, and inflation) are developed for each major asset class. These ranges are combined to produce the long-term expected rate of return by weighting the expected future real rates of return to the target asset allocation percentage and adding expected inflation. The target allocation and best estimates of geometric real rates of return for each major asset class are summarized in the following table:

Asset Class	Target	Long-Term Expected Real Rate of Return
Fixed Income	28.00%	1.30%
Domestic Equities	37.00%	5.00%
International Equities	18.00%	6.00%
Real Estate	9.00%	6.20%
Blended	7.00%	2.85% - 6.95%
Cash and Cash Equivalents	1.00%	0.70%

Discount Rate

The discount rate used to measure the total pension liability was 7.25%, the same as the prior valuation. The projection of cash flows used to determine the discount rate assumed that member contributions will be made at the current contribution rate and that City contributions will be made at rates equal to the difference between the actuarially determined contribution rates and the member rate. Based on those assumptions, the Fund's fiduciary net position was projected to be available to make all projected future benefit payments of current plan members. Therefore, the long-term expected rate of return on pension plan investments was applied to all periods of projected benefit payments to determine the total pension liability.

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Illinois Municipal Retirement Fund (IMRF) - Continued

Discount Rate Sensitivity

The following is a sensitivity analysis of the net pension liability/(asset) to changes in the discount rate. The table below presents the net pension liability/(asset) of the City calculated using the discount rate as well as what the City's net pension liability/(asset) would be if it were calculated using a discount rate that is one percentage point lower or one percentage point higher than the current rate:

		1% Decrease (6.25%)	Current Rate (7.25%)	1% Increase (8.25%)
Net Pension Liability/(Asset)	\$	3,919,375	232,793	(2,746,166)

Changes in the Net Pension Liability

	Total Pension Liability (A)	Plan Fiduciary Net Position (B)	Net Pension Liability (A) - (B)
Balances at December 31, 2020	\$ 32,093,742	29,415,483	2,678,259
Changes for the Year:			
Service Cost	562,676	—	562,676
Interest on the Total Pension Liability	2,277,378	—	2,277,378
Difference Between Expected and Actual Experience of the Total Pension Liability	(20,814)	—	(20,814)
Changes of Assumptions	(238,144)	—	(238,144)
Contributions - Employer	—	683,376	(683,376)
Contributions - Employees	—	265,165	(265,165)
Net Investment Income	—	4,352,891	(4,352,891)
Benefit Payments, Including Refunds of Employee Contributions	(1,925,937)	(1,925,937)	—
Other (Net Transfer)	—	(274,870)	274,870
Net Changes	655,159	3,100,625	(2,445,466)
Balances at December 31, 2021	32,748,901	32,516,108	232,793

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Illinois Municipal Retirement Fund (IMRF) - Continued

Pension Expense, Deferred Outflows of Resources, and Deferred Inflows of Resources Related to Pensions

For the year ended April 30, 2021, the City recognized pension revenue of \$135,067. At April 30, 2021, the City reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources	Totals
Difference Between Expected and Actual Experience	\$ 59,868	(65,320)	(5,452)
Change in Assumptions	234,971	(244,387)	(9,416)
Net Difference Between Projected and Actual			
Earnings on Pension Plan Investments	—	(2,712,203)	(2,712,203)
Total Pension Expense to be Recognized in Future Periods	294,839	(3,021,910)	(2,727,071)
Contributions Made Subsequent to the Measurement Date	212,770	—	212,770
Total Deferred Amounts Related to IMRF	507,609	(3,021,910)	(2,514,301)

\$212,770 reported as deferred outflows of resources related to pensions resulting from employer contributions subsequent to the measurement date and will be recognized as a reduction of the net pension liability in the reporting year ended April 30, 2022. Amounts reported as deferred outflows of resources and deferred inflows of resources related to pensions will be recognized in pension expense in future periods as follows:

Fiscal Year	Net Deferred (Inflows) of Resources
2022	\$ (797,169)
2023	(337,192)
2024	(1,139,579)
2025	(453,131)
2026	—
Thereafter	—
Totals	(2,727,071)

Notes to the Financial Statements
April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Police Pension Plan

Plan Descriptions

Plan Administration. The Police Pension Plan is a single-employer defined benefit pension plan that covers all sworn police personnel. The defined benefits and employee and minimum employer contribution levels are governed by Illinois Compiled Statutes (40 ILCS 5/3-1) and may be amended only by the Illinois legislature. The City accounts for the Fund as a pension trust fund. The Fund is governed by a five-member pension board. Two members of the Board are appointed by the City Mayor, one member is elected by pension beneficiaries and two members are elected by active police employees.

Plan Membership. At April 30, 2021, the measurement date, membership consisted of the following:

Inactive Plan Members Currently Receiving Benefits	53
Inactive Plan Members Entitled to but not yet Receiving Benefits	9
Active Plan Members	<u>53</u>
Total	<u><u>115</u></u>

Benefits Provided. The following is a summary of the Police Pension Plan as provided for in Illinois State Statutes.

The Police Pension Plan provides retirement benefits through two tiers of benefits as well as death and disability benefits. Covered employees hired before January 1, 2011 (Tier 1), attaining the age of 50 or older with 20 or more years of creditable service are entitled to receive an annual retirement benefit of $\frac{1}{2}$ of the salary attached to the rank held on the last day of service, or for one year prior to the last day, whichever is greater. The annual benefit shall be increased by 2.5 percent of such salary for each additional year of service over 20 years up to 30 years, to a maximum of 75 percent of such salary. Employees with at least eight years but less than 20 years of credited service may retire at or after age 60 and receive a reduced benefit. The monthly benefit of a police officer who retired with 20 or more years of service after January 1, 1977 shall be increased annually, following the first anniversary date of retirement and be paid upon reaching the age of at least 55 years, by 3 percent of the original pension and 3 percent compounded annually thereafter.

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Police Pension Plan - Continued

Plan Descriptions - Continued

Benefits Provided - Continued. Covered employees hired on or after January 1, 2011 (Tier 2), attaining the age of 55 or older with 10 or more years of creditable service are entitled to receive an annual retirement benefit equal to the average monthly salary obtained by dividing the total salary of the police officer during the 48 consecutive months of service within the last 60 months of service in which the total salary was the highest by the number of months of service in that period. Police officer salary for the pension purposes is capped at \$106,800, plus the lesser of $\frac{1}{2}$ of the annual change in the Consumer Price Index or 3 percent compounded. The annual benefit shall be increased by 2.5 percent of such a salary for each additional year of service over 20 years up to 30 years to a maximum of 75 percent of such salary. Employees with at least 10 years may retire at or after age 50 and receive a reduced benefit (i.e., $\frac{1}{2}$ percent for each month under 55). The monthly benefit of a Tier 2 police officer shall be increased annually at age 60 on the January 1st after the police officer retires, or the first anniversary of the pension starting date, whichever is later. Noncompounding increases occur annually, each January thereafter. The increase is the lesser of 3 percent or $\frac{1}{2}$ of the change in the Consumer Price Index for the proceeding calendar year.

Contributions. Covered employees are required to contribute 9.91% of their base salary to the Police Pension Plan. If an employee leaves covered employment with less than 20 years of service, accumulated employee contributions may be refunded without accumulated interest. The City is required to contribute the remaining amounts necessary to finance the plan and the administrative costs as actuarially determined by an enrolled actuary. However, effective January 1, 2011, ILCS requires the City to contribute a minimum amount annually calculated using the projected unit credit actuarial cost method that will result in the funding of 90% of the past service cost by the year 2040. For the year-ended April 30, 2021, the City's contribution was 45.42% of covered payroll.

Concentrations. At year end, the Pension Plan does not have any investments over 5 percent of net plan position available for retirement benefits (other than investments issued or explicitly guaranteed by the U.S. government and investments in mutual funds, external investment pools, and other pooled investments).

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Police Pension Plan - Continued

Actuarial Assumptions

The total pension liability was determined by an actuarial valuation performed, as of April 30, 2021, using the following actuarial methods and assumptions:

Actuarial Cost Method	Entry Age Normal
Asset Valuation Method	Fair Value
Actuarial Assumptions	
Interest Rate	7.00%
Salary Increases	Graded by Years of Service
Cost of Living Adjustments	3.00%
Inflation	2.25%

Mortality rates were based on PubS-2010(A) Adjusted for Plan Status, Collar, and Illinois Public Pension Data, as Appropriate.

Discount Rate

The discount rate used to measure the total pension liability was 7.00%, the same as the prior valuation. The projection of cash flows used to determine the discount rate assumed that member contributions will be made at the current contribution rate and that contributions will be made at rates equal to the difference between the actuarially determined contribution rates and the member rate. Based on those assumptions, the Fund's fiduciary net position was projected to be available to make all project future benefit payments of current plan members. Therefore, the long-term expected rate of return on pension plan investments was applied to all period of projected benefit payments to determine the total pension liability.

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements
April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Police Pension Plan - Continued

Discount Rate Sensitivity

The following is a sensitive analysis of the net pension liability to changes in the discount rate. The table below presents the pension liability of the City calculated using the discount rate as well as what the City's net pension liability would be if it were calculated using a discount rate that is one percentage point lower or one percentage point higher than the current rate:

	1% Decrease (6.00%)	Current Discount Rate (7.00%)	1% Increase (8.00%)
Net Pension Liability	\$ 27,976,307	18,711,291	11,229,881

Changes in the Net Pension Liability

	Total Pension Liability (A)	Plan Fiduciary Net Position (B)	Net Pension Liability (A) - (B)
Balances at April 30, 2020	\$ 59,230,999	33,826,146	25,404,853
Changes for the Year:			
Service Cost	925,126	—	925,126
Interest on the Total Pension Liability	4,038,038	—	4,038,038
Changes of Benefit Terms	—	—	—
Difference Between Expected and Actual Experience of the Total Pension Liability	324,158	—	324,158
Changes of Assumptions	—	—	—
Contributions - Employer	—	2,063,987	(2,063,987)
Contributions - Employees	—	450,789	(450,789)
Contributions - Other	—	57,467	(57,467)
Net Investment Income	—	9,500,437	(9,500,437)
Benefit Payments, Including Refunds of Employee Contributions	(2,851,843)	(2,851,843)	—
Other (Net Transfer)	—	(91,796)	91,796
Net Changes	2,435,479	9,129,041	(6,693,562)
Balances at April 30, 2021	61,666,478	42,955,187	18,711,291

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements
April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Police Pension Plan - Continued

Pension Expense, Deferred Outflows of Resources, and Deferred Inflows of Resources Related to Pensions

For the year ended April 30, 2021, the City recognized pension expense of \$1,529,411. At April 30, 2021, the City reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources	Totals
Difference Between Expected and Actual Experience	\$ 394,621	(140,315)	254,306
Change in Assumptions	635,049	(146,271)	488,778
Net Difference Between Projected and Actual Earnings on Pension Plan Investments	—	(4,214,010)	(4,214,010)
Total Deferred Amounts Related to Police Pension	1,029,670	(4,500,596)	(3,470,926)

Amounts reported as deferred outflows of resources and deferred inflows of resources related to pensions will be recognized in pension expense in future periods as follows:

Fiscal Year	Net Deferred Outflows/ (Inflows) of Resources
2022	\$ (615,822)
2023	(799,499)
2024	(795,408)
2025	(1,309,184)
2026	48,987
Thereafter	—
Total	(3,470,926)

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Firefighters' Pension Plan

Plan Descriptions

Plan Administration. The Firefighters' Pension Plan is a single-employer defined benefit pension plan that covers all sworn firefighter personnel. The defined benefits and employee and minimum employer contribution levels are governed by Illinois Compiled Statutes (40 ILCS 5/4-1) and may be amended only by the Illinois legislature. The City accounts for the Fund as a pension trust fund. The Fund is governed by a five-member pension board. Two members of the Board are appointed by the City President, one member is elected by pension beneficiaries and two members are elected by active fire employees.

Plan Membership. At April 30, 2021, the measurement date, membership consisted of the following:

Inactive Plan Members Currently Receiving Benefits	78
Inactive Plan Members Entitled to but not yet Receiving Benefits	5
Active Plan Members	<u>51</u>
Total	<u><u>134</u></u>

Benefits Provided. The following is a summary of the Firefighters' Pension Plan as provided for in Illinois State Statutes.

The Firefighters' Pension Plan provides retirement benefits through two tiers of benefits as well as death and disability benefits. Covered employees hired before January 1, 2011 (Tier 1), attaining the age of 50 or older with 20 or more years of creditable service are entitled to receive an annual retirement benefit of $\frac{1}{2}$ of the salary attached to the rank held on the last day of service, or for one year prior to the last day, whichever is greater. The annual benefit shall be increased by 2.5 percent of such salary for each additional year of service over 20 years up to 30 years, to a maximum of 75 percent of such salary. Employees with at least eight years but less than 20 years of credited service may retire at or after age 60 and receive a reduced benefit. The monthly benefit of a firefighter who retired with 20 or more years of service after January 1, 1977 shall be increased annually, following the first anniversary date of retirement and be paid upon reaching the age of at least 55 years, by 3 percent of the original pension and 3 percent compounded annually thereafter.

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Firefighters' Pension Plan - Continued

Plan Descriptions - Continued

Benefits Provided - Continued. Covered employees hired on or after January 1, 2011 (Tier 2), attaining the age of 55 or older with 10 or more years of creditable service are entitled to receive an annual retirement benefit equal to the average monthly salary obtained by dividing the total salary of the firefighter during the 48 consecutive months of service within the last 60 months of service in which the total salary was the highest by the number of months of service in that period. Firefighters' salary for the pension purposes is capped at \$106,800, plus the lesser of $\frac{1}{2}$ of the annual change in the Consumer Price Index or 3 percent compounded. The annual benefit shall be increased by 2.5 percent of such a salary for each additional year of service over 20 years up to 30 years to a maximum of 75 percent of such salary. Employees with at least 10 years may retire at or after age 50 and receive a reduced benefit (i.e., $\frac{1}{2}$ percent for each month under 55). The monthly benefit of a Tier 2 firefighter shall be increased annually at age 60 on the January 1st after the firefighter retires, or the first anniversary of the pension starting date, whichever is later. Noncompounding increases occur annually, each January thereafter. The increase is the lesser of 3 percent or $\frac{1}{2}$ of the change in the Consumer Price Index for the proceeding calendar year.

Contributions. Covered employees are required to contribute 9.455% of their base salary to the Firefighters' Pension Plan. If an employee leaves covered employment with less than 20 years of service, accumulated employee contributions may be refunded without accumulated interest. The City is required to contribute the remaining amounts necessary to finance the plan and the administrative costs as actuarially determined by an enrolled actuary. However, effective January 1, 2011, ILCS requires the City to contribute a minimum amount annually calculated using the projected unit credit actuarial cost method that will result in the funding of 90% of the past service cost by the year 2040. For the year-ended December 31, 2014, the City's contribution was 84.72% of covered payroll.

Concentrations. At year end, the Pension Plan has investments (other than U.S. Government and U.S. Government-guaranteed obligations) in any one organization that represent 5 percent or more of net position available for benefits as follows:

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Firefighters' Pension Plan - Continued

Actuarial Assumptions

The total pension liability was determined by an actuarial valuation performed, as of April 30, 2021, using the following actuarial methods and assumptions:

Actuarial Cost Method	Entry Age Normal
Asset Valuation Method	Fair Value
Actuarial Assumptions	
Interest Rate	7.00%
Salary Increases	Graded by Years of Service
Cost of Living Adjustments	3.25%
Inflation	2.25%

Mortality rates were based on PubS-2010(A) Adjusted for Plan Status, Collar, and Illinois Public Pension Data, as Appropriate.

Discount Rate

The discount rate used to measure the total pension liability was 7.00%, the same as the prior valuation. The projection of cash flows used to determine the discount rate assumed that member contributions will be made at the current contribution rate and that contributions will be made at rates equal to the difference between the actuarially determined contribution rates and the member rate. Based on those assumptions, the Fund's fiduciary net position was projected to be available to make all project future benefit payments of current plan members. Therefore, the long-term expected rate of return on pension plan investments was applied to all period of projected benefit payments to determine the total pension liability.

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Firefighters' Pension Plan - Continued

Discount Rate Sensitivity

The following is a sensitivity analysis of the net pension liability to changes in the discount rate. The table below presents the pension liability of the City calculated using the discount rate as well as what the City's net pension liability would be if it were calculated using a discount rate that is one percentage point lower or one percentage point higher than the current rate:

	1% Decrease (6.00%)	Current Discount Rate (7.00%)	1% Increase (8.00%)
Net Pension Liability	\$ 51,240,565	40,814,707	32,305,542

Changes in the Net Pension Liability

	Total Pension Liability (A)	Plan Fiduciary Net Position (B)	Net Pension Liability (A) - (B)
Balances at April 30, 2020	\$ 73,572,248	26,790,629	46,781,619
Changes for the Year:			
Service Cost	1,109,704	—	1,109,704
Interest on the Total Pension Liability	4,989,665	—	4,989,665
Changes of Benefit Terms	—	—	—
Difference Between Expected and Actual Experience of the Total Pension Liability	511,340	—	511,340
Changes of Assumptions	—	—	—
Contributions - Employer	—	3,845,715	(3,845,715)
Contributions - Employees	—	426,718	(426,718)
Net Investment Income	—	8,358,949	(8,358,949)
Benefit Payments, Including Refunds of Employee Contributions	(4,230,473)	(4,230,473)	—
Other (Net Transfer)	—	(53,761)	53,761
Net Changes	2,380,236	8,347,148	(5,966,912)
Balances at April 30, 2021	75,952,484	35,137,777	40,814,707

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

EMPLOYEE RETIREMENT SYSTEM - DEFINED BENEFIT PENSION PLANS - Continued

Firefighters' Pension Plan - Continued

Pension Expense, Deferred Outflows of Resources, and Deferred Inflows of Resources Related to Pensions

For the year ended April 30, 2021, the City recognized pension expense of \$3,019,067. At April 30, 2021, the City reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources	Totals
Difference Between Expected and Actual Experience	\$ 1,740,997	—	1,740,997
Change in Assumptions	1,113,716	—	1,113,716
Net Difference Between Projected and Actual Earnings on Pension Plan Investments	—	(3,949,974)	(3,949,974)
Total Deferred Amounts Related to Police Pension	2,854,713	(3,949,974)	(1,095,261)

Amounts reported as deferred outflows of resources and deferred inflows of resources related to pensions will be recognized in pension expense in future periods as follows:

Fiscal Year	Net Deferred Outflows/ (Inflows) of Resources
2022	\$ 73,900
2023	(44,838)
2024	(280,310)
2025	(892,393)
2026	48,380
Thereafter	—
Total	(1,095,261)

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

OTHER POST-EMPLOYMENT BENEFITS

General Information about the OPEB Plan

Plan Description. The City's defined benefit OPEB plan, Retiree Benefits Plan (RBP), provides OPEB for all permanent full-time general and public safety employees of the City. RBP is a single-employer defined benefit OPEB plan administered by the City. Article 11 of the State Compiled Statutes grants the authority to establish and amend the benefit terms and financing requirements to the City Board. No assets are accumulated in a trust that meets the criteria in paragraph 4 of Statement 75.

Benefits Provided. The City provides post-retirement health benefits, as per the requirements of local ordinances and labor agreements. Retirees covered under the Teamsters, Chauffeurs, and Helper Local Union No. 627 agreement are eligible to continue their health care coverage. Individuals are responsible for 100% of the premium cost for the coverage elected, except any retiree after April 1, 2009 who was hired prior to January 1, 2018 shares equally in the monthly applicable rate with the City paying 50% until the individual reaches 65 years of age.

Plan Membership. As of April 30, 2021, the measurement date, the following employees were covered by the benefit terms:

Inactive Plan Members Currently Receiving Benefits	64
Inactive Plan Members Entitled to but not yet Receiving Benefits	—
Active Plan Members	<u>172</u>
Total	<u><u>236</u></u>

Total OPEB Liability

The City's total OPEB liability was measured as of April 30, 2021, and was determined by an actuarial valuation as of the same date.

Actuarial Assumptions and Other Inputs. The total OPEB liability in the April 30, 2021 actuarial valuation was determined using the following actuarial assumptions and other inputs, applied to all periods included in the measurement, unless otherwise specified:

Inflation	2.50%
Salary Increases	3.25%
Discount Rate	2.27%
Healthcare Cost Trend Rates	Initial rate of 7.50%, grading down to the ultimate trend rate of 4.50%
Retirees' Share of Benefit-Related Costs	100% of the benefit costs

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

OTHER POST-EMPLOYMENT BENEFITS - Continued

Total OPEB Liability - Continued

Actuarial Assumptions and Other Inputs - Continued. The discount rate was based on an index of 20-year general obligation bonds with an average AA/Aa or higher credit rating.

Mortality rates were based on the SOA Pub-2010 General Headcount Weighted mortality Table fully generational using Scale MP-2020.

Change in the Total OPEB Liability

	Total OPEB Liability
Balances at April 30, 2020	\$ 24,928,383
Changes for the Year:	
Service Cost	987,505
Interest on the Total OPEB Liability	742,142
Difference Between Expected and Actual Experience	(176,913)
Changes of Assumptions or Other Inputs	1,797,157
Benefit Payments	(1,007,406)
Net Changes	2,342,485
Balances at April 30, 2021	27,270,868

Sensitivity of the Total OPEB Liability to Changes in the Discount Rate

The discount rate used to measure the total pension liability was 2.27%, while the prior valuation used 2.92%. The following presents the total OPEB liability, calculated using the discount rate, as well as what the total OPEB liability would be if it were calculated using a discount rate that is one percentage point lower or one percentage point higher:

	1% Decrease (1.27%)	Current Discount Rate (2.27%)	1% Increase (3.27%)
Total OPEB Liability	\$ 30,436,060	27,270,868	24,577,761

Notes to the Financial Statements
April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued**OTHER POST-EMPLOYMENT BENEFITS - Continued****Sensitivity of the Total OPEB Liability to Changes in the Healthcare Cost Trend Rates**

The following presents the total OPEB liability, calculated using a variable Healthcare Trend Rate, as well as what the total OPEB liability would be if it were calculated using a Healthcare Trend Rate that is one percentage point lower or one percentage point higher:

		Healthcare Cost Trend Rates (Varies)	1% Increase (Varies)
	1% Decrease (Varies)		
Total OPEB Liability	\$ 23,801,843	27,270,868	31,495,920

OPEB Expense and Deferred Outflows of Resources and Deferred Inflows of Resources Related to OPEB

For the year ended April 30, 2021, the City recognized OPEB expense of \$1,035,826. At April 30, 2021, the City reported deferred outflows of resources and deferred inflows of resources related to OPEB from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources	Totals
Difference Between Expected and Actual Experience	\$ 370,996	(4,868,432)	(4,497,436)
Change in Assumptions	3,806,970	(870,533)	2,936,437
Net Difference Between Projected and Actual Earnings on Pension Plan Investments	—	—	—
Total Deferred Amounts Related to OPEB	4,177,966	(5,738,965)	(1,560,999)

CITY OF PEKIN, ILLINOIS

Notes to the Financial Statements

April 30, 2021

NOTE 4 - OTHER INFORMATION - Continued

OTHER POST-EMPLOYMENT BENEFITS - Continued

OPEB Expense and Deferred Outflows of Resources and Deferred Inflows of Resources Related to OPEB - Continued

Amounts reported as deferred outflows of resources and deferred inflows of resources related to OPEB will be recognized in OPEB expense as follows:

<u>Fiscal Year</u>	<u>Net Deferred Outflows/ (Inflows) of Resources</u>
2022	\$ (693,821)
2023	(693,821)
2024	(693,818)
2025	(476,889)
2026	462,611
Thereafter	<u>534,739</u>
Total	<u><u>(1,560,999)</u></u>

REQUIRED SUPPLEMENTARY INFORMATION

Required supplementary information includes financial information and disclosures that are required by the GASB but are not considered a part of the basic financial statements. Such information includes:

- Schedule Employer Contributions
 - Illinois Municipal Retirement Fund - Regular Plan
 - Police Pension Fund
 - Firefighters' Pension Fund
- Schedule of Changes in the Employer's Net Pension Liability
 - Illinois Municipal Retirement Fund
 - Police Pension Fund
 - Firefighters' Pension Fund
- Schedule of Investment Returns
 - Police Pension Fund
 - Firefighters' Pension Fund
- Schedule of Changes in the Employer's Total OPEB Liability
 - Retiree Benefit Plan
- Budgetary Comparison Schedules
 - General Fund
 - Motor Fuel Tax - Special Revenue Fund
 - Library - Special Revenue Fund
 - TIF #1 Central Business District - Special Revenue Fund

Notes to the Required Supplementary Information

Budgetary information - budgets are adopted on a basis consistent with generally accepted accounting principles.

CITY OF PEKIN, ILLINOIS

**Illinois Municipal Retirement Fund
Schedule of Employer Contributions
April 30, 2021**

Fiscal Year	Actuarially Determined Contribution	Contributions in Relation to the Actuarially Determined Contribution	Contribution Excess/ (Deficiency)	Covered Payroll	Contributions as a Percentage of Covered Payroll
2016	\$ 693,405	\$ 693,405	\$ —	\$ 5,483,557	12.65%
2017	700,444	700,444	—	5,560,101	12.60%
2018	721,090	721,090	—	6,068,890	11.88%
2019	643,605	643,605	—	5,958,571	10.80%
2020	607,362	607,362	—	5,714,839	10.63%
2021	681,477	681,477	—	5,683,087	11.99%

Notes to the Required Supplementary Information:

Actuarial Cost Method	Entry Age Normal
Amortization Method	Level % Pay (Closed)
Remaining Amortization Period	23 Years
Asset Valuation Method	5-Year Smoothed Fair Value
Inflation	2.50%
Salary Increases	3.35% - 14.25%
Investment Rate of Return	7.25%
Retirement Age	See the Notes to the Financial Statements
Mortality	IMRF specific mortality table was used with fully generational projection scale MP-2017 (base year 2015).

Note:

This schedule is intended to show information for ten years. Information for additional years will be displayed as it becomes available.

CITY OF PEKIN, ILLINOIS

Police Pension Fund

Schedule of Employer Contributions

April 30, 2021

Fiscal Year	Actuarially Determined Contribution	Contributions in Relation to the Actuarially Determined Contribution	Contribution Excess/ (Deficiency)	Covered Payroll	Contributions as a Percentage of Covered Payroll
2015	\$ 1,482,458	\$ 1,534,236	\$ 51,778	\$ 3,873,585	39.61%
2016	1,402,701	1,577,451	174,750	4,001,045	39.43%
2017	1,508,247	1,599,022	90,775	4,147,522	38.55%
2018	1,694,720	1,739,614	44,894	4,160,637	41.81%
2019	1,851,757	1,867,574	15,817	4,306,260	43.37%
2020	1,948,987	1,925,102	(23,885)	4,504,784	42.73%
2021	1,980,179	2,063,987	83,808	4,544,465	45.42%

Notes to the Required Supplementary Information:

Actuarial Cost Method	Entry Age Normal
Amortization Method	Level % Pay (Closed)
Remaining Amortization Period	20 Years
Asset Valuation Method	5-Year Smoothed Fair Value
Inflation	2.25%
Salary Increases	3.00%
Investment Rate of Return	7.00%
Retirement Age	50 - 65
Mortality	PubS-2010(A) Adjusted for Plan Status, Collar, and Illinois Public Pension Data, as Appropriate.

Note:

This schedule is intended to show information for ten years. Information for additional years will be displayed as it becomes available.

CITY OF PEKIN, ILLINOIS

Firefighters' Pension Fund
Schedule of Employer Contributions
April 30, 2021

Fiscal Year	Actuarially Determined Contribution	Contributions in Relation to the Actuarially Determined Contribution	Contribution Excess/ (Deficiency)	Covered Payroll	Contributions as a Percentage of Covered Payroll
2015	\$ 1,984,713	\$ 2,258,166	\$ 273,453	\$ 3,750,045	60.22%
2016	2,194,373	2,375,747	181,374	3,471,056	68.44%
2017	2,318,690	2,466,193	147,503	4,017,170	61.39%
2018	2,813,436	3,016,014	202,578	4,147,728	72.71%
2019	3,138,450	3,258,995	120,545	4,114,669	79.20%
2020	3,448,829	3,417,696	(31,133)	4,449,841	76.80%
2021	3,717,042	3,845,715	128,673	4,539,316	84.72%

Notes to the Required Supplementary Information:

Actuarial Cost Method	Entry Age Normal
Amortization Method	Level % Pay (Closed)
Remaining Amortization Period	19 Years
Asset Valuation Method	5-Year Smoothed Fair Value
Inflation	2.25%
Salary Increases	3.25%
Investment Rate of Return	7.00%
Retirement Age	50 - 65
Mortality	PubS-2010(A) Adjusted for Plan Status, Collar, and Illinois Public Pension Data, as Appropriate.

Note:

This schedule is intended to show information for ten years. Information for additional years will be displayed as it becomes available.

CITY OF PEKIN, ILLINOIS

Illinois Municipal Retirement Fund

Schedule of Changes in the Employer's Net Pension Liability

April 30, 2021

	<u>12/31/15</u>
Total Pension Liability	
Service Cost	\$ 559,310
Interest	1,991,480
Differences Between Expected and Actual Experience	115,804
Change of Assumptions	33,416
Benefit Payments, Including Refunds of Member Contributions	<u>(1,326,712)</u>
Net Change in Total Pension Liability	1,373,298
Total Pension Liability - Beginning	<u>27,007,763</u>
Total Pension Liability - Ending	<u><u>28,381,061</u></u>
Plan Fiduciary Net Position	
Contributions - Employer	\$ 629,532
Contributions - Members	242,615
Net Investment Income	118,894
Benefit Payments, Including Refunds of Member Contributions	(1,326,712)
Other (Net Transfer)	<u>329,484</u>
Net Change in Plan Fiduciary Net Position	(6,187)
Plan Net Position - Beginning	<u>24,005,995</u>
Plan Net Position - Ending	<u><u>23,999,808</u></u>
Employer's Net Pension Liability	<u><u>\$ 4,381,253</u></u>
Plan Fiduciary Net Position as a Percentage of the Total Pension Liability	84.56%
Covered Payroll	\$ 5,020,196
Employer's Net Pension Liability as a Percentage of Covered Payroll	87.27%

Note: This schedule is intended to show information for ten years. Information for additional years will be displayed as it becomes available.

Changes of Assumptions. Changes in assumptions related to the discount rate were made in 2015 through 2018. Changes in assumptions related to the demographics were made in 2014 and 2017.

12/31/16	12/31/17	12/31/18	12/31/19	12/31/20
557,324	596,378	595,813	605,992	562,676
2,086,499	2,160,050	2,163,191	2,205,280	2,277,378
(69,706)	34,285	(177,903)	110,411	(20,814)
(100,444)	(944,894)	838,955	—	(238,144)
(1,456,030)	(1,792,445)	(1,814,876)	(1,885,199)	(1,925,937)
1,017,643	53,374	1,605,180	1,036,484	655,159
28,381,061	29,398,704	29,452,078	31,057,258	32,093,742
29,398,704	29,452,078	31,057,258	32,093,742	32,748,901
712,546	717,857	707,535	544,687	683,376
251,153	269,123	273,600	262,094	265,165
1,635,608	4,462,013	(1,722,390)	4,967,341	4,352,891
(1,456,030)	(1,792,445)	(1,814,876)	(1,885,199)	(1,925,937)
(47,550)	(720,859)	134,207	(82,740)	(274,870)
1,095,727	2,935,689	(2,421,924)	3,806,183	3,100,625
23,999,808	25,095,535	28,031,224	25,609,300	29,415,483
25,095,535	28,031,224	25,609,300	29,415,483	32,516,108
4,303,169	1,420,854	5,447,958	2,678,259	232,793
85.36%	95.18%	82.46%	91.65%	99.29%
5,565,182	5,878,828	6,055,997	5,769,994	5,855,838
77.32%	24.17%	89.96%	46.42%	3.98%

CITY OF PEKIN, ILLINOIS**Police Pension Fund****Schedule of Changes in the Employer's Net Pension Liability****April 30, 2021**

	<u>4/30/15</u>
Total Pension Liability	
Service Cost	\$ 775,146
Interest	3,065,230
Changes in Benefit Terms	—
Differences Between Expected and Actual Experience	—
Change of Assumptions	—
Benefit Payments, Including Refunds of Member Contributions	<u>(2,352,616)</u>
Net Change in Total Pension Liability	1,487,760
Total Pension Liability - Beginning	<u>44,965,312</u>
Total Pension Liability - Ending	<u>46,453,072</u>
Plan Fiduciary Net Position	
Contributions - Employer	\$ 1,534,236
Contributions - Members	408,953
Contributions - Other	—
Net Investment Income	1,816,276
Benefit Payments, Including Refunds of Member Contributions	(2,352,616)
Administrative Expenses	<u>(64,475)</u>
Net Change in Plan Fiduciary Net Position	1,342,374
Plan Net Position - Beginning	<u>27,640,241</u>
Plan Net Position - Ending	<u>28,982,615</u>
Employer's Net Pension Liability	<u>\$ 17,470,457</u>
Plan Fiduciary Net Position as a Percentage of the Total Pension Liability	62.39%
Covered Payroll	\$ 3,873,585
Employer's Net Pension Liability as a Percentage of Covered Payroll	451.02%

Note: This schedule is intended to show information for ten years. Information for additional years will be displayed as it becomes available.

4/30/16	4/30/17	4/30/18	4/30/19	4/30/20	4/30/21
765,440	901,042	964,115	1,022,067	1,031,711	925,126
3,168,643	3,450,422	3,576,666	3,717,057	3,859,414	4,038,038
—	—	—	—	45,125	—
(902,723)	452,993	(27,032)	17,671	(56,180)	324,158
3,385,353	(571,490)	—	—	435,278	—
(2,373,461)	(2,409,154)	(2,449,821)	(2,566,492)	(2,658,717)	(2,851,843)
4,043,252	1,823,813	2,063,928	2,190,303	2,656,631	2,435,479
46,453,072	50,496,324	52,320,137	54,384,065	56,574,368	59,230,999
50,496,324	52,320,137	54,384,065	56,574,368	59,230,999	61,666,478
1,577,451	1,599,022	1,739,614	1,867,574	1,925,102	2,063,987
425,591	541,097	461,643	419,247	455,555	450,789
—	—	—	—	—	57,467
(463,448)	2,779,967	2,442,669	2,218,357	(184,408)	9,500,437
(2,373,461)	(2,409,154)	(2,449,821)	(2,566,492)	(2,658,717)	(2,851,843)
(86,825)	(79,449)	(106,368)	(110,751)	(120,464)	(91,796)
(920,692)	2,431,483	2,087,737	1,827,935	(582,932)	9,129,041
28,982,615	28,061,923	30,493,406	32,581,143	34,409,078	33,826,146
28,061,923	30,493,406	32,581,143	34,409,078	33,826,146	42,955,187
22,434,401	21,826,731	21,802,922	22,165,290	25,404,853	18,711,291
55.57%	58.28%	59.91%	60.82%	57.11%	69.66%
4,001,045	4,147,522	4,160,637	4,306,260	4,504,784	4,544,465
560.71%	526.26%	524.03%	514.72%	563.95%	411.74%

CITY OF PEKIN, ILLINOIS

Firefighters' Pension Fund Schedule of Changes in the Employer's Net Pension Liability April 30, 2021

	<u>4/30/15</u>
Total Pension Liability	
Service Cost	\$ 1,121,881
Interest	3,543,523
Changes in Benefit Terms	—
Differences Between Expected and Actual Experience	(331,383)
Change of Assumptions	2,658,411
Benefit Payments, Including Refunds of Member Contributions	<u>(2,946,394)</u>
Net Change in Total Pension Liability	4,046,038
Total Pension Liability - Beginning	<u>52,094,952</u>
 Total Pension Liability - Ending	 <u><u>56,140,990</u></u>
Plan Fiduciary Net Position	
Contributions - Employer	\$ 2,258,166
Contributions - Members	358,384
Net Investment Income	1,473,145
Benefit Payments, Including Refunds of Member Contributions	(2,946,394)
Administrative Expenses	<u>(19,171)</u>
Net Change in Plan Fiduciary Net Position	1,124,130
Plan Net Position - Beginning	<u>22,043,973</u>
 Plan Net Position - Ending	 <u><u>23,168,103</u></u>
 Employer's Net Pension Liability	 <u><u>\$ 32,972,887</u></u>
 Plan Fiduciary Net Position as a Percentage of the Total Pension Liability	 41.27%
 Covered Payroll	 \$ 3,750,045
 Employer's Net Pension Liability as a Percentage of Covered Payroll	 879.27%

Note: This schedule is intended to show information for ten years. Information for additional years will be displayed as it becomes available.

Changes of Assumptions. Change of assumption related to the discount rate and mortality were made in 2018.

4/30/16	4/30/17	4/30/18	4/30/19	4/30/20	4/30/21
1,061,180	1,141,276	1,221,165	991,212	1,070,555	1,109,704
3,813,115	4,474,172	4,271,938	4,565,810	4,689,906	4,989,665
—	—	—	—	75,489	—
1,258,552	(1,888,126)	949,040	243,019	1,448,692	511,340
5,562,946	(1,953,420)	1,416,691	—	1,119,661	—
(3,335,842)	(3,450,939)	(3,592,450)	(3,728,853)	(3,993,531)	(4,230,473)
8,359,951	(1,677,037)	4,266,384	2,071,188	4,410,772	2,380,236
56,140,990	64,500,941	62,823,904	67,090,288	69,161,476	73,572,248
64,500,941	62,823,904	67,090,288	69,161,476	73,572,248	75,952,484
2,375,747	2,466,193	3,016,014	3,258,995	3,417,696	3,845,715
389,998	395,113	398,356	407,832	418,623	426,718
(437,152)	2,218,913	2,112,236	1,708,764	(258,895)	8,358,949
(3,335,842)	(3,450,939)	(3,592,450)	(3,728,853)	(3,993,531)	(4,230,473)
(29,753)	(29,292)	(27,451)	(33,721)	(44,075)	(53,761)
(1,037,002)	1,599,988	1,906,705	1,613,017	(460,182)	8,347,148
23,168,103	22,131,101	23,731,089	25,637,794	27,250,811	26,790,629
22,131,101	23,731,089	25,637,794	27,250,811	26,790,629	35,137,777
42,369,840	39,092,815	41,452,494	41,910,665	46,781,619	40,814,707
34.31%	37.77%	38.21%	39.40%	36.41%	46.26%
3,471,056	4,017,170	4,147,728	4,114,669	4,449,841	4,539,316
1220.66%	973.14%	999.40%	1018.57%	1051.31%	899.14%

CITY OF PEKIN, ILLINOIS

Police Pension Fund

Schedule of Investment Returns

April 30, 2021

Fiscal Year	Annual Money- Weighted Rate of Return, Net of Investment Expense
2015	6.57%
2016	(1.56%)
2017	9.88%
2018	7.86%
2019	6.90%
2020	(0.73%)
2021	7.36%

Note: This schedule is intended to show information for ten years. Information for additional years will be displayed as it becomes available.

CITY OF PEKIN, ILLINOIS

**Firefighters' Pension Fund
Schedule of Investment Returns
April 30, 2021**

Fiscal Year	Annual Money- Weighted Rate of Return, Net of Investment Expense
2015	6.55%
2016	(1.90%)
2017	9.96%
2018	8.79%
2019	6.67%
2020	(0.57%)
2021	12.08%

Note: This schedule is intended to show information for ten years. Information for additional years will be displayed as it becomes available.

CITY OF PEKIN, ILLINOIS

Retiree Benefit Plan

Schedule of Changes in the Employer's Total OPEB Liability

April 30, 2021

	<u>4/30/17</u>
Total OPEB Liability	
Service Cost	\$ 1,388,111
Interest	896,857
Difference Between Expected and Actual Experience	—
Change of Assumptions or Other Inputs	(1,670,335)
Benefit Payments	<u>(764,408)</u>
Net Change in Total OPEB Liability	(149,775)
Total OPEB Liability - Beginning	<u>27,392,828</u>
Total OPEB Liability - Ending	<u><u>27,243,053</u></u>
Covered-Employee Payroll	\$ 12,846,107
Total OPEB Liability as a Percentage of Covered-Employee Payroll	212.07%

Notes:

This schedule is intended to show information for ten years. Information for additional years will be displayed as it becomes available.

No assets are accumulated in a trust that meets the criteria in paragraph 4 of GASB Statement 75.

Changes of Assumptions. Change of assumption related to the discount rate were made in 2017 through 2021.

4/30/18	4/30/19	4/30/20	4/30/21
1,273,954	779,630	864,987	987,505
1,073,585	856,479	888,623	742,142
(7,076,556)	593,596	(1,567,143)	(176,913)
(439,511)	449,640	2,604,577	1,797,157
(833,205)	(903,115)	(880,211)	(1,007,406)
(6,001,733)	1,776,230	1,910,833	2,342,485
27,243,053	21,241,320	23,017,550	24,928,383
21,241,320	23,017,550	24,928,383	27,270,868
12,314,491	12,745,498	12,464,396	12,869,489
172.49%	180.59%	200.00%	211.90%

CITY OF PEKIN, ILLINOIS

General Fund

Schedule of Revenues, Expenditures and Changes in Fund Balance - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Revenues			
Taxes	\$ 6,656,300	6,656,300	13,401,378
Intergovernmental	11,461,211	11,461,211	15,761,678
Licenses and Permits	395,966	395,966	543,853
Charges for Services	2,089,564	2,089,564	2,021,284
Fines, Forfeitures and Penalties	175,000	175,000	180,584
Investment Income	100,000	100,000	96,586
Miscellaneous	189,910	189,910	502,562
Total Revenues	21,067,951	21,067,951	32,507,925
Expenditures			
General Government	3,834,069	3,834,069	2,916,031
Public Safety	16,665,810	16,665,810	24,336,227
Public Works	1,530,143	1,530,143	1,751,700
Capital Outlay	36,350	36,350	1,162,189
Debt Service			
Principal Retirement	542,916	542,916	546,308
Interest and Fiscal Charges	190,039	190,039	184,797
Total Expenditures	22,799,327	22,799,327	30,897,252
Excess (Deficiency) of Revenues Over (Under) Expenditures	(1,731,376)	(1,731,376)	1,610,673
Other Financing Sources (Uses)			
Disposal of Capital Assets	3,165	3,165	729
Transfers In	2,098,000	2,098,000	2,313,099
Transfers Out	(12,500)	(12,500)	(12,500)
	2,088,665	2,088,665	2,301,328
Net Change in Fund Balance	357,289	357,289	3,912,001
Fund Balance - Beginning as Restated			14,474,703
Fund Balance - Ending			18,386,704

CITY OF PEKIN, ILLINOIS**Motor Fuel Tax - Special Revenue Fund****Schedule of Revenues, Expenditures and Changes in Fund Balance - Budget and Actual****For the Fiscal Year Ended April 30, 2021**

	Original Budget	Final Budget	Actual
Revenues			
Intergovernmental			
Motor Fuel Taxes	\$ 1,121,152	1,121,152	1,220,261
Grants	—	—	1,241,400
Investment Income	45,000	45,000	18,297
Total Revenues	1,166,152	1,166,152	2,479,958
Expenditures			
Public Works			
Personnel Costs	54,307	54,307	—
Contractual Services and Other Expenditures	511,000	511,000	390,523
Capital Outlay	—	—	1,904,044
Total Expenditures	565,307	565,307	2,294,567
Net Change in Fund Balance	600,845	600,845	185,391
Fund Balance - Beginning			3,550,616
Fund Balance - Ending			3,736,007

CITY OF PEKIN, ILLINOIS

Library - Special Revenue Fund

Schedule of Revenues, Expenditures and Changes in Fund Balance - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Revenues			
Taxes			
Property Taxes	\$ 1,154,750	1,154,750	1,151,829
Intergovernmental			
Replacement Taxes	106,000	106,000	81,261
Grants	47,905	47,905	70,055
Charges for Services	56,700	56,700	37,579
Fines, Forfeitures and Penalties	10,800	10,800	5,274
Investment Income	9,800	9,800	899
Miscellaneous	14,000	14,000	13,590
Total Revenues	1,399,955	1,399,955	1,360,487
Expenditures			
Culture and Recreation			
Personnel Costs	915,455	915,455	964,886
Books, Programs, and Services	210,300	210,300	171,234
Grant Expense	44,050	44,050	74,949
Gifts and Endowments Expenditures	14,500	14,500	16,215
Other Services and Charges	198,850	198,850	187,770
Capital Outlay	1,800	1,800	5,975
Total Expenditures	1,384,955	1,384,955	1,421,029
Excess (Deficiency) of Revenues Over (Under) Expenditures	15,000	15,000	(60,542)
Other Financing (Uses)			
Transfers Out	(15,000)	(15,000)	(15,000)
Net Change in Fund Balance	—	—	(75,542)
Fund Balance - Beginning			786,552
Fund Balance - Ending			711,010

CITY OF PEKIN, ILLINOIS

TIF #1 Central Business District - Special Revenue Fund

Schedule of Revenues, Expenditures and Changes in Fund Balance - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Revenues			
Taxes			
Property Taxes	\$ 520,391	520,391	518,313
Investment Income	35,000	35,000	23,202
Miscellaneous	—	—	1,488
Total Revenues	555,391	555,391	543,003
Expenditures			
Economic Development			
Personnel Costs	4,574	4,574	6,150
Contractual Services and Other Expenditures	1,034,904	1,034,904	725,046
Capital Outlay	—	—	4,240
Total Expenditures	1,039,478	1,039,478	735,436
Excess (Deficiency) of Revenues Over (Under) Expenditures	(484,087)	(484,087)	(192,433)
Other Financing (Uses)			
Transfers Out	(226,000)	(226,000)	(677,062)
Net Change in Fund Balance	(710,087)	(710,087)	(869,495)
Fund Balance - Beginning			3,326,160
Fund Balance - Ending			2,456,665

OTHER SUPPLEMENTARY INFORMATION

Other supplementary information includes financial statements and schedules not required by the GASB, nor a part of the basic financial statements, but are presented for purposes of additional analysis.

Such statements and schedules include:

- Budgetary Comparison Schedules - Major Governmental Funds
- Combining Statements - Nonmajor Governmental Funds
- Budgetary Comparison Schedules - Nonmajor Governmental Funds
- Budgetary Comparison Schedules - Major Enterprise Funds
- Budgetary Comparison Schedules - Nonmajor Enterprise Fund
- Combining Statements - Internal Service Funds
- Budgetary Comparison Schedules - Internal Service Funds
- Combining Statements - Pension Trust Funds
- Budgetary Comparison Schedules - Pension Trust Funds
- Consolidated Year-End Financial Report

INDIVIDUAL FUND DESCRIPTIONS

GENERAL FUND

The General Fund is used to account for all financial resources except those required to be accounted for in another fund.

SPECIAL REVENUE FUNDS

The Special Revenue Funds are used to account for the proceeds of specific revenue sources (other than fiduciary funds or capital projects funds) that are legally restricted to expenditure for specified purposes.

Library Fund

The Library Fund is used to account for taxes restricted for activities of the Pekin Public Library.

TIF #1 Central Business District Fund

The TIF #1 Central Business District Fund is used to account for tax increment financing district related property taxes and expenditures for the Central Business District.

Motor Fuel Tax Fund

The Motor Fuel Tax Fund is used to account for motor fuel tax allocations from the State of Illinois and expenditures related to the City's annual road rehabilitation and construction program.

Tourism Fund

The Tourism Fund is used to account for the collection of local hotel/motel taxes that are used to promote tourism within the City of Pekin.

HUD Metro Development Fund

The HUD Metro Development Fund is used to account for Housing and Urban Development grant revenues and expenditures.

TIF #2 South Industrial Park Conversion Area Fund

The TIF #2 South Industrial Park Conversion Area Fund is used to account for incremental real estate taxes that are generated in the district to promote development in the Riverway Business Development area.

TIF Court Street Fund

The TIF Court Street Fund is used to account for incremental real estate taxes that are generated in the district to promote development in the Court Street TIF District area

INDIVIDUAL FUND DESCRIPTIONS - Continued

SPECIAL REVENUE FUNDS - Continued

Foreign Fire Insurance Board Fund

The Foreign Fire Insurance Board Fund is used to account for revenue generated by state statute assessing a tax on out of state insurance policies. The resources of which are spent to support the fire department.

CAPITAL PROJECTS FUND

The Capital Projects Fund accounts for acquisition or construction of major capital facilities not being financed by proprietary funds.

ENTERPRISE FUNDS

The Enterprise Funds are used to account for operations that are financed and operated in a manner similar to private business enterprises where the intent is that costs of providing goods or services to the general public on a continuing basis be financed or recovered primarily through user charges; or where it has been decided that periodic determination of revenues earned, expenses incurred and/or net income is appropriate for capital maintenance, public policy, management control, accountability or other purpose.

School Bus Fund

The School Bus Fund is used to account for the operations of the City's school bus operations.

Solid Waste Fund

The Solid Waste Fund is used to account for the provision of solid waste collection and disposal services.

Sewerage Fund

The Sewerage Fund is used to account for the operations of the City's sewerage Services.

Airport Fund

The Airport Fund is used to account for the operation of the City's municipal airport.

Economic Development Fund

The Economic Development Fund is used to account for specific economic development projects identified by the City.

INDIVIDUAL FUND DESCRIPTIONS - Continued

INTERNAL SERVICE FUNDS

Vehicle Maintenance Fund

The Vehicle Maintenance Fund is used to account for vehicle fuel, repairs and maintenance services provided to the various City departments for City owned vehicles. Charges for services provided by this fund are allocated to the various City departments on a cost recovery basis.

Insurance Fund

The Insurance Fund is used to account for insurance premiums and claims for City employees and their dependents. Charges for services provided by this fund are allocated to the various City departments on a cost recovery basis.

PENSION TRUST FUNDS

Police Pension Fund

The Police Pension Fund is used to account for the accumulation of resources to be used for retirement annuity payments to employees on the police department at appropriate amounts and times in the future. Resources are contributed by employees at rates fixed by law and by the City at amounts determined by an annual actuarial study.

Firefighters' Pension Fund

The Firefighters' Pension Fund is used to account for the accumulation of resources to be used for retirement annuity payments to employees on the fire department at appropriate amounts and times in the future. Resources are contributed by employees at rates fixed by law and by the City at amounts determined by an annual actuarial study.

CITY OF PEKIN, ILLINOIS

General Fund Schedule of Revenues - Budget and Actual For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Taxes			
Property Taxes	\$ 427,500	427,500	5,662,045
Home Rule Sales Taxes	4,908,750	4,908,750	6,262,861
Food and Beverage Taxes	1,168,750	1,168,750	1,287,785
Packaged Liquor Taxes	151,300	151,300	188,687
	6,656,300	6,656,300	13,401,378
Intergovernmental			
Sales Taxes	4,823,750	4,823,750	5,930,012
State Income Taxes	3,349,711	3,349,711	3,908,445
Use Taxes	935,000	935,000	1,524,324
Replacement Taxes	1,401,000	1,401,000	1,407,620
Gaming Taxes	361,250	361,250	406,103
Telecommunication Taxes	525,000	525,000	388,418
Cannabis Taxes	—	—	27,867
Grants	65,500	65,500	2,163,889
Contributions	—	—	5,000
	11,461,211	11,461,211	15,761,678
Licenses and Permits	395,966	395,966	543,853
Charges for Services	2,089,564	2,089,564	2,021,284
Fines, Forfeitures and Penalties	175,000	175,000	180,584
Investment Income	100,000	100,000	96,586
Miscellaneous	189,910	189,910	502,562
Total Revenues	21,067,951	21,067,951	32,507,925

CITY OF PEKIN, ILLINOIS

**General Corporate - General Fund Sub-Fund
Schedule of Expenditures - Budget and Actual
For the Fiscal Year Ended April 30, 2021**

	Original Budget	Final Budget	Actual
General Government			
Administration			
Personnel Costs	\$ 223,269	223,269	195,830
Supplies and Materials	325	325	428
Contractual Services and Other Expenditures	35,846	35,846	16,905
	259,440	259,440	213,163
Legal			
Contractual Services and Other Expenditures	277,500	277,500	357,193
City Clerk			
Personnel Costs	208,213	208,213	212,881
Supplies and Materials	275	275	590
Contractual Services and Other Expenditures	12,780	12,780	6,870
	221,268	221,268	220,341
Human Resources			
Personnel Costs	137,838	137,838	143,138
Supplies and Materials	750	750	626
Contractual Services and Other Expenditures	15,400	15,400	27,454
	153,988	153,988	171,218
Finance			
Personnel Costs	330,187	330,187	332,506
Supplies and Materials	6,388	6,388	3,872
Contractual Services and Other Expenditures	16,442	16,442	22,345
	353,017	353,017	358,723
Information Technology			
Personnel Costs	137,923	137,923	159,589
Supplies and Materials	21,535	21,535	11,156
Contractual Services and Other Expenditures	1,100,584	1,100,584	1,019,592
	1,260,042	1,260,042	1,190,337
City Treasurer			
Personnel Costs	—	—	1,209

CITY OF PEKIN, ILLINOIS

General Fund

Schedule of Expenditures - Budget and Actual - Continued

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
General Government - Continued			
General Operations			
Personnel Costs	\$ —	—	82,087
Supplies and Materials	536,814	536,814	8,225
Contractual Services and Other Expenditures	772,000	772,000	313,535
	1,308,814	1,308,814	403,847
Total General Government	3,834,069	3,834,069	2,916,031
Public Safety			
Police			
Personnel Costs	7,353,914	7,353,914	9,569,969
Supplies and Materials	739,387	739,387	857,531
Contractual Services and Other Expenditures	403,199	403,199	1,085,188
	8,496,500	8,496,500	11,512,688
Fire			
Personnel Costs	6,773,219	6,773,219	11,097,585
Supplies and Materials	336,207	336,207	343,374
Contractual Services and Other Expenditures	169,800	169,800	447,595
	7,279,226	7,279,226	11,888,554
Building Inspection			
Personnel Costs	20,952	20,952	79,644
Supplies and Materials	2,500	2,500	951
Contractual Services and Other Expenditures	333,000	333,000	269,542
	356,452	356,452	350,137
Public Property			
Personnel Costs	335,232	335,232	198,870
Supplies and Materials	28,000	28,000	61,451
Contractual Services and Other Expenditures	170,400	170,400	324,527
	533,632	533,632	584,848
Public Safety	16,665,810	16,665,810	24,336,227

CITY OF PEKIN, ILLINOIS

General Fund

Schedule of Expenditures - Budget and Actual - Continued

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Public Works			
Streets			
Personnel Costs	\$ 887,522	887,522	898,646
Supplies and Materials	20,600	20,600	40,186
Contractual Services and Other Expenditures	193,450	193,450	447,067
	<u>1,101,572</u>	<u>1,101,572</u>	<u>1,385,899</u>
Engineering/GIS			
Personnel Costs	317,796	317,796	202,392
Supplies and Materials	1,400	1,400	1,313
Contractual Services and Other Expenditures	109,375	109,375	162,096
	<u>428,571</u>	<u>428,571</u>	<u>365,801</u>
Total Public Works	<u>1,530,143</u>	<u>1,530,143</u>	<u>1,751,700</u>
Capital Outlay	<u>36,350</u>	<u>36,350</u>	<u>1,162,189</u>
Debt Service			
Principal Retirement	542,916	542,916	546,308
Interest and Fiscal Charges	190,039	190,039	184,797
	<u>732,955</u>	<u>732,955</u>	<u>731,105</u>
Total Expenditures	<u>22,799,327</u>	<u>22,799,327</u>	<u>30,897,252</u>

CITY OF PEKIN, ILLINOIS

Capital Projects Fund

Schedule of Revenues, Expenditures and Changes in Fund Balance - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Revenues			
Taxes			
Local Motor Fuel Taxes	\$ 433,500	433,500	487,865
Charges for Services	15,000	15,000	22,611
Fines, Forfeitures and Penalties	—	—	3,095
Investment Income	95,000	95,000	53,947
Miscellaneous	5,000	5,000	1,734
Total Revenues	548,500	548,500	569,252
Expenditures			
Public Works			
Supplies and Materials	15,000	15,000	14,525
Contractual Services and Other Expenditures	500,000	500,000	595,306
Capital Outlay	4,046,000	4,046,000	310,841
Total Expenditures	4,561,000	4,561,000	920,672
Excess (Deficiency) of Revenues Over (Under) Expenditures	(4,012,500)	(4,012,500)	(351,420)
Other Financing Sources			
Transfers In	12,500	12,500	12,500
Net Change in Fund Balance	(4,000,000)	(4,000,000)	(338,920)
Fund Balance - Beginning			4,384,326
Fund Balance - Ending			4,045,406

CITY OF PEKIN, ILLINOIS

Nonmajor Governmental - Special Revenue Funds

Combining Balance Sheet

April 30, 2021

	<u>Tourism</u>
ASSETS	
Cash and Investments	\$ 35,408
Receivables	
Taxes	27,238
Accounts	34,215
Loans	—
Other	2,519
Due from Other Governments	<u>—</u>
Total Assets	<u><u>99,380</u></u>
LIABILITIES	
Accounts Payable	2,912
Accrued Payroll	401
Due to Other Funds	948
Total Liabilities	<u><u>4,261</u></u>
DEFERRED INFLOWS OF RESOURCES	
Property Taxes	—
Grants	—
Unavailable Revenues	<u>—</u>
Total Deferred Inflows of Resources	<u>—</u>
Total Liabilities and Deferred Inflows of Resources	<u><u>4,261</u></u>
FUND BALANCES	
Restricted	—
Committed	95,119
Unassigned	<u>—</u>
Total Fund Balances	<u><u>95,119</u></u>
Total Liabilities, Deferred Inflows of Resources, and Fund Balances	<u><u>99,380</u></u>

HUD Metro Development	TIF #2 South Industrial Park Conversion Area	TIF Court Street	Foreign Fire Insurance Board	Total
178,973	302,730	—	60,604	577,715
—	187,287	206,368	—	420,893
—	451,062	—	—	485,277
1,116,235	—	—	—	1,116,235
21,469	—	—	—	21,469
1,316,677	941,079	206,368	60,604	2,624,108
995	9,680	—	—	13,587
3,362	91	—	—	3,854
172,695	—	—	—	173,643
177,052	9,771	—	—	191,084
—	187,287	206,368	—	393,655
33,320	—	—	—	33,320
10,074	—	—	—	10,074
43,394	187,287	206,368	—	437,049
220,446	197,058	206,368	—	628,133
1,116,235	744,021	—	60,604	1,920,860
—	—	—	—	95,119
(20,004)	—	—	—	(20,004)
1,096,231	744,021	—	60,604	1,995,975
1,316,677	941,079	206,368	60,604	2,624,108

CITY OF PEKIN, ILLINOIS

Nonmajor Governmental - Special Revenue Funds

Combining Statement of Revenues, Expenditures and Changes in Fund Balances

For the Fiscal Year Ended April 30, 2021

	<u>Tourism</u>
Revenues	
Taxes	\$ 188,827
Intergovernmental	—
Investment Income	305
Total Revenues	<u>189,132</u>
Expenditures	
Public Safety	—
Economic Development	88,539
Capital Outlay	—
Debt Service	
Principal Retirement	93,515
Interest and Fiscal Charges	2,997
Total Expenditures	<u>185,051</u>
Excess (Deficiency) of Revenues	
Over (Under) Expenditures	4,081
Other Financing Sources	
Transfers In	<u>—</u>
Net Change in Fund Balances	4,081
Fund Balances - Beginning as Restated	<u>91,038</u>
Fund Balances - Ending	<u><u>95,119</u></u>

HUD Metro Development	TIF #2 South Industrial Park Conversion Area	TIF Court Street	Foreign Fire Insurance Board	Totals
—	90,412	—	52,862	332,101
273,246	—	—	—	273,246
181	2,067	—	49	2,602
273,427	92,479	—	52,911	607,949
—	—	—	45,010	45,010
273,246	51,290	—	—	413,075
—	—	—	2,294	2,294
—	—	—	—	93,515
—	—	—	—	2,997
273,246	51,290	—	47,304	556,891
181	41,189	—	5,607	51,058
—	677,062	—	—	677,062
181	718,251	—	5,607	728,120
1,096,050	25,770	—	54,997	1,267,855
1,096,231	744,021	—	60,604	1,995,975

CITY OF PEKIN, ILLINOIS**Tourism - Special Revenue Fund****Schedule of Revenues, Expenditures and Changes in Fund Balance - Budget and Actual****For the Fiscal Year Ended April 30, 2021**

	Original Budget	Final Budget	Actual
Revenues			
Taxes			
Hotel and Motel Taxes	\$ 123,000	123,000	188,827
Investment Income	—	—	305
Total Revenues	123,000	123,000	189,132
Expenditures			
Economic Development			
Personnel Costs	14,649	14,649	11,117
Contractual Services and Other Expenditures	108,279	108,279	77,422
Debt Service			
Principal Retirement	93,515	93,515	93,515
Interest and Fiscal Charges	2,947	2,947	2,997
Total Expenditures	219,390	219,390	185,051
Net Change in Fund Balance	(96,390)	(96,390)	4,081
Fund Balance - Beginning as Restated			91,038
Fund Balance - Ending			95,119

CITY OF PEKIN, ILLINOIS**HUD Metro Development - Special Revenue Fund****Schedule of Revenues, Expenditures and Changes in Fund Balance - Budget and Actual****For the Fiscal Year Ended April 30, 2021**

	Original Budget	Final Budget	Actual
Revenues			
Intergovernmental			
Grants	\$ 1,184,888	1,184,888	273,246
Investment Income	1,456	1,456	181
Miscellaneous	8,500	8,500	—
Total Revenues	1,194,844	1,194,844	273,427
Expenditures			
Economic Development			
Personnel Costs	415,832	415,832	91,685
Contractual Services and Other Expenditures	779,012	779,012	181,561
Total Expenditures	1,194,844	1,194,844	273,246
Net Change in Fund Balance	—	—	181
Fund Balance - Beginning			1,096,050
Fund Balance - Ending			1,096,231

CITY OF PEKIN, ILLINOIS

TIF #2 South Industrial Park Conversion Area - Special Revenue Fund

Schedule of Revenues, Expenditures and Changes in Fund Balance - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Revenues			
Taxes			
Property Taxes	\$ 90,398	90,398	90,412
Investment Income	500	500	2,067
Total Revenues	90,898	90,898	92,479
Expenditures			
Economic Development			
Personnel Costs	4,540	4,540	2,835
Contractual Services and Other Expenditures	309,685	309,685	48,455
Total Expenditures	314,225	314,225	51,290
Excess (Deficiency) of Revenues Over (Under) Expenditures	(223,327)	(223,327)	41,189
Other Financing Sources			
Transfers In	226,000	226,000	677,062
Net Change in Fund Balance	2,673	2,673	718,251
Fund Balance - Beginning			25,770
Fund Balance - Ending			744,021

CITY OF PEKIN, ILLINOIS

Foreign Fire Insurance Board - Special Revenue Fund

Schedule of Revenues, Expenditures and Changes in Fund Balance - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Revenues			
Taxes			
Foreign Fire Insurance Taxes	\$ 40,000	40,000	52,862
Investment Income	500	500	49
Total Revenues	40,500	40,500	52,911
Expenditures			
Public Safety			
Supplies and Materials	—	—	33,967
Contractual Services and Other Expenditures	40,500	40,500	11,043
Capital Outlay	—	—	2,294
Total Expenditures	40,500	40,500	47,304
Net Change in Fund Balance	—	—	5,607
Fund Balance - Beginning			54,997
Fund Balance - Ending			60,604

CITY OF PEKIN, ILLINOIS

School Bus - Enterprise Fund

Schedule of Revenues, Expenses and Changes in Net Position - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Operating Revenues			
Charges for Services	\$ 5,137,793	5,137,793	5,364,028
Operating Expenses			
Operations			
Personnel Costs	2,688,086	2,688,086	3,441,646
Supplies	18,700	18,700	43,321
Other Services and Charges	1,572,550	1,572,550	1,419,769
Depreciation	—	—	141,822
Total Operating Expenses	4,279,336	4,279,336	5,046,558
Operating Income	858,457	858,457	317,470
Nonoperating Revenues			
Investment Income	15,000	15,000	1,367
Grants	—	—	479,230
	15,000	15,000	480,597
Income Before Transfers	873,457	873,457	798,067
Transfers Out	(873,000)	(873,000)	(1,213,099)
Change in Net Position	457	457	(415,032)
Net Position - Beginning as Restated			1,108,270
Net Position - Ending			693,238

CITY OF PEKIN, ILLINOIS

Solid Waste - Enterprise Fund

Schedule of Revenues, Expenses and Changes in Net Position - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Operating Revenues			
Charges for Services	\$ 2,869,405	2,869,405	2,909,686
Operating Expenses			
Operations			
Personnel Costs	1,238,880	1,238,880	1,209,893
Supplies	64,050	64,050	97,447
Other Services and Charges	1,069,800	1,069,800	1,240,619
Depreciation	—	—	175,663
Total Operating Expenses	2,372,730	2,372,730	2,723,622
Operating Income	496,675	496,675	186,064
Nonoperating Revenues (Expenses)			
Investment Income	6,000	6,000	5,083
Grants	71,000	71,000	—
Other (Expenses)	—	—	(20,217)
	77,000	77,000	(15,134)
Income Before Transfers	573,675	573,675	170,930
Transfers Out	(350,000)	(350,000)	—
Change in Net Position	223,675	223,675	170,930
Net Position - Beginning as Restated			(3,733,550)
Net Position - Ending			(3,562,620)

CITY OF PEKIN, ILLINOIS

Sewerage - Enterprise Fund

Schedule of Revenues, Expenses and Changes in Net Position - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Operating Revenues			
Charges for Services	\$ 7,386,600	7,386,600	7,145,078
Operating Expenses			
Operations			
Personnel Costs	1,615,745	1,615,745	1,454,851
Supplies	165,100	165,100	159,939
Other Services and Charges	1,196,025	1,196,025	1,683,145
Depreciation and Amortization	—	—	2,024,899
Total Operating Expenses	2,976,870	2,976,870	5,322,834
Operating Income	4,409,730	4,409,730	1,822,244
Nonoperating Revenues (Expenses)			
Investment Income	120,000	120,000	69,031
Interest Expense	(699,643)	(699,643)	(684,909)
	(579,643)	(579,643)	(615,878)
Income Before Transfers	3,830,087	3,830,087	1,206,366
Transfers Out	(860,000)	(860,000)	(860,000)
Change in Net Position	2,970,087	2,970,087	346,366
Net Position - Beginning as Restated			17,177,168
Net Position - Ending			17,523,534

CITY OF PEKIN, ILLINOIS

Economic Development - Enterprise Fund

Schedule of Revenues, Expenses and Changes in Net Position - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Operating Revenues			
Charges for Services	\$ —	—	—
Operating Expenses			
Operations			
Personnel Costs	4,889	4,889	(39,498)
Supplies	—	—	29
Other Services and Charges	56,000	56,000	—
Total Operating Expenses	60,889	60,889	(39,469)
Operating Income (Loss)	(60,889)	(60,889)	39,469
Nonoperating Revenues			
Investment Income	74,500	74,500	97,149
Income Before Transfers	13,611	13,611	136,618
Transfers Out	—	—	(225,000)
Change in Net Position	13,611	13,611	(88,382)
Net Position - Beginning as Restated			2,715,034
Net Position - Ending			2,626,652

CITY OF PEKIN, ILLINOIS

Airport - Enterprise Fund

Schedule of Revenues, Expenses and Changes in Net Position - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Operating Revenues			
Charges for Services	\$ 201,961	201,961	213,350
Operating Expenses			
Operations			
Personnel Costs	117,942	117,942	120,224
Supplies	1,040	1,040	8,873
Other Services and Charges	146,725	146,725	153,538
Depreciation	—	—	66,307
Total Operating Expenses	265,707	265,707	348,942
Operating (Loss)	(63,746)	(63,746)	(135,592)
Nonoperating Revenues			
Investment Income	—	—	33
Grants	30,000	30,000	35,048
	30,000	30,000	35,081
Change in Net Position	(33,746)	(33,746)	(100,511)
Net Position - Beginning as Restated			3,311,889
Net Position - Ending			3,211,378

CITY OF PEKIN, ILLINOIS

**Internal Service Funds
Statement of Net Position
April 30, 2021**

See Following Page

CITY OF PEKIN, ILLINOIS

**Internal Service Funds
Statement of Net Position
April 30, 2021**

	Vehicle Maintenance	Insurance	Totals
ASSETS			
Current Assets			
Cash and Investments	\$ —	283,843	283,843
Receivables - Net of Allowances			
Accounts	11,402	148,690	160,092
Due from Other Funds	1,019,968	3,412,426	4,432,394
Prepays	18,725	—	18,725
Total Current Assets	1,050,095	3,844,959	4,895,054
Noncurrent Assets			
Capital Assets			
Depreciable	89,537	—	89,537
Accumulated Depreciation	(74,481)	—	(74,481)
Total Noncurrent Assets	15,056	—	15,056
Total Assets	1,065,151	3,844,959	4,910,110
DEFERRED OUTFLOWS OF RESOURCES			
Deferred Items - IMRF	32,038	—	32,038
Deferred Items - RBP	81,522	—	81,522
Total Deferred Outflows of Resources	113,560	—	113,560
Total Assets and Deferred Outflows of Resources	1,178,711	3,844,959	5,023,670

	Vehicle Maintenance	Insurance	Totals
LIABILITIES			
Current Liabilities			
Accounts Payable	\$ 45,261	1,214,792	1,260,053
Accrued Payroll	15,064	510,288	525,352
Due to Other Funds	216,003	673,753	889,756
Compensated Absences Payable	15,287	—	15,287
Total Current Liabilities	291,615	2,398,833	2,690,448
Noncurrent Liabilities			
Claims Payable	—	525,359	525,359
Compensated Absences Payable	61,148	—	61,148
Net Pension Liability - IMRF	14,693	—	14,693
Total OPEB Liability - RBP	532,118	—	532,118
Total Noncurrent Liabilities	607,959	525,359	1,133,318
Total Liabilities	899,574	2,924,192	3,823,766
DEFERRED INFLOWS OF RESOURCES			
Deferred Items - IMRF	190,730	—	190,730
Deferred Items - RBP	111,981	—	111,981
Total Deferred Inflows of Resources	302,711	—	302,711
Total Liabilities and Deferred Inflows of Resources	1,202,285	2,924,192	4,126,477
NET POSITION			
Investment in Capital Assets	15,056	—	15,056
Unrestricted (Deficit)	(38,630)	920,767	882,137
Total Net Position	(23,574)	920,767	897,193

CITY OF PEKIN, ILLINOIS**Internal Service Funds****Combining Statement of Revenues, Expenses and Changes in Net Position****For the Fiscal Year Ended April 30, 2021**

	Vehicle Maintenance	Insurance	Totals
Operating Revenues			
Charges for Services	\$ 1,058,561	5,930,866	6,989,427
Operating Expenses			
Operations	1,069,961	7,058,422	8,128,383
Depreciation	938	—	938
Total Operating Expenses	1,070,899	7,058,422	8,129,321
Operating (Loss)	(12,338)	(1,127,556)	(1,139,894)
Nonoperating Revenues			
Investment Income	—	193	193
Change in Net Position	(12,338)	(1,127,363)	(1,139,701)
Net Position - Beginning as Restated	(11,236)	2,048,130	2,036,894
Net Position - Ending	(23,574)	920,767	897,193

CITY OF PEKIN, ILLINOIS

Internal Service Funds

Combining Statement of Cash Flows

For the Fiscal Year Ended April 30, 2021

	Vehicle Maintenance	Insurance	Totals
Cash Flows from Operating Activities			
Receipts from Customers and Users	\$ 1,428,721	5,862,012	7,290,733
Payments to Employees	(607,986)	—	(607,986)
Payments to Suppliers	(820,735)	(5,578,362)	(6,399,097)
	—	283,650	283,650
Cash Flows from Investing Activities			
Investment Income	—	193	193
Net Change in Cash and Cash Equivalents	—	283,843	283,843
Cash and Cash Equivalents - Beginning	—	—	—
Cash and Cash Equivalents - Ending	—	283,843	283,843
Reconciliation of Operating Income to Net Cash			
Provided (Used) by Operating Activities			
Operating (Loss)	(12,338)	(1,127,556)	(1,139,894)
Adjustments to Reconcile Operating			
Income to Net Income to Net Cash			
Provided by (Used in) Operating Activities			
Depreciation Expense	938	—	938
(Increase) Decrease in Current Assets	370,160	(68,854)	301,306
Increase (Decrease) in Current Liabilities	(358,760)	1,480,060	1,121,300
Net Cash Provided by Operating Activities	—	283,650	283,650

CITY OF PEKIN, ILLINOIS**Vehicle Maintenance - Internal Service Fund****Schedule of Revenues, Expenses and Changes in Net Position - Budget and Actual****For the Fiscal Year Ended April 30, 2021**

	Original Budget	Final Budget	Actual
Operating Revenues			
Charges for Services	\$ 878,500	878,500	1,058,561
Operating Expenses			
Operations			
Personnel Costs	638,690	638,690	607,986
Supplies	4,520	4,520	8,509
Cost of Fuel and Parts Sold	511,000	511,000	422,444
Other Services and Charges	32,620	32,620	31,022
Depreciation	—	—	938
Total Operating Expenses	1,186,830	1,186,830	1,070,899
Change in Net Position	(308,330)	(308,330)	(12,338)
Net Position - Beginning as Restated			(11,236)
Net Position - Ending			(23,574)

CITY OF PEKIN, ILLINOIS**Insurance - Internal Service Fund****Schedule of Revenues, Expenses and Changes in Net Position - Budget and Actual****For the Fiscal Year Ended April 30, 2021**

	Original Budget	Final Budget	Actual
Operating Revenues			
Charges for Services	\$ 6,000,742	6,000,742	5,930,866
Operating Expenses			
Operations			
Insurance Claims and Premiums Paid	5,414,486	5,414,486	6,632,883
Other Services and Charges	590,756	590,756	425,539
Total Operating Expenses	6,005,242	6,005,242	7,058,422
Operating (Loss)	(4,500)	(4,500)	(1,127,556)
Nonoperating Revenues			
Investment Income	4,500	4,500	193
Change in Net Position	—	—	(1,127,363)
Net Position - Beginning as Restated			2,048,130
Net Position - Ending			920,767

CITY OF PEKIN, ILLINOIS

Pension Trust Funds

Combining Statement of Fiduciary Net Position

April 30, 2021

	Police Pension	Firefighters' Pension	Totals
ASSETS			
Cash and Cash Equivalents	\$ 442,908	1,947,137	2,390,045
Investments			
U.S. Treasury Obligations	9,447,808	4,872,397	14,320,205
U.S Agency Obligations	337,214	943,359	1,280,573
Corporate Bonds	3,721,569	3,191,230	6,912,799
Municipal Bonds	125,961	880,708	1,006,669
Mutual Funds	23,205,806	23,222,339	46,428,145
Common Stock	5,584,825	—	5,584,825
Receivables - Net of Allowances			
Accrued Interest	76,706	73,166	149,872
Due from Other Funds	—	136	136
Prepays	14,905	12,208	27,113
Total Assets	42,957,702	35,142,680	78,100,382
LIABILITIES			
Accounts Payable	2,515	4,108	6,623
Due to Other Funds	—	795	795
Total Liabilities	2,515	4,903	7,418
NET POSITION			
Net Position Restricted for Pensions	42,955,187	35,137,777	78,092,964

CITY OF PEKIN, ILLINOIS

Pension Trust Funds

Combining Statement of Changes in Fiduciary Net Position

For the Fiscal Year Ended April 30, 2021

	Police Pension	Firefighters' Pension	Totals
Additions			
Contributions - Employer	\$ 2,063,987	3,845,715	5,909,702
Contributions - Plan Members	450,789	426,718	877,507
Other	57,467	—	57,467
Total Contributions	2,572,243	4,272,433	6,844,676
Investment Income			
Interest Earned	1,539,111	1,006,400	2,545,511
Net Change in Fair Value	8,046,311	7,420,374	15,466,685
	9,585,422	8,426,774	18,012,196
Less Investment Expenses	(84,985)	(67,825)	(152,810)
Net Investment Income	9,500,437	8,358,949	17,859,386
Total Additions	12,072,680	12,631,382	24,704,062
Deductions			
Administration	91,796	53,761	145,557
Benefits and Refunds	2,851,843	4,230,473	7,082,316
Total Deductions	2,943,639	4,284,234	7,227,873
Change in Fiduciary Net Position	9,129,041	8,347,148	17,476,189
Net Position Restricted for Pensions			
Beginning	33,826,146	26,790,629	60,616,775
Ending	42,955,187	35,137,777	78,092,964

CITY OF PEKIN, ILLINOIS

Police Pension - Pension Trust Fund

Schedule of Changes in the Fiduciary Net Position - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Additions			
Contributions - Employer	\$ 1,980,179	1,980,179	2,063,987
Contributions - Plan Members	496,000	496,000	450,789
Other	—	—	57,467
Total Contributions	2,476,179	2,476,179	2,572,243
Investment Income			
Interest Earned	1,500	1,500	1,539,111
Net Change in Fair Value	—	—	8,046,311
	1,500	1,500	9,585,422
Less Investment Expenses	—	—	(84,985)
Net Investment Income	1,500	1,500	9,500,437
Total Additions	2,477,679	2,477,679	12,072,680
Deductions			
Administration	—	—	91,796
Benefits and Refunds	2,477,679	2,477,679	2,851,843
Total Deductions	2,477,679	2,477,679	2,943,639
Change in Fiduciary Net Position	—	—	9,129,041
Net Position Restricted for Pensions			
Beginning			33,826,146
Ending			42,955,187

CITY OF PEKIN, ILLINOIS

Firefighter's Pension - Pension Trust Fund

Schedule of Changes in the Fiduciary Net Position - Budget and Actual

For the Fiscal Year Ended April 30, 2021

	Original Budget	Final Budget	Actual
Additions			
Contributions - Employer	\$ 3,420,050	3,420,050	3,845,715
Contributions - Plan Members	296,992	296,992	426,718
Total Contributions	3,717,042	3,717,042	4,272,433
Investment Income			
Interest Earned	512,093	512,093	1,006,400
Net Change in Fair Value	—	—	7,420,374
	512,093	512,093	8,426,774
Less Investment Expenses	—	—	(67,825)
Net Investment Income	512,093	512,093	8,358,949
Total Additions	4,229,135	4,229,135	12,631,382
Deductions			
Administration	19,500	19,500	53,761
Benefits and Refunds	4,209,635	4,209,635	4,230,473
Total Deductions	4,229,135	4,229,135	4,284,234
Change in Fiduciary Net Position	—	—	8,347,148
Net Position Restricted for Pensions			
Beginning			26,790,629
Ending			35,137,777

CITY OF PEKIN, ILLINOIS

**Consolidated Year-End Financial Report
April 30, 2021**

CSFA #	Program Name	State	Federal	Other	Total
420-00-2433	Local CURE and Economic Support Payments Grants Program	\$ —	2,141,181	—	2,141,181
494-00-0957	Economic Development Program	18,621	99,314	6,207	124,142
494-00-1488	Motor Fuel Tax Program	2,294,567	—	—	2,294,567
494-60-0327	Airport Improvement Program	—	35,048	—	35,048
	Other Grant Programs and Activities	—	278,268	71,516	349,784
	All Other Costs Not Allocated	—	—	44,495,359	44,495,359
	Totals	2,313,188	2,553,811	44,573,082	49,440,081



**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL
STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENTAL AUDITING STANDARDS**

April 22, 2024

The Honorable City Mayor
Members of the City Council
City of Pekin, Illinois

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Pekin, Illinois, as of and for the year ended April 30, 2021, and the related notes to the financial statements, which collectively comprise the City's basic financial statements, and have issued our report thereon dated April 22, 2024.

Report on Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the City's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. According, we do not express an opinion on the effectiveness of the City's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements, on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the City's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or, significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses or significant deficiencies may exist that were not identified.

Report on Compliance and Other Matters

As part of obtaining reasonable assurance about whether the City's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

City of Pekin, Illinois
April 22, 2024

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the City's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City's internal control and compliance. Accordingly, this communication is not suitable for any other purpose

Lauterbach & Amen, LLP

LAUTERBACH & AMEN, LLP

SUPPLEMENTAL SCHEDULES

CITY OF PEKIN, ILLINOIS

**Long-Term Debt Requirements
General Obligation Bonds of 2014
April 30, 2021**

Date of Issue	May 12, 2014
Date of Maturity	December 1, 2034
Authorized Issue	\$5,680,000
Denomination of Bonds	\$5,000
Interest Rates	2.00% - 4.00%
Interest Dates	June 1 and December 1
Principal Maturity Date	December 1
Payable at	The Bank of New York Mellon

CURRENT AND LONG-TERM PRINCIPAL AND INTEREST REQUIREMENTS

Fiscal Year	Principal	Interest	Totals
2022	\$ 245,000	157,725	402,725
2023	250,000	152,375	402,375
2024	260,000	144,875	404,875
2025	270,000	137,075	407,075
2026	275,000	128,975	403,975
2027	285,000	120,725	405,725
2028	300,000	109,325	409,325
2029	310,000	97,325	407,325
2030	325,000	84,925	409,925
2031	335,000	71,925	406,925
2032	350,000	58,524	408,524
2033	365,000	45,400	410,400
2034	375,000	30,800	405,800
2035	395,000	15,800	410,800
	<u>4,340,000</u>	<u>1,355,774</u>	<u>5,695,774</u>

CITY OF PEKIN, ILLINOIS

Long-Term Debt Requirements

General Obligation Refunding Bonds of 2020A

April 30, 2021

Date of Issue	May 12, 2020
Date of Maturity	August 1, 2030
Authorized Issue	\$4,245,000
Denomination of Bonds	\$5,000
Interest Rates	1.38% - 2.22%
Interest Dates	August 1 and February 1
Principal Maturity Date	August 1
Payable at	Morton Community Bank

CURRENT AND LONG-TERM PRINCIPAL AND INTEREST REQUIREMENTS

Fiscal Year	Principal	Interest	Totals
2022	\$ 200,000	75,915	275,915
2023	420,000	71,554	491,554
2024	430,000	65,389	495,389
2025	435,000	58,748	493,748
2026	440,000	51,396	491,396
2027	445,000	43,386	488,386
2028	455,000	34,857	489,857
2029	470,000	25,602	495,602
2030	470,000	15,709	485,709
2031	480,000	5,328	485,328
	<u>4,245,000</u>	<u>447,884</u>	<u>4,692,884</u>

CITY OF PEKIN, ILLINOIS

Long-Term Debt Requirements

Promissory Notes of 2015

April 30, 2021

Date of Issue	July 29, 2015
Date of Maturity	May 1, 2022
Authorized Issue	\$2,075,000
Interest Rate	2.50%
Interest Dates	Monthly
Principal Maturity Date	May 1
Payable at	Pekin Community Bank

CURRENT AND LONG-TERM PRINCIPAL AND INTEREST REQUIREMENTS

Fiscal Year	Principal	Interest	Totals
2022	\$ 310,577	11,088	321,665
2023	275,181	3,234	278,415
	585,758	14,322	600,080

CITY OF PEKIN, ILLINOIS

Long-Term Debt Requirements

Promissory Notes of 2017

April 30, 2021

Date of Issue	July 31, 2015
Date of Maturity	February 1, 2025
Authorized Issue	\$1,400,000
Interest Rate	2.00%
Interest Dates	Monthly
Principal Maturity Date	February 1
Payable at	Morton Community Bank

CURRENT AND LONG-TERM PRINCIPAL AND INTEREST REQUIREMENTS

Fiscal Year	Principal	Interest	Totals
2022	\$ 198,309	13,833	212,142
2023	202,312	9,830	212,142
2024	206,396	5,746	212,142
2025	173,926	2,843	176,769
	780,943	32,252	813,195

CITY OF PEKIN, ILLINOIS

Long-Term Debt Requirements

Promissory Notes of 2020

April 30, 2021

Date of Issue	March 20, 2020
Date of Maturity	March 20, 2025
Authorized Issue	\$424,496
Interest Rate	3.25%
Interest Dates	Monthly
Principal Maturity Date	March 20
Payable at	Morton Community Bank

CURRENT AND LONG-TERM PRINCIPAL AND INTEREST REQUIREMENTS

Fiscal Year	Principal	Interest	Totals
2022	\$ 82,294	9,918	92,212
2023	85,047	7,165	92,212
2024	87,883	4,329	92,212
2025	83,145	1,385	84,530
	338,369	22,797	361,166

CITY OF PEKIN, ILLINOIS

Long-Term Debt Requirements

Illinois Environmental Protection Agency Loan of 2011

April 30, 2021

Date of Issue	November 1, 2011
Date of Maturity	April 30, 2033
Authorized Issue	\$20,000,000
Interest Rate	1.25%
Interest Dates	October 30 and April 30
Principal Maturity Date	October 30 and April 30
Payable at	Illinois Environmental Protection Agency

CURRENT AND LONG-TERM PRINCIPAL AND INTEREST REQUIREMENTS

Fiscal Year	Principal	Interest	Totals
2022	\$ 889,980	140,327	1,030,307
2023	901,140	129,167	1,030,307
2024	912,439	117,868	1,030,307
2025	923,880	106,427	1,030,307
2026	935,465	94,842	1,030,307
2027	947,195	83,112	1,030,307
2028	959,072	71,235	1,030,307
2029	971,097	59,210	1,030,307
2030	983,274	47,033	1,030,307
2031	995,603	34,704	1,030,307
2032	1,008,087	22,220	1,030,307
2033	1,020,728	9,579	1,030,307
	11,447,960	915,724	12,363,684

CITY OF PEKIN, ILLINOIS

Long-Term Debt Requirements

Illinois Environmental Protection Agency Loan of 2012

April 30, 2021

Date of Issue	May 4, 2012
Date of Maturity	November 9, 2033
Authorized Issue	\$3,355,600
Interest Rate	2.295%
Interest Dates	May 9 and November 9
Principal Maturity Date	May 9 and November 9
Payable at	Illinois Environmental Protection Agency

CURRENT AND LONG-TERM PRINCIPAL AND INTEREST REQUIREMENTS

Fiscal Year	Principal	Interest	Totals
2022	\$ 164,305	52,987	217,292
2023	168,097	49,195	217,292
2024	171,977	45,315	217,292
2025	175,946	41,346	217,292
2026	180,007	37,285	217,292
2027	184,162	33,130	217,292
2028	188,413	28,879	217,292
2029	192,762	24,530	217,292
2030	197,211	20,081	217,292
2031	201,763	15,529	217,292
2032	206,420	10,872	217,292
2033	211,185	6,107	217,292
2034	107,414	1,232	108,646
	2,349,662	366,488	2,716,150

CITY OF PEKIN, ILLINOIS

Long-Term Debt Requirements

Illinois Environmental Protection Agency Loan of 2015A

April 30, 2021

Date of Issue	January 23, 2015
Date of Maturity	September 4, 2034
Authorized Issue	\$11,644,400
Interest Rate	2.295%
Interest Dates	September 4 and March 4
Principal Maturity Date	September 4 and March 4
Payable at	Illinois Environmental Protection Agency

CURRENT AND LONG-TERM PRINCIPAL AND INTEREST REQUIREMENTS

Fiscal Year	Principal	Interest	Totals
2022	\$ 552,082	194,882	746,964
2023	564,855	182,109	746,964
2024	577,893	169,071	746,964
2025	591,232	155,732	746,964
2026	604,879	142,085	746,964
2027	618,840	128,124	746,964
2028	633,124	113,840	746,964
2029	647,738	99,226	746,964
2030	662,688	84,276	746,964
2031	677,984	68,980	746,964
2032	693,633	53,331	746,964
2033	709,644	37,320	746,964
2034	726,023	20,941	746,964
2035	366,930	4,183	371,113
	8,627,545	1,454,100	10,081,645

CITY OF PEKIN, ILLINOIS

Long-Term Debt Requirements

Illinois Environmental Protection Agency Loan of 2015B

April 30, 2021

Date of Issue	January 23, 2015
Date of Maturity	January 31, 2035
Authorized Issue	\$4,066,600
Interest Rate	1.93%
Interest Dates	July 31 and January 31
Principal Maturity Date	July 31 and January 31
Payable at	Illinois Environmental Protection Agency

CURRENT AND LONG-TERM PRINCIPAL AND INTEREST REQUIREMENTS

Fiscal Year	Principal	Interest	Totals
2022	\$ 236,516	71,487	308,003
2023	241,103	66,900	308,003
2024	245,779	62,224	308,003
2025	250,545	57,458	308,003
2026	255,404	52,599	308,003
2027	260,357	47,646	308,003
2028	265,406	42,597	308,003
2029	270,553	37,450	308,003
2030	275,800	32,203	308,003
2031	281,149	26,854	308,003
2032	286,601	21,402	308,003
2033	292,159	15,844	308,003
2034	297,825	10,178	308,003
2035	303,602	4,401	308,003
	<u>3,762,799</u>	<u>549,243</u>	<u>4,312,042</u>

CITY OF PEKIN, ILLINOIS

Long-Term Debt Requirements

Illinois Environmental Protection Agency Loan of 2015C

April 30, 2021

Date of Issue	February 13, 2015
Date of Maturity	August 26, 2035
Authorized Issue	\$3,292,427
Interest Rate	2.21%
Interest Dates	August 26 and February 26
Principal Maturity Date	August 26 and February 26
Payable at	Illinois Environmental Protection Agency

CURRENT AND LONG-TERM PRINCIPAL AND INTEREST REQUIREMENTS

Fiscal Year	Principal	Interest	Totals
2022	\$ 149,258	54,892	204,150
2023	152,574	51,576	204,150
2024	155,965	48,185	204,150
2025	159,431	44,719	204,150
2026	162,974	41,176	204,150
2027	166,595	37,555	204,150
2028	170,297	33,853	204,150
2029	174,082	30,068	204,150
2030	177,950	26,200	204,150
2031	181,905	22,245	204,150
2032	185,947	18,203	204,150
2033	190,079	14,071	204,150
2034	194,303	9,847	204,150
2035	198,621	5,529	204,150
2036	100,956	1,121	102,077
	2,520,937	439,240	2,960,177

CITY OF PEKIN, ILLINOIS

**Schedule of Assessed Valuations, Tax Rates, Extensions, and Collections - Last Five Tax Levy Years
April 30, 2021**

	2016	2017	2018	2019	2020
Assessed Valuation	\$ 449,770,814	456,192,885	456,238,690	455,322,089	451,240,390
Tax Rates					
Fire Pension	0.66842	0.70901	0.73338	0.75371	0.00000
Police Pension	0.39498	0.39963	0.41337	0.42482	0.00000
Bonds and Interest	0.09153	0.09016	0.09004	0.09194	0.09192
Corporate	0.00000	0.00000	0.00000	0.00000	1.06503
IMRF	0.06883	0.00000	0.00000	0.00000	0.00000
Library	0.24392	0.24696	0.25304	0.26098	0.26204
Total Tax Rates	1.46768	1.44576	1.48983	1.53145	1.41899
Tax Extensions					
Fire Pension	\$ 2,954,116	3,181,018	3,289,154	3,339,653	—
Police Pension	1,745,364	1,792,965	1,853,933	1,882,357	—
Bonds and Interest	404,521	404,509	403,823	407,382	405,076
Corporate	—	—	—	—	4,699,812
IMRF	304,198	—	—	—	—
Library	1,076,498	1,106,463	1,133,313	1,154,788	1,154,766
Total Tax Extensions	6,484,697	6,484,955	6,680,223	6,784,180	6,259,654
Total Collected	\$ 6,462,852	6,481,625	6,613,410	6,768,411	*
Percentage Collected	99.66%	99.95%	99.00%	99.77%	*

*The 2020 tax levy will be collected during fiscal year 2022.

**REQUEST FOR COUNCIL ACTION**

Agenda Date: May 13, 2024
To: Members of the City Council
From:

AGENDA ITEM: Resolution No. 133-24/25 Annual Committees, Boards, Commissions, Members Appointments

DESCRIPTION:**FINANCIAL IMPACT:**

Requested Amount:
 Line Item:
 Budgeted Amount:
 Remaining Funds:
 Notes:

REVIEWED BY:

Katherine Swise, City Attorney
 John Dossey, City Manager
 Sue McMillan, City Clerk

Approved - 5/8/2024
 New -

RESOLUTION NO. 133-24/25

BE IT RESOLVED BY THE COUNCIL OF THE CITY OF PEKIN, ILLINOIS that the following named persons be and they are hereby appointed to the respective offices and for a term set opposite their names to the first Monday in May, unless otherwise specified.

PEKIN AIRPORT ADVISORY COMMISSION	Michael D. Reed Murray J. Brian	3 year term first Monday in May
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TRAFFIC SAFETY COMMITTEE	Dennis Zimmerman	1 year term
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FIRE PENSION BOARD	Robert Baughman	3 year term until 3 rd Monday in April 2027
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BOARD OF APPEALS	Chris Deverman Kelly Madden	3 year term until May 2027
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FIRE AND POLICE COMMISSION	Dennis Short	3 year term to first Monday in May, 2027
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LIBRARY BOARD	Christina Gardner Mary Jane Sours Randy Turner	3 year term June 30, 2027
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Mayor Pro-Tem Karen Hohimer	term until April 30, 2025
1 st Alternate Mayor Pro Tem John Abel	term until April 30, 2025

BE IT FURTHER RESOLVED that the Council of the City of Pekin, Illinois reaffirms the appointments of the following named persons until such time as their successors shall be named.

CITY CLERK	Sue E. McMillan
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PASSED AND APPROVED at a regular meeting of the City Council of the City of Pekin, this 13th day of May, 2024.

ATTEST:

Mayor Mary J. Burress

City Clerk Sue E. McMillan



REQUEST FOR COUNCIL ACTION

Agenda Date: May 13, 2024
To: Members of the City Council
From: Robert Grogan, Finance Consultant

AGENDA ITEM: Ordinance No. 4190-24/25 Approving First Amendment to the FY25 Annual Budget

DESCRIPTION: After the FY25 Annual Budget was approved on the April 22, 2024 meeting, Councilmember Hilst made a motion to place on the next meeting agenda an item to earmark the new utility tax revenue for existing infrastructure or ADA-related repairs and upgrades. The motion was seconded by Councilmember Orrick. The utility tax was passed in order to address the structural issues in the overall budget. To ensure that money is only spent on a certain type of expense, a special revenue fund must be created. Thus, the Utility Tax Special Revenue Fund is created with this budget amendment.

Removing the estimated \$4 million of revenue from the General Fund creates a **\$4,787,800** projected deficit in the General Fund for FY25. The General Fund beginning FY25 cash balance is approximately **\$14.5m**. Standard methods for a cash reserves policy would be calculated using the current year anticipated expenditures. The City's FY25 General Fund budget is **\$53m**. If you took out extraordinary items, it could be argued that \$38m should be used. Pekin's current policy is 10% cash reserves. However, staff wants to emphasize that reliance on the 10% level is not advised. The best practice for General Fund reserves ranges from 25% to 50%. This amendment would project a FY25 ending cash balance of approximately **\$9,700,000**. See the table below for estimated cash reserve balance calculations:

General Fund Expenses	10%	25%	50%
\$53,000,000	\$5,300,000	\$13,300,000	\$26,600,000
\$38,000,000	\$3,800,000	\$9,600,000	\$19,200,000

Note that staff strongly recommends a higher reserve level (well above 25%) in the General Fund considering its role as backstop for spending in all other funds. In fact, a 25% reserve for operating expenses across all funds would require roughly \$18,500,000, or a 35% General Fund reserve.

Even removing extraordinary items from the current General Fund budget, the General Fund would face a multi-million dollar deficit, and that would continue into future fiscal years. A prolonged decline in General Fund reserves would put the long term City's financial health in jeopardy. Current capital projects are funded from many other funds in the FY25 budget, most significantly from cash reserves and current year revenues in MFT, BDD, and TIFs. As the original motion did not give any direction regarding which, if any, capital projects would be moved into the new Utility Tax Fund, none are reflected in this proposed amendment.

The utility tax was originally passed with the intention of addressing overall structural General Fund budget issues, which, in addition to infrastructure and ADA projects, included starting to adequately fund the Police and Fire pensions and reversing declining General Fund cash reserve balances.

Considering these factors, staff recommends voting "No" on this budget amendment. This amendment requires a 2/3 majority or 5 votes to pass per State law.

FINANCIAL IMPACT:

Requested Amount:

Line Item:

Budgeted Amount:

Remaining Funds:

Notes: Removing the estimated \$4 million of revenue from the General Fund creates a \$4,787,800 projected deficit in the General Fund for FY25.

REVIEWED BY:

Robert Grogan, Finance Consultant

Joshua Wray, Economic Development Director

Katherine Swise, City Attorney

John Dossey, City Manager

Sue McMillan, City Clerk

Approved - 5/7/2024

Approved - 5/8/2024

Approved - 5/8/2024

Approved - 5/10/2024

Final Approval - 5/10/2024

Ordinance No. 4190-24/25 Approving First Amendment to the FY25 Annual Budget

WHEREAS, the City Council of the City of Pekin ("the City") adopted its annual budget for the Fiscal Year 2024-2025 on April 22, 2024; and

WHEREAS, on April 22, 2024, the City Council enacted Ordinance No. 4185-23/24 imposing a tax on certain utilities; and

WHEREAS, the City wishes for the utility tax revenue to be spent only on existing infrastructure and ADA projects; and

WHEREAS, the City Council finds that amendments to the annual budget are needed to accurately reflect the City's spending and revenue priorities.

NOW, THEREFORE, BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF PEKIN, TAZEWELL COUNTY, ILLINOIS, THAT:

Section 1. The foregoing findings and recitals are found to be true and correct and are incorporated herein.

Section 2. The annual budget for the City for Fiscal Year 2024-2025, as adopted on April 22, 2024, is hereby amended as set forth in Exhibit A attached hereto.

Section 3. This ordinance shall be in full force and effect from and after its passage and approval in the manner provided by law.

RESULT:	(0 TO 0)
MOVER:	None
SECONDER:	None
AYES:	None
NAYS:	None
ABSTAIN:	None
ABSENT:	

ADOPTED AND APPROVED at a Regular meeting of the City Council of the City of Pekin this _____ day of _____, 20____.

Mayor

ATTEST:

City Clerk

City of Pekin FY 2025 Budget

Proposed First Amendment

May 13, 2024

FUND or DEPARTMENT	ACCOUNT TYPE	FY23 Unaudited Actual	FY23 Budget	FY24 Amended Budget	FY24 Projected	FY25 Approved Budget	FY25 Proposed Amended Budget	Comments
GENERAL FUND								
City Council and CMO (001)								
	Revenue	-	-	-	-	-	-	
	Expense							
	Personnel	153,514	143,669	201,110	86,305	221,665	221,665	
	Contractual	73,191	50,980	36,600	36,544	37,940	37,940	
	Commodities	268	450	250	1,677	700	700	
	Capital Outlay	-	-	-	-	-	-	
	Other	65	-	-	622	-	-	
	Total Expense	227,037	195,099	237,960	125,148	260,305	260,305	
	Net Surplus or (Deficit)	(227,037)	(195,099)	(237,960)	(125,148)	(260,305)	(260,305)	
City Clerk (004)								
	Revenue	111,784	312,185	288,064	101,842	312,725	312,725	
	Expense							
	Personnel	210,008	223,187	218,184	217,325	205,441	205,441	
	Contractual	20,777	24,058	21,585	18,116	120,200	120,200	
	Commodities	480	290	400	568	800	800	
	Capital Outlay	-	-	-	-	-	-	
	Transfers	-	220,000	200,000	-	219,998	219,998	
	Other	-	-	-	-	-	-	
	Total Expense	231,265	467,535	440,169	236,010	546,439	546,439	
	Net Surplus or (Deficit)	(119,481)	(155,350)	(152,105)	(134,168)	(233,714)	(233,714)	
City Attorney (003)								
	Revenue	-	-	-	-	-	-	
	Expense							
	Personnel	-	-	194,433	-	-	-	
	Contractual	376,533	267,500	127,500	294,104	300,000	300,000	
	Commodities	-	-	3,750	-	-	-	
	Capital Outlay	-	-	-	-	-	-	
	Other	-	-	-	-	-	-	
	Total Expense	376,533	267,500	325,683	294,104	300,000	300,000	
	Net Surplus or (Deficit)	(376,533)	(267,500)	(325,683)	(294,104)	(300,000)	(300,000)	
Finance (006)								
	Revenue	-	-	-	-	-	-	
	Expense							
	Personnel	408,837	423,198	441,253	388,467	380,883	380,883	
	Contractual	83,452	38,775	100,050	161,526	173,600	173,600	
	Commodities	5,736	5,650	6,200	4,769	7,000	7,000	
	Capital Outlay	-	-	-	-	-	-	
	Other	-	-	-	334	-	-	
	Total Expense	498,024	467,623	547,503	555,096	561,483	561,483	
	Net Surplus or (Deficit)	(498,024)	(467,623)	(547,503)	(555,096)	(561,483)	(561,483)	
Human Resources (005)								
	Revenue	-	-	-	-	-	-	
	Expense							
	Personnel	20,237	177,480	163,728	97,180	160,672	160,672	
	Contractual	65,906	60,840	80,900	119,615	109,000	109,000	
	Commodities	216	1,665	1,350	458	1,100	1,100	
	Capital Outlay	-	-	-	-	-	-	
	Other	414	-	-	496	-	-	
	Total Expense	86,772	239,985	245,978	217,750	270,772	270,772	
	Net Surplus or (Deficit)	(86,772)	(239,985)	(245,978)	(217,750)	(270,772)	(270,772)	

FUND or DEPARTMENT	ACCOUNT TYPE	FY23 Unaudited Actual	FY23 Budget	FY24 Amended Budget	FY24 Projected	FY25 Approved Budget	FY25 Proposed Amended Budget	Comments
Information Technology (009)								
	Revenue	-	-	-	-	-	-	
	Expense							
	Personnel	70,377	139,345	145,025	167,265	214,615	214,615	
	Contractual	793,282	1,111,900	1,410,400	1,307,399	877,269	877,269	
	Commodities	57,792	296,700	475,300	517,375	378,300	378,300	
	Capital Outlay	-	-	-	-	-	-	
	Other	103	-	-	124	-	-	
	Total Expense	921,554	1,547,945	2,030,725	1,992,162	1,470,184	1,470,184	
	Net Surplus or (Deficit)	(921,554)	(1,547,945)	(2,030,725)	(1,992,162)	(1,470,184)	(1,470,184)	
General Operations (990)								
	Revenue	33,298,453	29,694,857	34,233,438	33,191,960	49,816,432	45,816,432	removes \$4m new utility tax
	Expense							
	Personnel	-	-	-	-	-	-	
	Contractual	307,530	287,426	396,200	210,842	457,500	457,500	
	Commodities	(106)	-	-	(2,025)	-	-	
	Capital Outlay	(1,458)	-	-	-	14,250,000	14,250,000	
	Debt Service	389,379	382,253	382,253	101,456	114,862	114,862	
	Contingency	497,502	368,792	50,000	-	500,000	500,000	
	Grants/Econ Assistance	-	-	-	-	-	-	
	Transfers	4,839,471	5,867,633	10,971,933	5,786,552	7,818,081	7,818,081	
	Other	786	-	-	439	-	-	
	Total Expense	6,033,104	6,906,104	11,800,386	6,097,262	23,140,444	23,140,444	
	Net Surplus or (Deficit)	27,265,349	22,788,753	22,433,052	27,094,697	26,675,988	22,675,988	
Zoning & Building Inspections (793)								
	Revenue	255,006	250,600	246,800	270,918	270,200	270,200	
	Expense							
	Personnel	134,676	246,496	440,279	233,956	395,409	395,409	
	Contractual	120,486	135,657	84,740	78,493	150,260	150,260	
	Commodities	1,438	2,550	10,500	1,444	16,000	16,000	
	Capital Outlay	-	-	84,000	-	-	-	
	Other	-	-	-	-	-	-	
	Total Expense	256,600	384,703	619,519	313,893	561,669	561,669	
	Net Surplus or (Deficit)	(1,594)	(134,103)	(372,719)	(42,975)	(291,469)	(291,469)	
Fire (034)								
	Revenue	795,541	700,306	730,100	813,455	752,971	752,971	
	Expense							
	Personnel	7,489,242	7,387,660	7,005,420	7,626,879	7,589,339	7,589,339	
	Contractual	863,527	736,402	828,950	844,044	989,305	989,305	
	Commodities	368,585	294,792	259,300	197,629	272,800	272,800	
	Capital Outlay	120,950	10,000	100,000	36,188	1,000,000	1,000,000	
	Contingency	-	-	50,000	-	50,000	50,000	
	Other	1,315	1,000	1,000	501	1,000	1,000	
	Total Expense	8,843,619	8,429,854	8,244,670	8,705,240	9,902,444	9,902,444	
	Net Surplus or (Deficit)	(8,048,078)	(7,729,548)	(7,514,570)	(7,891,785)	(9,149,473)	(9,149,473)	
Police Revenue (760)								
	Revenue	671,793	559,575	807,902	906,654	670,300	670,300	
	Expense							
	Personnel	-	-	-	-	45,000	45,000	
	Contractual	-	-	-	-	61,000	61,000	
	Commodities	-	-	-	-	-	-	
	Capital Outlay	-	-	226,802	226,802	-	-	
	Total Expense	-	-	226,802	226,802	106,000	106,000	
	Net Surplus or (Deficit)	671,793	559,575	581,100	679,852	564,300	564,300	
Police Patrol (761)								
	Revenue	-	-	-	-	-	-	
	Expense							
	Personnel	5,586,183	5,873,140	5,761,860	5,822,987	6,040,780	6,040,780	
	Contractual	1,209,461	1,478,005	1,750,482	1,624,846	2,056,160	2,056,160	
	Commodities	251,816	212,791	328,000	289,084	295,000	295,000	
	Other	2,326	1,500	2,500	1,891	5,000	5,000	
	Total Expense	7,049,786	7,565,436	7,842,842	7,738,807	8,396,940	8,396,940	
	Net Surplus or (Deficit)	(7,049,786)	(7,565,436)	(7,842,842)	(7,738,807)	(8,396,940)	(8,396,940)	

FUND or DEPARTMENT	ACCOUNT TYPE	FY23 Unaudited Actual	FY23 Budget	FY24 Amended Budget	FY24 Projected	FY25 Approved Budget	FY25 Proposed Amended Budget	Comments
Police Special Serv/Investigations (763)								
	Revenue	-	-	-	-	-	-	
	Expense							
	Personnel	1,310,926	1,077,355	1,505,863	1,573,144	1,698,714	1,698,714	
	Contractual	35,248	68,840	83,400	31,092	81,350	81,350	
	Commodities	14,750	23,676	12,100	28,489	23,100	23,100	
	Other	-	500	-	-	1,000	1,000	
	Total Expense	1,360,924	1,170,371	1,601,363	1,632,725	1,804,164	1,804,164	
	Net Surplus or (Deficit)	(1,360,924)	(1,170,371)	(1,601,363)	(1,632,725)	(1,804,164)	(1,804,164)	
Police Records (764)								
	Revenue	-	-	-	-	-	-	
	Expense							
	Personnel	502,103	614,008	517,113	546,728	573,253	573,253	
	Contractual	6,037	6,550	8,500	9,542	15,000	15,000	
	Commodities	7,152	9,600	13,000	7,426	13,500	13,500	
	Other	-	1,000	-	-	500	500	
	Total Expense	515,293	631,158	538,613	563,696	602,253	602,253	
	Net Surplus or (Deficit)	(515,293)	(631,158)	(538,613)	(563,696)	(602,253)	(602,253)	
Police Code Enforcement (766)								
	Revenue	-	-	-	-	-	-	
	Expense							
	Personnel	143,328	175,924	239,054	169,006	244,229	244,229	
	Contractual	156,854	116,045	314,800	212,804	376,014	376,014	
	Commodities	8,015	8,640	10,000	9,338	19,400	19,400	
	Other	50	-	-	-	1,000	1,000	
	Total Expense	308,248	300,609	563,854	391,148	640,643	640,643	
	Net Surplus or (Deficit)	(308,248)	(300,609)	(563,854)	(391,148)	(640,643)	(640,643)	
Public Works Admin and City Engineer (007)								
	Revenue	-	-	-	-	-	-	
	Expense							
	Personnel	255,063	354,077	381,403	260,841	392,845	392,845	
	Contractual	142,096	152,150	149,000	127,231	188,800	188,800	
	Capital Outlay	-	-	-	-	-	-	
	Commodities	6,582	6,650	5,800	7,461	7,000	7,000	
	Other	34	-	-	-	-	-	
	Total Expense	403,776	512,877	536,203	395,533	588,645	588,645	
	Net Surplus or (Deficit)	(403,776)	(512,877)	(536,203)	(395,533)	(588,645)	(588,645)	
Streets (032)								
	Revenue	527,994	405,000	504,500	454,816	418,000	418,000	
	Expense							
	Personnel	854,642	1,069,813	926,619	1,106,078	1,245,867	1,245,867	
	Contractual	682,727	527,462	956,800	834,943	849,250	849,250	
	Capital Outlay	139,701	85,000	305,584	50,158	490,000	490,000	
	Commodities	194,325	182,418	518,050	373,423	331,050	331,050	
	Debt Service	-	-	-	-	5,786	5,786	
	Other	-	-	-	108	-	-	
	Total Expense	1,871,394	1,864,693	2,707,053	2,364,709	2,921,953	2,921,953	
	Net Surplus or (Deficit)	(1,343,400)	(1,459,693)	(2,202,553)	(1,909,893)	(2,503,953)	(2,503,953)	
Public Property (068)								
	Revenue	274,696	225,000	261,643	275,000	305,000	305,000	
	Expense							
	Personnel	479,651	323,148	396,415	422,726	423,342	423,342	
	Contractual	713,632	616,350	639,300	711,128	731,200	731,200	
	Capital Outlay	-	-	45,000	-	-	-	
	Commodities	68,005	101,550	76,250	41,073	53,550	53,550	
	Contingency	-	-	-	-	50,000	50,000	
	Other	108	-	1,000	-	1,000	1,000	
	Total Expense	1,261,396	1,041,048	1,157,965	1,174,927	1,259,092	1,259,092	
	Net Surplus or (Deficit)	(986,699)	(816,048)	(896,322)	(899,927)	(954,092)	(954,092)	

FUND or DEPARTMENT	ACCOUNT TYPE	FY23 Unaudited Actual	FY23 Budget	FY24 Amended Budget	FY24 Projected	FY25 Approved Budget	FY25 Proposed Amended Budget	Comments
GENERAL FUND TOTAL REVENUE		35,935,268	32,147,523	37,072,447	36,014,644	52,545,628	48,545,628	
GENERAL FUND TOTAL EXPENSE		30,245,325	31,992,541	39,667,288	33,025,011	53,333,428	53,333,428	
GENERAL FUND TOTAL NET SURPLUS (DEFICIT)		5,689,942	154,983	(2,594,841)	2,989,633	(787,800)	(4,787,800)	

4/30/2024 General Fund Cash Bal 14,481,316
Projected Ending Cash Bal 9,693,516

OTHER GOVERNMENTAL FUNDS: CAPITAL PROJECTS AND SPECIAL REVENUE

Capital Projects Fund - Capital (445)

Revenue	646,037	4,314,017	6,125,136	567,464	1,440,000	1,440,000
Expense						
Contractual	1,861,240	2,402,500	1,867,000	1,635,513	640,000	640,000
Capital Outlay	880,614	1,685,000	5,173,110	989,867	800,000	800,000
Debt Service	84,169	38,147	187,544	273,210	-	-
Other	86	-	-	-	-	-
Total Expense	2,826,108	4,125,647	7,227,654	2,898,591	1,440,000	1,440,000
Net Surplus or (Deficit)	(2,180,071)	188,370	(1,102,518)	(2,331,127)	-	-

3/31/24 Cash Bal 243,300

Utility Tax Fund - Special Rev (?)

Revenue	-	4,000,000	Creating Infrastructure Earmark
Expense			
Capital Outlay	-	-	All budgeted capital spending currently covered in other funds for FY25
Total Expense	-	-	
Net Surplus or (Deficit)	-	4,000,000	

Motor Fuel Tax Fund - Special Rev (240)

Revenue	1,440,529	1,752,488	1,550,000	1,526,333	1,400,000	1,400,000
Expense						
Commodities	160,461	340,000	-	139,743	-	-
Contractual	309,134	359,200	220,500	163,599	18,000	18,000
Capital Outlay	-	385,382	3,832,795	-	5,632,795	5,632,795
Other	-	-	-	-	-	-
Total Expense	469,595	1,084,582	4,053,295	303,342	5,650,795	5,650,795
Net Surplus or (Deficit)	970,934	667,906	(2,503,295)	1,222,991	(4,250,795)	(4,250,795)

Cash Bal 5,909,625

CDBG Program - Special Rev (261)

Revenue	1,112,046	1,111,642	886,600	397,926	535,000	535,000
Expense						
Personnel	76,046	100,446	82,712	86,869	89,681	89,681
Contractual	108,761	306,963	150,500	35,219	193,000	193,000
Commodities	73	100	100	115	300	300
Capital Outlay	1,020,955	667,557	434,000	30,957	-	-
Debt Service	4,659	36,576	52,800	361,922	61,000	61,000
Grants/Econ Assistance	-	-	-	-	185,400	185,400
Other	142	-	-	-	300	300
Total Expense	1,210,636	1,111,642	720,112	515,082	529,681	529,681
Net Surplus or (Deficit)	(98,591)	-	166,488	(117,156)	5,319	5,319

Central Business Dist TIF - Special Rev (270)

Revenue	493,827	497,813	493,500	502,006	491,926	491,926
Expense						
Personnel	30,359	23,524	25,670	10,057	30,336	30,336
Contractual	175,968	158,594	267,000	212,330	133,785	133,785
Capital Outlay	1,036,104	1,993,379	1,130,000	610,830	500,000	500,000
Transfers	-	-	-	-	-	-
Grants/Econ Assistance	75,000	141,618	10,000	36,000	365,000	365,000
Other	-	-	-	-	-	-
Total Expense	1,317,431	2,317,115	1,432,670	869,216	1,029,121	1,029,121
Net Surplus or (Deficit)	(823,604)	(1,819,302)	(939,170)	(367,210)	(537,195)	(537,195)

3/31/24 Cash Bal 730,244

FUND or DEPARTMENT	ACCOUNT TYPE	FY23 Unaudited Actual	FY23 Budget	FY24 Amended Budget	FY24 Projected	FY25 Approved Budget	FY25 Proposed Amended Budget	Comments
Southern Industrial Park TIF - Special Rev (273)								
	Revenue	447,397	191,328	187,000	186,608	364,057	364,057	
	Expense							
	Personnel	16,574	16,878	14,841	22,663	30,336	30,336	
	Contractual	7,314	53,556	352,500	8,777	153,847	153,847	
	Capital Outlay	373,696	471,840	117,184	448,435	356,041	356,041	
	Transfers	2	3	4	6	8	8	
	Grants/Econ Assistance	10,099	50,000	-	12,119	260,100	260,100	
	Other	-	-	-	-	-	-	
	Total Expense	407,684	592,277	484,529	492,000	800,332	800,332	
	Net Surplus or (Deficit)	39,713	(400,949)	(297,529)	(305,392)	(436,275)	(436,275)	
				3/31/24	Cash Bal	552,892.68	552,893	
Court St. TIF - Special Rev (275)								
	Revenue	164,800	168,723	649,973	650,004	1,257,796	1,257,796	
	Expense							
	Personnel	-	27,714	-	4,191	19,868	19,868	
	Contractual	34,548	40,308	97,496	11,707	518,348	518,348	
	Capital Outlay	100,700	100,700	-	758	500,000	500,000	
	Transfers	-	-	-	-	-	-	
	Grants/Econ Assistance	70,576	-	832,599	-	140,000	140,000	
	Other	-	-	-	-	-	-	
	Total Expense	205,824	168,723	930,095	16,657	1,178,216	1,178,216	
	Net Surplus or (Deficit)	(41,024)	(0)	(280,122)	633,348	79,580	79,580	
				3/31/24	Cash Bal		201,724	
East Residential TIF - Special Rev (271)								
	Revenue					182,007	182,007	
	Expense							
	Personnel					5,423	5,423	
	Contractual					42,641	42,641	
	Capital Outlay					50,000	50,000	
	Grants/Econ Assistance					-	-	
	Other					-	-	
	Total Expense					98,064	98,064	
	Net Surplus or (Deficit)					83,943	83,943	
South Residential TIF - Special Rev (272)								
	Revenue					256,981	256,981	
	Expense							
	Personnel					5,423	5,423	
	Contractual					53,887	53,887	
	Capital Outlay					50,000	50,000	
	Grants/Econ Assistance					-	-	
	Other					-	-	
	Total Expense					109,310	109,310	
	Net Surplus or (Deficit)					147,671	147,671	
Business District Development - Special Rev (277)								
	Revenue	1,873,370	13,688,460	8,860,000	8,904,771	3,418,000	3,418,000	
	Expense							
	Personnel	-	96,087	-	14,069	30,441	30,441	
	Contractual	56,700	21,874	30,000	4,483	14,837	14,837	
	Capital Outlay	1,240,604	12,405,505	10,846,857	5,926,051	6,923,110	6,923,110	
	Transfers	-	-	-	-	-	-	
	Debt Service	-	1,061,155	-	718	451,739	451,739	
	Grants/Econ Assistance	10,000	600,000	-	-	2,550,000	2,550,000	
	Other	-	-	-	-	5,000	5,000	
	Total Expense	1,307,304	14,184,621	10,876,857	5,945,321	9,975,127	9,975,127	
	Net Surplus or (Deficit)	566,066	(496,162)	(2,016,857)	2,959,450	(6,557,127)	(6,557,127)	
				3/31/24	Cash Bal		6,466,071	

FUND or DEPARTMENT	ACCOUNT TYPE	FY23 Unaudited Actual	FY23 Budget	FY24 Amended Budget	FY24 Projected	FY25 Approved Budget	FY25 Proposed Amended Budget	Comments
Tourism - Special Rev (208)								
	Revenue	339,643	392,900	369,400	367,672	378,600	378,600	
	Expense							
	Personnel	19,674	19,400	18,530	8,591	21,792	21,792	
	Contractual	124,250	233,500	133,500	55,831	188,500	188,500	
	Commodities	-	-	-	-	-	-	
	Transfers	1	66,000	-	-	-	-	
	Debt Service	-	-	-	-	-	-	
	Grants/Econ Assistance	53,501	74,000	74,000	21,000	88,750	88,750	
	Other	-	-	-	10,433	-	-	
	Total Expense	197,426	392,900	226,030	95,855	299,042	299,042	
	Net Surplus or (Deficit)	142,216	-	143,370	271,817	79,558	79,558	
				3/31/24	Cash Bal		460,858	
Foreign Fire Insurance Tax - Special Rev (945)								
	Revenue	69,134	40,500	69,025	73,245	70,400	70,400	
	Expense							
	Contractual	6,001	20,700	11,900	3,412	9,000	9,000	
	Commodities	19,076	14,400	21,570	95,387	181,000	181,000	
	Other	-	-	-	-	-	-	
	Total Expense	25,076	35,100	33,470	98,800	190,000	190,000	
	Net Surplus or (Deficit)	44,058	5,400	35,555	(25,555)	(119,600)	(119,600)	
				3/31/24	Cash Bal		121,263	
Library - Special Rev (924)								
	Revenue	2,284,937	1,855,791	2,146,181	2,060,184	2,033,830	2,033,830	
	Expense							
	Personnel	854,025	956,050	1,057,900	869,764	1,040,130	1,040,130	
	Contractual	286,546	248,950	350,150	365,151	331,975	331,975	
	Commodities	159,653	151,790	138,340	155,244	146,940	146,940	
	Transfers	15,000	25,000	45,000	15,000	15,000	15,000	
	Capital Outlay	57,239	10,000	92,070	101,266	64,000	64,000	
	Debt Service	402,375	398,625	398,625	540,833	410,975	410,975	
	Grants/Econ Assistance	14,472	9,000	11,000	15,006	14,000	14,000	
	Other	51,114	56,376	53,096	36,275	49,110	49,110	
	Total Expense	1,840,424	1,855,791	2,146,181	2,098,538	2,072,130	2,072,130	
	Net Surplus or (Deficit)	444,512	-	-	(38,354)	(38,300)	(38,300)	
				3/31/24	Cash Bal		1,030,079	
PROPRIETARY: ENTERPRISE AND INTERNAL SERVICE								
Economic Development - Enterprise (570)								
	Revenue	46,855	43,310	42,514	40,108	40,980	40,980	
	Expense							
	Personnel	16,205	-	110,280	44,204	85,267	85,267	
	Contractual	-	43,310	750	18,807	85,070	85,070	
	Commodities	-	-	1,500	-	150	150	
	Transfers	-	-	-	-	-	-	
	Capital Outlay	-	-	-	-	-	-	
	Debt Service	-	-	-	-	-	-	
	Grants/Econ Assistance	70,000	-	70,000	70,000	70,000	70,000	
	Other	-	-	-	-	-	-	
	Total Expense	86,205	43,310	182,530	133,011	240,487	240,487	
	Net Surplus or (Deficit)	(39,351)	-	(140,016)	(92,902)	(199,507)	(199,507)	
				3/31/24	Cash Bal		363,003	

FUND or DEPARTMENT	ACCOUNT TYPE	FY23 Unaudited Actual	FY23 Budget	FY24 Amended Budget	FY24 Projected	FY25 Approved Budget	FY25 Proposed Amended Budget	Comments
Solid Waste - Enterprise (223)								
	Revenue	2,983,204	2,996,062	2,972,100	2,714,792	3,672,878	3,672,878	
	Expense							
	Personnel	1,322,097	1,478,964	1,385,924	1,314,740	1,427,501	1,427,501	
	Contractual	1,124,233	1,106,798	1,186,050	1,126,326	1,209,743	1,209,743	
	Commodities	306,025	185,300	251,650	285,341	288,450	288,450	
	Transfers	-	-	-	-	-	-	
	Capital Outlay	215,340	225,000	-	-	-	-	
	Debt Service	-	-	-	-	168,000	168,000	
	Other	2,060	-	100	-	-	-	
	Total Expense	2,969,755	2,996,062	2,823,724	2,726,407	3,093,694	3,093,694	
	Net Surplus or (Deficit)	13,449	-	148,376	(11,614)	579,183	579,183	
				3/31/24	Cash Bal		32,213	
Sewerage - Enterprise (231)								
	Revenue	7,098,976	6,817,000	6,709,065	7,546,898	19,448,000	19,448,000	
	Expense							
	Personnel	1,580,558	1,742,147	1,578,046	1,581,463	1,608,015	1,608,015	
	Contractual	1,326,619	1,241,145	1,554,100	1,515,514	2,500,375	2,500,375	
	Commodities	252,446	238,384	239,700	249,509	334,700	334,700	
	Transfers	-	-	-	-	-	-	
	Capital Outlay	11,919	237,948	50,000	2,635	10,150,000	10,150,000	
	Debt Service	549,411	575,457	538,300	528,451	4,171,143	4,171,143	
	Other	-	-	-	55	-	-	
	Total Expense	3,720,953	4,035,081	3,960,146	3,877,628	18,764,233	18,764,233	
	Net Surplus or (Deficit)	3,378,024	2,781,919	2,748,919	3,669,270	683,767	683,767	
				3/31/24	Cash Bal		5,836,800	
Airport - Enterprise (525)								
	Revenue	285,754	2,792,500	1,051,270	265,268	2,562,360	2,562,360	
	Expense							
	Personnel	70,515	134,635	62,938	27,931	66,525	66,525	
	Contractual	118,860	2,553,122	123,485	115,936	154,100	154,100	
	Commodities	198,244	172,900	182,700	149,501	153,900	153,900	
	Capital Outlay	-	-	-	-	2,182,000	2,182,000	
	Other	19	-	-	-	100	100	
	Total Expense	387,638	2,860,657	369,123	293,367	2,556,625	2,556,625	
	Net Surplus or (Deficit)	(101,884)	(68,157)	682,147	(28,100)	5,735	5,735	
				3/31/24	Cash Bal		7,790	
School Bus - Enterprise (501)								
	Revenue	6,119,954	5,754,713	6,023,692	5,650,044	6,140,790	6,140,790	
	Expense							
	Personnel	3,290,687	3,054,483	3,523,643	3,348,325	3,695,298	3,695,298	
	Contractual	1,777,944	1,724,426	1,752,776	1,807,343	1,873,750	1,873,750	
	Commodities	540,103	452,400	447,000	427,233	458,710	458,710	
	Transfers	-	500,000	-	-	-	-	
	Capital Outlay	89,543	-	-	-	-	-	
	Debt Service	-	-	-	105,897	83,389	83,389	
	Contingency	-	23,403	-	-	-	-	
	Other	11,917	-	1,000	2,423	1,000	1,000	
	Total Expense	5,710,194	5,754,713	5,724,419	5,691,221	6,112,147	6,112,147	
	Net Surplus or (Deficit)	409,760	-	299,272	(41,177)	28,643	28,643	
					Pooled Cash	888,684	888,684	
Insurance - Internal Service (695)								
	Revenue	7,179,075	6,014,852	7,686,000	6,907,144	7,574,600	7,574,600	
	Expense							
	Contractual	7,711,672	6,014,852	7,686,000	7,708,927	8,026,000	8,026,000	
	Other	-	-	-	-	-	-	
	Total Expense	7,711,672	6,014,852	7,686,000	7,708,927	8,026,000	8,026,000	
	Net Surplus or (Deficit)	(532,597)	-	-	(801,783)	(451,400)	(451,400)	
				3/31/24	Cash Bal		2,346,479	

FUND or DEPARTMENT	ACCOUNT TYPE	FY23 Unaudited Actual	FY23 Budget	FY24 Amended Budget	FY24 Projected	FY25 Approved Budget	FY25 Proposed Amended Budget	Comments
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Fleet Maintenance - Internal Service (699)

Revenue	1,497,909	1,084,500	1,293,647	1,277,125	1,408,500	1,408,500	
Expense							
Personnel	455,190	518,082	468,497	417,075	479,055	479,055	
Contractual	51,261	50,550	54,850	55,992	48,550	48,550	
Commodities	1,062,242	669,850	769,300	906,233	991,500	991,500	
Capital Outlay	-	-	-	-	19,000	19,000	
Other	-	1,000	1,000	-	-	-	
Total Expense	1,568,693	1,239,482	1,293,647	1,379,300	1,538,105	1,538,105	
Net Surplus or (Deficit)	(70,784)	(154,982)	0	(102,176)	(129,605)	(129,605)	

3/31/24 Cash Bal 725,513

GENERAL FUND TOTAL REVENUE	70,018,713	81,664,122	84,187,550	75,652,237	105,222,333	105,222,333	
GENERAL FUND TOTAL EXPENSE	62,207,945	80,805,095	89,837,770	68,168,273	117,036,539	117,036,539	
GRAND TOTAL of ALL FUNDS	7,810,768	859,026	(5,650,220)	7,483,964	(11,814,206)	(11,814,206)	

Fire Pension - Fiduciary (944)

Revenue	5,912,238	4,151,823	4,181,671			4,977,484	
Expense							
Personnel	4,698,119	4,134,498	4,164,919			4,781,568	
Contractual	89,020	17,325	16,752			16,800	
Other	21,695	-	-			-	
Total Expense	4,808,834	4,151,823	4,181,671			4,798,368	
Net Surplus or (Deficit)	1,103,404	-	-			179,116	

Police Pension - Fiduciary (941)

Revenue	4,118,319	2,305,129	2,382,133			2,872,854	
Expense							
Personnel	3,237,147	2,303,129	2,380,633			3,274,044	
Contractual	85,222	2,000	1,500			1,500	
Other	24,565	-	-			-	
Total Expense	3,346,934	2,305,129	2,382,133			3,275,544	
Net Surplus or (Deficit)	771,385	-	0			(402,690)	

**REQUEST FOR COUNCIL ACTION**

Agenda Date: May 13, 2024
To: Members of the City Council
From: Josie Esker, City Engineer

AGENDA ITEM: Ordinance No. 4191-24/25 Approving Purchase of Property 1024 Court Street

DESCRIPTION: This resolution is to approve the purchase of property at 1024 Court Street. The City needs a strip of land along Court Street in order to construct wider sidewalks along this corridor. The property is very close to the existing sidewalks and restricts the sight distance when turning from Washington Street to Court Street; therefore, this property is proposed for demolition. The City obtained an appraisal, which is included in the packet, stating that the value of this land is \$114,000. The City offered \$114,000 for the land, and the owner counter offered at \$114,500.

If the City purchases the property, the City would need to pay relocation costs for the current tenant in addition to the purchase price. The relocation costs would be approximately \$43,100, which brings the total purchase cost to the City to \$157,600. Note that demolition costs are not figured into this cost and will likely add approximately \$50,000.

This land purchase is coming before council because it exceeds the spending authority of the City Engineer for land purchases on this project. Staff recommends approval.

FINANCIAL IMPACT:

Requested Amount: \$157,600.00
 Line Item: 445-041-580200
 Budgeted Amount: \$500,000.00
 Remaining Funds: \$500,000.00
 Notes:

REVIEWED BY:

Dean Schneider, Public Works Director	Approved - 5/7/2024
Katherine Swise, City Attorney	Approved - 5/7/2024
Robert Grogan, Finance Consultant	Approved - 5/8/2024
John Dossey, City Manager	Approved - 5/10/2024
Sue McMillan, City Clerk	Final Approval - 5/10/2024

Ordinance No. 4191-24/25 Approving Purchase of Property 1024 Court Street

WHEREAS, the City of Pekin is a home rule municipality as described in Section 6(a), Article VII of the 1970 Constitution of the State of Illinois; and

WHEREAS, the City of Pekin, as a home rule municipality, may exercise power and perform any function pertaining to its government and affairs, including, but not limited to, the power to legislate for the protection of the public health, safety, and welfare; and

WHEREAS, the City desires to purchase the property at 1024 Court Street, more particularly described in Exhibit A attached hereto, to use as additional right-of-way for the Court Street Rehabilitation project (the “Property”); and

WHEREAS, the City has negotiated the purchase of such Property under the terms and conditions set forth in Exhibit B.

NOW, THEREFORE, BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF PEKIN, TAZEWELL COUNTY, ILLINOIS, THAT:

Section 1. The foregoing findings and recitals are found to be true and correct and are incorporated herein.

Section 2. The purchase of the Property for the purchase price of \$114,500 and under such further terms and conditions set forth in Exhibit A is approved, subject to confirmation by the City Attorney that such purchase shall result in clear and marketable title to the Property. Such confirmation shall be in the form of a title commitment and issuance of an owner’s title insurance policy by a reputable title company, or such other confirmation as the City Attorney deems sufficient and appropriate.

Section 3. Upon confirmation that the owner of the Property can grant clear and marketable title thereto to the City, the Finance Director is directed to release funds necessary to pay the agreed purchase price for the Property, as set forth in Exhibit A, and the Mayor and the City Clerk are authorized to execute and attest to any documents necessary to effectuate the transfer of title of the Property to the City.

RESULT:	(0 TO 0)
MOVER:	None
SECONDER:	None
AYES:	None
NAYS:	None
ABSTAIN:	None
ABSENT:	

ADOPTED AND APPROVED at a Regular meeting of the City Council of the City of Pekin this _____ day of _____, 20____.

Mayor

ATTEST:

City Clerk

Owner John E. Neumann Tr.&
Christine L. Neumann Tr.
Address 1024 Court St.
Pekin, IL 61554
Route FAP 693(Court Street)
County Tazewell
Parcel No. 125
P.I.N. No. 04-10-02-116-008
Section 20-00196-02-RS

TRUSTEE'S DEED
(Individual) (Non-Freeway)

John E. Neumann, and Christine L. Neumann as Trustees under the provisions of a deed or deed in trust duly recorded and delivered pursuant to a Trust Agreements dated July 17, 2009 and known as John E. Neumann Trust and Christine L. Neumann Trust, both as to an undivided 1/2 interest, (Grantor), in consideration of One Hundred Fourteen Thousand, Five Hundred and 00/100 Dollars (\$114,500.00), receipt of which is hereby acknowledged, grants, conveys and warrants to the City of Pekin, (Grantee), all existing legal and equitable rights of Grantor to the following described real estate:

PART OF LOT 1 OF EDD'S ADDITION TO THE CITY OF PEKIN, IN SECTION 2, TOWNSHIP 24 NORTH, RANGE 5 WEST OF THE THIRD PRINCIPAL MERIDIAN, TAZEWELL COUNTY, ILLINOIS, BEING MORE PARTICULARLY DESCRIBED AS FOLLOWS:

COMMENCING AT THE NORTHWEST CORNER OF SUBLOT 3 OF EDD'S ADDITION TO THE CITY OF PEKIN, AS SHOWN IN PLAT BOOK "J", PAGE 215 IN THE TAZEWELL COUNTY RECORDER'S OFFICE; THENCE SOUTH 62 DEGREES 58 MINUTES 19 SECONDS EAST, ON THE SOUTHWESTERLY RIGHT OF WAY LINE OF COURT STREET, 104.59 FEET TO THE POINT OF BEGINNING:

FROM THE POINT OF BEGINNING, THENCE CONTINUING SOUTH 62 DEGREES 58 MINUTES 19 SECONDS EAST, ON SAID SOUTHWESTERLY RIGHT OF WAY LINE, 67.41 FEET; THENCE SOUTH 13 DEGREES 06 MINUTES 31 SECONDS WEST, ON SAID RIGHT OF WAY LINE, 13.95 FEET TO THE NORTHERLY RIGHT OF WAY LINE OF WASHINGTON STREET; THENCE SOUTH 89 DEGREES 11 MINUTES 22 SECONDS WEST, ON SAID NORTHERLY RIGHT OF WAY LINE, 81.02 FEET; THENCE NORTH 28 DEGREES 00 MINUTES 08 SECONDS EAST, 51.38 FEET TO THE POINT OF BEGINNING, CONTAINING 2280 SQUARE FEET, MORE OR LESS, OR 0.052 ACRES, MORE OR LESS.

situated in the County of Tazewell, State of Illinois, and hereby releases and waives all right under and by virtue of the Homestead Exemption Laws of the State of Illinois. The above-described real estate and improvements located thereon are herein referred to as the "premises."

Grantor does not possess rights of Homestead in the premises.

Grantor, without limiting the interest above granted and conveyed, acknowledges that upon payment of the agreed consideration, all claims arising out of the above acquisition have been settled, including without limitation, any diminution in value to any remaining property of the Grantor caused by the opening, improving and using the premises for roadway purposes. This

acknowledgment does not waive any claim for trespass or negligence against the Grantee or Grantee's agents which may cause damage to the Grantor's remaining property.

Dated this _____ day of _____, 2024.

By: _____
Signature of Trustee

John E. Neumann
Print Name

By: _____
Signature of Trustee

Print Name

State of Illinois)
) ss
County of Tazewell)

This instrument was acknowledged before me on _____ 2024
by John E. Neumann _____ .

(SEAL)

Notary Public

My Commission Expires: _____

Dated this _____ day of _____, 2024.

By: _____
Signature of Trustee

Christine L. Neumann
Print Name

By: _____
Signature of Trustee

Print Name

State of Illinois)
) ss
County of Tazewell)

This instrument was acknowledged before me on _____ 2024
by Christine L. Neumann _____ .

(SEAL)

Notary Public

My Commission Expires: _____

Exempt under 35 ILCS 200/31-45(b), Real Estate Transfer Tax Law.

Date

Buyer, Seller or Representative

This instrument was prepared by and after
recording, mail this instrument and future tax bills to:

City of Pekin
ATTN: City Engineer
111 S. Capitol St.
Pekin, IL 61554

Route: FAP 693 (Court St.)
Section: 20-0196-02-RS
Parcel 125

Law Office of Mark Wertz

Moving personal property costs from 1024 Court St. to 2101-2111 Court St. (Market Square)

Two Men and a Truck \$6146.00 (higher bid, not used)

Allied Moving \$5,774.24

Comcast/I3 Broadband \$450.00

Law Office Notifications \$3,780.00

Facet Computer \$4,355.00

Deluxe Checks \$1,242.64

Total Moving \$15,601.88 (estimate)

Searching Fee \$2,500 (cap)

Reestablishment \$25,000 (cannot exceed, work sheet attached)

Eligible Actual Moving & Reestablishment (to date)

Combined Totals \$40,601.88

Searching \$2,500.00

Total + searching \$43,101.88

Appraisal Report

Route FAP 693
Section 20-00196-01-RS
County Tazewell

Project Court Street
Job No. 94-025-21
Parcel P125 Unit

Take: ☒ Whole ☐ Partial ☐ Other: _____
Appraisal Sequence: ☒ Original ☐ Supplemental

1. Attached is a ☒ complex ☐ non-complex appraisal, per IDOT's Land Acquisition Policies and Procedures Manual.

2. Location and Address: 1024 Court Street, Pekin, Illinois 61554

3. Identification: Part of Lot 1 of Edd's Addition to the City of Pekin (PIN 04-10-02-116-008)

4. Present Owner's Name, Address and Telephone No.: John E. Neumann Trust and Christine Neumann Trust, (309-613-1977)

5. Tenant's or Lessee's Name, Address and Telephone No.: Mark Wertz, 1024 Court Street, Pekin, IL, 309-650-2023

6. Person Interviewed: John Neumann

7. Interviewed by: Randall L. Neff

8. Farmland Preservation Act (pertains to fee takings and permanent easements):

CL N/A OC _____ HL _____ PL _____ FL _____ FS _____ RL _____ OL _____

9. Present Use: Office Highest and Best Use Before Taking: Office
Zoning: B-3 General Business District Highest and Best Use After Taking: Whole Take

	Acres	Sq. Ft.
10. Area of Whole Property		2,280
Total Area to be Acquired in Fee Simple Title:		2,280
Area to be Acquired by New Dedication		
Area Acquired by Previous Dedication		
Area to be Acquired for Additional ROW		
Area to be Acquired by Permanent Easement		
Area to be Acquired by Temporary Easement		
Area of Remainder		0

11. Final Conclusion of Value	Effective Date of Appraisal:	February 12, 2024
Fair Market Value of Whole Property		\$114,000.00
For Partial Taking include the following:		
Fair Market Value of property taken (including improvements) as part of the whole		\$N/A
Fair Market Value of remainder as part of the whole before taking		\$N/A
Fair Market Value of remainder after taking as will be affected by contemplated improvements		\$N/A
Damage to Remainder		\$N/A
Compensation for Permanent Easement(s)		None
Compensation for Temporary Easement(s)		None
Total Compensation		\$114,000.00



Date of the Report: March 19, 2024
Appraiser Name: Randall L. Neff, MAI, SRA
License Type: Certified General Real Estate Appraiser

Appraiser Signature
IL License # 553.001153 Expires: 9/30/25

Definition of Fair Market Value: The fair cash market value of a property in an eminent domain proceeding is that price which a willing buyer would pay in cash, and a willing seller would accept, when the buyer is not compelled to buy and the seller is not compelled to sell. In the condemnation of a property for a public improvement, any appreciation or depreciation in value caused by the contemplated improvement shall be excluded from the consideration of the fair cash market value of the whole property and the value of the part taken. (Illinois Pattern Jury instructions)

In the event of a partial acquisition where there is remainder property, any appreciation or depreciation caused by the contemplated improvement shall be considered when determining the fair cash market value of the remainder. Any increase or decrease in value caused by the actual acquisition of a part of the property must be considered in estimating the value of the remainder after taking.

Property History: Has subject sold in the last five (5) years or is it currently listed for sale? Yes ☐ No ☒
If yes, an analysis of the recent sale(s) and/or current listing is summarized as follows: N/A

Property Interest to be Appraised: ☒ Fee Simple Estate ☐ Leased Fee Estate

Property Interest to be Acquired (check all that apply):

- ☒ Fee Acquisition ☐ Permanent Easement ☐ Dedication/Perpetual Easement
☐ Temporary Easement ☐ Other: _____
☐ None of the Above: This appraisal is for the disposal of excess land and/or the release of other rights.
If applicable, the disposition/release of rights is described as follows: N/A

USPAP Reporting Option (as defined by USPAP SR 2-2): ☒ Appraisal Report ☐ Restricted Appraisal Report

Type of Appraisal (per IDOT's Land Acquisition Policies and Procedures Manual):

- ☐ *Non-Complex:* Appraisal report "meets minimum requirements consistent with commonly accepted Federal and Federally-assisted program appraisal practices for those acquisitions, which, by virtue of their low value or simplicity," do not require in-depth analysis presentation.
- ☒ *Complex:* Appraisal report that meets all minimum requirements and also reflects "established and commonly accepted Federal and Federally-assisted program appraisal practices" with appropriate in-depth analysis and presentation.

Client: City of Pekin

Intended User: The intended users of this report include the Client and any of its Federal-funding partners. Parties who receive a copy of this report as a consequence of the Client's disclosure policies are not intended users of the report.

Intended Use: The intended use of this appraisal report is for ☒ acquisition ☐ disposition of right of way by the Client. This report is not intended for any other use.

Authorization: The following person contacted the appraiser to provide this appraisal service: Cindy Bushur-Hallam of Hanson Professional Services, Inc. as agent for the City of Pekin.

Purpose of Valuation:

- ☒ The purpose of this valuation is to arrive at an opinion of the fair market value of the acquisition of the whole property and/or a portion thereof. When applicable, the fair market value of the whole will be established, as will the fair market value of the remainder after the taking. Fair Market Value may also be affected by contemplated improvements with consideration for damages or benefits, if any, to the remainder, and/or the fair market value of possible permanent easements and/or temporary easements as required by design, and the total just compensation due to property owner by reason of the taking.
- ☐ The purpose of this valuation is to arrive at an opinion of the fair market value of the subject land parcel and/or other rights to be disposed by the Client.

Assignment Conditions: Assignment conditions include assumptions, extraordinary assumptions, hypothetical conditions, laws and regulations, jurisdictional exceptions, and other conditions that affect the scope of work. Assignment conditions applicable to this appraisal assignment are summarized below.

The Client requires this appraisal assignment to comply with the following standards, laws, regulations, and policies:

- the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (Uniform Act) and its implementing regulation 49 CFR Part 24,
- the Uniform Standards of Professional Appraisal Practice (USPAP), and
- the Illinois Department of Transportation's Land Acquisition Policies and Procedures Manual.

Furthermore, the Client requires this appraisal to be prepared in accordance with the appropriate state laws, regulations and policies and procedures applicable to appraisal of right of way. No portion of the value assigned to such property shall consist of items which are non-compensable under the established laws of Illinois.

In accordance with 49 CFR Part 24 and IDOT's Land Acquisition Policies and Procedures Manual, in opining on the value of the property before the taking, the appraiser shall disregard any decrease or increase in the fair market value of real property prior to the date of valuation caused by the public improvement for which such property is acquired, or by the likelihood the property would be acquired for such improvement, other than that due to physical deterioration within the reasonable control of the owner. This situation is considered to be a hypothetical condition for the purposes of this appraisal. The use of this hypothetical condition may affect the assignment results.

Any decrease or increase in value caused by the actual acquisition of a part of the property must be considered in opining on the value of the remainder after taking. Such changes in value are parcel-specific.

USPAP Standards Rule 1-2(c)(iv) requires that when exposure time is a component of the definition for the value opinion being developed, the appraiser must also develop an opinion of reasonable exposure time linked to that value opinion. However, the definition of fair market value in this report does not include exposure time as a component. Therefore, the appraiser is not required to develop and report an opinion of exposure time linked to the value opinion. This situation is considered to be an assignment condition for the purposes of this appraisal.

The subject property was originally constructed as a gas station in 1923. I have no knowledge or have been made aware of any environmental contamination of the site. It is not known if the tanks have been removed. I assume the site is environmentally clean.

Scope of Work: Summarize the steps/process employed to develop the appraisal report, as required in 49 CFR Part 24, and the Uniform Standards of Professional Appraisal Practice (USPAP).

The amount and type of information researched and the analysis applied in an assignment includes, but is not limited to 1) the extent to which the property is inspected or identified; 2) extent of inspection and description of the neighborhood and proposed project area; 3) the extent of research into physical or economic factors that could affect the property; 4) the extent of data researched; and 5) the type and extent of analysis applied to arrive at opinions or conclusions.

The scope of work also needs to explain the various approaches utilized and the reasons for why any particular approach was not used.

In completing the appraisal assignment, a systematic procedure in investigating the subject property and market data is followed. The subject property and surrounding market area are viewed. Please be advised that the property is only viewed for the purpose of developing an opinion of market value. Concealed or inaccessible portions of the property were not viewed. Items that buyers and sellers would acknowledge in a typical purchase transaction, based on the definition of market value stated in the appraisal report, have been noted in the descriptions of the property. Intangible assets or personal property are not included in the value opinion.

Market data relative to the market area, subject property and the highest and best use of the property are researched and verified. The market data are collected from the Peoria area multiple listing service and other on-line data services, public records, office files, industry and local publications, buyers and sellers, as well as other appropriate sources. The market data are applied in the market analysis and highest and best use analysis of the subject property. Market analysis and highest and best use are important fundamental concepts in the appraisal of real estate.

In general, market analysis is a study of the supply and demand and the geographic market area of the property type. The highest and best use of the property is the use that creates the highest value. Market analysis is used in the determination of the highest and best use. Based on the results of the market analysis, the highest and best use of the

property is determined. In analyzing highest and best use of the property, two studies are made; highest and best use of the property as vacant land available for development, and highest and best use of the property as improved.

Once the highest and best use of the subject is determined, the three traditional appraisal methods are considered in the valuation of the property. The valuation methods are the cost, sales comparison and income capitalization approaches. In this assignment, the sales comparison approach is the only method applied in the valuation of the property.

The cost approach is not applicable to the valuation of the property because of the age of the improvements. The typical buyer of the property does not make a purchase decision of the property based on the development costs less depreciation. The income capitalization approach is not applied because the highest and best use of the property is for owner occupancy.

After development of the opinion of value, an Appraisal Report is prepared in accordance to the Uniform Standards of Professional Appraisal Practice. The assignment is also completed in compliance with the Code of Professional Ethics and Standards of Professional Practice of the Appraisal Institute.

General Assumptions and Limiting Conditions: Summarize any general assumptions and limiting conditions utilized in this report.
--

1. No responsibility is assumed for the legal description or for matters including legal or title considerations. Title to the property is assumed to be good and marketable.
2. The property is appraised free and clear of any or all liens or encumbrances.
3. Responsible ownership and competent property management are assumed.
4. The information furnished by others is believed to be reliable. However, no warranty is given for its accuracy.
5. All engineering is assumed to be correct. The plot plans and illustrative material in this report are included only to assist the reader in visualizing the property.
6. It is assumed that there are no hidden or unapparent conditions of the property, subsoil, or structures that render it more or less valuable. No responsibility is assumed for such conditions or for arranging for engineering studies that may be required to discover them.
7. It is assumed that the property is in compliance with all applicable federal, state and local laws, ordinances, regulations, building standards, use restrictions and zoning unless the lack of compliance is stated in the appraisal report. Determining and reporting on such compliance were not part of the scope of work for this assignment.
8. It is assumed that all water, sewer facilities and utilities (whether existing or proposed) are or will be in good working order, are safe for use, and are or will be sufficient to serve the current or proposed uses of the subject property or any structures or other improvements. Determining and reporting on such matters were not part of the scope of work for this assignment.
9. It is assumed that all required licenses, certificates of occupancy, consents, or other legislative or administrative authority from any local, state, or national government or private entity or organization have been or can be obtained or renewed for any use on which the value opinion contained in this report is based.
10. It is assumed that the utilization of the land and improvements is within the boundaries or property lines of the property described and that there is no encroachment or trespass unless noted in the report.
11. The distribution, if any, of the total valuation in this report between land and improvements applies only under the stated program of utilization. The separate allocations for land and buildings must not be used in conjunction with any other appraisal and are invalid if so used.
12. The appraisal may not be used for any purpose other than the intended use described in the report, or by any person not named as a client or intended user. A party receiving a copy of the report does not, as a consequence, become a party to the appraiser-client relationship, or automatically become an intended user of the appraisal.

13. The appraiser herein by reason of this appraisal is not required to give further consultation, testimony, or be in attendance in court with reference to the property in question unless arrangements have been previously made.
14. Neither all nor any part of the contents of this report (especially any conclusions as to value, the identity of the appraiser, or the firm with which the appraiser is connected) shall be disseminated to the public through advertising, public relations, new sales, or other media without the prior written consent and approval of the appraiser.
15. Unless otherwise stated in the report, the past or current existence of hazardous materials or environmental contamination on, below or near the subject property was not observed or known by the appraiser. However; the appraiser is not qualified to detect such substances or to make determinations about their presence. The presence of substances such as asbestos, urea-formaldehyde foam insulation and other potentially hazardous materials or environmental contamination may affect the value of the property. Unless otherwise stated, the value opined is predicated on the assumption that there is no such material on, below or affecting the property that would cause a loss in value. No responsibility is assumed for such conditions or for any expertise or engineering assistance required to discover them. The intended user is urged to retain an expert in this field, if desired.
16. The appraiser is not qualified to render an opinion as to the operating condition, adequacy or quality of mechanical equipment or other physical component of the building. All mechanical equipment is assumed to be adequate for the proposed building and in operating condition unless stated otherwise. It should be clearly understood that the appraiser is not a building inspector, structural engineer or architect.

Property Owner Contact/Interview: Summarize the appraiser's contact/interview with property owner. The property owner (or the owner's designated representative) must be provided the opportunity to accompany the appraiser during the appraiser's inspection of subject property (49 CFR Part 24.102).

Name of the property owner (or designated representative) who was offered an opportunity to accompany the appraiser:
John Neumann

The method used to contact the property owner included
(check all that apply):

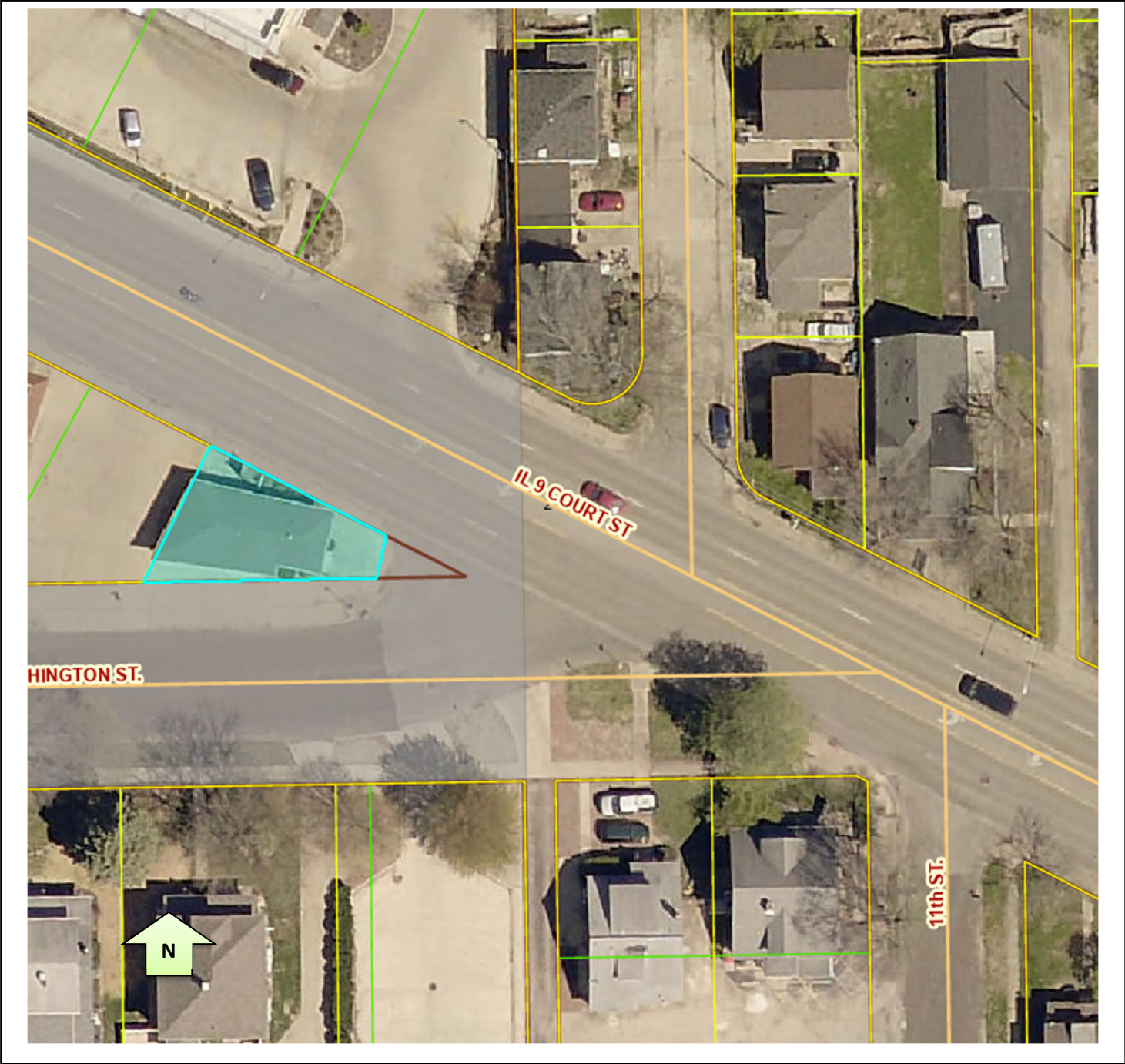
- ☒ Personal contact
- ☐ Telephone
- ☒ Letter
- ☐ Other (explain): _____

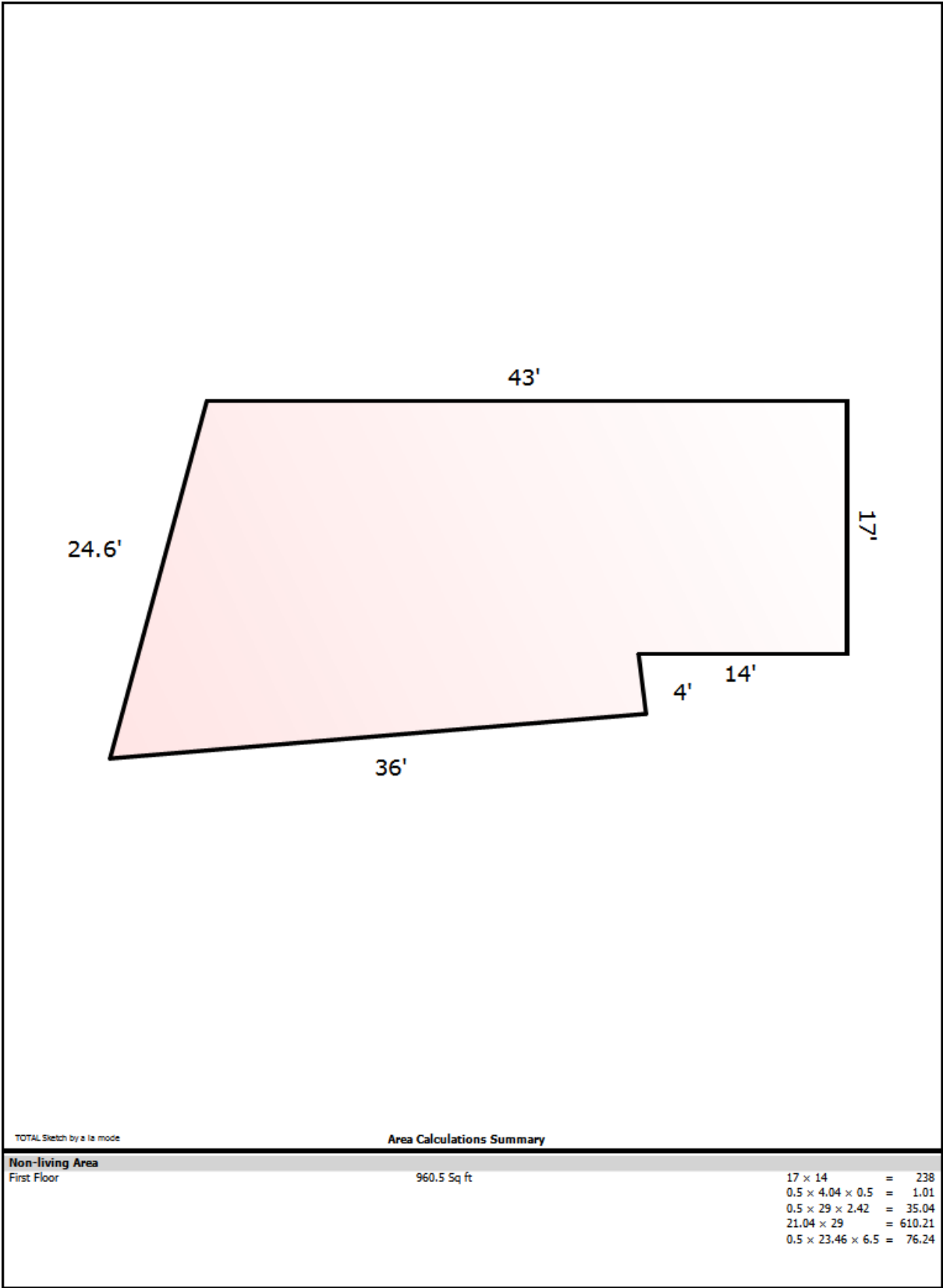
The invitation to the property owner:

- ☒ was accepted
- ☐ was declined
- ☐ did not receive a response
- ☐ Other (explain): _____

The appraiser personally inspected the subject property on the following date(s): February 12, 2024

Additional information relating to the appraiser's contact with the property owner, including any concerns expressed by the owner, is explained as follows: The tenant, Mark Wertz, was also present on the appointment date.





*Because of the irregular shape of the building, the gross building area is estimated to be approximately 950 square feet.

Photographs

Subject Property

Photographs are required on all properties being appraised. Photographs of all principal above ground improvements or unusual features affecting the value of the property to be taken or damaged must also be included. Please use the format below for identifying the photographs.



Date of Photograph:	February 12, 2024
Photograph By:	Randall L. Neff
Camera Facing:	Northwest

Description: View of Property From Washington Street



Date of Photograph:	February 12, 2024
Photograph By:	Randall L. Neff
Camera Facing:	Southwest

Description: View of Property From Court Street

Subject Property

Photographs are required on all properties being appraised. Photographs of all principal above ground improvements or unusual features affecting the value of the property to be taken or damaged must also be included. Please use the format below for identifying the photographs.



Date of Photograph:	February 12, 2024
Photograph By:	Randall L. Neff
Camera Facing:	South

Description: View of Property From Court Street



Date of Photograph:	February 12, 2024
Photograph By:	Randall L. Neff
Camera Facing:	Southeast

Description: View of Property From Court Street

Subject Property

Photographs are required on all properties being appraised. Photographs of all principal above ground improvements or unusual features affecting the value of the property to be taken or damaged must also be included. Please use the format below for identifying the photographs.



Date of Photograph:	February 12, 2024
Photograph By:	Randall L. Neff
Camera Facing:	Northeast

Description: View of Building From Washington Street



Date of Photograph:	February 12, 2024
Photograph By:	Randall L. Neff
Camera Facing:	N/A

Description: Interior View

Subject Property

Photographs are required on all properties being appraised. Photographs of all principal above ground improvements or unusual features affecting the value of the property to be taken or damaged must also be included. Please use the format below for identifying the photographs.



Date of Photograph:	February 12, 2024
Photograph By:	Randall L. Neff
Camera Facing:	N/A

Description: Interior View



Date of Photograph:	February 12, 2024
Photograph By:	Randall L. Neff
Camera Facing:	East

Description: Washington Street and Court Street Intersection

Subject Property

Photographs are required on all properties being appraised. Photographs of all principal above ground improvements or unusual features affecting the value of the property to be taken or damaged must also be included. Please use the format below for identifying the photographs.



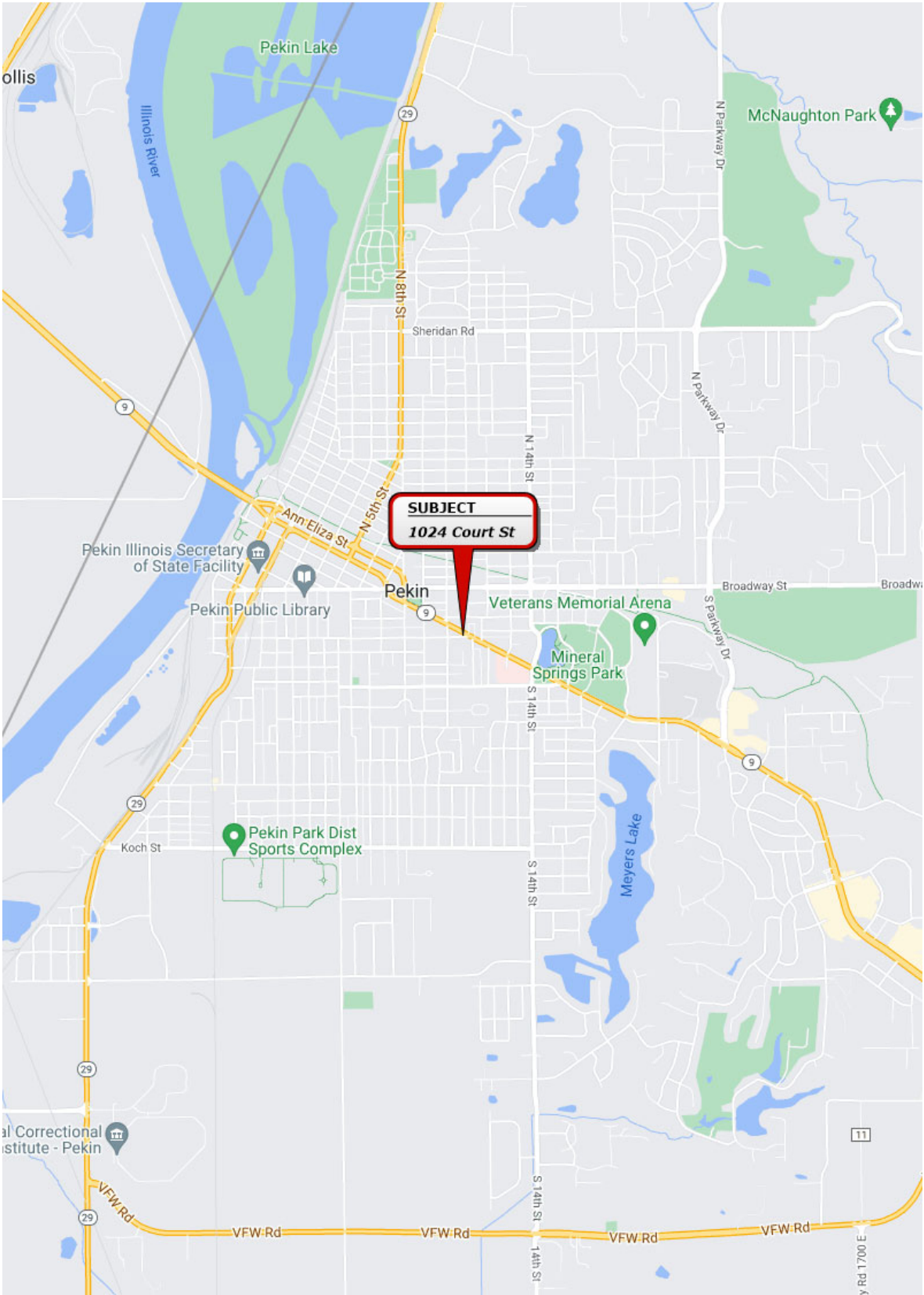
Date of Photograph:	February 12, 2024
Photograph By:	Randall L. Neff
Camera Facing:	Northwest

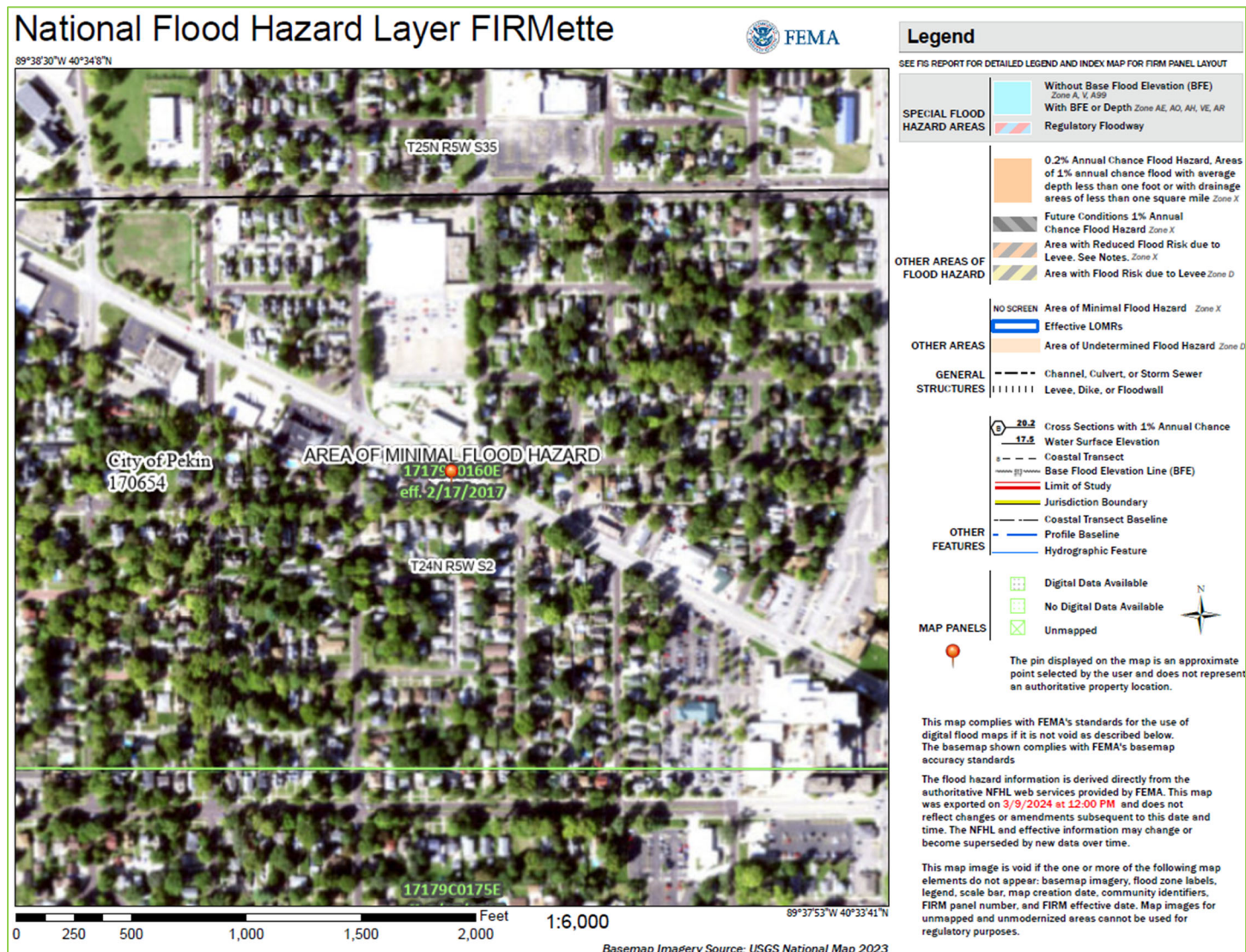
Description: Court Street



Date of Photograph:	February 12, 2024
Photograph By:	Randall L. Neff
Camera Facing:	Southeast

Description: Court Street





Descriptive Analysis of Whole Property: Describe entire property before the taking, including such items as: 1) location and environment; 2) land type and usage; 3) zoning; 4) improvements; 5) special features that serve to detract or enhance; 6) include an analysis of the general area, the neighborhood, and the site; and 7) explain and justify highest and best use if it differs from present use and/or zoning.

The site is located on the westerly corner of Court Street and Washington Street. The site is an irregular shaped tract of land with 64.71 feet of frontage on Court Street and a total site area of 2,280 square feet. The site also has frontage on Washington Street.

The site has a level topography. Access to the property is from Washington Street. The land to building ratio of the property is 2.40:1 and the site coverage ratio is 0.416. Public utilities connected to the property include water, sewer, natural gas and electricity. The site is located in Flood Zone X (area of minimal hazard), according to map panel 1719C 0160E, map date February 17, 2017.

The site is zoned B-3 General Business District by the City of Pekin. The average daily traffic along Court Street in front of the subject property is 15,600, according to IDOT traffic maps. Washington Street is a two lane street and Court Street is a four lane street with center turn lane. Offsite improvements include curb, gutter, sidewalk and street lights. Street parking is available on Washington Street but is not available on Court Street.

Improvements Description

The site is improved with a single story commercial building constructed in approximately 1923, according to public records. The original structure has been added onto several times at unknown dates. The building was originally constructed as a gas station. It is assumed the tanks have been removed and that the site is environmentally clean. The title report does not include documentation of environmental contamination. The building is currently used as an office and is tenant occupied by Mark Wertz, Attorney. The gross building area is estimated to be 950 square feet, based on personal measurement of the structure and a review of public records. The building is irregular shaped and includes corners that are not 90 degrees. A precise calculation of gross building area is difficult because of the shape and angles of the building. There is a partial basement.

The exterior walls are brick. The roof is hipped and has asphalt composition shingles, gutters and downspouts. Fenestration includes fixed glass and casement windows and metal doors. The foundation is concrete.

The main level of the interior consists of three offices and a restroom. The interior finish is plaster, drywall and panel walls and drywall and plaster ceilings. Floorcoverings are carpet and tile. Climate control is provided by gas forced air heat and central air conditioning.

Site improvements include steel pylon sign and minimal landscaping consisting of ornamental shrubs.

Real Estate Taxes

In Illinois, real estate is taxed at one third of market of value and real estate taxes are paid in arrears. The most current real estate tax information for the property is for 2022 payable in 2023. The property is classified as Improved Commercial by the Tazewell County Supervisor of Assessments. The following table is a list of the real estate tax information for the property:

Parcel Identification #	04-10-02-116-008
Assessed Homesite Value	\$2,930
Assessed Dwelling Value	\$12,960
Total Assessed Value	\$15,620
Fair Cash Value	\$46,860
Real Estate Taxes	\$1,443.40
Tax Rate	9.240740

The fair cash value is less than the value opined in this assignment.

Zoning

The zoning classification of the property is B-3 General Business District by the City of Pekin. According to the City of Pekin zoning ordinance, the purpose statement of the B-3 District follows:

The B-3 General Business Districts are designed to provide sites for more diversified business types which would often be incompatible with the pedestrian movement in the Local Business District or the Central Business District

The B-3 district permits a number and variety of uses. The permitted uses are listed below:

- Any retail business or service establishment permitted in B-1 and B-2 Districts as principal uses permitted and uses permitted as special uses.
- Car wash, self-serve or truck wash or outdoor car wash.
- Bowling Alleys
- Bus passenger stations
- Clinics
- Governmental offices
- Hotels and motels
- New and used automobile sales and showroom
- Outdoor retail and food sales where liquor is not sold, served or otherwise provided
- Pool or billiard parlor club
- Private clubs or lodge halls
- Retail cold storage establishments
- Self-service laundry and dry cleaning establishments
- Warehousing and storage of materials or goods to be sold at retail
- Other uses similar to the above uses
- Accessory buildings and uses customarily incident to the above permitted uses

Special uses are also permitted after department and administrative approval.

The bulk requirements are as follows:

Minimum Lot Size: 8,500 square feet

Minimum Lot Width: None

Maximum Height of Structure: 10 Stories or 120 Feet

Minimum Yard Setback:

Front: 10 feet

Side: 10 feet

Rear: 10 feet

Maximum Lot Coverage: No more than 75% of the area of the parcel.

Parking: Number of offstreet parking spaces is determined by use. For new construction with 20 or more parking spaces required, parking is not permitted in front yard.

The property is a legal non-conforming use because the site area is less than the minimum size required and because of setback requirements. However, the use predates the current ordinance. Please be advised that I am not an expert in zoning compliance matters. For more information, please contact the City of Pekin planning and zoning department or refer to www.ci.pekin.il.us.

Sales History of the Subject Property

The Uniform Standards of Professional Appraisal Practice and Illinois Real Estate Appraisal License Law require real estate appraisers to research, analyze and report sales and listing history of the subject property. According to public records and confirmed with the property owner, the property has not sold during the five year period preceding the effective date of appraisal. To my knowledge, the property is not currently under contract or listed for sale.

Market Area Description

The subject property is located in a southeast central section of Pekin along the Court Street corridor. Pekin is part of the metro-Peoria area, which includes Peoria, Tazewell and Woodford Counties. The city is part of the Peoria MSA, which consists of Peoria, Marshall, Stark, Tazewell, and Woodford Counties. Pekin is located on the southeast side of the Illinois River approximately 10 miles south of Peoria. The population of Pekin is approximately 34,000. Pekin is the county seat of Tazewell County.

Major routes through Pekin include Route 9 and Route 29. Route 29 travels along the Illinois River in a north-south direction and connects Pekin with North Pekin, Marquette Heights, Creve Coeur and East Peoria. These cities are located north of Pekin. The Route 29/I-474 interchange is located north of Pekin between Marquette Heights and Creve Coeur. Traveling south out of Pekin on Route 29, the area becomes rural. The area also becomes rural traveling east out of Pekin on Route 9. Route 9 connects with the town of Tremont and Interstate 155, approximately six to eight miles east of Pekin. Route 9 is known as Court Street in Pekin. Pekin has good linkages to employment, shopping and major roads.

Court Street is a commercial corridor throughout most of Pekin. The property is located at the intersection of Washington Street and Court Street. The immediate area consists of commercial properties and residential properties. For the most part, the commercial properties in the area are located along Court Street and the residential properties are located in areas off of Court Street. The residential uses include single and multi-unit structures. The structures are predominately older than 60 years.

Commercial uses in the area include restaurant, retail, office, strip centers and convenience stores and gas stations. McDonalds restaurant is located across the street from the subject and Schnuck's grocery store and CVS Pharmacy are located within one block of the subject. Larger land users in the immediate area include Mineral Springs Park, Pekin High School and Carle Health hospital. Downtown Pekin is located six blocks northwest of the subject property.

The area at the intersection of Court Street and Veterans Drive is the predominant retail node of the City of Pekin. The area is anchored by East Court Village Shopping Center. The center was originally constructed in the 1970's as the Pekin Mall. However, the property has been converted to an outdoor center and includes tenants such as Petco, Hobby Lobby, Tractor Supply Store, U.S. Cellular, Verizon, Sprint, and T-Mobile. Outlots of the center include Applebees, Bob Evans, and Starbucks.

East Court Village II is located across the street from East Court Village and is occupied by Dunham Sports and Aldi. Other retail users in the area include Walmart Super Center, Menard's, Runnings, Courtside Shopping Center, fast food and sit-down restaurants, car washes, gas stations and convenience stores and hotels. Avanti's Event Center is located to the north of East Court Village II along Griffin Avenue. Another retail node of Pekin is located at the intersection of Parkway Drive and Court Street.

Highest and Best Use Analysis: Summarize the **support and rationale** for the appraiser's determination of the highest and best use of the subject property. If the property is improved, address both the highest and best use **as vacant**, and of the property **as improved**. Appraiser may provide a Land Only Grid to support highest and best use analysis. A detailed appraisal requires more in-depth analysis. A change in highest and best use requires more in-depth analysis of the subject property before the acquisition and the remainder.

Highest and best use in the appraisal of real estate is defined as follows:

The reasonably probable use of property that results in the highest value. The four criteria that the highest and best use must meet are legal permissibility, physical possibility, financial feasibility and maximum productivity.¹

The highest and best use analysis involves two separate studies, one considers the site as vacant and ready for development, and the other considers the site as improved. In both analyses, potential uses and users of the property, and the timing of the use are considered. These potential uses must meet four criteria, which are as follows: the use must be legally permissible, physically possible, financially feasible and maximally productive. If the contemplated uses do not meet the first two criteria, then the use is not a possible highest and best use.

In the highest and best use analysis, the problem to be solved is the determination of the most valuable use of the property, either as a vacant site or as it is presently improved. As part of the process and analysis, the most likely and ideal uses of the property as a vacant site and as improved are considered. Determining the ideal improvement aids in identifying depreciation in existing improvements and in selecting comparable sales. The ideal use as a vacant site is compared to the current improved use of the property. Both land sales and improved sales are analyzed in the determination of highest and best use of the property. If it is determined that the highest and best use of the property is as a vacant site, then the improvements have reached the end of their economic life and do not contribute value to the site.

Highest and Best Use as a Vacant Site

The property is zoned B-3 General Business District. A variety of uses are legally permissible including retail and service business establishments permitted in B-1 and B-2 districts. Please refer to the zoning section of the report for a list of permitted uses.

The subject site is an irregular shaped tract of land that has a total site area of 2,280 square feet. The minimum lot size in the B-3 District is 8,500 square feet. The topography of the site is level. The site has frontage on Court Street and Washington Street. Access to the property is from Washington Street. Physical restrictions of the site are the size and shape. The number of uses and users is limited because of the site size. The site area is less than the required minimum lot size in a B-3 District.

The next step in highest and best use is to analyze the financial feasibility of the legally permissible and physically possible uses. For a use to be financially feasible, it must be able to produce a positive return to the land after considering risk and all costs to create and maintain the use.

The subject site size impacts the financial feasibility of potential uses. The site area is less than the required minimum in a B-3 District. Market demand for sites as small as the subject property is limited. Oftentimes adjacent property owners are the most likely users of sites similar to the subject in size. Potential uses of the site if it were vacant are speculation or future commercial development.

It is my opinion the highest and best use of the site if it were vacant on the sale date would be for speculation. The most likely purchaser of the property if it were vacant would be an investor.

Highest and Best Use as Improved

In analyzing the highest and best use of the property as improved, the use of the property is analyzed in light of the existing improvements. First, it is determined if the improvements contribute value to the site or if they should be removed and the site redeveloped to another use. If it is determined that the improvements contribute value to the site, alternative uses of the improvement are considered. Alternative uses include remodeling, rehabilitating, renovating or enlarging the building. Conversion of the building to another use is also considered.

¹The Dictionary of Real Estate Appraisal, 7th Edition, The Appraisal Institute, 2022, page 88

It is my opinion the improvements contribute value to the site and it is not financially feasible to redevelop the site to another use. It is not physically possible nor financially feasible to enlarge the building. It is financially feasible to repair deferred maintenance. The highest and best use of the property is as improved for continued office use. The most likely purchaser of the property as improved is an end-user.

Valuation Analysis of Whole Property:

As explained in the scope of work section of the report, the sales comparison approach is the only method applied in the valuation of the property. The sales comparison approach is based on the premise that an informed purchaser will pay no more for a property than the cost of acquiring an equally desirable substitute property. An opinion of value is developed by the sales comparison approach by researching and analyzing properties that have sold and are offered for sale. The value influencing characteristics (elements of comparison) of the comparable properties are compared to the subject property. The sales comparison approach is most credible when a sufficient amount of market data comparable to the subject property exists; or, when there is a true and active market for the property.

Sales data of similar properties in the Pekin area are researched, verified and analyzed. From the sales data, comparable sales are identified. The comparable sales are applied in the valuation of the whole property. Descriptions of the comparable sales are included later in the report.

Sales Comparison Approach Improved Properties

☒ Before Taking

☐ After Taking

☐ Agricultural ☐ Residential

☒ Commercial

☐ Industrial

(Plus if Subject is Better) (Minus if Subject is Poorer)
Always Adjust TO the Subject Property

Comparable Sale Data Sheets:

☒ Attached

☐ In Sales Book

	Subject	Sale No. 1	Sale No. 2	Sale No. 3
1.	Grantor	McCloud	Two Plus Three LLC	Hasty
	Grantee	Williams	Donaldson	A106 LLC
	Address or Location	800 Amanda St. Pekin	435 Taylor Street East Peoria	429 Court Street Pekin
	Date of Sale	07/2023	10/2023	08/2023
	Sale Terms	Market	Market	Market
	Land Size (sf)	2,280	3,049	1,520
	Building Size	950	560	1,163
	Type of Improvement	Office	Commercial	Commercial
	Total Sale Price	\$95,000	\$110,000	\$125,000
	Unit Price (Optional)	\$169.64/SF	\$122.22/SF	\$107.48/SF

Adjustments

2.				%	\$ Amt.		%	\$ Amt.		%	\$ Amt.
	Property Rights	Fee				Fee			Fee		
	Adjusted Price										
	Financing	Cash				Cash			Cash		
	Adjusted Price										
	Conditions of Sale	Market				Market			Market		
	Adjusted Price										
	Market Conditions	Comp.				Comp.			Comp.		
	Adj. Unit Price										
	Location	Court St.	Comp.			Comp.			Comp.		
	Site Adj./LBR	2.4:1	5.45:1			3.94:1			1.31:1		
	Building Area	950	560	-25%		900			1,163	10%	
	Quality	Brick	Siding			Siding			Brick		
	Age	101	29	-5%		90			93		
	Condition	Good	Comp.			Comp.			Comp.		
	Basement	Partial	Full			Partial			Partial		
	Climate Control	FA/CAC	FA/CAC			FA/CAC			FA/CAC		

Net Adjustment (+ or -)	-30%	-\$50.89	%	\$0	10%	\$10.75
Indicated Sale Price/Unit Price		\$118.75		\$122.22		\$118.23
Indicated Value of Subject		\$112,812.50		\$116,109.00		\$112,318.50

See following page(s) for additional comparable sales and/or explanation of adjustments and correlation of values.

4. Support and/or explanation of Adjustments:

The relevant unit of comparison is sales price per square foot of gross building area. Therefore, the sales price per square foot of the comparable sales are analyzed in the valuation of the subject property. Since the sales price per square foot is analyzed, the inverse relationship between sales price per square foot and gross building area is considered in the application of gross building area adjustments.

All three comparable sales sold in fee simple estate and are comparable to the subject property in property rights. All three comparable sales sold in 2023 and are similar to the subject in market conditions. Transactional characteristics are comparable to the subject.

Downward adjustments for gross building area are applied to comparable sale #1 and upward adjustments are applied to comparable sale #3. Comparable sale #1 is significantly inferior to the subject in gross building area and age.

5. Analysis and Correlation of Indicated Values:

Comparable sales #1 and #3 are located in Pekin. Comparable sale #2 is located in East Peoria but is competitive with the subject property. The sales price range of the comparable sales is \$107.48 to \$169.64 per square foot of gross building area. The adjusted sales price range of the comparable sales is \$118.23 to \$122.22 per square foot or \$112,318.50 to \$116,109.00. It is my opinion the value of the subject property is \$120.00 per square foot or \$114,000.00.

Conclusion of Value

☒ Before Taking

☐ After Taking

Summary of Valuation

1. Indicated Value by Cost Approach \$ Not applied
2. Indicated Value by Sales Comparison
Approach \$ 114,000.00
3. Indicated Value by Income Approach \$ Not applied
4. Analysis and Correlation of Approaches to
Value \$
5. Appraiser's Final Opinion of Fair Market Value of Whole Property \$ \$114,000.00

Narrative Analysis of Taking

Describe the taking in detail including such items as: 1) a description of the land to be taken in relation to its location on the property; 2) its use; 3) its type and classification; 4) its topography; and 5) any other special features, improvements or unusual characteristics.

A whole take is proposed for the property.

Narrative Analysis of Remainder And After Value Opinion

Explain the effect of the taking on the remainder including such items as division of property, landlocking, change in highest and best use, proximity damage, access after taking, economic size, overimprovements, effect of construction features such as cuts and fills, change in drainage or effect on existing drainage, effect of taking on lease agreements, special benefits, and other items that will explain the appraiser's opinion of the after value.

Support the value of the remainder rather than estimating damages. The appraiser should use the applicable sales comparison approach to value the remainder. See Section 3.5.2 before using the cost or income approaches. When cost to cure is considered in valuing the remainder, the appraiser should discuss the source of the cost to cure in the appraisal report.

The taking is a whole take and there is not a remainder.

Fair Market Value of Remainder as Part of the Whole Before Taking	\$	N/A
Fair Market Value of Remainder After the Taking as will be Affected by Contemplated Improvements	\$	N/A
Damage to Remainder	\$	N/A

Additional Comments:

In addition to the certifications presented on the following page, I further certify that:

The reported analyses, opinions, and conclusions of this report have been prepared in conformity with the requirements of the Code of Professional Ethics, and the Standards of Professional Practice of the Appraisal Institute.

The use of this report is subject to the requirements of the Appraisal Institute relating to review by its duly authorized representatives. As of the date of appraisal, Randall L. Neff, MAI, SRA has completed the requirements of the continuing education program of the Appraisal Institute.

APPRAISER CERTIFICATION

In accordance with USPAP, I certify that, to the best of my knowledge and belief:

- The statements of fact contained in this report are true and correct.
- The reported analyses, opinions, and conclusions are limited only by the reported assumptions and limiting conditions and are my personal, impartial, and unbiased professional analyses, opinions, and conclusions.
- I have no present or prospective interest in the property that is the subject of this report and no personal interest with respect to the parties involved.
- I have performed no services, as an appraiser or in any other capacity, regarding the property that is the subject of this report within the three-year period immediately preceding acceptance of this assignment.
- I have no bias with respect to the property that is the subject of this report or to the parties involved with this assignment.
- My engagement in this assignment was not contingent upon developing or reporting predetermined results.
- My compensation for completing this assignment is not contingent upon the development or reporting of a predetermined value or direction in value that favors the cause of the Client, the amount of the value opinion, the attainment of a stipulated result, or the occurrence of a subsequent event directly related to the intended use of this appraisal.
- My analyses, opinions, and conclusions were developed, and this report has been prepared, in conformity with the Uniform Standards of Professional Appraisal Practice.
- I have made a personal inspection of the property that is the subject of this report.
- No one provided significant real property appraisal assistance to the person signing this certification.

As required by the Client, I further certify that:

- I have afforded the property owner(s) or their designated representative the opportunity to accompany me at the time of inspection.
- I have made a personal field inspection of the comparable sales relied upon in this appraisal report.
- The subject and comparable sales relied upon in preparing this appraisal were as represented by the photographs contained within the report.
- My analyses, opinions, and conclusions were developed, and this report has been prepared, in conformity with the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (Uniform Act) and its implementing regulation 49 CFR Part 24, as well as the Illinois Department of Transportation's Land Acquisition Policies and Procedures Manual.
- I understand this report may be used in connection with the acquisition of right of way for a highway to be constructed by the City of Pekin with its funds.
- I have prepared this appraisal in accordance with the appropriate state laws, regulations and policies and procedures applicable to appraisal of right of way for such purposes; and that to the best of my knowledge no portion of the value assigned to such property consists of items which are non-compensable under the established laws of Illinois.
- I have not given consideration to, or included in my appraisal, any allowance for relocation assistance benefits.
- Any decrease or increase in the fair market value of real property prior to the date of valuation caused by the public improvement for which such property is acquired, or by the likelihood that the property would be acquired for such improvement, other than that due to physical deterioration within the reasonable control of the owner, was disregarded in opining on the value of the property before the taking. Any decrease or increase in value caused by the actual acquisition of a part of the property was considered in opining on the value of the remainder after the taking. This statement is in compliance with 49 CFR 24.103(b) as well as IDOT's Land Acquisition Policies and Procedures Manual.
- I have not revealed the findings of this appraisal to anyone other than the Client, and that I will not do so until authorized by the Client or until I am required to do so by law, or until I am released from this obligation by having publicly testified to these findings.
- The comments by the licensed real estate appraiser contained within this appraisal report on the condition of the property do not address "standards of practice" as defined in the Home Inspector License Act [225 ILCS 441] and 68 Ill. Adm. Code 1410 and are not to be considered a home inspection or home inspection report.
- My opinion of the fair market value of the part taken and net damage to the remainder, if any, as of the effective date of this appraisal is \$114,000.00 based upon my independent appraisal and the exercise of my professional judgment.

Statements supplemental to this certification required by membership or candidacy in a professional appraisal organization, are described on an addendum to this certificate and, by reference, are made a part hereof.



Appraiser Name: Randall L. Neff, MAI, SRA
License Type: Certified General Real Estate Appraiser

Appraiser Signature
IL License # 553.001153 Expires: 09/30/2025

Comparable Sale Data

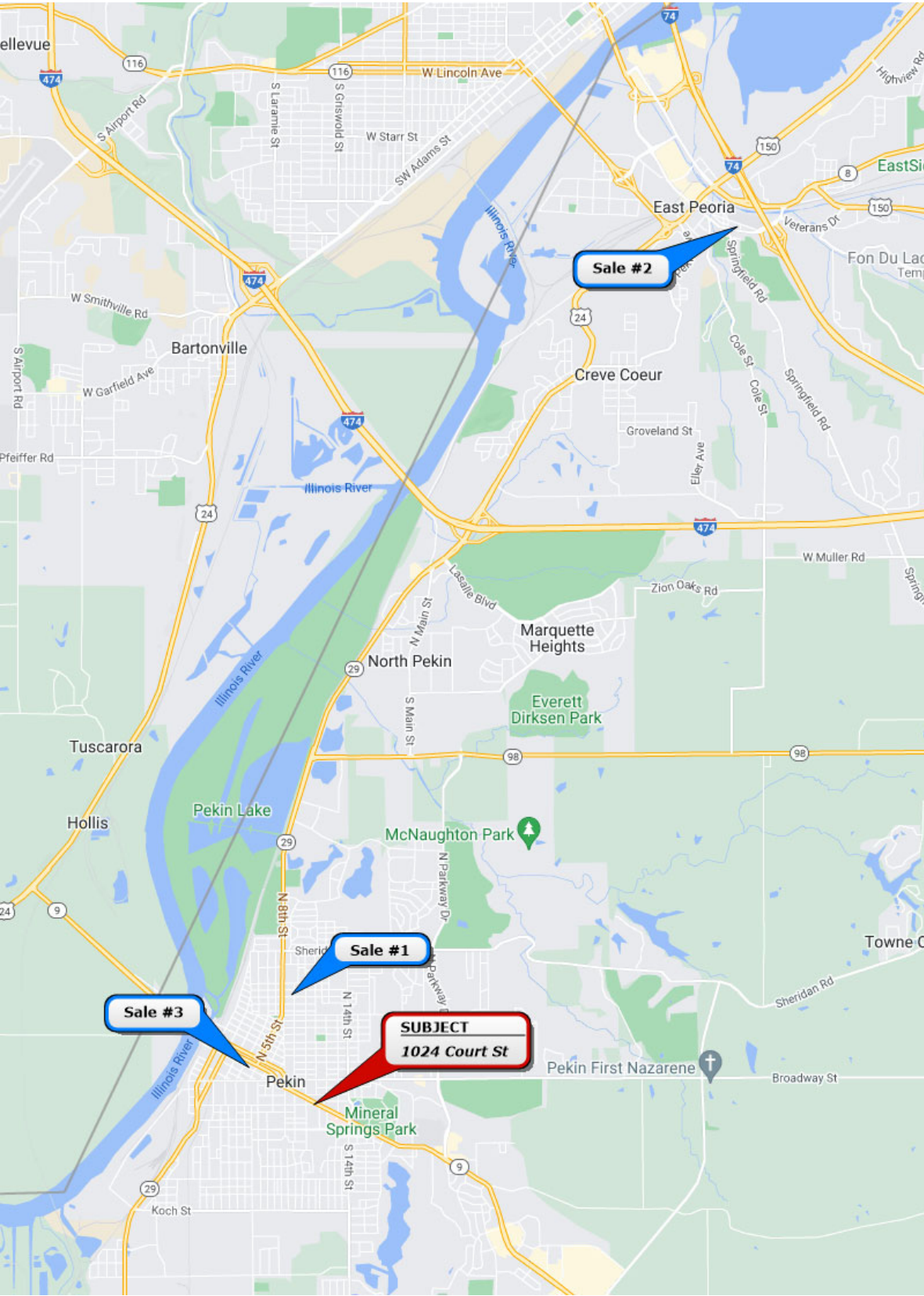
	Comparable Sale No.: 1
	Seller: Daniel W. McCloud
	Purchaser: Keith Williams
	Sale Date: July, 2023
	County: Tazewell
	Deed Type: Warranty Deed Document Number: 2023-00009032
	Verified Sale Price: \$95,000.00
	Unit Price (if applicable): \$169.64 per SF of Building Area
	Zoning: B-3 General Business District
	Highest and Best Use: As Improved
	Property Rights Conveyed: Fee Simple
	Financing: Market Financing (or Cash)
Conditions of Sale: Market / Arm's-Length	
Name of party to the transaction with whom this sale was verified: Adam Merrick of Adam Merrick Real Estate Name of appraiser(s) who verified this sale (date sale was verified): Randall L. Neff (02/2024) Name of appraiser(s) who inspected this sale (date of inspection): Randall L. Neff (03/2024) Secondary data sources used to verify this sale: Public Records, MLS Additional comments on verification process (if applicable): N/A	
Address: 800 Amanda Street PIN(s): 04-04-35-12-001 Location Description: Pekin, Illinois 61554 Legal Description: Pt of NW ¼ Sec 35 T25N R5W Sublot 9 of Sublot 1 and N 31' Lot A	
Description of the Land Area (Acres): N/A Area (SF): 3,049 Describe relevant site details below: Rectangular shaped tract of land located on the southeast corner of Eighth Street and Amanda. The site has a gently sloping topography. The following utilities and services are available to this site: Electricity ☒ Water ☒ Gas ☒ Sanitary Sewer ☒ Comments: <u>Allocation of Value (if applicable):</u> Value Allocated to Land Unit Value of Land Select Dropdown	Description of the Improvements (if applicable) Describe relevant building improvement details below: A single story vinyl sided building used as a hair salon. Gross building area is 560 square feet. Describe relevant site improvement details below: On-site parking lot <u>Allocation of Value (if applicable):</u> Value Allocated to Improvements
Use the space below to provide additional explanation of relevant details (use an additional page if necessary):	

Comparable Sale Data

	Comparable Sale No.: 2
	Seller: Two Plus Three LLC
	Purchaser: Gary Donaldson
	Sale Date: October, 2023
	County: Tazewell
	Deed Type: Warranty Deed Document Number: 2023-00013864
	Verified Sale Price: \$110,000.00
	Unit Price (if applicable): \$122.22 per SF of Building Area
	Zoning: B-2 General Business District
	Highest and Best Use: As Improved
	Property Rights Conveyed: Fee Simple
	Financing: Market Financing (or Cash)
Conditions of Sale: Market / Arm's-Length	
Name of party to the transaction with whom this sale was verified: Jessica Ball of RE/MAX Traders Unlimited Name of appraiser(s) who verified this sale (date sale was verified): Randall L. Neff (03/2024) Name of appraiser(s) who inspected this sale (date of inspection): Randall L. Neff (03/2024) Secondary data sources used to verify this sale: Public Records, MLS Additional comments on verification process (if applicable): N/A	
Address: 435 Taylor Street PIN(s): 01-01-33-323-021 Location Description: East Peoria, Illinois 61611 Legal Description: Mosiman's Subdivision North Part of East 58 feet Lot L and Lot 1A	
Description of the Land Area (Acres): N/A Area (SF): 3,546 Describe relevant site details below: The site is a rectangular shaped tract of land located on the southwest corner of Taylor and Division. The site has a level topography. The following utilities and services are available to this site: Electricity ☒ Water ☒ Gas ☒ Sanitary Sewer ☒ Comments: <u>Allocation of Value (if applicable):</u> Value Allocated to Land Unit Value of Land Select Dropdown	Description of the Improvements (if applicable) Describe relevant building improvement details below: A one story building originally constructed as a residence in 1933. The building was converted to commercial use at unknown date. The gross building area is 900 square feet. Describe relevant site improvement details below: On-site parking lot <u>Allocation of Value (if applicable):</u> Value Allocated to Improvements
Use the space below to provide additional explanation of relevant details (use an additional page if necessary): The property was purchased by an adjacent property owner. However, the property had been on the market for 140 days prior to the sale. The property previously sold in 2021 for \$107,500.	

Comparable Sale Data

	Comparable Sale No.: 3
	Seller: Todd Hasty
	Purchaser: A106 LLC
	Sale Date: August, 2023
	County: Tazewell
	Deed Type: Warranty Deed Document Number: 2023-00009883
	Verified Sale Price: \$125,000.00
	Unit Price (if applicable): \$107.48 per SF of Building Area
	Zoning: B-2 Central Business District
	Highest and Best Use: As Improved
Property Rights Conveyed: Fee Simple	
Financing: Market Financing (or Cash)	
Conditions of Sale: Market / Arm's-Length	
Name of party to the transaction with whom this sale was verified: Mary Ann Ladendorf/RE/MAX Traders Unlimited Name of appraiser(s) who verified this sale (date sale was verified): Randall L. Neff (03/2024) Name of appraiser(s) who inspected this sale (date of inspection): Randall L. Neff (03/2024) Secondary data sources used to verify this sale: Public Records, MLS Additional comments on verification process (if applicable): N/A	
Address: 429 Court Street PIN(s): 04-04-35-335-009 Location Description: Pekin, Illinois 61554 Legal Description: Original Town West 2' Lot 10 and East 13.5' of Lot 1 Block 72	
Description of the Land Area (Acres): N/A Area (SF): 1,520 Describe relevant site details below: The site is rectangular shaped and has a level topography. The following utilities and services are available to this site: Electricity ☒ Water ☒ Gas ☒ Sanitary Sewer ☒ Comments: <u>Allocation of Value (if applicable):</u> Value Allocated to Land Unit Value of Land Select Dropdown	Description of the Improvements (if applicable) Describe relevant building improvement details below: A single story storefront building built in 1930. The gross building area is 1,163 square feet. There also is a partial basement. Describe relevant site improvement details below: None <u>Allocation of Value (if applicable):</u> Value Allocated to Improvements
Use the space below to provide additional explanation of relevant details (use an additional page if necessary): The property is located in downtown Pekin.	



JOHN E. NEUMANN TRUST & CHRISTINE L. NEUMANN TRUST
94-025-21
P125
04-10-02-116-008
WARRANTY DEED

PART OF LOT 1 OF EDD'S ADDITION TO THE CITY OF PEKIN, IN SECTION 2, TOWNSHIP 24 NORTH, RANGE 5 WEST OF THE THIRD PRINCIPAL MERIDIAN, TAZEWELL COUNTY, ILLINOIS, BEING MORE PARTICULARLY DESCRIBED AS FOLLOWS:

COMMENCING AT THE NORTHWEST CORNER OF SUBLOT 3 OF EDD'S ADDITION TO THE CITY OF PEKIN, AS SHOWN IN PLAT BOOK "J", PAGE 215 IN THE TAZEWELL COUNTY RECORDER'S OFFICE; THENCE SOUTH 62 DEGREES 58 MINUTES 19 SECONDS EAST, ON THE SOUTHWESTERLY RIGHT OF WAY LINE OF COURT STREET, 104.59 FEET TO THE POINT OF BEGINNING:

FROM THE POINT OF BEGINNING, THENCE CONTINUING SOUTH 62 DEGREES 58 MINUTES 19 SECONDS EAST, ON SAID SOUTHWESTERLY RIGHT OF WAY LINE, 67.41 FEET; THENCE SOUTH 13 DEGREES 06 MINUTES 31 SECONDS WEST, ON SAID RIGHT OF WAY LINE, 13.95 FEET TO THE NORTHERLY RIGHT OF WAY LINE OF WASHINGTON STREET; THENCE SOUTH 89 DEGREES 11 MINUTES 22 SECONDS WEST, ON SAID NORTHERLY RIGHT OF WAY LINE, 81.02 FEET; THENCE NORTH 28 DEGREES 00 MINUTES 08 SECONDS EAST, 51.38 FEET TO THE POINT OF BEGINNING, CONTAINING 2280 SQUARE FEET, MORE OR LESS, OR 0.052 ACRES, MORE OR LESS.

**REQUEST FOR COUNCIL ACTION**

Agenda Date: May 13, 2024
To: Members of the City Council
From: Josie Esker, City Engineer

AGENDA ITEM: Resolution No. 134-24/25 Approving Court Street Upgrades for Ameren

DESCRIPTION: This resolution is to approve paying for aesthetic upgrades on Court Street so that the overhead power and communication lines no longer cross the road. There will still be above ground power lines on each side of the road, but all crossings will be moved underground. There are currently three power and communication providers that have above ground cables: Ameren Electric, Comcast, and Brightspeed (formerly known as Century Link and Lumen). Staff has not yet received quotes from Comcast or Brightspeed; however, a decision needs to be made for Ameren to be able to move their facilities in time for the road project. City Council chose to pay Ameren to move most of their crossings underground on the previous road project section. On the upcoming section, Ameren's upgrade costs are approximately \$400,000.00

Pekin Court Street Crossings		
WR#	Job Address	Estimated Price \$
IA70339	1500 Court Street	18,460.12
IA70346	600 Court Street	75,951.58
IA70350	1215 Court Street	18,159.54
IA70962	1112 Court Street	16,166.37
IA70974	1102 Court Street	10,302.88
IA70977	1029 Court Street	34,297.84
IA70983	1012 Court Sreet	46,977.90
IA70987	1024 Court Street	8,515.12

IA70992	901 Court Street	67,680.19
IA81301 (eliminates 4 crossings)	1808 Court Street	92,747.19
	Total	389,258.73
FINANCIAL IMPACT: Requested Amount: \$400,000 Line Item: 277-277-584009 Budgeted Amount: \$6,923,110 Remaining Funds: \$6,923,110 Notes:		
REVIEWED BY:		
Dean Schneider, Public Works Director Katherine Swise, City Attorney Robert Grogan, Finance Consultant John Dossey, City Manager Sue McMillan, City Clerk		Approved - 5/7/2024 Approved - 5/7/2024 Approved - 5/8/2024 Approved - 5/10/2024 Final Approval - 5/10/2024

Resolution No. 134-24/25 Approving Court Street Upgrades for Ameren

WHEREAS, the City of Pekin has a road rehabilitation project planned for 2025 on Court Street from 8th Street to Stadium Drive; and

WHEREAS, utility poles need to be relocated as part of this project to accommodate widening sidewalks through this corridor; and

WHEREAS, there are existing power lines and communication lines crossing Court Street at numerous locations; and

WHEREAS, the City has the option of having the utility companies move their aerial road crossings underground; and

WHEREAS, the City Council finds it is in the best interest of the City to upgrade all aerial power line crossings to underground crossings to improve aesthetics.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF PEKIN, TAZEWELL COUNTY, ILLINOIS, THAT:

Section 1. The foregoing findings and recitals are found to be true and correct and are incorporated herein.

Section 2. Payment to Ameren Electric for costs related to relocating aerial utility lines crossing Court Street underground, as depicted on Exhibit A attached hereto, is approved in an amount not to exceed \$400,000. The City Engineer is authorized and directed to enter into agreements with Ameren Electric for the relocation of aerial lines as approved herein.

RESULT: (0 TO 0)

MOVER: None

SECONDER: None

AYES: None

NAYS: None

ABSTAIN: None

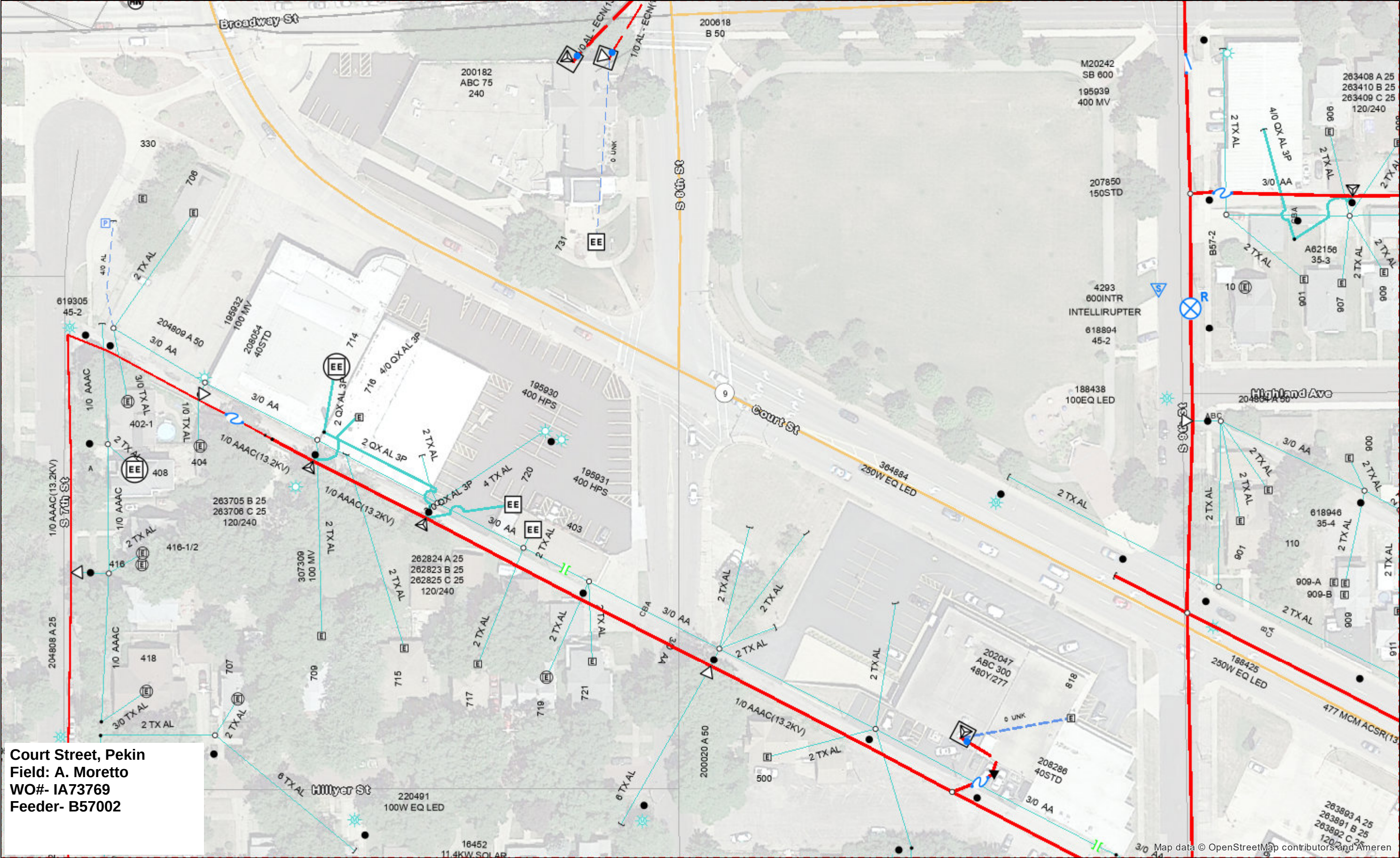
ABSENT:

ADOPTED AND APPROVED at a Regular meeting of the City Council of the City of Pekin this _____ day of _____, 20____.

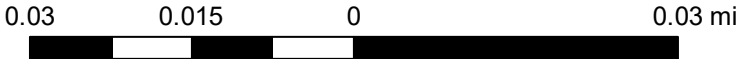
Mayor

ATTEST:

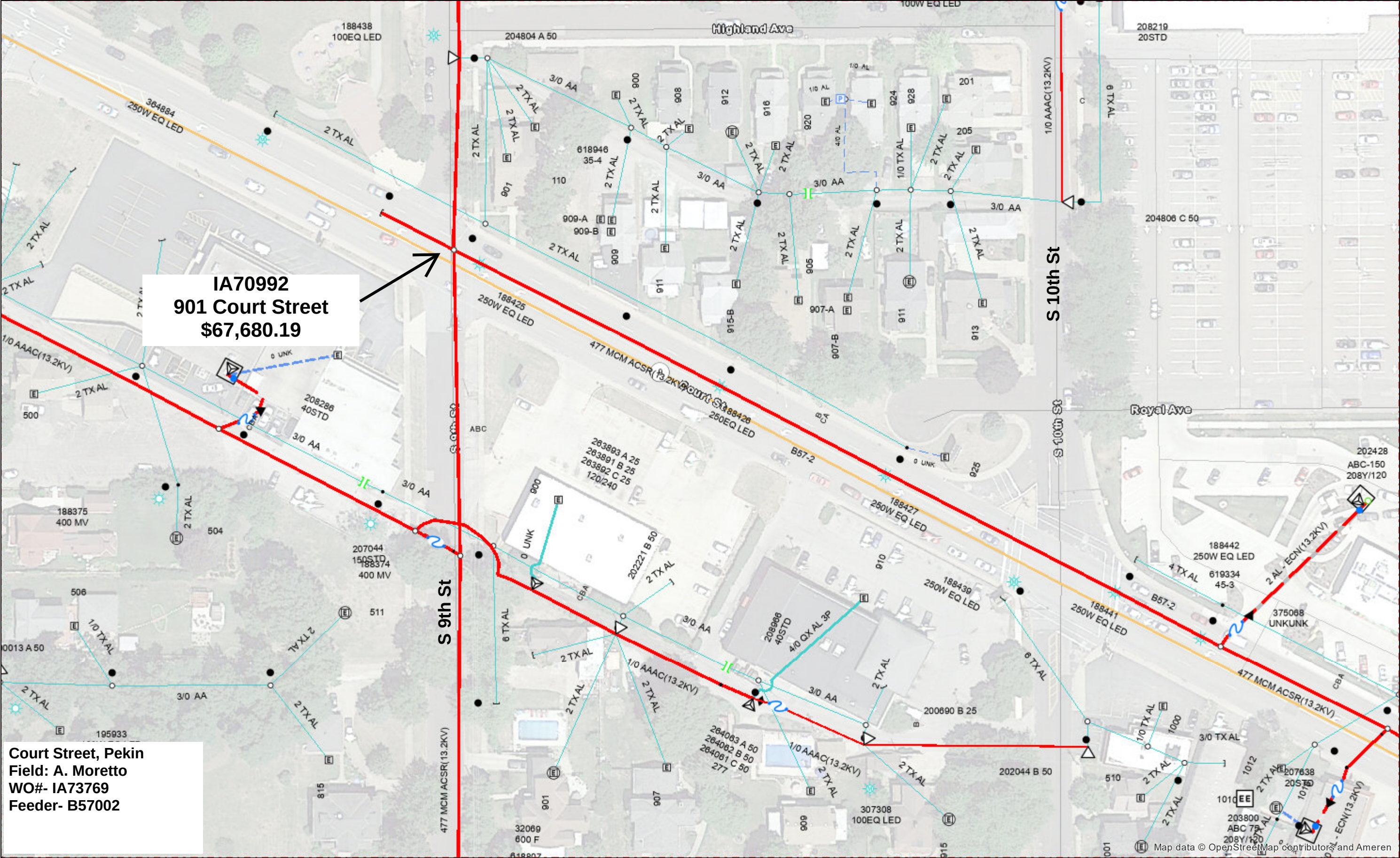
City Clerk

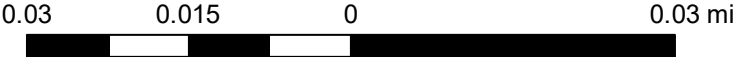
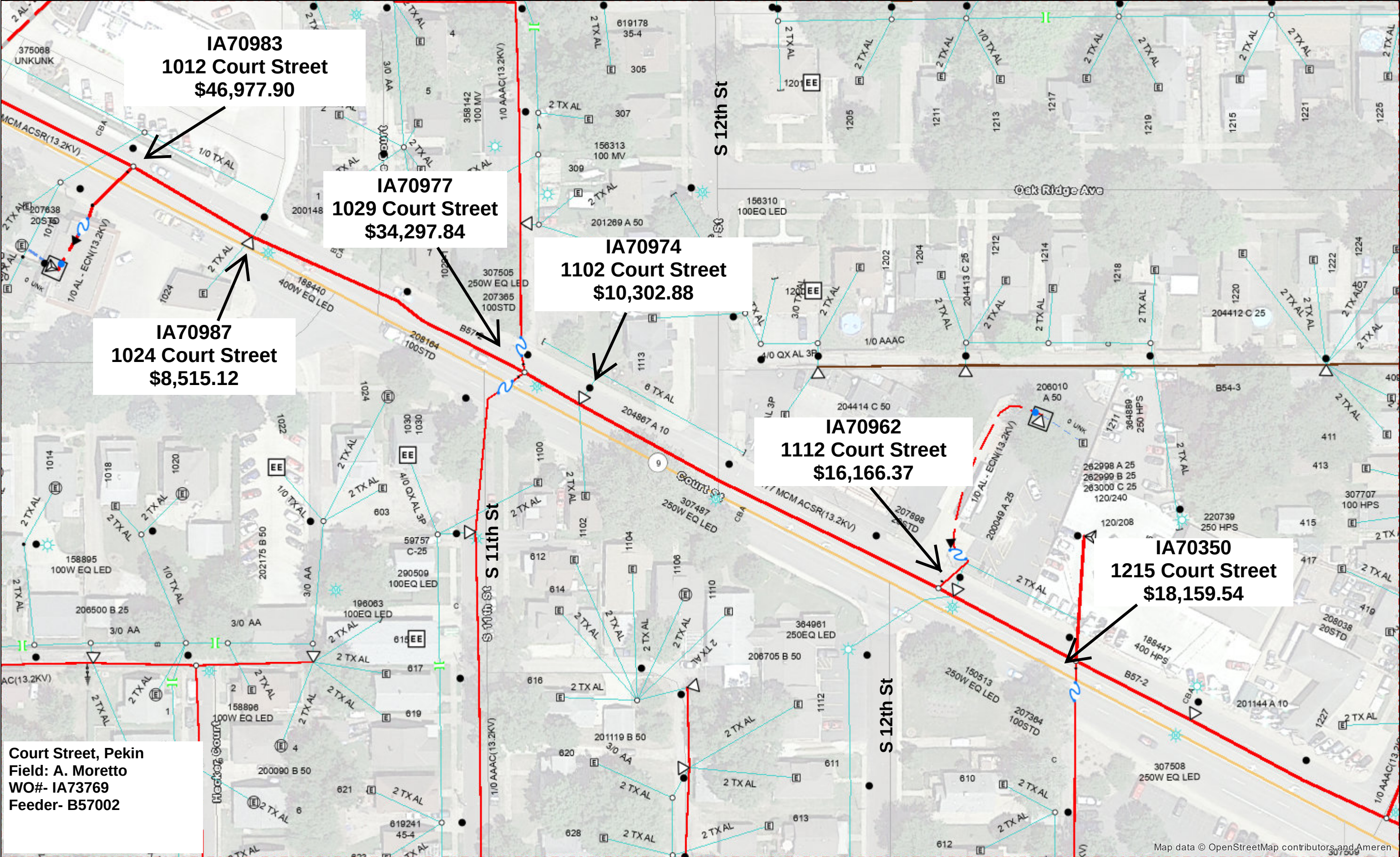


Court Street, Pekin
Field: A. Moretto
WO#- IA73769
Feeder- B57002

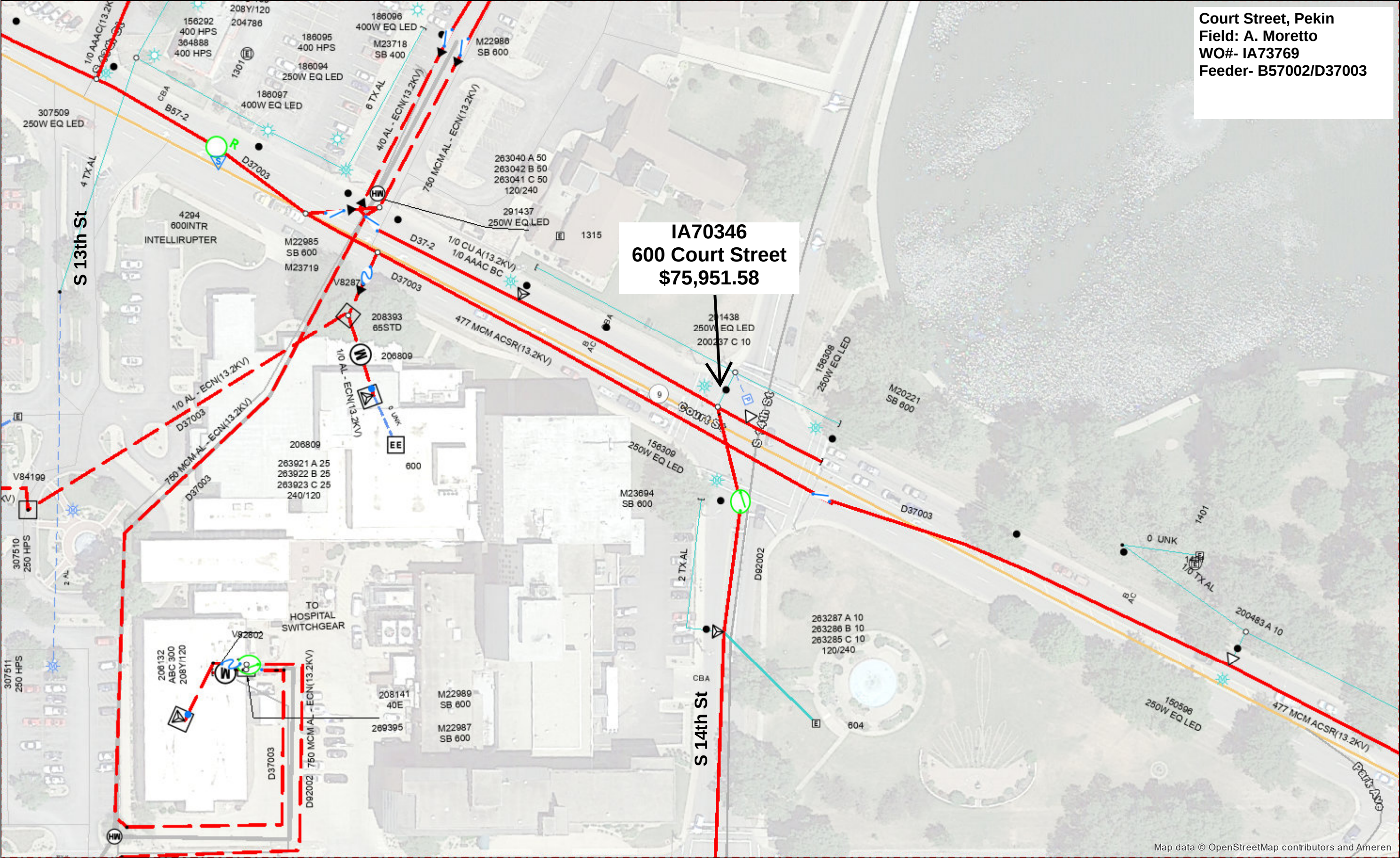


1 inch = 94.04 feet





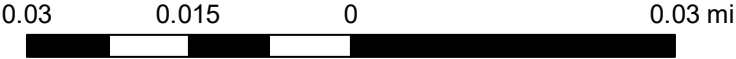
1 inch = 94.04 feet



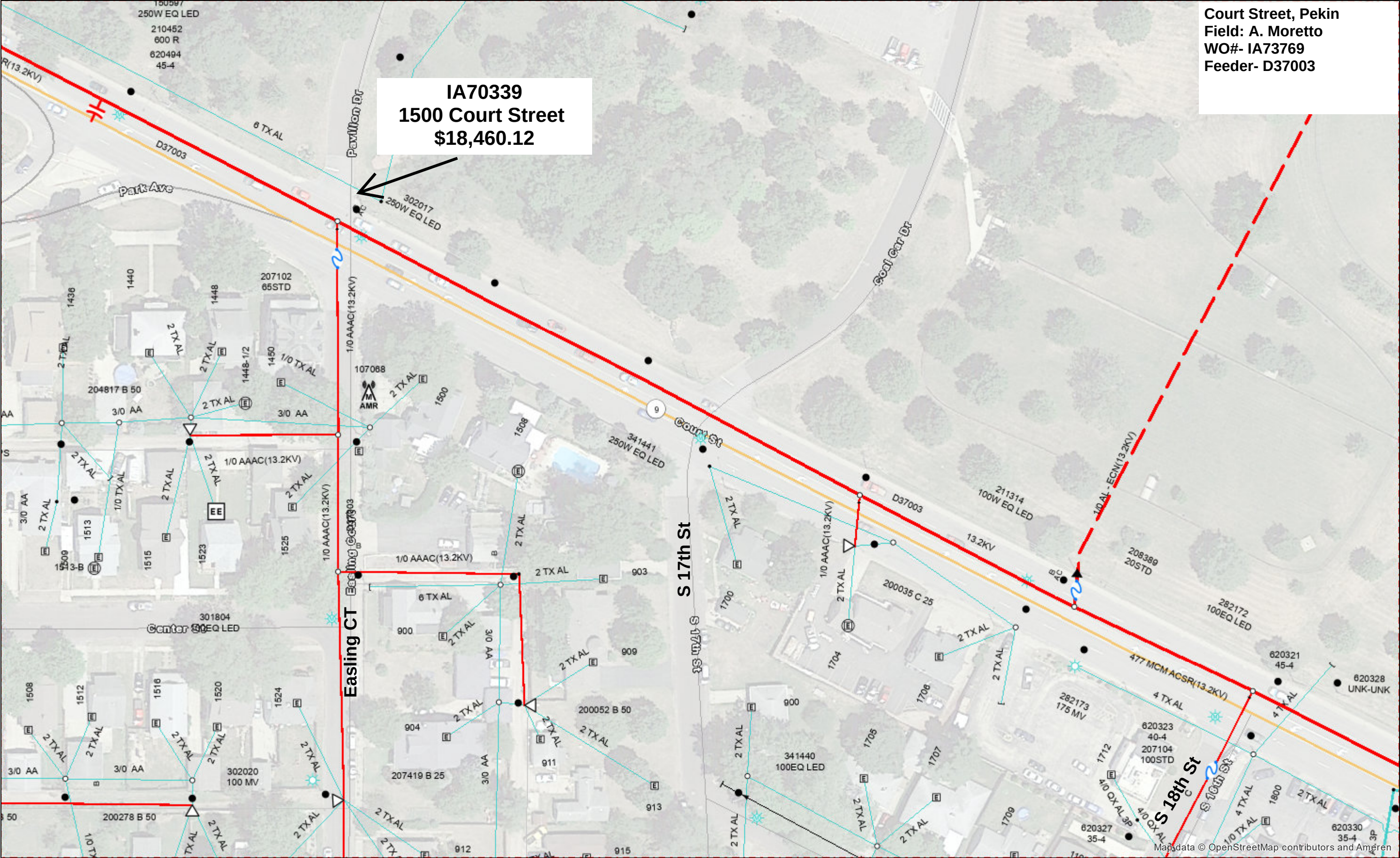
Court Street, Pekin
Field: A. Moretto
WO#- IA73769
Feeder- B57002/D37003

IA70346
600 Court Street
\$75,951.58

Map data © OpenStreetMap contributors and Ameren



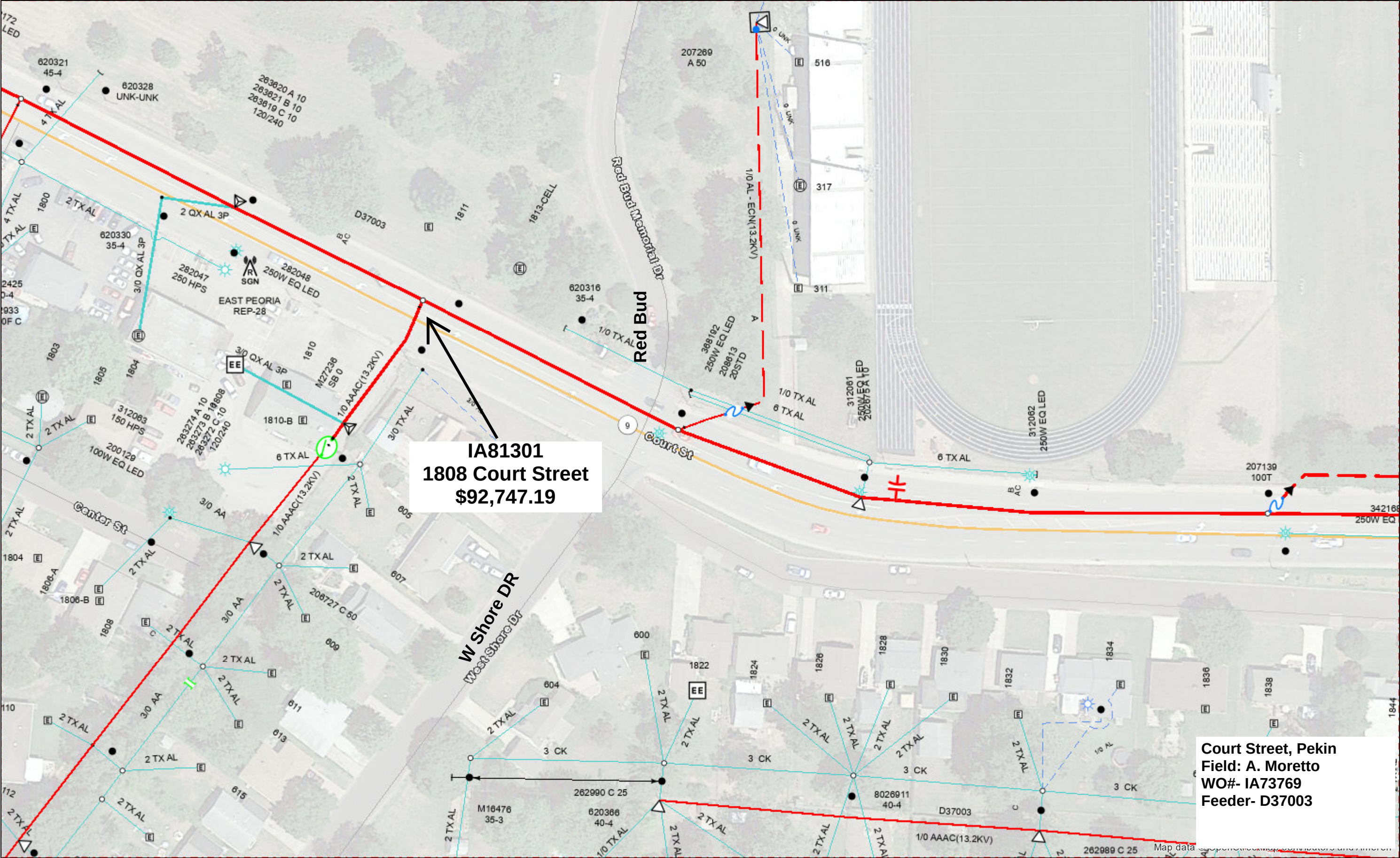
1 inch = 94.04 feet



Court Street, Pekin
Field: A. Moretto
WO#- IA73769
Feeder- D37003

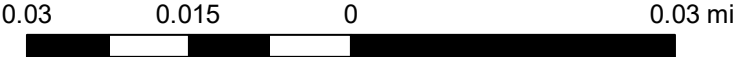
IA70339
1500 Court Street
\$18,460.12

Mapdata © OpenStreetMap contributors and Ameren

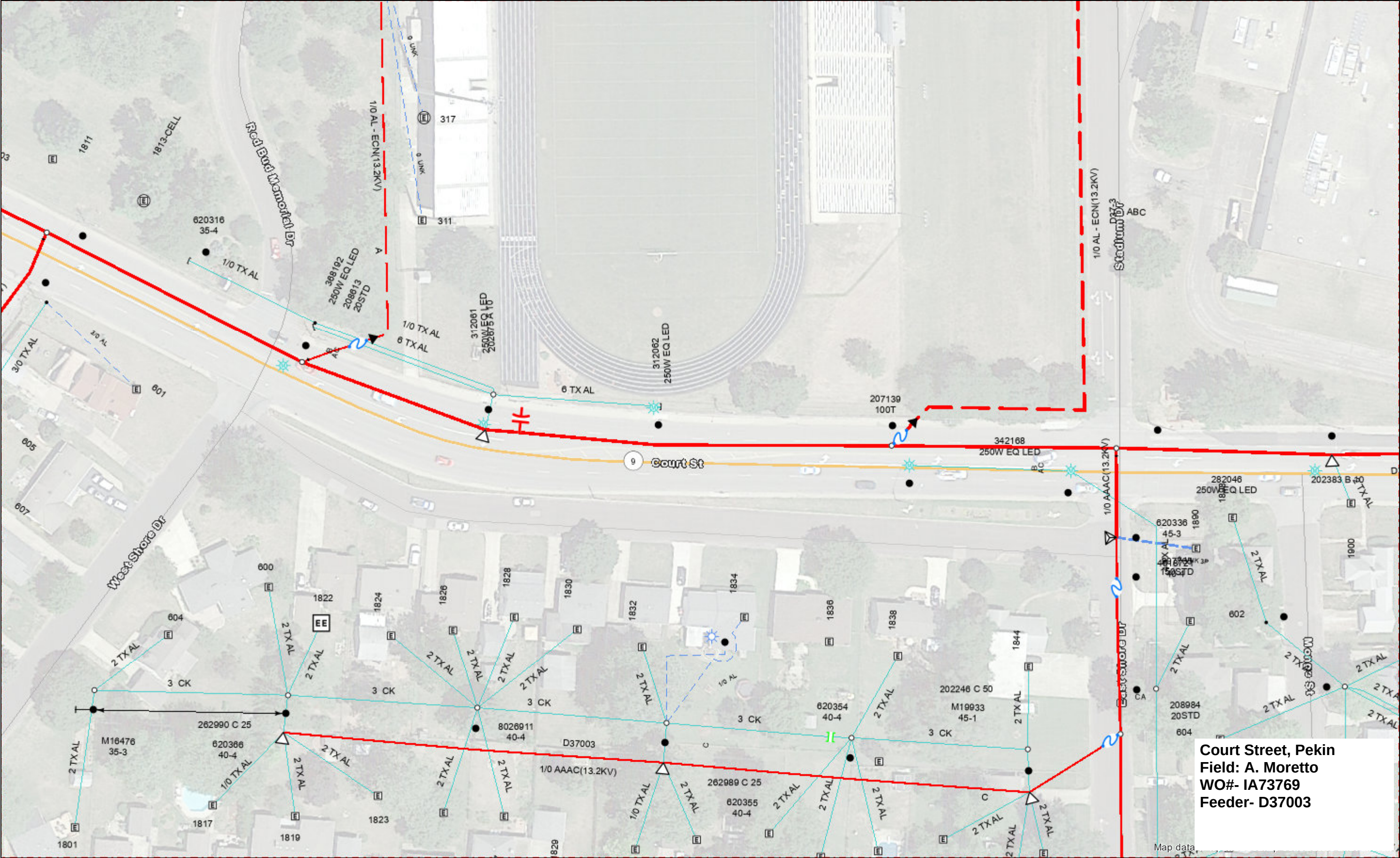


IA81301
1808 Court Street
\$92,747.19

Court Street, Pekin
Field: A. Moretto
WO#- IA73769
Feeder- D37003



1 inch = 94.04 feet



**REQUEST FOR COUNCIL ACTION**

Agenda Date: May 13, 2024
To: Members of the City Council
From: Trent Reeise, Fire Chief
 Tony Rendleman, Deputy Fire Chief

AGENDA ITEM: Resolution No. 135-24/25 Approving contract with Fire Truck Unlimited for refurbishment of 2000 Pierce Tower/Ladder

DESCRIPTION: The Pekin Fire Department is seeking approval for a down payment for refurbishment of an aerial apparatus. Fire Trucks Unlimited is a vendor that works solely on fire and emergency service vehicles, providing comprehensive refurbishment services. The contract for refurbishment is a sole source contract using Sourcewell pricing as these services are very unique and Fire Trucks Unlimited is the only vendor known to provide all-encompassing services of this nature.

The refurbishment process includes upgrading, repairing, and refinishing components of fire apparatus to transform it into a like-new condition. Fire Trucks Unlimited expects a full second life for an apparatus after refurbishment. The proposed work is quoted at \$727,000. Due to the extensive nature of the work, staff will be requesting spending authority up to \$800,000 to account for any unknown issues found in the process. The expected completion time of work is approximately 6 months after work begins.

A previous Deposit of \$10,000 has already been paid in FY24 to reserve the City's place in line after it was approved by the City Council. Fire Trucks Unlimited is expecting to schedule our apparatus work beginning September 2024.

Staff recommends approval.

FINANCIAL IMPACT:

Requested Amount: \$800,000
 Line Item: 100-034-587000
 Budgeted Amount: \$1,000,000
 Remaining Funds: \$1,000,000 (prior to this approval)
 Notes:

REVIEWED BY:

Trent Reeise, Fire Chief
 Tony Rendleman, Deputy Fire Chief
 Katherine Swise, City Attorney
 Robert Grogan, Finance Consultant
 John Dossey, City Manager
 Sue McMillan, City Clerk

Approved - 5/6/2024
 Approved - 5/8/2024
 Approved - 5/8/2024
 Approved - 5/9/2024
 Approved - 5/10/2024
 Final Approval - 5/10/2024

**Resolution No. 135-24/25 Approving contract with Fire Truck Unlimited for refurbishment of 2000
Pierce Tower/Ladder**

WHEREAS, the Pekin Fire Department has a 2000 Pierce 100' tower ladder fire apparatus that is 24 years old; and

WHEREAS, the Department has identified that this apparatus is in need of mechanical and physical repairs due to age and normal use; and

WHEREAS, the refurbishment of this apparatus has been identified as the most cost-effective means to ensure this asset can safely remain in service for 10-15 additional years; and

WHEREAS, the refurbishment project will be a sole source contract using Sourcewell consortium pricing, as these services are unique and Fire Trucks Unlimited is the only vendor known to provide comprehensive fire apparatus refurbishment; and

WHEREAS, Fire Trucks Unlimited has prepared a contract for refurbishment of the 2000 Pierce tower ladder in the amount of \$726,965; and

WHEREAS, the Pekin Fire Department is seeking spending authority of up to \$800,000 to address any additional refurbishment needs which may be identified that were not identified in the original quote; and

WHEREAS, the City Council finds that the refurbishment project is in the best interest of the City and its residents to extend the service life of the apparatus.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF PEKIN, TAZEWELL COUNTY, ILLINOIS, THAT:

Section 1. The foregoing findings and recitals are found to be true and correct and are incorporated herein.

Section 2. The Refurbishment Proposal submitted by Firetrucks Unlimited and attached hereto as Exhibit A is accepted and approved. Staff is authorized to expend up to \$800,000 to secure the refurbishment services set forth in the Proposal and any additional refurbishment needs that may be identified during the refurbishment process.

Section 3. The Mayor is authorized to execute, and the City Clerk is directed to attest, a contract with Firetrucks Unlimited consistent with the terms of the Refurbishment Proposal.

RESULT:	(0 TO 0)
MOVER:	None
SECONDER:	None
AYES:	None
NAYS:	None

ABSTAIN: None ABSENT:
--

ADOPTED AND APPROVED at a Regular meeting of the City Council of the City of Pekin this _____ day of _____, 20____.

Mayor

ATTEST:

City Clerk



THE REFURB LEADER!

Unit 28090 (T4) - 2000 Pierce Dash 100' Platform Aerial
Refurbishment Proposal

January 9, 2024

Prepared For:

Pekin Fire Department - IL
3232 Court St
Pekin, Illinois 61554

Prepared By:

FIRETRUCKS UNLIMITED
1175 Center Point Dr.
Henderson, NV 89074
(702) 558-3352
FiretrucksUnlimited.com

DOUBLE THE SERVICE LIFETIME OF YOUR FIRE TRUCK FOR HALF THE PRICE OF BUYING NEW (OR LESS)!

Is your department operating on a limited budget? Do the high prices of new trucks make it hard to get everything you need to keep up with the demand? If so, we're here to help! Our refurbishment services have helped fire departments all over the world get more of the equipment they need while saving millions of dollars. We invite you to learn how high-quality refurbished equipment will help your department achieve its public safety mission and save you a ton of money!

WHAT IS FIRE TRUCK REFURBISHMENT?

Fire truck refurbishment is a proven fleet maintenance and replacement strategy that significantly lowers your department's overall fleet costs. The refurbishment process includes upgrading, repairing, and refinishing components of your truck to transform it into a like new condition. Upon completion, your certified refurbished truck will look and feel just like new and will be ready for another lifetime of front-line service!

HOW IT WORKS

Assessment: We provide a free assessment of your fleet and work with you to determine which trucks are good candidates for refurbishment. If your fleet doesn't have any good candidates, we offer certified used fire trucks from our inventory that are ready for refurbishment.

Choose a Refurb Package: Choose from three refurb packages: Core, Silver or Gold. You may customize any package to meet the needs of your department.

Refurbishment: Your truck will be transported to our facility where certified EVT's will perform the refurbishment work. Rigorous quality control checks are performed at each station to ensure exceptional quality. Your Project Coordinator will be in constant contact to keep you current with the progress of your refurbishment.

Final Inspection & Delivery: Your team will visit our facility near Las Vegas to inspect the finished truck. A travel allowance may be added to your proposal upon request. We review the project scope together to ensure you are fully satisfied with the work performed before your truck returns to service. We have a network of transportation partners who can assist with the pickup and delivery of your apparatus which can be quoted separately.

Ongoing Support: You can count on Firetrucks Unlimited for support before, during and after the project. Every refurbishment comes with a one-year warranty and any issues that may arise will be immediately addressed by our support team.

WHY CHOOSE FIRETRUCKS UNLIMITED?



Best Price Guarantee

You get the most value for your money when you refurbish with Firetrucks Unlimited. We give you the best price upfront and stick to it. We guarantee that our pricing will always be better than the OEMs.

Most Refurbishment Experience

Experience matters when it comes to your trucks! Our master EVT technicians have refurbished hundreds of trucks for fire departments all over the world, more than any other company!

Specialized Refurbishment Facilities

Our 50,000 sq. ft. facilities are specially designed for fire truck refurbishment which results in higher quality, faster turnaround, and lower costs for our customers.

Fastest Turnaround Times

You need your trucks in service, we get that! We lead the industry with the fastest turnaround times and are ready to take on your project. If you need a rental during the refurbishment process we have many units available to choose from.

Top Equipment Brands

We're partnered with all the industry-leading equipment brands. The equipment on your refurbished truck will be the same as a new truck you buy from an OEM.

Best Warranty

You can count on Firetrucks Unlimited to support you before, during, and after the project. Your refurbished truck comes with a one-year warranty on all items in the statement of work. In addition, all warranties offered by the manufacturers of new components are extended to you. Our nationwide service capabilities ensure any warranty claims you may have will be addressed asap.

WHAT CUSTOMERS ARE SAYING

Glen Smith

Pflugerville Fire Department

"We were looking for an economical way to refresh our fleet when we heard about Firetrucks Unlimited. After speaking with their representatives and visiting with another Fire Department in our area that had trucks refurbished by FTU, we decided to move forward on two trucks. Once we visited the factory and interacted with their team our confidence grew. There we found a group of professionals dedicated to restoring trucks to a "like new" condition. We were pleased with the process and impressed with the final product - a refurbished pumper at less than half the cost of a new one. The Firetrucks Unlimited team is a first-class organization. Their customer service, operations and service personnel are a pleasure to work with and extremely responsive to our requests and needs."

Larry Hoffman

Whatcom County Fire District No. 7

"We are extremely pleased with FTU and the job they did to completely reconfigure our 1998 Pierce Dash pumpers. The workmanship is outstanding, and the experience was top notch...The entire experience was great from the initial meeting, and bidding process to the work on all 3 units. FTU has been gracious and worked alongside us every step of the way to extend the life of our equipment."

Mark Dillon

Coolidge Fire Department

"Their sales staff and production staff are second to none. Excellent work...great communication and reasonable prices. The truck we purchased was in rough shape, but it came out looking like and operating like a new delivery vehicle."

Charles Shill

Pelham Batesville Fire Department

"The perfect solution to save money for the taxpayer and at the same time provide nice equipment for our firefighters. This fire engine has always been one of the favorite trucks for our guys to drive. Even when new fire engines were purchased, they all fell short when compared to this older truck. Firetrucks Unlimited gave us the option to have this unit refurbished and continue to serve the community and firefighters for a long time to come... The unit came out BETTER than we had imagined that it was going to be. The attention to detail and quality of the craftsmanship by the employees shows in the final product. We are looking at sending another unit to them soon."

Bret F. Scholz

Fort Mojave Mesa Fire Department

"Firetrucks Unlimited is redefining the emergency apparatus refurbishment industry. Their industry-leading experience, knowledge, workmanship, and customer service is why I chose Firetrucks Unlimited.

I was so pleased with their quality of work and their outstanding customer service I chose their services again, to refurbish our ladder truck.

If you want your emergency apparatus refurbished right, call Firetrucks Unlimited."

Darren Williams

Compton Fire Department

"It was a pleasure working with the team at Fire Trucks Unlimited. They were very professional and friendly and there was a great family atmosphere. They did a great job for us on a Pierce Ladder Truck. Thank you again for your professionalism."

Ted Martin

Mohave Valley Fire Department

"The most comprehensive fire truck refurbishment company in North America!"

Trevor Stokes

Travis County Emergency Services District #2

"FTU Sets a high standard in almost all aspects of the refurbishment process, from communicating expectations after meeting with clients to delivering on the expectations and resolving issues that may arise. FTU exceeds the standard found throughout the public safety sector."

Eric Staten

Unified Fire Authority

"Firetrucks Unlimited has exceeded our expectations!"

Shawn Murphy

Buckeye Valley Fire District

"Firetrucks Unlimited did a great job on refurbishing a used truck we purchased, we saved 300k over purchasing a new truck and we were able to get a lot more upgrades than if we purchased new! The staff and mechanics are great. Everything on the truck works flawlessly. Thanks Again!"

Contract Item	Description
01.05 Level II Refurbishment	The fire apparatus shall be refurbished to the Level II standards of the NFPA 1912 Standard for Fire Apparatus Refurbishing Current Edition. VIN: 4P1CT02W0YA000647 (Customer Unit Number: T4)
01.10 Break Down Apparatus	The apparatus shall be disassembled including removal of all bolt-on items, interiors, and major components as necessary for the below statement of work.
01.15 Incoming Inspection	Upon arrival, the apparatus shall be inspected, road tested, and pumped (if applicable) per the NFPA 1911 Standard for Inspection, Maintenance, and Testing of In-Service Automotive Fire Apparatus. An inspection checklist shall be completed and system/component deficiencies identified which are not scheduled to be repaired/replaced shall be communicated to the Purchaser. As part of the incoming inspection, the apparatus shall be weighed (both axles). Upon completion of the refurbishment, the apparatus shall be weighed again (both axles). The refurbished apparatus will not exceed the GAWR & GCWR, or GVWR of the chassis when carrying the estimated in-service weight of the unequipped apparatus as defined in NFPA 1912 Section 4.8.2.
01.20 Shipping	The Purchaser shall deliver the apparatus to Firetrucks Unlimited. Upon completion of the refurbishment, the Purchaser shall be responsible for pickup of the apparatus. A freight quote can be provided upon request.
02.01 Frame Rails	The frame shall be inspected. Broken bolts, loose bolts, or other deficiencies will be addressed.
03.05 Universal Joints & Carrier Bearings (2X4)	The drive shafts shall be removed and the universal joints and carrier bearings shall be replaced with new. The new components shall meet OEM recommendations. The drive shafts shall be re-balanced and re-installed.
04.07 Caterpillar Engine	The CAT C12 series engine shall be overhauled to include the removal and replacement of the engine, rods, mains, cylinder packs, injectors, starter, air compressor, new sensors, sending units, hoses, belts, and water pump. Engine: Caterpillar C12 - 12.0 Liter, 455 hp and 2,100 lbs. of torque
04.20 Engine Insulation	The engine insulation shall be completely removed and replaced with new, heavy-duty aluminized insulation. The insulation shall be installed using a high-heat adhesive and aluminum tape shall be used to seal the seams.
04.15 Air Intake System	The air intake filter shall be replaced with a new one meeting OEM specifications.
05.10 Coolant Lines	All coolant lines shall be replaced with new heavy-duty silicone hose. All clamps shall be replaced.

05.15 Radiator & Charge Air Cooler Overhaul	The radiator shall be completely removed and re-cored. The radiator shall be reassembled with new hardware, sight glass, filler neck, drain plug, and gaskets. The charge air cooler shall be cleaned and pressure tested. The charge air cooler shall be re-installed using new silicone hoses. All clamps shall be replaced.
06.10 Oil, Fluids & Filters	All chassis fluid shall be replaced including engine oil, transmission fluid, radiator coolant, gear oil, power steering fluid, cab lift fluid, transfer case oil, and window washer fluid. Any associated filters will be replaced.
07.05 Fuel System Components	The fuel pump, filter, fill hose, and supply lines shall be replaced with new ones meeting OEM specifications. Fuel level sending units shall be replaced with new.
07.10 Fuel Tank Overhaul	The fuel tank shall be removed and inspected for damage and corrosion. The fuel tank shall be cleaned and reconditioned. The fuel tank straps shall be replaced.
07.20 Air Governor	The air governor shall be replaced with a new air governor meeting OEM specifications.
07.25 Air Dryer	The air dryer shall be replaced with a remanufactured air dryer meeting OEM specification.
08.05 Replace Muffler, Wrap, Flex, and Tip	The muffler shall be replaced with a new muffler meeting OEM specification. The exhaust wrap shall be replaced with new high-temperature insulation wrap. Damaged flex plumbing shall be replaced with new and clamps shall be replaced with new. The exhaust tip shall be replaced.
09.05 Front Axle	The front axle shall be removed and inspected for wear. The bearings shall be replaced. New wheel seals shall be installed.
09.20 Rear Axle	The rear tandem axles shall be removed and inspected for wear. The rear end shall be disassembled and inspected including the third member. Tolerances shall be reset. A new pinion seal and wheel seals shall be installed. The bearings shall be replaced. Firetrucks Unlimited shall notify the Purchaser in writing of any problems discovered during the inspection.
10.05 Brake Chambers, Shoes, Drums, Slack Adjusters	The front and rear brakes shall be replaced to include brake chambers, shoes, drums, slack adjusters, and ABS wheel sensors. The components shall meet OEM specifications. 2 Axles - Drum Brakes Only
11.05 Springs, Pins, Bushings, U Bolts	The front and rear spring suspensions shall be replaced with new components meeting OEM specifications including GVWR, GCWR, and GAWR ratings. Replaced components include springs, pins, bushings, and U bolts.
11.10 Shocks	The front shocks shall be replaced with new meeting OEM specifications.

11.25 Mud Flaps	The mud flaps shall be replaced with new heavy-duty rubber mud flaps with Firetrucks Unlimited logos.
12.05 Tie Rod Ends	The tie rod ends shall be replaced with new meeting OEM specifications.
12.10 Drag Link	The drag link shall be replaced with new meeting OEM specifications.
12.20 Steering Gear Box	Both steering gearboxes shall be replaced with a remanufactured gearbox meeting OEM specification. The box shall be refilled with fluid and steering tolerances shall be reset. Quantity: 2 Steering Boxes total
13.20 Transmission 4000 Series Remanufactured	The transmission shall be removed and replaced with a remanufactured transmission of like make and model. Transmission: Allison HD4060PR
14.05 Alternator	The alternator shall be replaced with a new one meeting OEM specifications.
14.06 Batteries	All batteries shall be replaced. The new batteries will be Group 31 & will have new Battery Links will be installed. Qty. 6
14.07 Kussmaul Battery Charger	A new Kussmaul 40-amp Auto battery charger shall be installed. A new remote display will be installed in a location specified by the Purchaser.
14.08 Kussmaul Auto Eject	A new Kussmaul 120-volt 20-amp Super Auto Eject shall be installed in a location to be determined. The cover shall be red unless otherwise specified by the Purchaser.
14.10 Heavy Gauge Battery Wiring	The wiring from the batteries to the starter shall be replaced with new meeting OEM specifications.
14.11 Chassis Wiring Harness	The chassis wiring harness shall be inspected including the condition of the wires, connectors, relays, fuses and/or circuit breakers and related components. The chassis wiring system shall be repaired including chafing, shorts, loom, frayed wires, bad connections, and faulty components.
14.12 Body Wiring Harness	The body wiring harness shall be inspected to include the condition of the wires, connectors, relays, fuses and/or circuit breakers and related components. The body wiring system shall be repaired including chafing, shorts, bad connections, and faulty components.
14.14 Warning Lights - Zone A (Whelen Hundred Series)	The existing light bars shall be replaced with two (2) new 21.5" Whelen Mini Freedom IV Series light bars. The light bar shall be populated with red flashing LED modules unless otherwise specified by the Purchaser. The lenses shall be clear. The forward-facing emergency light on the basket will be replaced with two (2) Whelen 600 Series LED light head(s), one on each side. The LED color

	and lens color shall be red and be mounted in a chrome bezel. The lower forward-facing emergency lights shall be replaced with two (2) Whelen 600 Series LED light head(s), one on each side. The LED color and lens color shall be red unless otherwise specified by the Purchaser.
14.16 Emitter	A new GTT Opticom emitter shall be installed, in the best location on the front of the cab. The emitter shall be activated by the warning switch when the vehicle has the parking brake released.
14.18 Warning Lights - Zone B & D (Whelen Hundred Series)	The Mid and Lower Zone B/D side-facing emergency lights shall be replaced with ten (10) Whelen 600 Series LED light head(s), five on each side. The LED color and lens color shall be red. The light heads shall be mounted in a chrome bezel.
14.20 Warning Lights - Zone C (Whelen Hundred Series)	The rear upper warning lights shall be replaced with two (2) Whelen L31 Series Super-LED Beacon(s) one on each side. The LED color and lens color shall be red. The light head shall be mounted on compartment tops or on a pedestal. The rear-facing emergency lights shall be replaced with two (2) Whelen 900 Series LED light head(s). The LED color and lens color shall be red. The light heads shall be mounted either in a common 4-pack chrome bezel with the rear brake turn and tail lights or in a separate chrome bezel.
14.22 Traffic Advisor	A new Whelen 6 lamp 5mm LED Low Profile traffic advisor shall be installed. The traffic advisor shall be either surface or recess-mounted. The controller shall be mounted in the cab and accessible to the driver.
14.23 Clearance/Marker Lighting	The clearance/marker lighting shall be replaced with new LED light heads similar in shape and size to the original clearance lighting.
14.24 Brake, Reverse & Turn Signals (Whelen Hundred Series)	The brake, reverse and turn signal lighting shall be replaced with new Whelen 600 Series LED Brake/Tail, Turn and Backup light heads. The front turn signal shall be mounted in the existing configuration with a common bezel for the forward-facing emergency lights, or in a separate chrome bezel. The rear facing brake turn and tail lights shall be mounted in the existing configuration in either 4 pack, 3 pack, or separate chrome bezels.
14.25 Reflectors	The red and amber reflectors shall be replaced with new meeting DOT standards.
14.28 Ground Lighting	New white LED constant-duty light heads shall be installed under the apparatus in stepping areas. The lights shall be mounted in an angled bracket below the cab doors, below the pump panels (if applicable), below the rear of the body on the driver's and passenger's sides, and below the rear of the apparatus. Quantity: 10
14.29 Compartment Lighting - Strip Lighting	All compartments shall have LED strip lighting installed. Compartment lighting shall be controlled in a similar fashion to the OEM specification.

14.30 Step Lighting	The cab & body step lights shall be replaced with new LED light heads of similar shape and size to the original lighting.
14.31 Rear Work Lighting	The rear-facing work lighting on the body shall be upgraded to LED work lights. Quantity: 2
14.32 Interior Lighting	The cab interior lighting shall be replaced with new Weldon Dual LED Red/White dome lights with a gray bezel. Quantity: 4
14.33 Air Horn	The air horns shall be removed and rebuilt with new seal kits. The air horns shall be polished and re-installed.
14.36 Back Up Alarm	A new backup alarm shall be installed on the apparatus to be activated when the apparatus is put into reverse. ECCO 87dB backup alarm will be used unless otherwise specified by the Purchaser.
14.38 Scene Lighting - 12 volt Pedestal Light(s)	The pedestal-mounted scene light(s) above the pump house shall be replaced with new FRC 12 Volt Evolution LED pedestal light(s) unless otherwise specified by the Purchaser. The light heads shall be 20,000 lumens each. Quantity 2
14.40 Scene Lighting - 12 volt Surface Mount	The surface-mounted scene lighting on the cab and the rear of the apparatus shall be replaced with Whelen 900 Series Opti-Scenelight Super-LED Scene Light(s) and new chrome bezels. Quantity: 4
14.43 Headlights	The headlights shall be replaced with new Firetech HiViz LED Headlights w/Hi and Low beams.
15.20 Receptacles	The exterior receptacle(s) at the generator shall be replaced with new of similar style type and amperage. Qty. 6
15.25 Cord Reel	The electric cord reel and cord shall be cleaned, lubed, and re-installed. If applicable, the gang box shall be repaired to include inoperable plugs and indicator lights. The Purchaser shall be notified if the cord reel requires repair.
16.01 Communication System - Inspect & Advise	The existing communications system shall be tested for proper operation. If the communications system is not functioning correctly, Firetrucks Unlimited shall notify the purchaser in writing of any problems discovered during the inspection.
17.09 Replace Switches	Rocker-style switches in the cab will be replaced.
17.10 Windshield	The windshields shall be removed and replaced with new meeting OEM specifications. The rubber gaskets shall be replaced.
17.11 Windshield Washing System	The windshield washing system shall be overhauled to include new blades, new hoses, nozzles (if necessary), and fluid.

17.19 Cab Door Seals	The cab door seals shall be replaced with new OEM door seals. The doors shall be adjusted for proper fit after new seals are installed.
17.25 Cab Plastic - Inspect & Advise	The cab plastic shall be inspected for minor cracks and damage. The minor cracks that are found, will be repaired. If any of the plastic paneling is beyond repair, the Purchaser shall be notified in writing. Any approved repairs will incur an extra cost.
17.27 Seat Belt(s)	The seat belt(s) shall be replaced with new NFPA-compliant red seat belt(s) and receivers meeting OEM specifications. If a seat belt monitoring system is to be installed, the appropriate receivers shall be purchased. Quantity 4
17.28 Driver's Seat	The driver's seat shall be replaced with a new H.O. Bostrom Sierra Air ride seat with grey Durawear material unless otherwise specified by the Purchaser.
17.29 Officer's Seat	The officers' seat shall be replaced with a new H.O. Bostrom Tanker 450 SCBA seat with grey Durawear material unless otherwise specified by the Purchaser. The seat shall be equipped with Zico ULLH SCBA brackets.
17.30 Rear Facing Crew Seat(s)	The rear-facing crew seat(s) shall be replaced with new H.O. Bostrom Tanker 450 SCBA seat(s) with grey Durawear material unless otherwise specified by the Purchaser. The seat shall be equipped with Zico ULLH SCBA brackets. Quantity: 2 Seats
17.40 Cab Lift Cylinder(s)	The cab lift cylinder(s) shall be removed, rebuilt and reinstalled. The hydraulic reservoir shall be inspected for leaks and damage. Any major repairs will be advised to the customer via change order.
17.41 Cab Hinge Bushings	The cab hinge bushings shall be removed and replaced with new.
17.42 Cab Locks	The cab locks (2 unless otherwise configured) shall be replaced with new meeting OEM specifications. The hydraulic reservoir shall be inspected for leaks and damage.
17.46 Warning Stickers	The required safety labels inside the cab and around the apparatus shall be replaced with new.
17.49 Cab Hardware	Broken or missing cab hardware including latches, A/C louvers, clips, hooks, and brackets shall be replaced as necessary.
17.50 Heating	The cab heater system shall be inspected for proper operation. Heater hoses shall be replaced with new. If the heating system is not functioning correctly, Firetrucks Unlimited shall notify the Purchaser in writing of any problems discovered during the inspection.
17.51 Air Conditioning	The air conditioning system shall be inspected, evacuated, and recharged. A new dryer shall be installed and a/c lines shall be replaced as needed. A

	new A/C compressor shall be installed & new A/C Condenser fan(s) will be installed.
17.52 Grab Rails Cab	The cab exterior grab rails shall be replaced with new aluminum knurled handrails with new chrome stanchions and rubber gaskets.
18.01 Body, Sub-Frame and Hose Bed - Inspect & Advise	The entire body, sub-frame, and hose bed shall be inspected for wear, cracking, damage, and corrosion. Firetrucks Unlimited shall notify the purchaser in writing of any problems discovered during the inspection. Any approved repairs will incur an extra cost.
18.16 Roll Up Compartment Doors	The existing side-facing body compartment doors and the rear roll-up compartment door will be removed. New Gortite Roll-Up style Aluminum Doors will be installed. All new doors shall be equipped with locks and shall be equipped with switches tied to the hazard light and compartment lighting. The nine (9) side-facing compartments will be modified accordingly to accommodate the new doors. The rear roll-up door will be replaced with a similar size and any minor modification will be made to accommodate the new door. Smaller compartments above the rear may remain the same at the request of the Purchaser, being converted without severely compromising storage space. Total Quantity: 10 doors
18.30 Grab Rails Body	The body exterior grab rails shall be replaced with new aluminum knurled handrails with new chrome stanchions and rubber gaskets.
18.40 Shelving	The shelving shall be removed, inspected for damage, and repaired as necessary. Adjustable shelf tracks shall be cleaned of all debris.
18.42 Dry Deck	The compartment floors and shelving shall have new dry deck flooring installed.
18.91 Diamond Plate Cleaning & Polishing (Aerials)	All non-welded exterior aluminum diamond plate shall be removed from the apparatus. The diamond plate shall be chemically cleaned, de-oxidized, and machine polished. Upon reinstallation of the diamond plate, a new gray seam seal bead shall be applied to prevent water intrusions behind the diamond plate.
19.05 Steam Cleaning Pre-Paint	Prior to bodywork and prepping, the apparatus shall be completely steam cleaned to remove all debris, dirt, oils, grease, and other contaminants.
19.10 Body Work	The cab and body shall be inspected for damage and imperfections. Minor dents and scratches shall be filled, smoothed, and sealed. Minor cracks shall be re-welded, ground, and sealed. Surface rust shall be treated and sealed. Major bodywork and corrosion shall be identified and reported to the Purchaser.
19.25 Frame Rail	The frame rail shall be inspected and minor surface rust shall be treated. The frame shall be refinished with a heavy-duty black frame rail paint or existing color.

19.30 Compartments	The compartment interiors shall have all mounting brackets removed. Holes that are not to be re-used shall be welded and ground down. The compartment interiors and compartment shelving shall be re-finished with grey splatter paint.
19.35 Paint Surface Preparation	Prior to paint preparation, all bolt-on components shall be removed including but not limited to; door handles, lighting, bezels, grab rails, steps, trim, front grill, side grills, mirrors, pump panels, diamond plate, hose beds, fenderettes, D rings, rub rails, ladder rack, etc.
19.40 Primer and Preparation	After sanding, the cab and body shall be sealed and a primer coat shall be applied. After priming, the painting surface shall be sanded in preparation for the final coat.
19.45 Cab and Body Paint and Polishing	Upon completion of final preparation, the cab and body will be painted utilizing the highest quality, state of the art, low V.O.C., polyurethane base paint. Finish paint will be applied in a minimum of three coats to ensure proper coverage with a high gloss finish. The entire cab and body will be color-sanded, buffed, and detailed.
19.55 Pump and Plumbing	The pump enclosure and plumbing shall be refinished with low-gloss black paint.
19.61 Wheels Polishing	The front and rear outer aluminum wheels shall be polished.
19.65 Paint Code(s) and Layout	The Purchaser will provide all paint code(s). If the Purchaser does not have this information, Firetrucks Unlimited will match the existing paint color with an electronic paint matching system. The Purchaser will instruct Firetrucks Unlimited if the layout is to remain the same, or if a new design is required. Design work is available for an additional fee.
19.70 Reflective Striping	3M Scotchlite striping will be provided. The stripe will be applied on a minimum of 60% of each side, 60% on the rear, and 40% on the front of the unit. The Scotchlite stripe layout will be specified by the Purchaser. The Purchaser will specify the color of the Scotchlite striping.
19.80 Lettering & Graphics	3M Scotchlite lettering and graphics shall be provided on the cab doors. The Purchaser will provide the original artwork to Firetrucks Unlimited. If the original artwork is not available, Firetrucks Unlimited offers design services for an additional fee. The Purchaser may request lettering and graphics on the body, or aerial billboard for an additional fee.
20.13 Rebuild Pump & Gear Box	The Waterous CSU Pump bodies, impellers & transfer valves shall be flushed with clean water. The Purchaser shall be notified of any cracks in these components. The pump transmission shall be removed and internal components shall be inspected. Inspect for damage, pitting, spider cracks, and any bad bearings. The bottom half of the pump volute shall be lowered and inspected. A new impeller assembly will be installed including impellers, wear rings, and seals/gaskets. Standard packing is included.

	Pump: Waterous CSU Single Stage 1500 GPM
20.14 Primer (Oil Less)	The existing primer shall be replaced with new.
20.28 Pump Test	The pump shall be dynamically tested for leaks and to ensure NFPA capacity ratings are met. A Firetrucks Unlimited pump certification will be provided when the work is complete.
20.29 Discharge and Intake Relief Valves	The relief valve body will be removed from the pump body, thoroughly cleaned, and inspected for pitting and other evidence of wear. Small imperfections will be sanded or honed to reduce the size and allow smooth operation. The relief valve will be reinstalled and tested to ensure proper operation per the manufacturers and NFPA standards.
20.30 Rebuild Discharge & Intake Valves	All discharge, tank fill, tank to pump and auxiliary inlet valves will be removed from the adjacent piping to facilitate cleaning and allow bench rebuilding. The valve seals and ball will be removed and replaced with new stainless-steel type (Akron only). New seals and O-rings will be installed per the manufacturer's recommendation.
20.33 Drain valves	All drain valves shall be replaced with new.
20.35 Discharge Pressure Gauges	Inoperable, leaking, cracked, or discolored discharge pressure gauges shall be replaced with new.
20.40 Engine & Fuel Gauges	Pump panel gauges shall be replaced. To include engine hours and temperature gauges.
20.41 Pump Panel Lighting	New LED lights will be installed on the pump panel.
20.48 Intake Screens	The pump intake screens shall be replaced with new.
20.50 Pump Panels	The pump panels shall be completely disassembled including removal of gauges, valve linkage, caps, plumbing, placards, throttle, etc. The pump panel shall be cleaned prior to reassembly.
20.51 Color Coded Tags - Pump Panel	Damaged or missing color-coded identification tags on the pump panel shall be replaced with new. We will match the existing colors when possible unless otherwise specified by the Purchaser.
20.52 Caps & Chains	The discharge and aux intake caps and chains shall be replaced with new chrome caps and chains. Does not include 6" intakes.
22.05 Water Level Gauge	The water level gauge shall be replaced with a new FRC LED TankVision water level gauge. The new gauge shall have a new sending unit installed.

22.10 Water Tank Inspection and Repair	The water tank shall be inspected and tested for leaks. Any damage/leaks shall be reported to the Purchaser for advisement.
23.01 Aerial Inspection and Certification	A 3rd party aerial inspection/certification shall be performed. System/component deficiencies identified that are not scheduled to be repaired/replaced shall be communicated to the purchaser.
23.03 Torque Box, Rotation Gear Reduction Box Mounting to Frame	Torque box and rotation gear reduction box hardware shall be replaced with new meeting OEM specifications for proper grade and torque. Any broken box mounting welds shall be repaired, if applicable.
23.05 Emergency Power Unit - Inspect & Advise	The Emergency Power Unit (EPU) and associated components will be inspected, operated & tested for proper performance. Any faults or irregularities found during the inspection will be advised to the Purchaser via change order.
23.07 Turntable Structural Components	Broken turntable structural components mounting welds shall be repaired.
23.08 Rotation Hydraulic Swivel	Rotation hydraulic swivel shall be replaced with remanufactured hydraulic swivel meeting OEM specifications.
23.09 Hydraulic Lines and Hoses - Turntable	Any damaged hydraulic lines and hoses in the turntable shall be replaced.
23.16 Ladder Elevation Cylinder	The Elevation cylinder shall be removed, rebuilt and reinstalled.
23.17 Pressure holding valve	The pressure-holding valves shall be replaced with new.
23.18 Operation Controls	Aerial device controls shall be tested for proper operation. Firetrucks Unlimited shall notify the purchaser in writing of any problems discovered during the inspection.
23.24 Communication System	The existing Atkinson intercom speaker shall be replaced with new a speaker. Quantity 1
23.43 Stabilizer Wear Pads	The wear pads on the outrigger shall be replaced with new.
23.44 Hydraulic Lines and Hoses - Stabilizer System	Any damaged hydraulic lines and hoses in stabilizer shall be replaced with new.

23.46 Stabilizer/Outrigger Warning Device	The stabilizer lights and audible devices shall be replaced with new.
23.47 Stabilizer/Outrigger Extension Cylinder - Pins & Hinges	The stabilizer extension cylinder pins and hinge pins shall be replaced with new.
23.48 Stabilizer/Outrigger Extension Cylinders	Stabilizer extension cylinders shall be removed, rebuilt and re-installed.
23.49 Holding Valves on Extension Cylinders	The holding valves on the stabilizer extension cylinder shall be replaced with new.
23.51 Levelling Indicator	The stabilizer leveling indicator shall be replaced with new.
23.53 Stabilizer Lift Cylinders	Stabilizer lift cylinders shall be removed, rebuilt and reinstalled.
24.05 Hydraulic, Pneumatic and Electrical Lines	Damaged hydraulic, pneumatic, and electrical lines on the ladder/platform/telescopic boom shall be replaced with new.
24.13 Extension Sheaves & Cable Separation Guide	The aerial ladder extension sheaves and cable separation guide shall be replaced with new.
24.14 Extension Wear Pads	The wear pads located on the ladder shall be replaced with new.
24.25 Ladder Illumination	The two (2) spot/flood lights located at the top of the ladder shall be replaced with new FRC Spectra LED lighthoods. Qty: 2 (Model: SPA100)
24.28 Ladder Extension Cylinder	Aerial ladder extension cylinder shall be removed, rebuild and reinstalled.
24.62 Aerial Waterway Discharge	The waterway seals shall be repaired or replaced due in pump compartment. Any major waterway leaks shall be quoted via change order.
24.67 Aerial Waterway Relief Valve	The waterway relief valve shall be rebuilt.

24.68 Aerial Waterway - Intake Valve	The Aerial Waterway Intake Valve shall be rebuilt.
24.70 Hydraulic Fluid & Filters	The hydraulic fluid & any filters shall be replaced per OEM recommendation.
24.72 Aerial Turret(s)	Both existing Elkhart monitors on the basket will have the control motors and electrical components inspected. Any additional needed repairs shall be quoted.
25.01 Aerial Ladder Paint	Any rust on the aerial device shall be treated and refinished. Necessary components will be removed & reinstalled as needed, to allow access to painted surfaces. Base mid and fly sections shall be repainted. Aerial torque box to be repainted. Repaint outriggers. The ladder shall be refinished in red paint color. The purchaser will confirm the color code for the correct red finish. All the rung covers shall be replaced with new.
26.01 Re Assembly	Upon completion of mechanical overhaul and paint processes, items previously removed and not specifically addressed in the above statement of work shall be re-installed on the vehicle including: grab rails, rub rails, emergency lighting, DOT lighting, scene lighting, diamond plate, steps, bumpers, tow hooks/eyes, doors, cab interiors, antennas, radios, hose bed, hose bed dividers, reels, monitors, brackets, shelving, ladder rack, hard suction mounts, door handles, door hardware, panels, mirrors, windshields, speakers, air horns, fenderettes, and grills
26.02 Detailing & Finalization	Prior to deliver the vehicle, the interior and exterior be cleaned and detailed. The finalization process detailing will include installation of NFPA-required labels, checking fluid levels, sealing and caulking required areas of the cab and body, paint touch-up, etc.
26.03 Final Inspection & Punch List	A Final Inspection walk around will be done with the Purchaser. Any questions will be answered at that time, prior to delivery. After the final inspection, any deficiencies will be corrected prior to the scheduled delivery.
26.08 Warranty	Your refurbished apparatus shall be covered by a 1-year limited warranty for all services outlined in the statement of work. Warranty includes parts and workmanship.

Total: \$726,956

Terms & Conditions:

Quote valid for 90 days

50% Deposit Upon Proposal Signing, Remaining Balance Due Upon Production Completion.

Tax Exemption: Tax exemption requires the submittal of a tax exemption form.

Freight Services May be Quoted Separately Upon Request

Accept & Sign:

Signature:

Date:

**Solicitation Number: RFP #120921****CONTRACT**

This Contract is between Sourcewell, 202 12th Street Northeast, P.O. Box 219, Staples, MN 56479 (Sourcewell) and Brindlee Mountain Fire Apparatus, LLC, 15410 US Highway 231, Union Grove, AL 35175-8541 (Supplier).

Sourcewell is a State of Minnesota local government unit and service cooperative created under the laws of the State of Minnesota (Minnesota Statutes Section 123A.21) that offers cooperative procurement solutions to government entities. Participation is open to eligible federal, state/province, and municipal governmental entities, higher education, K-12 education, nonprofit, tribal government, and other public entities located in the United States and Canada. Sourcewell issued a public solicitation for Used and Pre-Owned Firefighting, Fire Service, Ambulance, and Emergency Service Vehicle Solutions from which Supplier was awarded a contract.

Supplier desires to contract with Sourcewell to provide equipment, products, or services to Sourcewell and the entities that access Sourcewell's cooperative purchasing contracts (Participating Entities).

1. TERM OF CONTRACT

- A. **EFFECTIVE DATE.** This Contract is effective upon the date of the final signature below.
- B. **EXPIRATION DATE AND EXTENSION.** This Contract expires January 13, 2026, unless it is cancelled sooner pursuant to Article 22. This Contract may be extended one additional year upon the request of Sourcewell and written agreement by Supplier.
- C. **SURVIVAL OF TERMS.** Notwithstanding any expiration or termination of this Contract, all payment obligations incurred prior to expiration or termination will survive, as will the following: Articles 11 through 14 survive the expiration or cancellation of this Contract. All other rights will cease upon expiration or termination of this Contract.

2. EQUIPMENT, PRODUCTS, OR SERVICES

- A. **EQUIPMENT, PRODUCTS, OR SERVICES.** Supplier will provide the Equipment, Products, or Services as stated in its Proposal submitted under the Solicitation Number listed above.

Supplier's Equipment, Products, or Services Proposal (Proposal) is attached and incorporated into this Contract.

Equipment and Products provided under this Contract may be used or pre-owned, and need not be current model. Unless agreed to by the Participating Entities in advance, Equipment or Products must be delivered as operational to the Participating Entity's site.

This Contract offers an indefinite quantity of sales, and while substantial volume is anticipated, sales and sales volume are not guaranteed.

B. **WARRANTY.** Supplier warrants that all Equipment, Products, and Services furnished are free from liens and encumbrances. Any additional warranty offered by Supplier will be as stated in the transaction documents negotiated between Supplier and the Participating Entity. Any manufacturer's warranty that extends beyond the expiration of the Supplier's warranty will be passed on to the Participating Entity.

C. **DEALERS, DISTRIBUTORS, AND/OR RESELLERS.** Upon Contract execution and throughout the Contract term, Supplier must provide to Sourcewell a current means to validate or authenticate Supplier's authorized dealers, distributors, or resellers relative to the Equipment, Products, and Services offered under this Contract, which will be incorporated into this Contract by reference. It is the Supplier's responsibility to ensure Sourcewell receives the most current information.

3. PRICING

All Equipment, Products, or Services under this Contract will be priced at or below the price stated in Supplier's Proposal.

When providing pricing quotes to Participating Entities, all pricing quoted must reflect a Participating Entity's total cost of acquisition. This means that the quoted cost is for delivered Equipment, Products, and Services that are operational for their intended purpose, and includes all costs to the Participating Entity's requested delivery location.

Regardless of the payment method chosen by the Participating Entity, the total cost associated with any purchase option of the Equipment, Products, or Services must always be disclosed in the pricing quote to the applicable Participating Entity at the time of purchase.

A. **SHIPPING AND SHIPPING COSTS.** All delivered Equipment and Products must be properly packaged. Damaged Equipment and Products may be rejected. If the damage is not readily apparent at the time of delivery, Supplier must permit the Equipment and Products to be returned within a reasonable time at no cost to Sourcewell or its Participating Entities. Participating Entities reserve the right to inspect the Equipment and Products at a reasonable time after delivery where circumstances or conditions prevent effective inspection of the

Equipment and Products at the time of delivery. In the event of the delivery of nonconforming Equipment and Products, the Participating Entity will notify the Supplier as soon as possible and the Supplier will replace nonconforming Equipment and Products with conforming Equipment and Products that are acceptable to the Participating Entity.

Supplier must arrange for and pay for the return shipment on Equipment and Products that arrive in a defective or inoperable condition.

Sourcwell may declare the Supplier in breach of this Contract if the Supplier intentionally delivers substandard or inferior Equipment or Products.

B. SALES TAX. Each Participating Entity is responsible for supplying the Supplier with valid tax-exemption certification(s). When ordering, a Participating Entity must indicate if it is a tax-exempt entity.

C. HOT LIST PRICING. At any time during this Contract, Supplier may offer a specific selection of Equipment, Products, or Services at discounts greater than those listed in the Contract. When Supplier determines it will offer Hot List Pricing, it must be submitted electronically to Sourcwell in a line-item format. Equipment, Products, or Services may be added or removed from the Hot List at any time through a Sourcwell Price and Product Change Form as defined in Article 4 below.

Hot List program and pricing may also be used to discount and liquidate close-out and discontinued Equipment and Products as long as those close-out and discontinued items are clearly identified as such. Current ordering process and administrative fees apply. Hot List Pricing must be published and made available to all Participating Entities.

4. PRODUCT AND PRICING CHANGE REQUESTS

Supplier may request Equipment, Product, or Service changes, additions, or deletions at any time. All requests must be made in writing by submitting a signed Sourcwell Price and Product Change Request Form to the assigned Sourcwell Supplier Development Administrator. This approved form is available from the assigned Sourcwell Supplier Development Administrator. At a minimum, the request must:

- Identify the applicable Sourcwell contract number;
- Clearly specify the requested change;
- Provide sufficient detail to justify the requested change;
- Individually list all Equipment, Products, or Services affected by the requested change, along with the requested change (e.g., addition, deletion, price change); and
- Include a complete restatement of pricing documentation in Microsoft Excel with the effective date of the modified pricing, or product addition or deletion. The new pricing

restatement must include all Equipment, Products, and Services offered, even for those items where pricing remains unchanged.

A fully executed Sourcewell Price and Product Change Request Form will become an amendment to this Contract and will be incorporated by reference.

5. PARTICIPATION, CONTRACT ACCESS, AND PARTICIPATING ENTITY REQUIREMENTS

A. PARTICIPATION. Sourcewell's cooperative contracts are available and open to public and nonprofit entities across the United States and Canada; such as federal, state/province, municipal, K-12 and higher education, tribal government, and other public entities.

The benefits of this Contract should be available to all Participating Entities that can legally access the Equipment, Products, or Services under this Contract. A Participating Entity's authority to access this Contract is determined through its cooperative purchasing, interlocal, or joint powers laws. Any entity accessing benefits of this Contract will be considered a Service Member of Sourcewell during such time of access. Supplier understands that a Participating Entity's use of this Contract is at the Participating Entity's sole convenience and Participating Entities reserve the right to obtain like Equipment, Products, or Services from any other source.

Supplier is responsible for familiarizing its sales and service forces with Sourcewell contract use eligibility requirements and documentation and will encourage potential participating entities to join Sourcewell. Sourcewell reserves the right to add and remove Participating Entities to its roster during the term of this Contract.

B. PUBLIC FACILITIES. Supplier's employees may be required to perform work at government-owned facilities, including schools. Supplier's employees and agents must conduct themselves in a professional manner while on the premises, and in accordance with Participating Entity policies and procedures, and all applicable laws.

6. PARTICIPATING ENTITY USE AND PURCHASING

A. ORDERS AND PAYMENT. To access the contracted Equipment, Products, or Services under this Contract, a Participating Entity must clearly indicate to Supplier that it intends to access this Contract; however, order flow and procedure will be developed jointly between Sourcewell and Supplier. Typically, a Participating Entity will issue an order directly to Supplier or its authorized subsidiary, distributor, dealer, or reseller. If a Participating Entity issues a purchase order, it may use its own forms, but the purchase order should clearly note the applicable Sourcewell contract number. All Participating Entity orders under this Contract must be issued prior to expiration or cancellation of this Contract; however, Supplier performance, Participating Entity payment obligations, and any applicable warranty periods or other Supplier or Participating Entity obligations may extend beyond the term of this Contract.

Supplier's acceptable forms of payment are included in its attached Proposal. Participating Entities will be solely responsible for payment and Sourcewell will have no liability for any unpaid invoice of any Participating Entity.

B. **ADDITIONAL TERMS AND CONDITIONS/PARTICIPATING ADDENDUM.** Additional terms and conditions to a purchase order, or other required transaction documentation, may be negotiated between a Participating Entity and Supplier, such as job or industry-specific requirements, legal requirements (e.g., affirmative action or immigration status requirements), or specific local policy requirements. Some Participating Entities may require the use of a Participating Addendum; the terms of which will be negotiated directly between the Participating Entity and the Supplier. Any negotiated additional terms and conditions must never be less favorable to the Participating Entity than what is contained in this Contract.

C. **SPECIALIZED SERVICE REQUIREMENTS.** In the event that the Participating Entity requires service or specialized performance requirements not addressed in this Contract (such as e-commerce specifications, specialized delivery requirements, or other specifications and requirements), the Participating Entity and the Supplier may enter into a separate, standalone agreement, apart from this Contract. Sourcewell, including its agents and employees, will not be made a party to a claim for breach of such agreement.

D. **TERMINATION OF ORDERS.** Participating Entities may terminate an order, in whole or in part, immediately upon notice to Supplier in the event of any of the following:

1. The Participating Entity fails to receive funding or appropriation from its governing body at levels sufficient to pay for the equipment, products, or services to be purchased; or
2. Federal, state, or provincial laws or regulations prohibit the purchase or change the Participating Entity's requirements.

E. **GOVERNING LAW AND VENUE.** The governing law and venue for any action related to a Participating Entity's order will be determined by the Participating Entity making the purchase.

7. CUSTOMER SERVICE

A. **PRIMARY ACCOUNT REPRESENTATIVE.** Supplier will assign an Account Representative to Sourcewell for this Contract and must provide prompt notice to Sourcewell if that person is changed. The Account Representative will be responsible for:

- Maintenance and management of this Contract;
- Timely response to all Sourcewell and Participating Entity inquiries; and
- Business reviews to Sourcewell and Participating Entities, if applicable.

B. BUSINESS REVIEWS. Supplier must perform a minimum of one business review with Sourcewell per contract year. The business review will cover sales to Participating Entities, pricing and contract terms, administrative fees, sales data reports, supply issues, customer issues, and any other necessary information.

8. REPORT ON CONTRACT SALES ACTIVITY AND ADMINISTRATIVE FEE PAYMENT

A. CONTRACT SALES ACTIVITY REPORT. Each calendar quarter, Supplier must provide a contract sales activity report (Report) to the Sourcewell Supplier Development Administrator assigned to this Contract. Reports are due no later than 45 days after the end of each calendar quarter. A Report must be provided regardless of the number or amount of sales during that quarter (i.e., if there are no sales, Supplier must submit a report indicating no sales were made).

The Report must contain the following fields:

- Participating Entity Name (e.g., City of Staples Highway Department);
- Participating Entity Physical Street Address;
- Participating Entity City;
- Participating Entity State/Province;
- Participating Entity Zip/Postal Code;
- Participating Entity Contact Name;
- Participating Entity Contact Email Address;
- Participating Entity Contact Telephone Number;
- Sourcewell Assigned Entity/Participating Entity Number;
- Item Purchased Description;
- Item Purchased Price;
- Sourcewell Administrative Fee Applied; and
- Date Purchase was invoiced/sale was recognized as revenue by Supplier.

B. ADMINISTRATIVE FEE. In consideration for the support and services provided by Sourcewell, the Supplier will pay an administrative fee to Sourcewell on all Equipment, Products, and Services provided to Participating Entities. The Administrative Fee must be included in, and not added to, the pricing. Supplier may not charge Participating Entities more than the contracted price to offset the Administrative Fee.

The Supplier will submit payment to Sourcewell for the percentage of administrative fee stated in the Proposal multiplied by the total sales of all Equipment, Products, and Services purchased by Participating Entities under this Contract during each calendar quarter. Payments should note the Supplier's name and Sourcewell-assigned contract number in the memo; and must be mailed to the address above "Attn: Accounts Receivable" or remitted electronically to

Sourcewell's banking institution per Sourcewell's Finance department instructions. Payments must be received no later than 45 calendar days after the end of each calendar quarter.

Supplier agrees to cooperate with Sourcewell in auditing transactions under this Contract to ensure that the administrative fee is paid on all items purchased under this Contract.

In the event the Supplier is delinquent in any undisputed administrative fees, Sourcewell reserves the right to cancel this Contract and reject any proposal submitted by the Supplier in any subsequent solicitation. In the event this Contract is cancelled by either party prior to the Contract's expiration date, the administrative fee payment will be due no more than 30 days from the cancellation date.

9. AUTHORIZED REPRESENTATIVE

Sourcewell's Authorized Representative is its Chief Procurement Officer.

Supplier's Authorized Representative is the person named in the Supplier's Proposal. If Supplier's Authorized Representative changes at any time during this Contract, Supplier must promptly notify Sourcewell in writing.

10. AUDIT, ASSIGNMENT, AMENDMENTS, WAIVER, AND CONTRACT COMPLETE

A. **AUDIT.** Pursuant to Minnesota Statutes Section 16C.05, subdivision 5, the books, records, documents, and accounting procedures and practices relevant to this Agreement are subject to examination by Sourcewell or the Minnesota State Auditor for a minimum of six years from the end of this Contract. This clause extends to Participating Entities as it relates to business conducted by that Participating Entity under this Contract.

B. **ASSIGNMENT.** Neither party may assign or otherwise transfer its rights or obligations under this Contract without the prior written consent of the other party and a fully executed assignment agreement. Such consent will not be unreasonably withheld. Any prohibited assignment will be invalid.

C. **AMENDMENTS.** Any amendment to this Contract must be in writing and will not be effective until it has been duly executed by the parties.

D. **WAIVER.** Failure by either party to take action or assert any right under this Contract will not be deemed a waiver of such right in the event of the continuation or repetition of the circumstances giving rise to such right. Any such waiver must be in writing and signed by the parties.

E. **CONTRACT COMPLETE.** This Contract represents the complete agreement between the parties. No other understanding regarding this Contract, whether written or oral, may be used

to bind either party. For any conflict between the attached Proposal and the terms set out in Articles 1-22 of this Contract, the terms of Articles 1-22 will govern.

F. **RELATIONSHIP OF THE PARTIES.** The relationship of the parties is one of independent contractors, each free to exercise judgment and discretion with regard to the conduct of their respective businesses. This Contract does not create a partnership, joint venture, or any other relationship such as master-servant, or principal-agent.

11. INDEMNITY AND HOLD HARMLESS

Supplier must indemnify, defend, save, and hold Sourcewell and its Participating Entities, including their agents and employees, harmless from any claims or causes of action, including attorneys' fees incurred by Sourcewell or its Participating Entities, arising out of any act or omission in the performance of this Contract by the Supplier or its agents or employees; this indemnification includes injury or death to person(s) or property alleged to have been caused by some defect in the Equipment, Products, or Services under this Contract to the extent the Equipment, Product, or Service has been used according to its specifications. Sourcewell's responsibility will be governed by the State of Minnesota's Tort Liability Act (Minnesota Statutes Chapter 466) and other applicable law.

12. GOVERNMENT DATA PRACTICES

Supplier and Sourcewell must comply with the Minnesota Government Data Practices Act, Minnesota Statutes Chapter 13, as it applies to all data provided by or provided to Sourcewell under this Contract and as it applies to all data created, collected, received, stored, used, maintained, or disseminated by the Supplier under this Contract.

13. INTELLECTUAL PROPERTY, PUBLICITY, MARKETING, AND ENDORSEMENT

A. INTELLECTUAL PROPERTY

1. *Grant of License.* During the term of this Contract:
 - a. Sourcewell grants to Supplier a royalty-free, worldwide, non-exclusive right and license to use the trademark(s) provided to Supplier by Sourcewell in advertising and promotional materials for the purpose of marketing Sourcewell's relationship with Supplier.
 - b. Supplier grants to Sourcewell a royalty-free, worldwide, non-exclusive right and license to use Supplier's trademarks in advertising and promotional materials for the purpose of marketing Supplier's relationship with Sourcewell.
2. *Limited Right of Sublicense.* The right and license granted herein includes a limited right of each party to grant sublicenses to their respective subsidiaries, distributors, dealers, resellers, marketing representatives, and agents (collectively "Permitted Sublicensees") in advertising and promotional materials for the purpose of marketing the Parties' relationship to Participating Entities. Any sublicense granted will be subject to the terms and conditions

of this Article. Each party will be responsible for any breach of this Article by any of their respective sublicensees.

3. *Use; Quality Control.*

- a. Neither party may alter the other party's trademarks from the form provided and must comply with removal requests as to specific uses of its trademarks or logos.
- b. Each party agrees to use, and to cause its Permitted Sublicensees to use, the other party's trademarks only in good faith and in a dignified manner consistent with such party's use of the trademarks. Upon written notice to the breaching party, the breaching party has 30 days of the date of the written notice to cure the breach or the license will be terminated.

4. As applicable, Supplier agrees to indemnify and hold harmless Sourcewell and its Participating Entities against any and all suits, claims, judgments, and costs instituted or recovered against Sourcewell or Participating Entities by any person on account of the use of any Equipment or Products by Sourcewell or its Participating Entities supplied by Supplier in violation of applicable patent or copyright laws.

5. ***Termination.*** Upon the termination of this Contract for any reason, each party, including Permitted Sublicensees, will have 30 days to remove all Trademarks from signage, websites, and the like bearing the other party's name or logo (excepting Sourcewell's pre-printed catalog of suppliers which may be used until the next printing). Supplier must return all marketing and promotional materials, including signage, provided by Sourcewell, or dispose of it according to Sourcewell's written directions.

B. **PUBLICITY.** Any publicity regarding the subject matter of this Contract must not be released without prior written approval from the Authorized Representatives. Publicity includes notices, informational pamphlets, press releases, research, reports, signs, and similar public notices prepared by or for the Supplier individually or jointly with others, or any subcontractors, with respect to the program, publications, or services provided resulting from this Contract.

C. **MARKETING.** Any direct advertising, marketing, or offers with Participating Entities must be approved by Sourcewell. Send all approval requests to the Sourcewell Supplier Development Administrator assigned to this Contract.

D. **ENDORSEMENT.** The Supplier must not claim that Sourcewell endorses its Equipment, Products, or Services.

14. GOVERNING LAW, JURISDICTION, AND VENUE

The substantive and procedural laws of the State of Minnesota will govern this Contract. Venue for all legal proceedings arising out of this Contract, or its breach, must be in the appropriate state court in Todd County, Minnesota or federal court in Fergus Falls, Minnesota.

15. FORCE MAJEURE

Neither party to this Contract will be held responsible for delay or default caused by acts of God or other conditions that are beyond that party's reasonable control. A party defaulting under this provision must provide the other party prompt written notice of the default.

16. SEVERABILITY

If any provision of this Contract is found by a court of competent jurisdiction to be illegal, unenforceable, or void then both parties will be relieved from all obligations arising from that provision. If the remainder of this Contract is capable of being performed, it will not be affected by such determination or finding and must be fully performed.

17. PERFORMANCE, DEFAULT, AND REMEDIES

A. **PERFORMANCE.** During the term of this Contract, the parties will monitor performance and address unresolved contract issues as follows:

1. *Notification.* The parties must promptly notify each other of any known dispute and work in good faith to resolve such dispute within a reasonable period of time. If necessary, Sourcewell and the Supplier will jointly develop a short briefing document that describes the issue(s), relevant impact, and positions of both parties.
2. *Escalation.* If parties are unable to resolve the issue in a timely manner, as specified above, either Sourcewell or Supplier may escalate the resolution of the issue to a higher level of management. The Supplier will have 30 calendar days to cure an outstanding issue.
3. *Performance while Dispute is Pending.* Notwithstanding the existence of a dispute, the Supplier must continue without delay to carry out all of its responsibilities under the Contract that are not affected by the dispute. If the Supplier fails to continue without delay to perform its responsibilities under the Contract, in the accomplishment of all undisputed work, the Supplier will bear any additional costs incurred by Sourcewell and/or its Participating Entities as a result of such failure to proceed.

B. **DEFAULT AND REMEDIES.** Either of the following constitutes cause to declare this Contract, or any Participating Entity order under this Contract, in default:

1. Nonperformance of contractual requirements, or
2. A material breach of any term or condition of this Contract.

The party claiming default must provide written notice of the default, with 30 calendar days to cure the default. Time allowed for cure will not diminish or eliminate any liability for liquidated or other damages. If the default remains after the opportunity for cure, the non-defaulting party may:

- Exercise any remedy provided by law or equity, or
- Terminate the Contract or any portion thereof, including any orders issued against the Contract.

18. INSURANCE

A. REQUIREMENTS. At its own expense, Supplier must maintain insurance policy(ies) in effect at all times during the performance of this Contract with insurance company(ies) licensed or authorized to do business in the State of Minnesota having an "AM BEST" rating of A- or better, with coverage and limits of insurance not less than the following:

1. *Workers' Compensation and Employer's Liability.*

Workers' Compensation: As required by any applicable law or regulation.

Employer's Liability Insurance: must be provided in amounts not less than listed below:

Minimum limits:

\$500,000 each accident for bodily injury by accident

\$500,000 policy limit for bodily injury by disease

\$500,000 each employee for bodily injury by disease

2. *Commercial General Liability Insurance.* Supplier will maintain insurance covering its operations, with coverage on an occurrence basis, and must be subject to terms no less broad than the Insurance Services Office ("ISO") Commercial General Liability Form CG0001 (2001 or newer edition), or equivalent. At a minimum, coverage must include liability arising from premises, operations, bodily injury and property damage, independent contractors, products-completed operations including construction defect, contractual liability, blanket contractual liability, and personal injury and advertising injury. All required limits, terms and conditions of coverage must be maintained during the term of this Contract.

Minimum Limits:

\$1,000,000 each occurrence Bodily Injury and Property Damage

\$1,000,000 Personal and Advertising Injury

\$2,000,000 aggregate for Products-Completed operations

\$2,000,000 general aggregate

3. *Commercial Automobile Liability Insurance.* During the term of this Contract, Supplier will maintain insurance covering all owned, hired, and non-owned automobiles in limits of liability not less than indicated below. The coverage must be subject to terms no less broad than ISO Business Auto Coverage Form CA 0001 (2010 edition or newer), or equivalent.

Minimum Limits:

\$1,000,000 each accident, combined single limit

4. *Umbrella Insurance*. During the term of this Contract, Supplier will maintain umbrella coverage over Employer's Liability, Commercial General Liability, and Commercial Automobile.

Minimum Limits:
\$2,000,000

Failure of Supplier to maintain the required insurance will constitute a material breach entitling Sourcewell to immediately terminate this Contract for default.

B. CERTIFICATES OF INSURANCE. Prior to commencing under this Contract, Supplier must furnish to Sourcewell a certificate of insurance, as evidence of the insurance required under this Contract. Prior to expiration of the policy(ies), renewal certificates must be mailed to Sourcewell, 202 12th Street Northeast, P.O. Box 219, Staples, MN 56479 or sent to the Sourcewell Supplier Development Administrator assigned to this Contract. The certificates must be signed by a person authorized by the insurer(s) to bind coverage on their behalf.

Failure to request certificates of insurance by Sourcewell, or failure of Supplier to provide certificates of insurance, in no way limits or relieves Supplier of its duties and responsibilities in this Contract.

C. ADDITIONAL INSURED ENDORSEMENT AND PRIMARY AND NON-CONTRIBUTORY INSURANCE CLAUSE. Supplier agrees to list Sourcewell and its Participating Entities, including their officers, agents, and employees, as an additional insured under the Supplier's commercial general liability insurance policy with respect to liability arising out of activities, "operations," or "work" performed by or on behalf of Supplier, and products and completed operations of Supplier. The policy provision(s) or endorsement(s) must further provide that coverage is primary and not excess over or contributory with any other valid, applicable, and collectible insurance or self-insurance in force for the additional insureds.

D. WAIVER OF SUBROGATION. Supplier waives and must require (by endorsement or otherwise) all its insurers to waive subrogation rights against Sourcewell and other additional insureds for losses paid under the insurance policies required by this Contract or other insurance applicable to the Supplier or its subcontractors. The waiver must apply to all deductibles and/or self-insured retentions applicable to the required or any other insurance maintained by the Supplier or its subcontractors. Where permitted by law, Supplier must require similar written express waivers of subrogation and insurance clauses from each of its subcontractors.

E. UMBRELLA/EXCESS LIABILITY/SELF-INSURED RETENTION. The limits required by this Contract can be met by either providing a primary policy or in combination with umbrella/excess liability policy(ies), or self-insured retention.

19. COMPLIANCE

A. **LAWS AND REGULATIONS.** All Equipment, Products, or Services provided under this Contract must comply fully with applicable federal laws and regulations, and with the laws in the states and provinces in which the Equipment, Products, or Services are sold.

B. **LICENSES.** Supplier must maintain a valid and current status on all required federal, state/provincial, and local licenses, bonds, and permits required for the operation of the business that the Supplier conducts with Sourcewell and Participating Entities.

20. BANKRUPTCY, DEBARMENT, OR SUSPENSION CERTIFICATION

Supplier certifies and warrants that it is not in bankruptcy or that it has previously disclosed in writing certain information to Sourcewell related to bankruptcy actions. If at any time during this Contract Supplier declares bankruptcy, Supplier must immediately notify Sourcewell in writing.

Supplier certifies and warrants that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from programs operated by the State of Minnesota; the United States federal government or the Canadian government, as applicable; or any Participating Entity. Supplier certifies and warrants that neither it nor its principals have been convicted of a criminal offense related to the subject matter of this Contract. Supplier further warrants that it will provide immediate written notice to Sourcewell if this certification changes at any time.

21. PROVISIONS FOR NON-UNITED STATES FEDERAL ENTITY PROCUREMENTS UNDER UNITED STATES FEDERAL AWARDS OR OTHER AWARDS

Participating Entities that use United States federal grant or FEMA funds to purchase goods or services from this Contract may be subject to additional requirements including the procurement standards of the Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards, 2 C.F.R. § 200. Participating Entities may have additional requirements based on specific funding source terms or conditions. Within this Article, all references to “federal” should be interpreted to mean the United States federal government. The following list only applies when a Participating Entity accesses Supplier’s Equipment, Products, or Services with United States federal funds.

A. **EQUAL EMPLOYMENT OPPORTUNITY.** Except as otherwise provided under 41 C.F.R. § 60, all contracts that meet the definition of “federally assisted construction contract” in 41 C.F.R. § 60-1.3 must include the equal opportunity clause provided under 41 C.F.R. §60-1.4(b), in accordance with Executive Order 11246, “Equal Employment Opportunity” (30 FR 12319, 12935, 3 C.F.R. §, 1964-1965 Comp., p. 339), as amended by Executive Order 11375, “Amending Executive Order 11246 Relating to Equal Employment Opportunity,” and implementing

regulations at 41 C.F.R. § 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor." The equal opportunity clause is incorporated herein by reference.

B. DAVIS-BACON ACT, AS AMENDED (40 U.S.C. § 3141-3148). When required by federal program legislation, all prime construction contracts in excess of \$2,000 awarded by non-federal entities must include a provision for compliance with the Davis-Bacon Act (40 U.S.C. § 3141-3144, and 3146-3148) as supplemented by Department of Labor regulations (29 C.F.R. § 5, "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction"). In accordance with the statute, contractors must be required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, contractors must be required to pay wages not less than once a week. The non-federal entity must place a copy of the current prevailing wage determination issued by the Department of Labor in each solicitation. The decision to award a contract or subcontract must be conditioned upon the acceptance of the wage determination. The non-federal entity must report all suspected or reported violations to the federal awarding agency. The contracts must also include a provision for compliance with the Copeland "Anti-Kickback" Act (40 U.S.C. § 3145), as supplemented by Department of Labor regulations (29 C.F.R. § 3, "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each contractor or subrecipient must be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. The non-federal entity must report all suspected or reported violations to the federal awarding agency. Supplier must be in compliance with all applicable Davis-Bacon Act provisions.

C. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT (40 U.S.C. § 3701-3708). Where applicable, all contracts awarded by the non-federal entity in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with 40 U.S.C. §§ 3702 and 3704, as supplemented by Department of Labor regulations (29 C.F.R. § 5). Under 40 U.S.C. § 3702 of the Act, each contractor must be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. § 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence. This provision is hereby incorporated by reference into this Contract. Supplier certifies that during the term of an award for all contracts by Sourcewell resulting from this procurement process, Supplier must comply with applicable requirements as referenced above.

D. RIGHTS TO INVENTIONS MADE UNDER A CONTRACT OR AGREEMENT. If the federal award meets the definition of “funding agreement” under 37 C.F.R. § 401.2(a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that “funding agreement,” the recipient or subrecipient must comply with the requirements of 37 C.F.R. § 401, “Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements,” and any implementing regulations issued by the awarding agency. Supplier certifies that during the term of an award for all contracts by Sourcewell resulting from this procurement process, Supplier must comply with applicable requirements as referenced above.

E. CLEAN AIR ACT (42 U.S.C. § 7401-7671Q.) AND THE FEDERAL WATER POLLUTION CONTROL ACT (33 U.S.C. § 1251-1387). Contracts and subgrants of amounts in excess of \$150,000 require the non-federal award to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. § 7401- 7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. § 1251- 1387). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA). Supplier certifies that during the term of this Contract will comply with applicable requirements as referenced above.

F. DEBARMENT AND SUSPENSION (EXECUTIVE ORDERS 12549 AND 12689). A contract award (see 2 C.F.R. § 180.220) must not be made to parties listed on the government wide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 C.F.R. §180 that implement Executive Orders 12549 (3 C.F.R. § 1986 Comp., p. 189) and 12689 (3 C.F.R. § 1989 Comp., p. 235), “Debarment and Suspension.” SAM Exclusions contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549. Supplier certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation by any federal department or agency.

G. BYRD ANTI-LOBBYING AMENDMENT, AS AMENDED (31 U.S.C. § 1352). Suppliers must file any required certifications. Suppliers must not have used federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any federal contract, grant, or any other award covered by 31 U.S.C. § 1352. Suppliers must disclose any lobbying with non-federal funds that takes place in connection with obtaining any federal award. Such disclosures are forwarded from tier to tier up to the non-federal award. Suppliers must file all certifications and disclosures required by, and otherwise comply with, the Byrd Anti-Lobbying Amendment (31 U.S.C. § 1352).

H. RECORD RETENTION REQUIREMENTS. To the extent applicable, Supplier must comply with the record retention requirements detailed in 2 C.F.R. § 200.333. The Supplier further certifies that it will retain all records as required by 2 C.F.R. § 200.333 for a period of 3 years after grantees or subgrantees submit final expenditure reports or quarterly or annual financial reports, as applicable, and all other pending matters are closed.

I. ENERGY POLICY AND CONSERVATION ACT COMPLIANCE. To the extent applicable, Supplier must comply with the mandatory standards and policies relating to energy efficiency which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act.

J. BUY AMERICAN PROVISIONS COMPLIANCE. To the extent applicable, Supplier must comply with all applicable provisions of the Buy American Act. Purchases made in accordance with the Buy American Act must follow the applicable procurement rules calling for free and open competition.

K. ACCESS TO RECORDS (2 C.F.R. § 200.336). Supplier agrees that duly authorized representatives of a federal agency must have access to any books, documents, papers and records of Supplier that are directly pertinent to Supplier's discharge of its obligations under this Contract for the purpose of making audits, examinations, excerpts, and transcriptions. The right also includes timely and reasonable access to Supplier's personnel for the purpose of interview and discussion relating to such documents.

L. PROCUREMENT OF RECOVERED MATERIALS (2 C.F.R. § 200.322). A non-federal entity that is a state agency or agency of a political subdivision of a state and its contractors must comply with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 C.F.R. § 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

M. FEDERAL SEAL(S), LOGOS, AND FLAGS. The Supplier not use the seal(s), logos, crests, or reproductions of flags or likenesses of Federal agency officials without specific pre-approval.

N. NO OBLIGATION BY FEDERAL GOVERNMENT. The U.S. federal government is not a party to this Contract or any purchase by an Participating Entity and is not subject to any obligations or liabilities to the Participating Entity, Supplier, or any other party pertaining to any matter resulting from the Contract or any purchase by an authorized user.

O. PROGRAM FRAUD AND FALSE OR FRAUDULENT STATEMENTS OR RELATED ACTS. The Contractor acknowledges that 31 U.S.C. 38 (Administrative Remedies for False Claims and Statements) applies to the Supplier's actions pertaining to this Contract or any purchase by a Participating Entity.

P. FEDERAL DEBT. The Supplier certifies that it is non-delinquent in its repayment of any federal debt. Examples of relevant debt include delinquent payroll and other taxes, audit disallowance, and benefit overpayments.

Q. CONFLICTS OF INTEREST. The Supplier must notify the U.S. Office of General Services, Sourcewell, and Participating Entity as soon as possible if this Contract or any aspect related to the anticipated work under this Contract raises an actual or potential conflict of interest (as described in 2 C.F.R. Part 200). The Supplier must explain the actual or potential conflict in writing in sufficient detail so that the U.S. Office of General Services, Sourcewell, and Participating Entity are able to assess the actual or potential conflict; and provide any additional information as necessary or requested.

R. U.S. EXECUTIVE ORDER 13224. The Supplier, and its subcontractors, must comply with U.S. Executive Order 13224 and U.S. Laws that prohibit transactions with and provision of resources and support to individuals and organizations associated with terrorism.

S. PROHIBITION ON CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT. To the extent applicable, Supplier certifies that during the term of this Contract it will comply with applicable requirements of 2 C.F.R. § 200.216.

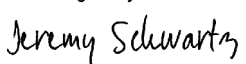
T. DOMESTIC PREFERENCES FOR PROCUREMENTS. To the extent applicable, Supplier certifies that during the term of this Contract will comply with applicable requirements of 2 C.F.R. § 200.322.

22. CANCELLATION

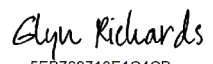
Sourcewell or Supplier may cancel this Contract at any time, with or without cause, upon 60 days' written notice to the other party. However, Sourcewell may cancel this Contract immediately upon discovery of a material defect in any certification made in Supplier's Proposal. Cancellation of this Contract does not relieve either party of financial, product, or service obligations incurred or accrued prior to cancellation.

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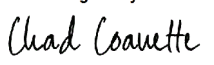
Sourcewell

DocuSigned by:

By: C0FD2A139D06489...
Jeremy Schwartz
Title: Chief Procurement Officer
Date: 1/11/2022 | 1:20 PM CST

Brindlee Mountain Fire Apparatus, LLC

DocuSigned by:

By: 5EB769710E1C4CB...
Glyn Richards
Title: Business Development
Date: 1/25/2022 | 3:04 PM EST

Approved:

DocuSigned by:

By: 7E42B8F817A64CC...
Chad Coauette
Title: Executive Director/CEO
Date: 1/25/2022 | 2:30 PM CST

RFP 120921 - Used and Pre-Owned Firefighting, Fire Service, Ambulance, and Emergency Service Vehicle Solutions

Vendor Details

Company Name: Brindlee Mountain Fire Apparatus, LLC
Address: 15410 US Hwy 231
Union Grove, AL 35175
Contact: Glyn Richards
Email: grichards@firetruckmall.com
Phone: 256-517-7995
HST#: 63-1281979

Submission Details

Created On: Friday November 05, 2021 09:44:21
Submitted On: Thursday December 09, 2021 10:11:26
Submitted By: Lisa Kirby
Email: lkirby@firetruckmall.com
Transaction #: 9ad436db-5612-4f7d-bf1c-744afb20faab
Submitter's IP Address: 68.113.105.28

Specifications

Table 1: Proposer Identity & Authorized Representatives

General Instructions (applies to all Tables) Sourcewell prefers a brief but thorough response to each question. Do not merely attach additional documents to your response without also providing a substantive response. Do not leave answers blank; respond "N/A" if the question does not apply to you (preferably with an explanation).

Line Item	Question	Response *	
1	Proposer Legal Name (one legal entity only): (In the event of award, will execute the resulting contract as "Supplier")	Brindlee Mountain Fire Apparatus, LLC	*
2	Identify all subsidiary entities of the Proposer whose equipment, products, or services are included in the Proposal.	Firetrucks Unlimited, LLC	*
3	Identify all applicable assumed names or DBA names of the Proposer or Proposer's subsidiaries in Line 1 or Line 2 above.	None	*
4	Proposer Physical Address:	15410 US Highway 231, Union Grove, AL 35175-8541	*
5	Proposer website address (or addresses):	http://www.firetruckmall.com http://www.firetrucksunlimited.com	*
6	Proposer's Authorized Representative (name, title, address, email address & phone) (The representative must have authority to sign the "Proposer's Assurance of Compliance" on behalf of the Proposer and, in the event of award, will be expected to execute the resulting contract):	Glyn Richards, Business Development, grichards@firetruckmall.com, (256) 517-7995 Mail: 15410 US Highway 231, Union Grove, AL 35175-8541	*
7	Proposer's primary contact for this proposal (name, title, address, email address & phone):	Glyn Richards, Business Development, grichards@firetruckmall.com, (256) 517-7995 Mail: 15410 US Highway 231, Union Grove, AL 35175-8541	*
8	Proposer's other contacts for this proposal, if any (name, title, address, email address & phone):	Nathan Jewett, Apparatus Sales & Purchasing Manager, njewett@firetruckmall.com, (256) 203-4929 Mail: 15410 US Highway 231, Union Grove, AL 35175-8541	

Table 2: Company Information and Financial Strength

Line Item	Question	Response *
9	Provide a brief history of your company, including your company's core values, business philosophy, and industry longevity related to the requested equipment, products or services.	At Brindlee Mountain Fire Apparatus (BMFA) we empower North America's firefighting communities with quality, affordable vehicles and the highest standard of customer service. BMFA is the largest seller of used and pre-owned fire trucks in both the US & Canada. The business was founded by James Wessel in 2001, who is still active in the day to day operations. Mr. Wessel's passion is the fire industry, to support fire departments nationwide. Fueled by that passion, he has built a very successful company that sells over 500 trucks annually. BMFA's core values are Integrity, Service, Excellence, and Gratitude. The mission is to be excellent in every aspect of buying, selling, and fixing used fire trucks.
10	What are your company's expectations in the event of an award?	BMFA does all things related to fire trucks, except build new ones. This proposal offers a wide array of products and services which empower fire departments of local communities to get the most from their fleets. The company expects to assist many Participating Entities to maximize the efficiency and effectiveness of their fire apparatus fleet. The result will be an increase in the annual value from the firefighting apparatus contract of Sourcewell.
11	Demonstrate your financial strength and stability with meaningful data. This could include such items as financial statements, SEC filings, credit and bond ratings, letters of credit, and detailed reference letters. Upload supporting documents (as applicable) in the document upload section of your response.	BMFA celebrated its twentieth anniversary in 2021, earning a steady trend of increasing revenue and profits since the beginning. The company is well-capitalized, enabling the flexibility to acquire used fire trucks for cash, maintaining the largest inventory in the industry, and investing in equipment, people, and systems to serve customers with excellence. As a private company, the firm's financial information is confidential, however, an attached letter from Regions Bank speaks to BMFA's financial condition.
12	What is your US market share for the solutions that you are proposing?	BMFA is the largest buyer and seller of used fire trucks in the US. Based on the limited market information available, BMFA estimates its market share to be 50%.
13	What is your Canadian market share for the solutions that you are proposing?	BMFA is also the largest buyer of used Canadian fire trucks, however, there is not enough market data to reliably estimate Brindlee's share.
14	Has your business ever petitioned for bankruptcy protection? If so, explain in detail.	No
15	How is your organization best described: is it a manufacturer, a distributor/dealer/reseller, or a service provider? Answer whichever question (either a) or b) just below) best applies to your organization. a) If your company is best described as a distributor/dealer/reseller (or similar entity), provide your written authorization to act as a distributor/dealer/reseller for the manufacturer of the products proposed in this RFP. If applicable, is your dealer network independent or company owned? b) If your company is best described as a manufacturer or service provider, describe your relationship with your sales and service force and with your dealer network in delivering the products and services proposed in this RFP. Are these individuals your employees, or the employees of a third party?	a) From an enterprise view, BMFA is best described as a dealer -- buying products manufactured by others, then remounting & refurbishing those products for sale to fire apparatus end-users. Much like a used automobile dealer, BMFA does not need authorization from vehicle manufacturers to trade. b) BMFA is also a service provider, with a range of solutions for fire departments. The services proposed herein would be provided directly by the company's employees in most cases. In some cases, where beneficial to Participating Entities, services may be provided by independent dealers authorized by BMFA.
16	If applicable, provide a detailed explanation outlining the licenses and certifications that are both required to be held, and actually held, by your organization (including third parties and subcontractors that you use) in pursuit of the business contemplated by this RFP.	BMFA's business does not require certifications. However, the company employs 23-technicians who collectively hold 215-Emergency Vehicle Technician certifications. BMFA holds the requisite licenses to conduct its business under the laws of the State of Alabama. Firetrucks Unlimited holds the requisite licenses to conduct its business under the laws of the State of Nevada.
17	Provide all "Suspension or Debarment" information that has applied to your organization during the past ten years.	None

Table 3: Industry Recognition & Marketplace Success

Line Item	Question	Response *	
18	Describe any relevant industry awards or recognition that your company has received in the past five years	The used fire truck market is a very specialized industry that does not offer any awards or recognition. In our 20 years of business, we have grown to become the largest entity in this market segment. A testament to our position in the industry is the frequency with which insurance companies contact BMFA regarding fire truck claims. While the personal automobile industry has authorized sources for vehicle valuations (e.g. Kelly Blue Book, NADA), that is not available for used fire trucks. Over the years, these insurance companies have recognized the value of our industry-leading data and experience.	*
19	What percentage of your sales are to the governmental sector in the past three years	As fire departments are our primary customers, a large portion of our business is conducted within the governmental sector. From 2018-2020, 66% of our business was with governmental organizations.	*
20	What percentage of your sales are to the education sector in the past three years	On occasion, we conduct business with a college/university or fire college program. From 2018-2020, less than 1% of our business was with the education segment.	*
21	List any state, provincial, or cooperative purchasing contracts that you hold. What is the annual sales volume for each of these contracts over the past three years?	BMFA was awarded a fire apparatus contract through NPPGov in the summer of 2020. In the 15-months since then, 14-transactions were generated, the aggregate value of which was \$1.5 million.	*
22	List any GSA contracts or Standing Offers and Supply Arrangements (SOSA) that you hold. What is the annual sales volume for each of these contracts over the past three years?	None.	*

Table 4: References/Testimonials

Line Item 23. Supply reference information from three customers who are eligible to be Sourcwell participating entities.

Entity Name *	Contact Name *	Phone Number *	
Bedford Fire Department, VA	Brad Creasy	+1 (540) 537-4703	*
Edisto Beach Fire Department, SC	Denney Conley	+1 (843) 830-1369	*
Banks County Fire Department, GA	Steve Nichols	+1 (706) 708-6546	*

Table 5: Top Five Government or Education Customers

Line Item 24. Provide a list of your top five government, education, or non-profit customers (entity name is optional), including entity type, the state or province the entity is located in, scope of the project(s), size of transaction(s), and dollar volumes from the past three years.

Entity Name	Entity Type *	State / Province *	Scope of Work *	Size of Transactions *	Dollar Volume Past Three Years *	
US Dept of Defense	Government	District of Columbia - DC	Refurbish & repair fire apparatus	Avg transaction size \$321,000	\$3 million	*
Arlington County	Government	Virginia - VA	Purchase of surplus fire apparatus	Avg transaction size \$181,000	\$2.17 million	*
City of Schenectady	Government	New York - NY	Purchase & brokerage of surplus fire apparatus	Avg transaction size \$233,000	\$2.10 million	*
Public University affiliated fire college	Education	Alabama - AL	Fire apparatus preventative maintenance	\$20,000	\$62,000	*
Banks County	Government	Georgia - GA	Purchase, brokerage & sales of used fire apparatus, collision repair	Avg transaction size \$39,000	\$197,000	*

Table 6: Ability to Sell and Deliver Service

Describe your company's capability to meet the needs of Sourcwell participating entities across the US and Canada, as applicable. Your response should address in detail at least the following areas: locations of your network of sales and service providers, the number

of workers (full-time equivalents) involved in each sector, whether these workers are your direct employees (or employees of a third party), and any overlap between the sales and service functions.

Line Item	Question	Response *
25	Sales force.	Our Alabama-based sales team of 12 full-time direct-employed salespeople has over 125 years in combined service within the fire industry. Each member is highly trained and eager to assist fire departments find quality used fire trucks that match their needs and are within their budget parameters. We pride ourselves on providing world class service that creates raving fans and generates repeat business.
26	Dealer network or other distribution methods.	With over 20 years in business, BMFA champions relationships with other dealers, both new and used, across the North American fire service industry. These relationships create value for fire departments in every state and Canadian province. These dealer relationships support our inventory by sourcing trade-in trucks to purchase, provide technical and warranty support after trucks are delivered, and ensure quality repairs can be completed years after trucks are placed into service.
27	Service force.	The BMFA Service Team is highly trained, certified, and capable of completing nearly any repairs imaginable. We currently have 6 Master EVT Technicians, 5 Master ARFF Technicians, and a total of 215 EVT certifications among our 23 total certified technicians.
28	Describe the ordering process. If orders will be handled by distributors, dealers or others, explain the respective roles of the Proposer and others.	The purchasing process for a fire truck purchase is buyer-focused and designed to provide buyers with a variety of options in a non-pressure / stress-free environment. We are able to hold any truck that we own for up to two weeks simply based on a buyer's travel plans for an inspection. During the inspection, we host the department by picking them up from the airport (to avoid the need for a rental car), cover hotel accommodations, and meals. The only hard cost for our buyers is the typical purchase are their airline costs. After the inspection, we can continue holding the truck for an additional 10 days to give the buyer time to gain the approval needed to sign off on a sales agreement. Pricing, for the typical inventory truck, includes the appropriate testing (i.e. pump test, aerial test, etc.), chassis service, completion of a shop list of any needed repairs, and a major component warranty. Buyers are given full authority to notate any repair needs noted during their inspection. Once the sales agreement is signed, the completion of the shop list of repairs begins. At the completion of the shop list, final payment is due and delivery is arranged.
29	Describe in detail the process and procedure of your customer service program, if applicable. Include your response-time capabilities and commitments, as well as any incentives that help your providers meet your stated service goals or promises.	BMFA utilizes templates built into its ERP system to control order accuracy and completeness. Further, in-person customer truck inspections, pre and post-sale, provide real world confirmation with customers of specifications, scope of work and compliance. These systems will be employed serving Participating Entities.
30	Describe your ability and willingness to provide your products and services to Sourcewell participating entities in the United States.	All inventory and services are available to all Participating Entities
31	Describe your ability and willingness to provide your products and services to Sourcewell participating entities in Canada.	All inventory and services are available to all Participating Entities
32	Identify any geographic areas of the United States or Canada that you will NOT be fully serving through the proposed contract.	There are no geographic restrictions for products or services provided in this proposal.
33	Identify any Sourcewell participating entity sectors (i.e., government, education, not-for-profit) that you will NOT be fully serving through the proposed contract. Explain in detail. For example, does your company have only a regional presence, or do other cooperative purchasing contracts limit your ability to promote another contract?	All inventory and services are available to all Participating Entities
34	Define any specific contract requirements or restrictions that would apply to our participating entities in Hawaii and Alaska and in US Territories.	No specific requirements or restrictions are in place for entities in Hawaii or Alaska. BMFA has successfully sold to buyers in both Hawaii and Alaska. The company has also had multiple inventory purchases from the State of Alaska.

Table 7: Marketing Plan

Line Item	Question	Response *	
35	Describe your marketing strategy for promoting this contract opportunity. Upload representative samples of your marketing materials (if applicable) in the document upload section of your response.	BMFA will use an omni-channel strategy to market the Sourcwell contract. For example, BMFA's sales and marketing teams will actively present and promote Sourcwell to our customers. In addition, BMFA will actively promote the Sourcwell contract through all of our digital marketing channels, e.g. Facebook, LinkedIn, Instagram. *Marketing Samples have been uploaded into the document upload section.	*
36	Describe your use of technology and digital data (e.g., social media, metadata usage) to enhance marketing effectiveness.	BMFA is a robust user of digital marketing technologies. The primary point of entry for customers is our website, firetruckmall.com. BMFA also utilizes an array of social media platforms, email marketing, and digital content ads. As evidence, FireTruckMall.com consistently ranks as the number one website for the most relevant search terms. Further, through Fire Truck Finder®, BMFA controls the secondary-market fire truck content on over 60 websites in the fire industry.	*
37	In your view, what is Sourcwell's role in promoting contracts arising out of this RFP? How will you integrate a Sourcwell-awarded contract into your sales process?	The Sourcwell-awarded contract will be presented to existing and potential customers in every situation that would benefit the customer in their process of fulfilling their needs. BMFA's sales representatives are skilled in identifying customer needs and promoting the contract's benefits. BMFA will count on Sourcwell to make known BMFA's products and services, and to assist with customers' legal and membership questions.	*
38	Are your products or services available through an e-procurement ordering process? If so, describe your e-procurement system and how governmental and educational customers have used it.	Yes. Participating Entities are able to participate in most of our offered services digitally.	*

Table 8: Value-Added Attributes

Line Item	Question	Response *	
39	Describe any product, equipment, maintenance, or operator training programs that you offer to Sourcewell participating entities. Include details, such as whether training is standard or optional, who provides training, and any costs that apply.	Participating Entities do not require any specialized training to fully utilize the proposed services.	*
40	Describe any technological advances that your proposed products or services offer.	To retain its leadership role in the industry, BMFA continually invests heavily in systems and technology. For example, BMFA was an early-adopter of an enterprise resource planning system. As evidence, BMFA is routinely sought after by major players in the fire apparatus industry for advice about technological advances.	*
41	Describe any "green" initiatives that relate to your company or to your products or services, and include a list of the certifying agency for each.	Repurposing pre-owned fire apparatus is foundational to BMFA's business model. Efficient use of refurbishment and remounting processes extends the useful life of individual trucks and entire fleets, thus conserving community financial resources and lowering their carbon footprint.	*
42	Identify any third-party issued eco-labels, ratings or certifications that your company has received for the equipment or products included in your Proposal related to energy efficiency or conservation, life-cycle design (cradle-to-cradle), or other green/sustainability factors.	BMFA maintains standards set forth by the EPA and OSHA and relevant state agencies. BMFA has not sought third-party eco-labels.	*
43	Describe any Women or Minority Business Entity (WMBE), Small Business Entity (SBE), or veteran owned business certifications that your company or hub partners have obtained. Upload documentation of certification (as applicable) in the document upload section of your response.	None	*
44	What unique attributes does your company, your products, or your services offer to Sourcewell participating entities? What makes your proposed solutions unique in your industry as it applies to Sourcewell participating entities?	BMFA's robust offerings of products and services has facilitated more used fire truck sales than any other company in the world. BMFA's proposed solutions utilize the latest in technology and digital marketing. This will be an added proven value solution to Sourcewell's Participating Entities	*

Table 9A: Warranty

Describe in detail your manufacturer warranty program, including conditions and requirements to qualify, claims procedure, and overall structure. You may upload representative samples of your warranty materials (if applicable) in the document upload section of your response in addition to responding to the questions below.

Line Item	Question	Response *	
45	Do your warranties cover all products, parts, and labor?	Warranties are available for all inventory units sold, as well as remounted and refurbished vehicles. Inventory units are defined as any Apparatus owned by BMFA. Warranties are not available offered for any Apparatus owned by other parties, but brokered by BMFA.	*
46	Do your warranties impose usage restrictions or other limitations that adversely affect coverage?	Warranties cover parts and labor for the repair of any major component failure in which the repair costs exceed \$3000. Warranties are only available for Apparatus owned by BMFA, also referred to as an "inventory apparatus". See the standard warranty clause included with inventory apparatus purchase agreements in the Warranty upload section	*
47	Do your warranties cover the expense of technicians' travel time and mileage to perform warranty repairs?	Yes. Warranties do include travel and / or mileage expenses related to covered repairs.	*
48	Are there any geographic regions of the United States or Canada (as applicable) for which you cannot provide a certified technician to perform warranty repairs? How will Sourcewell participating entities in these regions be provided service for warranty repair?	There are no geographic restrictions for warranties included with apparatus purchases in the United States or Canada. BMFA reserves the right to have 3rd party EVT certified technicians complete the needed warranty repairs. Doing so allows for a quicker response time to complete the needed warranty repairs.	*
49	Will you cover warranty service for items made by other manufacturers that are part of your proposal, or are these warranties issues typically passed on to the original equipment manufacturer?	Warranties included in the purchase of any inventory apparatus are available regardless of the apparatus manufacturer.	*
50	What are your proposed exchange and return programs and policies?	BMFA is proud to offer a condition guarantee with the purchase of any inventory apparatus. This guarantee ensures the apparatus purchased is in the condition described by BMFA, or noted by the Buyer during inspection. The following clause is noted on sales agreements: "Seller guarantees the apparatus shall be in the same general condition as was described in public listing, or noted during inspection on Month/Date/Year. If a significant change in condition occurs, Buyer has the right to void the agreement and receive a full refund of any deposits made to Seller."	*
51	Describe any service contract options for the items included in your proposal.	While uncommon, BMFA willing to include the cost of the annual testing and chassis service for the year following purchase.	*

Table 9B: Performance Standards or Guarantees

Describe in detail your performance standards or guarantees, including conditions and requirements to qualify, claims procedure, and overall structure. You may upload representative samples of your performance materials (if applicable) in the document upload section of your response in addition to responding to the questions below.

Line Item	Question	Response *	
52	Describe any performance standards or guarantees that apply to your services	Each apparatus is processed through a multi-point inspection, assessing the condition of the undercarriage, tires, cab, warning lights, department of transportation-required lighting, body lighting, generator, pump, body and aerial device (if required). The apparatus is then road tested and fluids are inspected. All firefighting components are tested for full functionality. All apparatus are guaranteed to be inspected. All fire fighting components are inspected to the performance standards established by the National Fire Protection Association. BMFA guarantees a full condition report will be provided to the customer.	*
53	Describe any service standards or guarantees that apply to your services (policies, metrics, KPIs, etc.)	The industry standard for apparatus refurbishment is between 120 and 180 days. BMFA seeks to finish all repair and refurbishment work within 80 days of when a project begins. BMFA guarantees each apparatus receives a quality control inspection by a Master Emergency Vehicle Technician. BMFA is home to 5 Master ARFF technicians and 7 Master Fire Apparatus technicians, certified by the Emergency Vehicle Technician Certification Commission.	*

Table 10: Payment Terms and Financing Options

Line Item	Question	Response *	
54	Describe your payment terms and accepted payment methods.	For the purchase of an apparatus a requirement of 25% with remaining balance due at time of pick up or delivery. Refurbishment customers will be presented with an invoice for parts and labor at the conclusion of job work completion.	*
55	Describe any leasing or financing options available for use by educational or governmental entities.	This proposal offers apparatus rental. Please refer to Table 14A, Response #70.	*
56	Describe any standard transaction documents that you propose to use in connection with an awarded contract (order forms, terms and conditions, service level agreements, etc.). Upload a sample of each (as applicable) in the document upload section of your response.	A Copy of BMFA sales agreement has been uploaded into the Standard Transaction Document upload section as requested.	*
57	Do you accept the P-card procurement and payment process? If so, is there any additional cost to Sourcwell participating entities for using this process?	Yes. This is accepted at no additional cost.	*

Table 11: Pricing and Delivery

Provide detailed pricing information in the questions that follow below. Keep in mind that reasonable price and product adjustments can be made during the term of an awarded Contract as described in the RFP, the template Contract, and the Sourcwell Price and Product Change Request Form.

Line Item	Question	Response *	
58	Describe your pricing model (e.g., line-item discounts or product-category discounts). Provide detailed pricing data (including standard or list pricing and the Sourcwell discounted price) on all of the items that you want Sourcwell to consider as part of your RFP response. If applicable, provide a SKU for each item in your proposal. Upload your pricing materials (if applicable) in the document upload section of your response.	BMFA offers product and service category discounts for Participating Entities. Details are shown on the Sourcwell Customer Pricing document uploaded in the Pricing Section.	*
59	Quantify the pricing discount represented by the pricing proposal in this response. For example, if the pricing in your response represents a percentage discount from MSRP or list, state the percentage or percentage range.	Pricing discounts are shown on the Sourcwell Customer Pricing document uploaded in the Pricing Section.	*
60	Describe any quantity or volume discounts or rebate programs that you offer.	Quantity discounts apply to BMFA's brokerage service. Details are shown on the Sourcwell Customer Pricing document uploaded in the Pricing Section	*
61	Propose a method of facilitating "sourced" products or related services, which may be referred to as "open market" items or "nonstandard options". For example, you may supply such items "at cost" or "at cost plus a percentage," or you may supply a quote for each such request.	BMFA offers a wide range of parts and equipment that can be installed on an apparatus purchased through any of the programs and services on this contract. BMFA will honor the same labor and parts discounts as presented in this contract for Refurbishment as a Service (RaaS), Remount as a Service, and Collision repair. An itemized quote can be provided free of charge for any upgrade, customization, or installation projects.	*
62	Identify any element of the total cost of acquisition that is NOT included in the pricing submitted with your response. This includes all additional charges associated with a purchase that are not directly identified as freight or shipping charges. For example, list costs for items like pre-delivery inspection, installation, set up, mandatory training, or initial inspection. Identify any parties that impose such costs and their relationship to the Proposer.	For the acquisition of fire apparatus from BMFA's inventory, there are no additional charges, except freight. All other costs associated are the responsibility of BMFA, not the Participating Entity. For apparatus rental, Participating Entities are responsible for maintaining and insuring vehicles during the rental term through providers of their choosing. For selling apparatus to BMFA, including the buybacks, Participating Entities are expected to provide a current pump test and aerial certification through providers of their choosing.	*
63	If freight, delivery, or shipping is an additional cost to the Sourcwell participating entity, describe in detail the complete freight, shipping, and delivery program.	For the purchase or sale of fire apparatus, delivery costs are not the responsibility of the Participating Entity. For services, including leasing, delivery costs are the responsibility of the Participating Entity.	*
64	Specifically describe freight, shipping, and delivery terms or programs available for Alaska, Hawaii, Canada, or any offshore delivery.	For the purchase or sale of fire apparatus, freight costs are not the responsibility of Participating Entities. BMFA is experienced in shipping fire apparatus to Alaska, Hawaii, Canada & offshore delivery points, utilizing BMFA-certified, third-party providers.	*
65	Describe any unique distribution and/or delivery methods or options offered in your proposal.	BMFA is experienced in shipping fire apparatus which are over-dimensional, requiring specialized transportation equipment and permitting. BMFA utilizes third-party providers for these unique situations.	*

Table 12: Pricing Offered

Line Item	The Pricing Offered in this Proposal is: *	Comments
66	b. the same as the Proposer typically offers to GPOs, cooperative procurement organizations, or state purchasing departments.	Pricing discounts are shown on the Sourcwell Customer Pricing document uploaded in the Pricing Section.

Table 13: Audit and Administrative Fee

Line Item	Question	Response *	
67	Specifically describe any self-audit process or program that you plan to employ to verify compliance with your proposed Contract with Sourcewell. This process includes ensuring that Sourcewell participating entities obtain the proper pricing, that the Vendor reports all sales under the Contract each quarter, and that the Vendor remits the proper administrative fee to Sourcewell. Provide sufficient detail to support your ability to report quarterly sales to Sourcewell as described in the Contract template.	BMFA will employ its real-time, cloud-based enterprise system to capture and track all activity under the proposed contract, from initial contact with a Participating Entity, through transaction completion and the payment of the administrative fee. BMFA will report internally the real-time status of all contract opportunities. These records will provide an auditable, electronic trail, monitored with management reporting and controls.	*
68	If you are awarded a contract, provide a few examples of internal metrics that will be tracked to measure whether you are having success with the contract.	BMFA will track the number of opportunities generated, the number in the pipeline, close rate, and the amount of administrative fee due to Sourcewell.	*
69	Identify a proposed administrative fee that you will pay to Sourcewell for facilitating, managing, and promoting the Sourcewell Contract in the event that you are awarded a Contract. This fee is typically calculated as a percentage of Vendor's sales under the Contract or as a per-unit fee; it is not a line-item addition to the Member's cost of goods. (See the RFP and template Contract for additional details.)	Details of proposed fees are shown on the Sourcewell Administrative Fee document in the additional upload section.	*

Table 14A: Depth and Breadth of Offered Equipment Products and Services

Line Item	Question	Response *	
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70	Provide a detailed description of the equipment, products, and services that you are offering in your proposal.	USED APPARATUS PURCHASES: BMFA, solely a used apparatus dealer, proposes a full range of used fire trucks from all manufacturers. BMFA is not restricted to any region or manufacturer, so Participating Entities have the option of buying apparatus built by all major manufacturers. BMFA, while also a broker on behalf of other sellers, owns 160-180 fire trucks at any given time. When including brokered listings, BMFA offers well over 1,300 products to our customers. Inventory trucks = BMFA owned apparatus Brokered trucks = Apparatus listed on behalf of another seller APPARATUS RENTAL/LEASE: BMFA rents pumpers, aerials, and ARFFs. Renting is useful when a truck is needed on a temporary basis, or to provide budget flexibility. Both short-term and long-term rental contracts are available. BMFA anticipates paying a contract administration fee on rental transactions of 10% of lease payments when collected, up to a maximum of \$2,000 on any one lease agreement. BUY-BACK PROGRAM: Guaranteed custom quote to purchase your truck at multiple intervals in the future given at time of original purchase. Price based on Current Market Data for like vehicles. Impacting factors include specs, estimated wear and tear and milage. REFURBISHMENT: Reset service life extension of all systems or desired systems. Fabrication of body, plumbing, lighting for repair or upfit. COLLISION REPAIR: Subframe, plumbing and body paneling assessment and manufacturing. Restoration of frame, structure, skin and compartments. AMBULANCE AND FIRE APPARATUS REMOUNTS: Replace chassis, electrical, hardware, paint and graphics, while utilizing the existing ambulance box. The same process is performed utilizing the existing fire body of a fire apparatus. PUMP TEST: BMFA will perform the annual pump test requirement. During the test we will engage the pump and inspect pump packings or mechanical seals, and adjust or replace as necessary. U.S.D.O.T. INSPECTION: In accordance with the Federal Motor Carrier Safety Administration and the Department of Transportation, BMFA guarantees all vehicles receive and pass a "Part 396" inspection report and an annual vehicle inspection label. USED APPARATUS SALES: Fire departments regularly surplus apparatus. As a market-maker, BMFA buys used apparatus for its own inventory. After a quality check, the fire department receives cash in a timely manner for their truck. BMFA has earned the reputation during its 20-year history for honest dealings with fire departments. Another option for disposing of surplus fire trucks is BMFA's brokerage service. Photos and details of the truck are featured on the company's widely viewed, searchable website. BMFA brings together buyers and sellers, selling more fire trucks than any other party. The brokerage fee is payable only when BMFA supplies the buyer and a transaction is completed. APPARATUS ACCESSORIES: BMFA is capable of providing affixed equipment options, such as generators, light towers, scene lighting, etc. with the purchase of an inventory Apparatus. BMFA also guarantees a full complement of ground ladders, hard suction, and caps for intakes and discharges to be included with the purchase of any inventory apparatus. However, BMFA does not provide loose equipment, such as hose, pike poles, axes, extrication equipment, etc. *For an exhaustive listing of Apparatus Types, refer to the Breadth & Depth document in the upload section.	*
71	Within this RFP category there may be subcategories of solutions. List subcategory titles that best describe your products and services.	Firefighting Apparatus and Fire Service Vehicles	*

Table 14B: Depth and Breadth of Offered Equipment Products and Services

Indicate below if the listed types or classes of equipment, products, and services are offered within your proposal. Provide additional comments in the text box provided, as necessary.

Line Item	Category or Type	Offered *	Comments	
72	Sale or purchase of used or pre-owned vehicles of the types described in Lines 74-76 below.	☒ Yes ☐ No	See Breadth & Depth document in the upload section	*
73	Brokerage or consignment of used or pre-owned vehicles of the types described in Lines 74-76 below.	☒ Yes ☐ No	See Breadth & Depth document in the upload section	*
74	Used and pre-owned fire fighting apparatus and fire service vehicles	☒ Yes ☐ No	For an exhaustive listing of Apparatus Types, refer to the Breadth & Depth document in the upload section	*
75	Used and pre-owned ambulance and emergency medical service vehicles	☒ Yes ☐ No	See Breadth & Depth document in the upload section	*
76	Used and pre-owned emergency service vehicles	☒ Yes ☐ No	See Breadth & Depth document in the upload section	
77	Equipment, options, accessories, and supplies complementary or incidental to the purchase of a turnkey or complete unit of the vehicle types described in Lines 74-76 above	☒ Yes ☐ No	See answer to Question 70	*
78	Services related to transactions involving the vehicle types, equipment, or products described in Lines 74-77 above.	☒ Yes ☐ No	See answer to Question 70	*

Table 15: Industry Specific Questions

Line Item	Question	Response *	
79	Describe available installation, customization, or inspection services	BMFA's process of transforming used and pre-owned fire trucks suitable for a new fire department owner can entail extensive inspection and customization. BMFA has the technical capability of performing any installation, customization, or upgrade in compliance with the OEM guidelines and applicable standards from the year of manufacture (see Question 61 for additional information and pricing). Similarly, the collision, repair, remount and refurbishment services described herein include these same technical capabilities.	*
80	Describe available transport or delivery services	BMFA utilizes third-party agents for the transport and delivery of all apparatus. Each vendor has been thoroughly evaluated for industry-specific knowledge, fair pricing, and quality of service.	*

Exceptions to Terms, Conditions, or Specifications Form

Only those Proposer Exceptions to Terms, Conditions, or Specifications that have been accepted by Sourcewell have been incorporated into the contract text.

Documents

Ensure your submission document(s) conforms to the following:

1. Documents in PDF format are preferred. Documents in Word, Excel, or compatible formats may also be provided.
2. Documents should NOT have a security password, as Sourcewell may not be able to open the file. It is your sole responsibility to ensure that the uploaded document(s) are not either defective, corrupted or blank and that the documents can be opened and viewed by Sourcewell.
3. Sourcewell may reject any response where any document(s) cannot be opened and viewed by Sourcewell.
4. If you need to upload more than one (1) document for a single item, you should combine the documents into one zipped file. If the zipped file contains more than one (1) document, ensure each document is named, in relation to the submission format item responding to. For example, if responding to the Marketing Plan category save the document as "Marketing Plan."

- [Pricing](#) - Pricing- Brindlee Mountain Fire Apparatus.pdf - Wednesday December 08, 2021 09:22:41
- [Financial Strength and Stability](#) - Financial Strength & Stability - Brindlee Mountain Fire Apparatus.pdf - Wednesday December 08, 2021 09:23:02
- [Marketing Plan/Samples](#) - Marketing Samples- Brindlee Mountain Fire Apparatus.pdf - Wednesday December 08, 2021 09:23:21
- WMBE/MBE/SBE or Related Certificates (optional)
- [Warranty Information](#) - Warranty Information- Brindlee Mountain Fire Apparatus.pdf - Wednesday December 08, 2021 09:23:35
- [Standard Transaction Document Samples](#) - Standard Transaction Document Samples- Brindlee Mountain Fire Apparatus.zip - Wednesday December 08, 2021 09:24:04
- [Upload Additional Document](#) - Additional Documents- Brindlee Mountain Fire Apparatus.zip - Wednesday December 08, 2021 09:24:34

Addenda, Terms and Conditions

PROPOSER AFFIDAVIT AND ASSURANCE OF COMPLIANCE

I certify that I am the authorized representative of the Proposer submitting the foregoing Proposal with the legal authority to bind the Proposer to this Affidavit and Assurance of Compliance:

1. The Proposer is submitting this Proposal under its full and complete legal name, and the Proposer legally exists in good standing in the jurisdiction of its residence.
2. The Proposer warrants that the information provided in this Proposal is true, correct, and reliable for purposes of evaluation for contract award.
3. The Proposer, including any person assisting with the creation of this Proposal, has arrived at this Proposal independently and the Proposal has been created without colluding with any other person, company, or parties that have or will submit a proposal under this solicitation; and the Proposal has in all respects been created fairly without any fraud or dishonesty. The Proposer has not directly or indirectly entered into any agreement or arrangement with any person or business in an effort to influence any part of this solicitation or operations of a resulting contract; and the Proposer has not taken any action in restraint of free trade or competitiveness in connection with this solicitation. Additionally, if Proposer has worked with a consultant on the Proposal, the consultant (an individual or a company) has not assisted any other entity that has submitted or will submit a proposal for this solicitation.
4. To the best of its knowledge and belief, and except as otherwise disclosed in the Proposal, there are no relevant facts or circumstances which could give rise to an organizational conflict of interest. An organizational conflict of interest exists when a vendor has an unfair competitive advantage or the vendor's objectivity in performing the contract is, or might be, impaired.
5. The contents of the Proposal have not been communicated by the Proposer or its employees or agents to any person not an employee or legally authorized agent of the Proposer and will not be communicated to any such persons prior to Due Date of this solicitation.
6. If awarded a contract, the Proposer will provide to Sourcewell Participating Entities the equipment, products, and services in accordance with the terms, conditions, and scope of a resulting contract.
7. The Proposer possesses, or will possess before delivering any equipment, products, or services, all applicable licenses or certifications necessary to deliver such equipment, products, or services under any resulting contract.
8. The Proposer agrees to deliver equipment, products, and services through valid contracts, purchase orders, or means that are acceptable to Sourcewell Members. Unless otherwise agreed to, the Proposer must provide only new and first-quality products and related services to Sourcewell Members under an awarded Contract.
9. The Proposer will comply with all applicable provisions of federal, state, and local laws, regulations, rules, and orders.
10. The Proposer understands that Sourcewell will reject RFP proposals that are marked "confidential" (or "nonpublic," etc.), either substantially or in their entirety. Under Minnesota Statutes Section 13.591, subdivision 4, all proposals are considered nonpublic data until the evaluation is complete and a Contract is awarded. At that point, proposals become public data. Minnesota Statutes Section 13.37 permits only certain narrowly defined data to be considered a "trade secret," and thus nonpublic data under Minnesota's Data Practices Act.
11. Proposer its employees, agents, and subcontractors are not:
 1. Included on the "Specially Designated Nationals and Blocked Persons" list maintained by the Office of Foreign Assets Control of the United States Department of the Treasury found at: <https://www.treasury.gov/ofac/downloads/sdnlist.pdf>;
 2. Included on the government-wide exclusions lists in the United States System for Award Management found at: <https://sam.gov/SAM/>; or
 3. Presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from programs operated

by the State of Minnesota; the United States federal government or the Canadian government, as applicable; or any Participating Entity. Vendor certifies and warrants that neither it nor its principals have been convicted of a criminal offense related to the subject matter of this solicitation.

☒ By checking this box I acknowledge that I am bound by the terms of the Proposer's Affidavit, have the legal authority to submit this Proposal on behalf of the Proposer, and that this electronic acknowledgment has the same legal effect, validity, and enforceability as if I had hand signed the Proposal. This signature will not be denied such legal effect, validity, or enforceability solely because an electronic signature or electronic record was used in its formation. - Glyn Richards, Business Development, Brindlee Mountain Fire Apparatus, LLC

The Proposer declares that there is an actual or potential Conflict of Interest relating to the preparation of its submission, and/or the Proposer foresees an actual or potential Conflict of Interest in performing the contractual obligations contemplated in the bid.

☒ Yes ☐ No

The Bidder acknowledges and agrees that the addendum/addenda below form part of the Bid Document.

Check the box in the column "I have reviewed this addendum" below to acknowledge each of the addenda.

File Name	I have reviewed the below addendum and attachments (if applicable)	Pages
Addendum_2_Used_Fire_Ambulance_Emergency_Vehicles_RFP_120921 Tue November 9 2021 11:08 AM	☒	1
Addendum_1_Used_Fire_Ambulance_Emergency_Vehicles_RFP_120921 Wed October 27 2021 03:35 PM	☒	1

Sales Invoice

FIRETRUCKS UNLIMITED

1175 Center Point Dr.
Henderson, NV 89074
Phone: (702) 558-3352
Fax: (702) 558-3352
Mon-Fri 7am-4pm PST
FiretrucksUnlimited.com



INVOICE# 28090-1

CUST. PO

INVOICE DATE 05/02/2024

SALES REP Brian Reyburn

SALES #

METHOD

TERMS Upon Receipt

BILL TO

Pekin Fire Department

3232 Court St.
Pekin, IL. 61554

SHIP TO

Qty (UOM)	MFG Item No.	FTU Item No.	Item Description	Unit Price	Ext. Price
1		DEPOSIT	50% DEPOSIT FOR REFURB OF 2000 PIERCE DASH 100" PLATFORM AERIAL	\$363,478.00	\$363,478.00
1		CREDIT	CREDIT FOR ERNEST MONEY DEPOSIT PAID WITH CHECK 162467	(\$10,000.00)	(\$10,000.00)

Total: \$353,478.00

Thank you for your business!

Page 1 of 1

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**REQUEST FOR COUNCIL ACTION**

Agenda Date: May 13, 2024
To: Members of the City Council
From: Trent Reeise, Fire Chief

AGENDA ITEM: Resolution No. 136-24/25 Approving a Three-Year Contract with Rock Valley Health for Firefighter Physicals

DESCRIPTION: In February 2024, the Pekin Fire Department released an RFP for a comprehensive and competitive quote for firefighter physicals. National Fire Protection Association (NFPA) 1582 is the standard that outlines an occupational medical program that will reduce risks and provide the health, safety, and effectiveness of firefighters operating to protect life and property.

The following medical testing is required by NFPA 1582:

- Physical Exam
 1. Aerobic capacity
 2. Body composition
 3. A series of strength tests
 4. Muscle endurance
 5. Flexibility
- Blood Analysis
- Urinalysis
- Pulmonary Function Test
- Chest X-Ray (Due Every 3-5 Years)
- EKG
- Infectious Disease Screening
- Cancer Screening
- Audiometric Exam
- Vision Testing

The RFP yielded one response. Rock Valley Health's response to the RFP meets all requirements of NFPA 1582 as well as all portions of the RFP. Due to the cost, a multi-year plan is needed to accomplish all necessary testing for all members of the department. To reflect the multi-year implementation plan, a 3-year agreement is being presented.

Staff Recommends approval.

FINANCIAL IMPACT:

Requested Amount:\$53,562
 Line Item: 100-034-559000- \$53,562
 Budgeted Amount: \$40,000

Remaining Funds: (see note)

Notes: As the budget authority granted by the City Council is by spending category, this expenditure is under contractual and the Fire Dept has budget of \$989,305 in budget for contractual spending. None of which has been spent yet. Also, the Dept has \$50,000 of contingency that is also available.

REVIEWED BY:

Katherine Swise, City Attorney

Approved - 5/8/2024

Robert Grogan, Finance Consultant

Approved - 5/8/2024

John Dossey, City Manager

Approved - 5/10/2024

Sue McMillan, City Clerk

Final Approval - 5/10/2024

Resolution No. 136-24/25 Approving a Three-Year Contract with Rock Valley Health for Firefighter Physicals

WHEREAS, the Pekin Fire Department issued a Request for Proposals for provision of physical examination of Pekin firefighters in accordance with National Fire Protection Association ("NFPA") standards, with the goal of reducing risks and providing for the health, safety, and effectiveness of firefighters operating to protect life and property; and

WHEREAS, Rock Valley Health submitted the sole response to the RFP, a copy of which is attached hereto as Exhibit A; and

WHEREAS, the City Council finds that the proposal submitted by Rock Valley Health is reasonable, responsible, and in compliance with NFPA standards.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF PEKIN, TAZEWELL COUNTY, ILLINOIS, THAT:

Section 1. The foregoing findings and recitals are found to be true and correct and are incorporated herein.

Section 2. The proposal submitted by Rock Valley Health and attached hereto as Exhibit A is approved.

Section 3. The Mayor is authorized to execute, and the City Clerk is directed to attest, a contract with Rock Valley Physical Therapy Center to provide physical examinations of firefighters for a term not to exceed three years, in substantially the form set forth in Exhibit B attached hereto, with such modifications as the Mayor deems necessary and appropriate.

RESULT:	(0 TO 0)
MOVER:	None
SECONDER:	None
AYES:	None
NAYS:	None
ABSTAIN:	None
ABSENT:	

ADOPTED AND APPROVED at a Regular meeting of the City Council of the City of Pekin this _____ day of _____, 20____.

Mayor

ATTEST:

City Clerk

PROPOSAL

Pekin Fire Department

Annual Fire Fighter Physicals – April 29th, 2024

SERVICES

Step 1: Health Risk Assessment (HRA) (online)

- Easy to complete questionnaire (15-20 minutes) relating to lifestyle patterns
- Includes detailed personal health report at the completion of step #3

Step 2: Fluid samples & biometrics

- Complete blood panel collected via venipuncture and urine sample
 - CBC with auto diff, CMP, complete lipid panel, A1c, urinalysis
 - *Full HAZMAT: Heavy metal, hormone testing (Offered year 1 and year 4)
 - *Partial HAZMAT: Heavy metal only (Offered year 2 and year 3)

Step 3: Biometrics, EKG, Physical exam

- Blood pressure, height, weight, BMI, body composition, and waist circumference.
- Resting EKG, pulmonary function test, physical exam, and evaluation of fluid samples/biometrics by physician to give clearance to perform fitness standards

Step 4: Fitness assessment

- Customized fitness assessment provided. Physical fitness pertains to the worker having the physiological readiness to perform max physical effort when required. Measures aerobic capacity, flexibility, muscular endurance and strength. Supports IAFF & ILEA protocol.

Program Fee: \$778/participant

- Online Health Risk Assessment
- Complete blood panel
 - Includes UA, HbA1C, and PSA
- Resting EKG
- Vision Test/Audiogram
- Pulmonary Function Test
- Physician physical/HAZMAT Exam
- Complete WFI fitness assessment

Additional Services:

- Chest X-ray (2 views): \$165
- *Full HAZMAT Blood: \$361
- *Partial HAZMAT Blood: \$221
- QuantiFERON TB Blood Test: \$95
- Repeat CBC Blood Draw: \$15/test
- Repeat CMP Blood Draw: \$15/test
- Onsite Strength & Conditioning Coach – Peer Fitness Training: \$120/hr
- Registered Dietitian: \$65/hr

Annual Cost Estimate: \$53,562

- 56 participants (Program fee) x \$778 = \$43,568
- 19 participants (Chest x-ray) x \$165 = \$3,135
- 19 participants (Full HAZMAT) x \$361 = \$6,859

WELLNESS PROVIDER AGREEMENT

This Wellness Provider Agreement (this "Agreement") dated as of the 30th day of April, 2024 (this "Agreement"), is made between Rock Valley Physical Therapy Center ("Rock Valley") an Illinois professional corporation located at 850 43rd Ave, Moline, Illinois, and City of Pekin – Fire Department ("Pekin"), with a mailing address of 3232 Court St. Pekin, IL 61554.

WITNESSETH:

WHEREAS, Pekin desires to engage the services of Rock Valley as its exclusive vendor for wellness services (the "Services" as defined herein) regarding the locations set forth on Schedule 1 attached hereto, as amended from time to time; and

WHEREAS, Rock Valley desires to provide such Services for and on behalf of Pekin in accordance with the terms and conditions set forth herein.

NOW THEREFORE, in consideration of the mutual covenants contained herein, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto, intending to be legally bound hereby, agree as follows:

1. **Services.** Rock Valley hereby agrees to perform services and duties set forth on Exhibit A attached hereto and incorporated herein by reference, as the same may be amended from time to time (the "Services") during the term of this Agreement. Rock Valley shall in a timely manner consistent with standard industry practices use commercially reasonable efforts in performing its duties under this Agreement. Pekin shall advise Rock Valley of the applicable policies, procedures and requirements of Pekin and provide copies of the same in writing. Rock Valley agrees to comply with such policies, procedures and requirements in performing Services hereunder.

2. **Rock Valley's Compensation.**

A. As compensation for Rock Valley's performance of Services hereunder, Pekin shall pay Rock Valley for those Services rendered per the fee schedule set forth in Exhibit B, as the same may be amended from time to time upon the mutual agreement of the parties (the "Compensation"). Rock Valley shall invoice Pekin monthly with Compensation payable net thirty (30) days from the date of invoice. If Pekin fails to pay the Compensation when due, Pekin shall pay Rock Valley interest thereon at a periodic rate of one and one-half percent (1 1/2 %) per month or, if lower, the highest rate permitted by law.

3. **Term and Termination.** This Agreement shall commence on the date hereof for a term of three (3) years and shall automatically renew for successive one year periods unless and until terminated pursuant to the terms of this Agreement. Either party may terminate this Agreement upon sixty (60) days prior written notice to the other party at any time for any or no reason whatsoever. Either party shall have the right to terminate this Agreement for cause upon the occurrence of any of the following events of default: (i) if the other party defaults in the performance of any material provision of this Agreement and such default continues for a period of thirty (30) days after the receipt of written notice to the defaulting party stating this specific default; or (ii) if any party applies for or consents to the appointment of a receiver, trustee or liquidator, or is unable to pay its debts as they become due, makes a general assignment for the benefit of creditors or takes advantage of any bankruptcy or insolvency law.

4. **Rock Valley Obligations.** In the performance of all Services hereunder, Rock Valley shall:

A. be deemed to be and shall be an independent contractor and, as such, Rock Valley's employees shall not be entitled to any benefit applicable to employees of Pekin or any Affiliate thereof;

B. be and shall be considered an independent contractor, responsible for methods and means used in performing Rock Valley's services under this Agreement, and is not an agent or partner of Pekin;

C. perform all services hereunder in accordance with current professional standards and in a thorough and conscientious manner;

D. bear sole responsibility for the payment of all applicable governmental taxes including, without limitation, federal, state and local income taxes and all employment and disability insurances, Social Security and other similar taxes;

E. at its sole cost and expense, maintain general liability, professional liability and errors and omissions insurance coverage, each with a limit of not less than \$1,000,000, and workers compensation insurance in amounts no less than those required by law, in all cases with insurers reasonably acceptable to Pekin. A Certificate of Insurance indicating such coverage will be delivered to Pekin upon execution of this Agreement and thereafter upon request. The Certificate of Insurance for general liability will name Pekin as an additional named insured; and

F. be responsible for the administration of any health, wellness, or other similar programs (the "**Wellness Offerings**") as more specifically set forth on Exhibit A, such Wellness Offerings which shall be designed solely by Pekin.

5. **Pekin Obligations.** To facilitate the performance of Services hereunder, Pekin shall:

A. at its sole cost and expense, maintain general liability and errors and omissions insurance coverage, each for the limit of not less than One Million Dollars (\$1,000,000), and worker's compensation insurance in amounts no less than those required by law, in all cases with insurers reasonably acceptable to Rock Valley. A certificate of insurance indicating such coverage will be delivered to Rock Valley upon execution of this Agreement and thereafter upon request;

B. secure all consents necessary from those members of Pekin workforce participating in the Services;

C. be solely responsible for the design of the Wellness Offerings and the Wellness Offerings' compliance with all applicable federal, state, and local laws, rules, and regulations.

6. Confidential Information.

A. Each party to this Agreement acknowledges that it and its affiliates and its employees/representatives will receive and be given access to certain confidential business information of the other party (the "Proprietary Information") (i) in which such other party has or will have a property interest; (ii) which has been and will be gathered or assembled by such other party through expenditures of time, money and effort; and (iii) which derives independent economic or commercial value, actual or potential, from not being generally known to, and not being readily ascertainable (except by improper means) by other persons in a position to obtain economic or commercial value from disclosure or use of such Proprietary Information. Each party further acknowledges that the other party's disclosures of Proprietary Information under the terms of this Paragraph 6 will be made in strict confidence and solely for the mutual benefit of the parties in connection with this Agreement.

B. Each party agrees on behalf of itself, its affiliates and its employees/representatives that, for the term of this Agreement and for all subsequent periods, it and they will hold in the strictest confidence, and will not disclose, directly or indirectly to any unauthorized person, any Proprietary Information of the other party described or referred to in this Agreement. Such Proprietary Information may be revealed by a party only to employees of such party on a need-to-know basis, and to other representatives only in confidence for business purposes when otherwise authorized by this Agreement.

C. Any other provision of this Agreement to the contrary notwithstanding, a party hereto shall incur no liability to the other party for disclosure of Proprietary Information if such disclosure is compelled by a governmental or judicial mandate, provided that the disclosing party shall have given the other party prompt written notice of such mandate prior to any such disclosure and shall have taken no action to prevent or interfere with any lawful efforts the other party might take to resist, modify or overturn such mandate or otherwise prevent such disclosures.

7. Conflicts of Interest; Non-Solicitation.

A. Rock Valley represents and warrants that Rock Valley has the requisite expertise and ability to perform the services hereunder and that there is no contractual or other legal obligation restricting Rock Valley from performing such services.

B. During the term of this Agreement and during the one (1) year period immediately following the day of termination, whether such termination is occasioned by Pekin, Rock Valley, or by mutual agreement of the parties, and whether such termination was with or without notice, warning or cause, Pekin shall not, directly or indirectly, by any means or devices whatsoever, in any individual or representative capacity hire, employ, or attempt to hire or employ any employee of Rock Valley or enter into an arrangement with, or attempt to enter into an arrangement with, any independent contractor which provides Services on behalf of Rock Valley.

D. It is the intention of the parties hereto that the confidentiality and restrictive covenants of this Agreement shall be enforceable to the fullest extent permissible under applicable law, but that the unenforceability (or modification to conform to such law) of any provision or provisions hereof shall not render unenforceable, or impair, the remainder thereof.

8. Compliance with Laws. In the performance of its duties and obligations under this Agreement, each of Pekin and Rock Valley shall at all times act in compliance with all applicable federal, state and local laws, rules, and regulations.

9. **HIPAA Privacy Regulations.** The parties hereto acknowledge that Privacy Regulations (the "Privacy Regulations") and Security Regulations have been promulgated under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"). HIPAA governs the use and disclosure of protected health information of patients by covered entities and business associates. Rock Valley and Pekin acknowledge that certain aspects of the anticipated program will fall within HIPAA, requiring the parties to enter into a Business Associate Agreement.

10. **Indemnification.** Each of the parties shall defend, indemnify and hold harmless the other party from and against any and all liability, loss, costs, expenses, damage, claim or demand on account of injuries (including death) to the other party and its employees or representatives arising out of or resulting in any manner from or occurring in connection with the indemnifying party's performance of its duties and obligations under this Agreement.

11. **Assignment.** Neither party shall assign this Agreement or any part thereof without the prior written consent of the other party, such consent which shall not unreasonably be withheld, conditioned or delayed.

12. **Waiver.** Failure of Pekin or Rock Valley at any time to require performance by the other of any provisions hereof shall in no way affect the full right to require such performance at any time thereafter, nor shall the waiver of either Rock Valley or Rock Valley of a breach of any provisions hereof be taken or held to be a waiver of the provision itself.

13. **Entire Agreement; Amendment.** This Agreement contains the entire agreement and understanding between the parties hereto with respect to the subject matter hereof, and merges and supersedes all prior discussions and writings with respect thereto. No modification or amendment of this Agreement shall be effective unless made in writing and signed by both parties.

14. **Damages; Limitation of Liability.** In no event shall either party be liable for any indirect, special, consequential, incidental, exemplary, or punitive damages (including without limitation damages for loss of revenue, loss of data, loss of profits or loss of goodwill) regardless of whether such party has been informed of the possibility of such damages.

15. **Enforceability.** If any provision of this Agreement, or portion thereof, is held to be invalid, illegal or unenforceable by a court of competent jurisdiction, such provision shall be severed from this Agreement and the remaining provisions of the Agreement shall remain in full force and effect. If, despite the express agreement of the parties hereto, any provision of this Agreement, or portion thereof, is held to be invalid, illegal or unenforceable by a court of competent jurisdiction because such provision(s) is unreasonable or for any other reason, such provision(s) as is determined reasonable by such court will be substituted for any provision held unenforceable.

16. **Governing Law.** This Agreement shall be governed by and interpreted in accordance with the laws of the State of Iowa without giving effect to its internal conflict of laws provisions. The parties hereby consent to the exclusive jurisdiction and venue of the state and federal courts of Rock Island County, Illinois.

17. **Notices.** Any notices or communications hereunder shall be in writing and hand delivered, sent by a recognized overnight delivery service, or sent in the United States mail, postage prepaid, by registered or certified mail, to the addresses provided on the first page hereof or such other address as either party shall notify the other of in writing.

IN WITNESS WHEREOF, the parties hereto executed this Agreement as of the day and year first written above, intending to be legally bound hereby.

WITNESS:

ROCK VALLEY:

By: _____
Name: _____
Title: _____
Date: _____

ATTEST/WITNESS:

CITY OF PEKIN

By: _____
Name: _____
Title: _____
Date: _____

Exhibit A
To
WELLNESS PROVIDER AGREEMENT
Rock Valley's Services and Duties

Rock Valley shall perform the following duties which shall be the "Services" as defined in the Agreement:

- Online Health Risk Assessment
- Complete blood panel (includes UA)
 - CBC with auto diff, CMP, complete lipid panel, A1c, PSA, urinalysis
 - Full HAZMAT (1/3 of department)
 - Partial HAZMAT (2/3 of department)
- Biometrics
- EKG
- Vision/hearing screening
- Pulmonary Function Test
- Physician physical
- HAZMAT Physical
- Complete WFI fitness assessment

Upon termination of this agreement, Pekin shall be provided with a summary of the aggregate data collected from its employees during the 6 month period immediately preceding the effective date of termination. The provision of such data to Pekin shall not transfer ownership of data to Pekin.

Exhibit B
To
WELLNESS PROVIDER AGREEMENT
Rock Valley's Compensation

Rock Valley shall perform the duties set forth on Exhibit A in consideration of the "Compensation" as defined in this Exhibit B:

Pricing Structure:

- **Standard Program Fee: \$778/person**
 - Online Health Risk Assessment
 - Complete blood panel (includes UA)
 - CBC with auto diff, CMP, complete lipid panel, A1c, PSA, urinalysis
 - Full HAZMAT (1/3 of department)
 - Partial HAZMAT (2/3 of department)
 - Biometrics
 - EKG
 - Vision/hearing screening
 - Pulmonary Function Test
 - Physician physical
 - HAZMAT Physical
 - Complete WFI fitness assessment
- **Additional Services (Upon Request):**
 - Chest X-ray (2 views): \$165
 - *Full HAZMAT Blood: \$361
 - *Partial HAZMAT Blood: \$221
 - QuantiFERON TB Blood Test: \$95
 - Repeat CBC Blood Draw: \$15/test
 - Repeat CMP Blood Draw: \$15/test
 - Onsite Strength & Conditioning Coach – Peer Fitness Training: \$120/hr
 - Registered Dietitian: \$65/hr

Note:

Annual Cost Estimate: \$53,562

- 56 participants (Program fee) x \$778/participant = \$43,568
- 19 participants (Chest x-ray) x \$165/participant = \$3,135
- 19 participants (Full HAZMAT) x \$361/participant = \$6,859

Pricing is estimated based on 56 participants



Making Better Lives.

Rock Valley
HEALTH

Request For Proposal (RFP)
For
NFPA 1582 Compliant Medical Evaluation Services
For the Pekin Fire Department

Submitted by Kenneth Asta

Director - Rock Valley Health
(Division of Rock Valley Physical Therapy)

TAB – A Introduction

1. Executive Overview

March 12, 2024th

Trent Reeise
Fire Chief
City of Pekin
111. S. Capital St.
Pekin, IL 61554

Chief Reeise,

Rock Valley Health is pleased to present this proposal to provide firefighter physicals for your workforce with the Pekin Fire Department. Within this document, we strive to communicate Rock Valley Health's expertise in creating and administering a comprehensive firefighter physical program that aligns with your stated health goals and initiatives.

Based on your service request, our team would like to propose our firefighter physical program in partnership with Traekos Workforce Medicine to be fully provided onsite at a designated Pekin Fire Station(s). The Rock Valley Health team and partners specialize in providing a high level of professional expertise, customized programming abilities, and outstanding success ratings specific to fire and police medical testing. Our annual physical program follows the designated NFPA 1582 guidelines, along with the IAFF – WFI protocols (International Association of Fire Fighters / Wellness-Fitness Initiative).

Rock Valley is a local business within Central Illinois region and our team truly appreciates the opportunity to work together. We look forward to partnering on your wellness initiative as we will all strive to accomplish your goals in obtaining a healthier workforce.

Please do not hesitate to contact me if you have any questions about this proposal or any other aspect of our services. You may reach me at (563)-324-0008 or kenneth.asta@rockvalleypt.com.

Sincerely,

A handwritten signature in blue ink, appearing to read 'K. Asta', with a long horizontal flourish extending to the right.

Kenneth Asta, CHC, CSCS, MBA
Rock Valley Health Director

2. Statement of Qualifications:



JB Pritzker
Governor

Illinois Department of Financial and Professional Regulation

Division of Professional Regulation

Mario Treto, Jr.
Secretary

Camile Lindsay
Acting Director
Division of
Professional
Regulation

CERTIFICATION OF LICENSURE

PETER MATOS
3493 ADELE LANE
BETTENDORF, IA 52722

Licensee: PETER G MATOS DO
Number: 036.129567
Profession: LICENSED PHYSICIAN AND SURGEON
Date of Issuance: 02/03/2012
Expiration Date: 07/31/2026
License Status: ACTIVE
License Method: ENDORSEMENT
Disciplinary History: Has not been disciplined

This document is a certified copy of the records maintained and kept by
this department in the regular course of business as of 10/16/2023



Camile Lindsay
Acting Director
Division of Professional Regulation

10/16/2023
Date

Refer to the Department's Web Site at IDFPR.Illinois.gov to verify professional licenses
via License Look-Up.

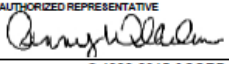
Facebook

IDFPR.Illinois.gov

YouTube

Twitter

3. Proof of Insurance:

ACORD®		CERTIFICATE OF LIABILITY INSURANCE		DATE (MM/DD/YYYY) 9/20/2023															
THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.																			
IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).																			
PRODUCER AssuredPartners Great Plains LLC 5025 Utica Ridge Rd, Ste 100 Davenport IA 52807		CONTACT NAME: Kelly Nichols PHONE (A/C No. Ext): 563-324-1011 FAX (A/C No.): 563-324-7909 E-MAIL ADDRESS: kelly.nichols@assuredpartners.com																	
INSURED Rock Valley Physical Therapy 850 43rd Avenue, Suite 100 Moline IL 61265		License# 1001000272 ROCK/AL-04		INSURER(S) AFFORDING COVERAGE <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>INSURER</th> <th>NAIC #</th> </tr> </thead> <tbody> <tr> <td>INSURER A: United Specialty Insurance Company</td> <td>12537</td> </tr> <tr> <td>INSURER B: Selective Insurance Company of America</td> <td>12572</td> </tr> <tr> <td>INSURER C: Travelers Casualty & Surety Co of Amer</td> <td>31194</td> </tr> <tr> <td>INSURER D:</td> <td></td> </tr> <tr> <td>INSURER E:</td> <td></td> </tr> <tr> <td>INSURER F:</td> <td></td> </tr> </tbody> </table>		INSURER	NAIC #	INSURER A: United Specialty Insurance Company	12537	INSURER B: Selective Insurance Company of America	12572	INSURER C: Travelers Casualty & Surety Co of Amer	31194	INSURER D:		INSURER E:		INSURER F:	
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INSURER D:																			
INSURER E:																			
INSURER F:																			
COVERAGES CERTIFICATE NUMBER: 862300839 REVISION NUMBER:																			
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.																			
INSR LTR	TYPE OF INSURANCE	ADDL INSR	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS													
B	☒ COMMERCIAL GENERAL LIABILITY ☐ CLAIMS-MADE ☒ OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: ☒ POLICY ☐ PRO-JECT ☒ LOC OTHER:		S 2548053	9/1/2023	9/1/2024	EACH OCCURRENCE \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$500,000 MED EXP (Any one person) \$10,000 PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$2,000,000 PRODUCTS - COMPOF AGG \$2,000,000 \$													
B	☒ AUTOMOBILE LIABILITY ☒ ANY AUTO ☐ OWNED AUTOS ONLY ☐ SCHEDULED AUTOS ☒ HIRED AUTOS ONLY ☒ NON-OWNED AUTOS ONLY		S 2548053	9/1/2023	9/1/2024	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$													
B	☒ UMBRELLA LIAB ☒ EXCESS LIAB ☒ OCCUR CLAIMS-MADE DED ☒ RETENTION \$ 0		S 2548053	9/1/2023	9/1/2024	EACH OCCURRENCE \$5,000,000 AGGREGATE \$5,000,000 \$													
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/OWNER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N/A	UB7T582460	9/1/2023	9/1/2024	PER STATUTE ☐ OTHER ☐ E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT \$1,000,000													
A	Professional		VGM14713136222-7	6/15/2023	9/1/2024	Each Incident \$1,000,000 Aggregate \$3,000,000													
DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required) All employees of Rock Valley Physical Therapy are covered under the Professional Liability policy referenced above. Professional Liability is excluded from Umbrella coverage.																			
CERTIFICATE HOLDER				CANCELLATION															
To Whom it May Concern				SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.															
				AUTHORIZED REPRESENTATIVE 															

ACORD 25 (2016/03)

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TAB-B Evaluation Criteria

1. Relevant Experience & Capabilities

Rock Valley Health, a division of Rock Valley Physical Therapy, was launched in 2013 to help further our mission of 'Making Better Lives.' Through a passionate and customer centered team, Rock Valley Health is now serving over 5,000 wellness participants monthly within 14 states. Rock Valley has been offering annual firefighter physicals since 2017.

Rock Valley Physical Therapy is a customer centered, therapist led organization dedicated to improving the health of our communities. We were founded by Mark Levsen PT, OCS, FAAOMPT and Steve Layer PT, OCS in 1984. Through a dedicated workforce committed to this mission, Rock Valley has grown to over 400 employees working in 63 outpatient physical therapy locations throughout Nebraska, Iowa, and Illinois. Rock Valley continues to be led by physical therapists with its ten shareholders and entire executive team still involved in patient care.

Administration Office

Rock Valley Physical Therapy
850 43rd Ave.
Moline, IL 61265
Phone: 309.743.2070
EIN: 36-3311720

Services available:

- Tactical Medicine and Fitness Testing (Annual Firefighter/Police Physicals)
- Peer Fitness Training
- Medical Nutrition Therapy
- Corporate Wellness
- Physical/Occupational Therapy
- Work Hardening/Work Conditioning
- Post-Offer Employment Test
- Functional Capacity Evaluation
- Work Comp case management
- On-site services: injury treatment, injury prevention training, ergonomics, job analysis
- Virtual Physical Therapy Services: Musculoskeletal program for pain management

Rock Valley's annual medical program was developed with the unique physical and environmental challenges of firefighters and law enforcement professionals in mind. These careers present a variety of unique physical challenges and environmental exposures which can cause serious — sometimes career-ending — physical as well as mental injury and illness.

The goal of Rock Valley's medical program is to offer a series of convenient/onsite testing that aims to evaluate and help prevent injuries and exposure related health concerns. Dr. Matos's experience with the International Association of Firefighters (IAFF) as well as his extensive experience with preventing,

diagnosing and treating chemical, biological and environmental exposures during his time in the military allowed us to develop a cost-effective and comprehensive examination that helps monitor for the potential changes and disease processes that can occur due to these occupational exposures.

We believe in a comprehensive approach to personal health and wellbeing which considers the spectrum of risk factors across varying levels: individual, relationship, community, genetic and societal, all of which can impact the long-term health of emergency responders. The most effective approach to an individual's health should address each of these areas. Our process is holistic in its handling of the employee's situations (e.g., addressing medical, physical, emotional, financial, psychosocial, behavioral, and other needs), as well as that of their support systems.

The goal of this process is first and foremost focused on assessing the employee's needs and assisting them in the development of their personal health and wellness care plan. Often when it comes to personal health and mental health conditions, employees are hesitant to open up and share information about their mental health and physical health for fear of losing their jobs. Our team has experience with entering situations where there is low trust and high anxiety dynamics between employers and employees. We have placed an importance on relationship building and transparency with the first responder in these situations, which has been a key to the success of our Police and Fire Tactical Programs. We also acknowledge that the first responder and their family/support and the employer are all our customers. We place great importance on the overall satisfaction of the stakeholders with the care and services they receive from our entire care team.

Our program has been endorsed by the International Association of Fire Fighters (IAFF) and local Police unions and follows the NFPA 1582 guidelines. Our partners include the Harvard School of Public Health and Occupational and Environmental Medicine Program that has a grant to study firefighter health.

The Rock Valley Wellness Manager will work directly with a designated member of the Pekin Fire Department to coordinate a timeline for all services to be administered within the annual program. Timeline will include communication to staff, testing protocols, testing dates, and program contact information. At the conclusion of the annual medical testing schedule, the Pekin Fire Department will receive an aggregate/cohort health report highlighting trends and risk factors within the department.

Testing/Services To Be Completed Outside of Rock Valley:

Rock Valley Health is capable of meeting the demands of all requested tests listed with the exception of the following tests listed below. In order to offer the following services listed below, Rock Valley Health can arrange off-site referrals with local medical specialists.

- Stress Test
- Immunizations
- Infectious disease screenings

Rock Valley Health will provide all necessary testing equipment, with the exception of the treadmill/stepmill(s) for the Gerkin Protocol.

Referral/Follow-Up Program:

A nurse case manager will assist the fire fighter by establishing follow-up care appointments for any issues that are found during the examination. It should be noted that the follow-ups are considered

personal in nature and not work-related. The nurse case manager works directly with the fire fighter to seek care, but in the end, the pursuit of follow-up is the employee's choice and/or responsibility.

2. Experience and Ability of Staff

- A. The Rock Valley Health team will provide a Wellness Manager to organize all operational logistics within the annual testing program. Staff professionals involved in the program are as listed below.

Kenneth Asta, CHC, CSCS, MBA - Rock Valley Health Director

Ken received his B.S. in Kinesiology from Northern Illinois University and Master's Degree in Business Administration from Western Illinois University. Ken is a Nationally Certified Health Coach with the American Council on Exercise and Certified Strength and Conditioning Specialist with the National Strength and Conditioning Association.

Ken has 20 years of experience within the health, wellness and recreation industry. Ken's specialty is providing workplace wellness services to local companies in an effort to recognize health risks, educate employees on various health topics and measure health progress. Throughout his career, Ken has earned 2 national awards for his wellness program designs and implementation through the National Recreation and Parks Association.

Peter G. Matos, DO, MPH, FACOEM, FACPM – Medical Director (Traekos Health Solutions)

Dr. Peter Matos is the Founder and Chief Medical Officer of Traekos Health, LLC. Dr. Matos trained at John Hopkins, Walter Reed Army Medical Center, and Bethesda Naval Hospital. He has led and participated in major projects involving institutions such as Harvard University, Johns Hopkins University, the Department of Defense, and Walter Reed Army Medical Center. Dr. Matos is an expert in Biologic surety and the management of select agent programs at federal facilities. He currently serves on the multiple company advisory groups as an SME in Chemical, Biological, Radiological, and Nuclear Defense, (CBRNE). Dr. Matos has experience working with the Armed Forces Health Surveillance Center (AFHSC), DTRA (Defense Threat Reduction Agency), DOD Global Emerging Infections Surveillance and Response System, and CDC. He has served as a public health emergency officer for 14 DoD installations and has participated in multiple disease and epidemiologic investigations.

Dr. Matos has received extensive training in risk communication in a public health emergency and in exposure investigation. During his residency training in Occupational Medicine at the Uniformed Services University of Health Sciences (USUHS), he completed a rotation at the International Association of Fire Fighters' (IAFF) Division of Occupational Health, Safety and Medicine. During this rotation, he became familiar with the occupational health concerns that firefighters face through review of reports, studies and medical standards maintained in the IAFF Health and Safety office. He became familiar with the IAFF/IAFC Joint Labor Management Wellness Fitness Initiative, NFPA 1582 and the IAFF Candidate Physical Abilities Test (CPAT). He conducted extensive literature searches to assist IAFF affiliates with issues related to firefighter health and provided assistance to local departments on topics including thyroid cancer, radiation treatment and assistance to IAFF Local

375 after a hazardous exposure fire. This training gives him a unique perspective and an excellent understanding of the health and wellness needs of firefighters.

Ashley James, NP – Nurse Practitioner

Mrs. James holds a master's degree in the Science of Nursing and is a Nurse Practitioner board certified by the American Academy of Nurse Practitioners in Family Practice. She has 20 years of nursing experience with over 13 years of experience in management and director roles in Occupational and Employer Health. She is proficient in OSHA regulations and NIOSH standards. As an active member, she regularly participates in continuing education with the American Association of Occupational Health Nurses and the American Academy of Nurse Practitioners. She holds an Occupational Hearing Conservationist certification from the Council for Accreditation in Occupational Hearing Conservation (CAOHC), she is a trained Breath Alcohol Technician from Intoximeters, has completed substance abuse training in compliance with Federal DOT law 49 CFR Part 40 for collection, and he has undergone NIOSH approved spirometry training. She is practiced in quantitative and qualitative respirator fit testing and respirator clearance.

Billie Jo St. Ores, RN – Chief Operating Officer (Traekos Health Solutions)

Billie Jo St.Ores is the Chief Operating Officer for Traekos Health Solutions, PC. Ms. St.Ores has over 17 years of experience in nursing and management. She began her career as a nurse in the Emergency Room, but several years into her career she transitioned into Occupational Medicine and worker's health focusing on a holistic approach to treating work related injury and exposure. She has extensive knowledge in OSHA regulations, disability management, and injury prevention strategies. Her years of experience in nursing have allowed her to form strong team building and communications skills as well as a compassionate approach to problem solving. Ms. St.Ores provides strategic planning, training, process improvement, operations and clinic management, complex case management, medical case review, optimization of staffing as well as policy and procedure development for Traekos Health and their partners.

Throughout her career, Ms. St.Ores has seamlessly collaborated with multiple stakeholders in both corporate and governmental entities to assess, create and integrate the long term strategic goals of their organization. She intuitively sees the threads of opportunity that wind through an organization, brings them together into a coherent whole, helps others extend their thinking, and drives effective change. She strives to be an inspirational leader who works to engage all team members fully in a project and inspire action, while at the same time is grounded in the goals of the business and its partners.

Kara Kurtz R.N., BSN - Registered Nurse/Wellness Manager

Kara earned a BSN in Nursing from St. Ambrose University. Her previous experience is with inpatient surgical patients. She was a relief charge nurse, family practice doctor's office (working with all age ranges), nurse at a long-term acute care hospital where she treated patients with multiple comorbidities i.e. neuro, cardio, pulmonary, wounds, diabetes.

Dustin Hawk, PT, DPT, OCS, TSAC-F – Physical Therapist

Dustin graduated with a Doctorate of Physical Therapy from St. Ambrose in 2018. He completed his orthopaedic residency in 2019 and is a Board-Certified Clinical Specialist in Orthopaedic Physical Therapy. Dustin has been working directly with the City of Davenport over the past 3 years working with injured workers, which included Fit for Duty testing for return to work. He is also a Certified as a Tactical Strength and Conditioning-Facilitator (TSAC-F) and provided fitness training specific to police and fire personnel.

Lauren Zust, R.D. - Registered Dietitian

Lauren received a Bachelor of Science Degree in Dietetics from Purdue University and a Master's Degree in Professional Practice in Dietetics from Iowa State University. She is a Registered Dietitian licensed in Iowa and Illinois. She utilizes a “non-diet” approach when educating clients that focuses on mindful eating, positive behavior change and goal setting. Lauren's main goal as a dietitian is to translate nutrition science into information that can be easily understood to make realistic, healthier choices.

Hailey Jones, PTA – Health Coach/Personal Trainer

Hailey received her Bachelor of Science Degree from Iowa State University in Kinesiology with an emphasis in Exercise Science. She worked in a cardiac rehab setting as an Exercise Specialist for 5 years before returning to school to earn her degree as a Physical Therapist Assistant.

Kate Tryon – Health Coach

Kate received her BS in Exercise Science from Truman State University. She found that her passion is working with individuals to achieve their health goals. Helping people toward better health, and the ability to better enjoy life, is very fulfilling for her. Kate's goal is to educate each of her clients on a healthy lifestyle choices based on their individual needs.

Larry Ratigan – Ergonomic Specialist, PTA, CEAS, CIEE

Larry received his undergraduate degree from Iowa State University in Kinesiology/Exercise Science. He earned a degree as a Physical Therapist Assistant and has two Ergonomic Specialist Certifications. Larry's certifications include: Certified Ergonomics Assessment Specialist levels I,II, III, Work Services Quality & Development Specialist and Certified Industrial Ergonomics Evaluator

Subcontractors:

Traekos Workforce Medicine:

Traekos Workforce Medicine is a Service Disabled, Veteran Owned Small Business (SDVOSB) that works hard to understand our client's mission, operations, and needs while utilizing industry best practices to provide high-quality, personalized service. Our corporate mission is to improve outcomes, while meeting the needs of industry leaders and their employees. We provide consulting services for government outreach, data analysis, expert medical reviews, independent medical exams, process improvement, optimization of workflow, population health, disease prevention, occupational and wellness telemedicine and corporate wellness.

Traekos was founded by Peter Matos, DO. The company's focus is on people, processes, and outcomes - a concept of three or "Trae" which is the foundation for the company's work. The "kos" in Traekos is a nod to Hippocrates - considered "The Father of Medicine" - who was from the Greek island of Kos. As a former military physician, Dr. Matos had a unique opportunity to be both a consumer and a provider of onsite occupational medicine services. During his time in the military, he experienced a lack of consistency and customer service across the many different sites he oversaw. When Dr. Matos left the military, he founded Traekos to provide the high quality evidence based care and consulting services that were not previously available to him.

This year, the Department of Veterans Affairs awarded the company part of the Veteran Enterprise Contracting for Transformation and Operational Readiness (VECTOR) contract that covers general management and business support services to Veterans Affairs. <https://traekos.com/>

Traekos Workforce Medicine (20% of performance hours)
3493 Adele Lane
Bettendorf, IA 52722
traekos@traekos.com
563.320.0986

Carle Health:

Carle Laboratory and Pathology Services perform a wide variety of tests in both inpatient and outpatient settings to support diagnosis, treatment and management of medical conditions. All Carle laboratory locations go through rigorous quality and safety measures to ensure no matter what lab location you choose, you can be confident your tests will be completely accurate.

Our labs serve patients across the entire state of Illinois, and each result is confirmed by a board-certified pathologist. All the hospitals in the Carle Health system are equipped with state of the art equipment and technicians who are working 24/7 to analyze your tests. With additional lab locations throughout our network, Carle makes it easier than ever for you to get the tests you need, when you need them.

Dispatch Health Imaging:

DispatchHealth family at the end of 2021 and together we are bringing high-quality care and mobile imaging services into the home. Since joining DispatchHealth, we have brought an even greater focus on excellent patient care and support for our imaging customers. Together with DispatchHealth, we bring the power of the hospital to the comfort of home.

As part of our ongoing integration with DispatchHealth, we are thrilled to announce that Dynamic Mobile Imaging will now be known as DispatchHealth Imaging. This change reflects our growing organization and strengthens our full suite of services and recognition in the market.

Dispatch Health Imaging (2% of performance hours)
11414 W. Park Pl, Suite 202
Milwaukee, WI 5322
Clients-To Place an Order/Call: [866-483-XRAY](tel:866-483-XRAY)(9729)

B. Hours of Operation:

Typical hours of testing operation: 7am-5pm

3 consecutive testing days

Typical timeframe:

- Step 1: Online health risk assessment: 15-20 minutes
- Step 2: Lab draw/Pulmonary Function Testing/Chest X-Ray: 30 minutes
- Step 3: Vision/Hearing/Medical Exam: 30-45 minutes
- Step 4: Fitness Assessment: 20-30 minute

C. Sample Report-Physical Assessment:

EXAMINATION FORM

Name: _____ SS#: XXX-XX-____ Date: _____

Employer: _____ Position/Title: _____

Type of exam: ☐ Preplacement ☐ Yearly ☐ Wellness ☐ Other _____

Please complete the history and patient questions below:

SMOKING HISTORY	☐ Current smoker # cigarettes/day _____ total yrs smoked _____ ☐ Former smoker # cigarettes/day _____ total yrs smoked _____ ☐ Never smoked																
ALCOHOL HISTORY	What is your average alcohol consumption (#drinks/week)? If you drink, what is your usual pattern of drinking? () Weekdays () Weekends () Both																
DRUG HISTORY	Do you use recreational drugs? () No () Yes (please describe)																
EXERCISE HISTORY	Type of exercise you do (please circle) Intensity: Low (walking) Moderate (jogging/cycling) High (sustained heart rate) Duration of exercise in minutes/session - _____ days/week - _____																
MEDICATIONS	List all current medications: _____																
IMMUNIZATIONS	Date of last Tetanus shot: _____ Received Hepatitis B Vaccine? () No () Yes, completed series () Series not completed																
GENERAL MEDICAL HISTORY	<table border="0"><tr><td>Y N</td><td>1. Have you ever been treated with an organ transplant, prosthetic device or implanted pump or electrical device?</td></tr><tr><td>Y N</td><td>2. Have you had or been advised to have any operations?</td></tr><tr><td>Y N</td><td>3. Have you ever been treated by a health care professional in the past year for anything other than minor illness?</td></tr><tr><td>Y N</td><td>4. Have you ever been a patient in any type of hospital after childhood?</td></tr><tr><td>Y N</td><td>5. Have you ever been rejected or discharged from military service due to physical, mental or other reasons?</td></tr><tr><td>Y N</td><td>6. Have you ever been treated for a mental or emotional condition?</td></tr><tr><td>Y N</td><td>7. Have you ever received, is there pending, or have you applied for a pension or compensation for a disability?</td></tr><tr><td>Y N</td><td>8. Do you have any allergies, such as latex, pollen or dust?</td></tr></table> Please explain above Yes answers: _____	Y N	1. Have you ever been treated with an organ transplant, prosthetic device or implanted pump or electrical device?	Y N	2. Have you had or been advised to have any operations?	Y N	3. Have you ever been treated by a health care professional in the past year for anything other than minor illness?	Y N	4. Have you ever been a patient in any type of hospital after childhood?	Y N	5. Have you ever been rejected or discharged from military service due to physical, mental or other reasons?	Y N	6. Have you ever been treated for a mental or emotional condition?	Y N	7. Have you ever received, is there pending, or have you applied for a pension or compensation for a disability?	Y N	8. Do you have any allergies, such as latex, pollen or dust?
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Y N	8. Do you have any allergies, such as latex, pollen or dust?																

PATIENT QUESTIONS

Y N	Any eye disease?	Y N	Any heart disease?	Y N	Any gastrointestinal disease?
Y N	Wear glasses or contacts?	Y N	Murmurs?	Y N	Diabetes/high blood sugar?
Y N	Have frequent headaches?	Y N	Aneurysm?	Y N	Hiatal hernia/active ulcer?
Y N	Blurred vision?	Y N	Chest pain?	Y N	Stomach/abdominal pain?
Y N	Cataracts?	Y N	Stroke or TIA?	Y N	Irritable bowel syndrome?
Y N	Color blindness?	Y N	Rhythm problems/palpitations?	Y N	Rectal bleeding?
Y N	Thyroid disease?	Y N	Any respiratory disease?	Y N	Vomiting blood?
Y N	Any ear disease?	Y N	Asthma/bronchitis/emphysema?	Y N	Blood in urine?
Y N	Difficulty hearing?	Y N	Use of inhalers?	Y N	Kidney stones?
Y N	Dizziness/balance problems?	Y N	Shortness of breath?	Y N	Difficult or painful urination?
Y N	Use a hearing aid?	Y N	Acute chronic lung infection?	Y N	Hernia?
Y N	Any skin disease?	Y N	History of tuberculosis?	Y N	(Men) Testicular Problems?
Y N	History of chronic dermatitis?	Y N	History of TS skin test?	Y N	(Men) Breast tenderness/swelling/lumps?
Y N	Problems with easy bruising?	Y N	Any musculoskeletal disease?	Y N	(Women) Menstrual Irregularities
Y N	Any vascular disease?	Y N	Moderate to severe joint pain?	Y N	(Women) Breast lumps/mass?
Y N	Blood clots?	Y N	Arthritis or tendinitis?	Y N	(Women) Are you pregnant?
Y N	High blood pressure?	Y N	Amputations?		
Y N	Stroke or TIA?	Y N	Loss of use of arm/leg/fingers/toes?		
Y N	Aneurysms?	Y N	Loss of sensation?		
Y N	Poor circulation in hands/feet?	Y N	Loss of strength?		
		Y N	Loss of coordination		
		Y N	Chronic low back pain?		
		Y N	Any neurological disease?		
		Y N	Seizures (current or previous)?		
		Y N	Numbness or tingling?		
		Y N	History of head trauma?		
		Y N	Chronic headaches?		
		Y N	Dizziness or fainting?		

PHYSICAL EXAM			PHYSICAL EXAM			NURSING STUDIES		
HEAD/EYES	WNL	ABNL	Head/face/neck/scalp	WNL	ABNL	Upper extremities (strength)	Visual Acuity:	
	WNL	ABNL	Nose/sinuses, Eustachian tube	WNL	ABNL	Upper extremities (ROM)	Corrected Uncorrected	
	WNL	ABNL	Mouth/throat	WNL	ABNL	Lower extremities (strength)	R 20/ R 20/	
	WNL	ABNL	Palpate equal/reactive	WNL	ABNL	Lower extremities (ROM)	L 20/ L 20/	
	WNL	ABNL	Ocular motility	WNL	ABNL	Feet	B 20/ B 20/	
	WNL	ABNL	Ophthalmoscope Findings	WNL	ABNL	Hands	Peripheral Vision: _____	
EARS	Y	N	Far VA uncorrected at least 20/100?	WNL	ABNL	Grip strength	Color Vision: _____	
	Y	N	Far VA corrected at least 20/40	WNL	ABNL	Spine	Depth perception: _____	
	Y	N	Color Normal - red/green/amber	WNL	ABNL	Flexibility neck/back/spine/hips	Audiogram: () done () not done	
	Y	N	Peripheral vision at least 85 degrees	WNL	ABNL	Cranial nerves (I-VIII)	R: 500 1K 2K 3K	
	Y	N	Depth perception at least 6/9	WNL	ABNL	Cerebellum	L: 500 1K 2K 3K	
	WNL	ABNL	Canals	WNL	ABNL	Motor/Sensory	Whisper WNL ABNL	
SKIN	WNL	ABNL	Pinnas	WNL	ABNL	Deep Tendon Reflexes		
	Y	N	Audiogram	WNL	ABNL	Mental Status Exam		
VASCULAR	WNL	ABNL	Skin	WNL	ABNL	Auscultation	Vital Signs:	
	WNL	ABNL		WNL	ABNL	Palpation	HT BP	
	WNL	ABNL		Y	N	Organo-megaly	WT P	
	WNL	ABNL		Y	N	Tenderness	RESP TEMP	
	WNL	ABNL	Major blood vessels	WNL	ABNL	Genitals	EKG: () Done-attached () Not done	
	WNL	ABNL	Femoral pulses	WNL	ABNL	Prostate	CXR: () Done () Not done	
CARD	WNL	ABNL	Peripheral blood vessels	WNL	ABNL	Rectal	Results:	
	Y	N	CXR	Y	N	Hernia	PFT: () Done-attached () Not done	
RESP	Y	N	BP < 140/90	WNL	ABNL	Lungs/chest	TB test: Date: _____	
	WNL	ABNL	Heart	Y	N	PFT (FVC at least 70% predicted)	Results: _____	
	WNL	ABNL	EKG	Y	N	PFT (FEV1 at least 70% predicted)		
				Y	N	PFT (FEV1/FVC at least 70% predicted)		

Comments: _____

I attest that the above information is complete and correct to the best of my ability. I understand the findings from this examination should be shared with my primary physician.

Patient signature: _____ Date: _____

Examining Provider's Summary

() NO SIGNIFICANT FINDINGS

() SIGNIFICANT MEDICAL FINDINGS

() FINAL DETERMINATION CANNOT BE MADE WITHOUT ADDITIONAL MEDICAL INFORMATION

Examining Provider's Signature: _____ Date: _____

3. Current and Projected Workload/Schedule

A. Current workload vs capacity of the firm: 10%

B. List of existing contracts

City of Davenport, IA – Fire Department (Annual Fire Fighter Physicals):

- Time Period: 2017 – Current
- Number of Fire Fighters: Approximately 140
- Scheduled/actual completion dates: January 1st – March 30th
 - Testing provided at Davenport Fire Stations and Rock Valley Clinics
- Vendor's Responsibilities: Provide annual fire fighter physicals and fitness assessments
 - Follows NFPA 1582/IAFF – WFI
 - International Association of Fire Fighters/Wellness-Fitness Initiative
- Contact:
 - Chief: Mike Carlsten
563-326-7942
Mike.Carlsten@davenportiowa.com

- Services Provided:
 - Online Health Risk Assessment (Prime Contractor – Rock Valley)
 - Complete blood panel/includes UA (Subcontractor – LabCorp/10%)
 - Chest X-rays (Subcontractor – ORA Orthopedics/5%)
 - Resting EKG (Prime Contractor – Rock Valley)
 - Medical Exam (Subcontractor – Traekos Workforce Medicine/20%)
 - Complete IAFF- WFI fitness assessment (Prime Contractor – Rock Valley)

City of Davenport, IA – Police Department (Annual Police Officer Wellness Physicals):

- Time Period: 2017 – Current
- Number of Police Officers: Approximately 165
- Scheduled/actual completion dates: September 1st – December 1st
 - Testing provided at Davenport Police Station
- Vendor’s Responsibilities: Provide annual police officer physicals and fitness assessments
 - Follows NFPA 1582/IAFF – WFI /ILEA
 - International Association of Fire Fighters/Wellness-Fitness Initiative
 - Iowa Law Enforcement Academy
- Contact:
 - Major: Jamie Brown
563-326-7787
Jamie.Brown@davenportiowa.com
- Services Provided:
 - Online Health Risk Assessment (Prime Contractor – Rock Valley)
 - Complete blood panel/includes UA (Subcontractor – LabCorp/10%)
 - Resting EKG (Prime Contractor – Rock Valley)
 - Medical Exam (Subcontractor – Traekos Workforce Medicine/20%)
 - Complete IAFF- WFI fitness assessment (Prime Contractor – Rock Valley)

City of Peoria, IL – Fire Department (Annual Fire Fighter Physicals):

- Time Period: 2023 – Current
- Number of Fire Fighters: Approximately 189
- Scheduled/actual completion dates: January 1st – March 30th
 - Testing provided onsite at the Peoria Fire Stations
- Vendor’s Responsibilities: Provide annual fire fighter physicals and fitness assessments
 - Follows NFPA 1582/IAFF – WFI
 - International Association of Fire Fighters/Wellness-Fitness Initiative
- Contact:
 - Chief: Shawn Sollberger
ssollberger@peoriagov.org
309-494-8731
- Services Provided:
 - Online Health Risk Assessment (Prime Contractor – Rock Valley)
 - Complete blood panel/includes UA (Subcontractor – Carle Health/10%)
 - Chest X-rays (Dispatch Health/5%)
 - Pulmonary Function Testing (Prime Contractor – Rock Valley)
 - Resting EKG (Prime Contractor – Rock Valley)
 - Medical Exam (Subcontractor – Traekos Workforce Medicine/20%)

- Complete IAFF- WFI fitness assessment (Prime Contractor – Rock Valley)

City of Burlington, IA – Fire Department (Annual Fire Fighter Physicals):

- Time Period: 2022 – Current
- Number of Fire Fighters: Approximately 45
- Scheduled/actual completion dates: October 1st – December 31st
 - Testing provided onsite at the Burlington Fire Station
- Vendor’s Responsibilities: Provide annual fire fighter physicals and fitness assessments
 - Follows NFPA 1582/IAFF – WFI
 - International Association of Fire Fighters/Wellness-Fitness Initiative
- Contact:
 - Chief: Matt Trexel
TrexeIM@burlingtoniowa.org
 319-753-8396
- Services Provided:
 - Online Health Risk Assessment (Prime Contractor – Rock Valley)
 - Complete blood panel/includes UA (Subcontractor – American Health Associates/10%)
 - Pulmonary Function Testing (Prime Contractor – Rock Valley)
 - Resting EKG (Prime Contractor – Rock Valley)
 - Medical Exam (Subcontractor – Traekos Workforce Medicine/20%)
 - Complete IAFF- WFI fitness assessment (Prime Contractor – Rock Valley)

- C. Maximum number of business days
- Suggest 60 day notice for program date selection.

4. References

City of Davenport – Fire Department

- Chief: Mike Carlsten
Mike.Carlsten@davenportiowa.com
 563-326-7942
 331 Scott St.
 Davenport, IA 52801
- Union President: Ryan Hanghian
Ryan.Hanghian@davenportiowa.com

City of Bettendorf – Fire Department

- Chief: Troy Said
tsaid@bettendorf.org
 563-344-4030
 1609 State St.
 Bettendorf, IA 52722
- Union President: Matt Lawson
mlawson@bettendorf.org

City of Peoria – Fire Department

- Chief: Shawn Sollberger
ssollberger@peoriagov.org
309-494-8731
505 NE Monroe St.
Peoria, IL 61603

City of Burlington – Fire Department

- Chief: Matt Trexel
TrexelM@burlingtoniowa.org
319-753-8396
418 Valley St.
Burlington, IA 52601

5. Location/Accessibility

Rock Valley Health would like to propose our firefighter physical program in partnership with Traekos Workforce Medicine to be fully provided onsite at a designated Pekin Fire Station(s).

6. Price Proposal

See attachment pricing proposals (Pekin Fire RFP – NFPA 1582 Physcals) for consideration.

TAB – C Information at the Proposer’s Discretion

1. Tactical Fitness Flyer – Rock Valley Health

See attached document

2. Contact Information

KENNETH ASTA, DIRECTOR

Rock Valley Health

563-324-0008

kenneth.asta@rockvalleypt.com



Request for Proposal

For:

NFPA 1582 Compliant Medicals

Purpose:

The City of Pekin Fire Department (hereafter referred to as “City”) seeks proposals to provide medical evaluations for 56 uniformed personnel. The medical evaluations shall comply with the National Fire Protection Association standard titled: *NFPA 1582 Standard on Comprehensive Occupational Medical Program for Fire Departments 2022 Edition*. Additional features are identified in this request for proposal to be priced as options in addition to the baseline NFPA 1582 medical physical. Any package discounts the vendor wishes to identify should be identified as a package option.

Deadline:

All proposals are to be in writing and received by the City no later than March 15, 2024 at 3:00 p.m. local time.

Information:

Providers shall address no less than the baseline materials identified in the RFP. Additional components specified by the City shall be priced as options to be accepted or rejected by the City. If the provider is able to supply value added services above and beyond the RFP baseline material, providers are encouraged to do so and identify and associated costs.

The City, hereby notifies all Bidders that it will affirmatively insure that in any contract entered into pursuant to this advertisement, minority business enterprises will be afforded fully opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, creed, sex, handicap or national origin in consideration for an award.

Parameters:

The provider shall ensure that the physician administering the physicals is a licensed doctor of medicine or osteopathy who has completed residency training in an accredited medical training program and/or is board certified or international equivalent.



Confidentiality and Records:

It is the desire of the *City* that medical information gathered from the physical exams remain confidential. The Fire Chief or his designee shall serve as the liaison with the *City* and the vendor. Communication regarding an individual's results as it pertains to the safety of the individual or the safety of coworkers within the department shall be shared with the Fire Chief and shall include the information necessary for the *City* to maintain a safe and effective workplace. Specific results, especially any results falling outside normal limits shall be shared as soon as possible with the individual. Additionally, notification to the Fire Chief from the provider shall indicate a general statement that an individual has a requirement for follow-up communication with the provider. This will allow the Fire Chief to ensure follow-up communication is acted upon.

If any results or follow-up indicate a concern for the safety of the individual or others, details shall be shared with the Fire Chief to allow the *City* to provide reasonable workplace accommodations including scheduling replacements should the results indicate an inability to return to full duty.

Medical records shall be maintained by the provider. These records shall be used to establish on-going assessment and evaluation of individual progression from baseline data through time. This information will be accessible upon request by the individual.

Specifications:

1. Each member scheduled for a physical exam should receive the evaluation identified in Chapter 7 of the NFPA 1582 standard. These items include:
 - *Medical history / medical conditions*
 - *Head and Neck*
 - *Eyes and vision*
 - *Ears and hearing*
 - *Dental*
 - *Nose, Oropharynx, Trachea, Esophagus, & Larynx*
 - *Lungs and Chest Wall*
 - *Heart and Vascular system*
 - *Abdominal organs and gastrointestinal system*
 - *Reproductive system*
 - *Urinary system*
 - *Spine and axial skeleton*
 - *Extremities*
 - *Neurological disorders*
 - *Skin*
 - *Blood and blood-forming organs*
 - *Endocrine and metabolic disorders*
 - *Systemic diseases and miscellaneous conditions*
 - *Tumors and malignant diseases*
 - *Psychiatric conditions*
 - *Chemicals, drugs, and medications*
2. Each medical evaluation shall include a medical history (including exposure history), physical examination, blood tests, urinalysis, vision tests, audiograms, spirometry,



chest x-ray (as indicated), electrocardiogram with cardiac stress test, cancer screening, and immunizations and infectious disease screening.

3. A minimum 15 minute, face-to-face meeting between only the individual member and a mental health professional/counselor is to be included with the physical evaluation. This session shall be have itemized price listed separately. The *City* is aware there are written screening instruments and voluntary Employee Assistance Programs available to individuals however the *City* desires the psychological well-being of fire department members to be regularly and consistently assessed. These initial meetings are intended to provide a brief but consistent point of contact to serve as a portal to identify: cumulative responder stress, coping mechanisms (healthy and unhealthy), and other mental health issues that might impact the member's longevity or performance of department responsibilities. Due to the profession and the composition of the *City's* membership, it is likely such mental health evaluations would not be initiated by the individual or even detected for a multitude of reasons. Any issues discovered during the brief meeting may be referred to follow-up care as needed and such follow-up will not be included in the scope of work or pricing for this proposal. Find below as Option #5.
4. Scheduling shall be coordinated with the Fire Chief and shall include at least three (3) consecutive days, with options for alternative "make up" days for employees not working. Optimally the physicals will start at the earliest mutually agreed upon date, but must be entirely completed (excluding follow-up requirements) by June 15, 2024.
5. Any blood work, urinalysis, or other pre-examination work should be completed in such a way for the examining physician to have results to review with the individual at the time of the exam. If multiple trips are involved, this should be identified in the proposal for scheduling purposes. In an effort to reduce the impact on the participating members, it is desirable for the physical and psychological evaluations be conducted during the same appointment. If two separate visits are required for the lab work and the physical exam, the psychological evaluations can be coupled with either.
6. The City wishes to have the physicals conducted at:
 - ☒ Fire Station #1 located at 3232 Court St. Pekin, IL. 61554
 - ☐ in a mobile facility provided by the vendor rather than inside the fire department facilities.



Proposals that are not able to provide mobile facilities will not be excluded from consideration. If mobile facilities are not available, facility location(s) to be used shall be identified along with any deviation in scheduled hours identified in item #5.

8. A summary profile shall be provided to the *City* through the Fire Chief identifying trends, department-wide recommendations, and general data summary including comparisons between other benchmarks. This summary shall be suitable for sharing with the entire membership.

Options:

- ☐ Providers who have that capability and wish to price respirator fit testing as an option to be completed during the medical evaluation shall price this service as option #1.
- ☐ Providers who have that capability and wish to price flu vaccine immunizations as an option to be completed during the medical evaluation shall price this service as option #2.
- ☐ Providers who have that capability and wish to price Hepatitis B immunizations as an option to be completed during the medical evaluation shall price this service as option #3.
- ☒ Providers who have that capability and wish to price tuberculosis testing as an option to be completed during the medical evaluation shall price this service as option #4.
- ☐ Providers who have capability and wish to price mental health screening (described above) an option to be completed during the medical evaluation shall price this service as option #5.

Bidders shall note in the space provided below any exceptions or deviations in any way from the specification of any section of this RFP. Bidders should provide complete details of exceptions or deviations.

Proposal Exceptions:

Parameters:

-Rock Valley's medical exams are provided by an Occupational Medicine Physician but may also include a Nurse Practitioner.

Confidentiality:

-Rock Valley's annual physicals are not considered a Fit for Duty and personal health information will not be shared with the City of department liaison unless firefighter signs a release form.

Specifications:

-Rock Valley's medical exam addresses mental health through PHQ 9 (depression) screen and a GAD7 for (anxiety) screening. This is the same mental healthcare process the military does for periodic deployment health.
-Medical exam does not include stress test, immunizations, or infectious disease screening.



Proposal Bid:

Item:

#1 and # 2 Physical examination and lab work
#5 scheduling charges (if any)
#6 and #7 trip charges (if any)
#8 summary profile report charges (if any)

Price

\$625 / person

\$0

\$0

\$0

Option #1

N/A

Option #2

N/A

Option #3

N/A

Option #4

\$95 / person

Option #5

N/A

Value added services (if any not already specified):

- Mobile Chest X-Rays (2 view): \$165/person
- IAFF/WFI Fitness Assessment: \$70/person
- PSA Blood Test (Males Only): \$15/person
- HAZMAT Blood Draw (Full): \$361/person
- HAZMAT Blood Draw (Partial): \$221/person
- HAZMAT Exam: \$50/person
- HbA1c: \$18/test
- Repeat CBC blood draw: \$15/test
- Repeat CMP blood draw: \$15/test

Rock Valley Physical Therapy

Company

kenneth.asta@rockvalleypt.com

E-Mail Address

Signature

Kenneth Asta - Director

Representative NameandTitle

563-324-0008

Telephone Number

March 12th, 2024

Date

**REQUEST FOR COUNCIL ACTION**

Agenda Date: May 13, 2024
To: Members of the City Council
From: Joshua Wray, Economic Development Director

AGENDA ITEM: Resolution No. 137-24/25 Approving the FY2025 Tourism Event Sponsorships

DESCRIPTION: In prior years, the City Council approved tourism sponsorships of annual community events on a rolling basis. In an effort to be more efficient, the Tourism Committee and staff recommend the Council approve the sponsorships for all these annual events at the beginning of the fiscal year, and staff can then process the invoices as they come in without delay. These sponsorship differ from the regular tourism grants in that the City is not necessarily reimbursing the event for particular expenses; rather, the City is supporting the overall event. Tourism grants for one-off events will still be taken to the Tourism Committee, and to the Council if over \$1,500, on a rolling basis, and the Tourism Committee is actively working on updating and simplifying that application and process.

FINANCIAL IMPACT:

Requested Amount: \$63,750

Line Item: Tourism Fund, Sponsorships, 208-208-597700

Budgeted Amount: \$63,750

Remaining Funds: \$63,750

Notes: Payments will be made upon invoice throughout the year. The other \$5k for the Twisted Cat Fishing Tournament will be paid from 570-570-597700 which is in the Contractual Category.

REVIEWED BY:

Katherine Swise, City Attorney
 Robert Grogan, Finance Consultant
 John Dossey, City Manager
 Sue McMillan, City Clerk

Approved - 5/8/2024
 Approved - 5/8/2024
 Approved - 5/10/2024
 Final Approval - 5/10/2024

Resolution No. 137-24/25 Approving the FY2025 Tourism Event Sponsorships

WHEREAS, pursuant to Section 5/8-3-14a of the Illinois Municipal Code, (65 ILCS 5/8- 3-14a) and Section 3-2-6 of the City of Pekin, Illinois Municipal Code (“City Code”), the City imposes a municipal hotel use tax upon all hotel rooms within the City boundaries; and

WHEREAS, the Illinois Municipal Code and the City Code allow the City to expend moneys collected pursuant to the municipal hotel use tax on the promotion of tourism and conventions within the City or to otherwise attract nonresident overnight visitors to the City; and

WHEREAS, the City Council finds that use of such collected funds to support community events does promote tourism and overnight visitors to the City.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF PEKIN, TAZEWELL COUNTY, ILLINOIS, THAT:

Section 1. The foregoing findings and recitals are found to be true and correct and are incorporated herein.

Section 2. The following sponsorships of community events promoting tourism and overnight stays in the City of Pekin, totaling \$63,750 to be paid from the Tourism Fund, are hereby approved, and City staff is directed to make payment to the appropriate entities for each event upon receipt of an invoice from the event organizer.

- Marigold Festival - \$15,000
- Farmers Market - \$10,000
- Fireworks - \$10,000
- Twisted Cat Fishing Tournament - \$10,000 (\$5,000 to be paid from the Tourism Fund and \$5,000 to be paid from the Economic Development Fund)
- Pekin Pride Soccer Tournament - \$5,000
- Pekin Holiday Tournament - \$5,000
- Downtown Supercruise - \$5,000
- City Beautification - \$5,000
- AJGA Golf Tournament - \$2,250
- Disc Golf Tournament - \$1,500

Section 3. For each event, the City of Pekin shall be named as a sponsor in any program materials listing sponsors.

RESULT:	(0 TO 0)
MOVER:	None
SECONDER:	None
AYES:	None
NAYS:	None
ABSTAIN:	None

ABSENT:

ADOPTED AND APPROVED at a Regular meeting of the City Council of the City of Pekin this _____ day of _____, 20____.

Mayor

ATTEST:

City Clerk

**REQUEST FOR COUNCIL ACTION**

Agenda Date: May 13, 2024
To: Members of the City Council
From: Dean Schneider, Public Works Director

AGENDA ITEM: Resolution No. 138-24/25 Approving Agreement for Engineering and Construction Costs for the Airport T-Hangar Pavement with Hanson Engineering

DESCRIPTION: This resolution is awarding Hanson Engineering for Design and Construction costs for the new pavement and access road for the new T- Hangar.
 Design costs are \$4,812.30 of fixed costs and total costs not to exceed 38,000.00
 Construction costs are \$8,078.26 of fixed costs and total costs not to exceed \$70,000.00
 Once approved and current paper work is sent in, Hanson will send NOSA and intergovernmental agreement. Our state and local share is \$94759.50 That includes Hansons agreement and pavement costs. This improvement will let us start the leasing process of the new hangers once pavement is completed. Staff is recommending approval.

FINANCIAL IMPACT:

Requested Amount: 108,000.00
 Line Item:525-000-580100
 Budgeted Amount:1,963,800.00
 Remaining Funds:1,855,800.00
 Notes:

REVIEWED BY:

Dean Schneider, Public Works Director	Approved - 5/6/2024
Katherine Swise, City Attorney	Approved - 5/8/2024
Robert Grogan, Finance Consultant	Approved - 5/9/2024
John Dossey, City Manager	Approved - 5/10/2024
Sue McMillan, City Clerk	Final Approval - 5/10/2024

Resolution No. 138-24/25 Approving Agreement for Engineering and Construction Costs for the Airport T-Hangar Pavement with Hanson Engineering

WHEREAS, new pavement and a new paved access road is needed for the recently constructed T-Hangar at the Pekin Municipal Airport; and

WHEREAS, on February 27, 2023, the City Council adopted Resolution No. 546-22/23 approving an Agreement for Consulting Services with Hanson Professional Services Inc. for professional consulting services in connection with various projects at the Airport; and

WHEREAS, the City Council now desires to enter into an agreement with Hanson Professional Services Inc. for design and construction phase services related to the T-Hangar pavement project.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF PEKIN, TAZEWELL COUNTY, ILLINOIS, THAT:

Section 1. The foregoing findings and recitals are found to be true and correct and are incorporated herein.

Section 2. The Standard Agreement for Consultant Services at Illinois Airports with Hanson Professional Services Inc. attached hereto as Exhibit A is approved.

Section 3. The Mayor is authorized to execute, and the City Clerk is directed to attest, the Standard Agreement for Consultant Services at Illinois Airports in substantially the form set forth in Exhibit A, with such modifications as the Mayor deems necessary and appropriate.

RESULT:	(0 TO 0)
MOVER:	None
SECONDER:	None
AYES:	None
NAYS:	None
ABSTAIN:	None
ABSENT:	

ADOPTED AND APPROVED at a Regular meeting of the City Council of the City of Pekin this _____ day of _____, 20____.

Mayor

ATTEST:

City Clerk



LETTER OF TRANSMITTAL

TO: Pekin Municipal Airport DATE: 4/18/24 PROJECT NO. 19A0112D/C
13906 Airport Lane ATTENTION: Mike Cruce
Pekin, Illinois 61554 RE: Construct Aircraft T-Hangar Pavement and
Airport Access Road

WE ARE SENDING YOU ☒ Attached ☐ Under separate cover via _____ the following items:
☐ Shop drawings ☐ Prints ☐ Reproducible ☐ Sketches ☐ Specifications
☐ Copy of letter ☐ Change order ☐ Calculations ☐ Other

COPIES	DATE	NO.	DESCRIPTION	ACTION
2	4/18/24		Design and Construction Phase Agreements	A/N

THESE ARE TRANSMITTED as checked below:

A ☒ For approval F ☐ No exceptions taken J ☐ Rejected - see remarks
B ☐ For your use G ☐ Furnish as corrected K ☐ Submit ☐ Copies for distribution
C ☐ As requested H ☐ Revise and resubmit L ☐ Return ☐ corrected prints
D ☐ For review and comment I ☐ Submit specified item M ☐ Other - see remarks
E ☐ FOR BID DUE N ☒ Sign-Return 1 copy to us and keep one for your records

REMARKS

Mike – The design and construction agreements for the hangar pavement project are attached. Please execute and return 1 copy to me. One may be retained for your records.

COPY TO: File, SIGNED: Lindsay Hausman

If enclosures are not as noted, please notify us at once.

Hanson Professional Services Inc.
1525 S Sixth Street • Springfield IL 62703
Phone: (217) 788-2450 • Fax: (217) 788-2503

**STANDARD AGREEMENT FOR CONSULTANT SERVICES AT ILLINOIS AIRPORTS
FOR ARCHITECTURAL/ENGINEERING (A/E), PLANNING AND SPECIAL SERVICES**

Authorized for use by
The Illinois Department of Transportation
Division of Aeronautics
Effective: June 2012

☐ Preliminary Assessment and Schematic Design ☒ Construction Phase Services
☒ Design Phase Services ☐ Planning and Special Services

THIS AGREEMENT, made at Pekin Illinois,
this _____ day of _____ in the year 2024
by and between the City of Pekin, Illinois (hereinafter referred
to as the "Sponsor"), and Hanson Professional Services Inc. (hereinafter referred to as the
"Consultant"). This Agreement expires 5 years from the date of execution.

WITNESSETH

The Sponsor intends to undertake the accomplishment of a project pursuant to the development of a
public air navigation facility known as the Pekin Municipal Airport
in Tazwell County County, state of Illinois; and the project shall be identified as the
Illinois Project No. C15-5019 and SBG Project No. N/A (State-Local) ;
The following is the detailed project title and description from the Illinois Department of Transportation's
Office of Planning and Programming (OP&P) program letter which shall be carried through the
development of the project (attach supplemental information as necessary in Section I.H., Detailed Scope
of Services):

Construct Aircraft T-Hangar Pavement and Airport Access Road

A detailed sketch of the proposed work, labeled ATTACHMENT P, shall be attached.

In consideration of the benefits which will accrue to the parties hereto by virtue of the Agreement and the
respective covenants herein contained, IT IS MUTUALLY COVENANTED AND AGREED as follows:

The Consultant agrees to furnish executed "Certification of Engineer" and certain professional engineering
services enumerated herein-after, in connection with the implementation and development of the aforesaid
project.

The Department of Transportation, Division of Aeronautics within the state of Illinois shall act as Agent of
the Owner/Sponsor for all matters involving the development of any public air navigation facility by virtue of

the Illinois Aeronautics Act. The Illinois Aeronautics Act requires and directs the Illinois Department of Transportation, Division of Aeronautics (hereinafter referred to as the "Department") to "*regulate and supervise aeronautics within this state*", with "*aeronautics*" defined as "...*the design, establishment, construction, extension, operation, improvement, repair or maintenance of airports...*". The Department shall not expend any funds appropriated, or made available...for any work upon any such project that is not contracted for and constructed or developed under the supervision or direction of the Department. Financial assistance may include reimbursement to eligible airport Sponsors for...engineering costs directly related to projects financed in whole or in part by federal/state monies provided such engineering costs were approved by the Department prior to the payment of these costs by the airport Sponsor. The approval of engineering costs prior to payment shall qualify those costs for federal/state reimbursement but shall not constitute an obligation of federal/state funds.

Since the services contemplated under this Agreement are professional in nature, it is understood that the Consultant, acting as an individual, partnership, firm or other legal entity, is of professional status and will be governed by professional ethics in their relationship to the Department and the Sponsor. The Department acknowledges the professional and ethical status of the Consultant by approving this Agreement and the associated fees for federal/state eligibility (either in whole or part) on the basis of their qualifications and experience and determining their compensation by mutually satisfactory negotiations.

Any additions/deletions, revisions/modifications to this Agreement without the expressed written consent of the Department shall void this Agreement as it relates to state and federal funding participation eligibility.

I. ARCHITECTURAL/ENGINEERING (A/E), PLANNING AND SPECIAL SERVICES

The Consultant agrees to perform various professional engineering and planning services and provide necessary and required information pursuant to the accomplishment of the above referenced project.

It is understood that meetings will be common to all phases. The Consultant will coordinate project kick-off, pre-design and pre-construction meetings and project status update meetings, as required, in order to resolve project issues with the Department, Sponsor and/or other regulatory and review agencies. The Department shall be notified of scheduled agency meetings and given the opportunity to participate. Meetings for which effort will be billed shall be thoroughly documented by minutes with copies distributed to the Sponsor and the Department within 10 days of the meeting. Failure to properly document meeting discussions could result in the loss of part or all of the professional services compensation eligibility associated with this activity.

A. PRELIMINARY ASSESSMENT AND SCHEMATIC DESIGN

This phase includes activities required for agency coordination and permit development, non-routine surveys, testing and architectural/engineering preliminary design considerations of a project. Elements of this phase may include development of architectural schematic building designs and reports, non-routine geological and field investigations (soil borings and pavement cores), DCP testing, FWD testing (when used to evaluate pavement as part of a strengthening project), coordination of FAA reimbursable agreements, coordination of utility relocation agreements, coordination of force account activity (must be pre-approved by the Department in writing).

The Consultant shall furnish and/or perform engineering reconnaissance necessary for the preparation and development of an engineering report, bidding documents (design plans and specifications) including topographic field surveys, crack surveys, and sampling and testing for routine soils investigations (in accordance with ATTACHMENT J – Testing Schedule & ATTACHMENT K – Testing Rates & Cost Summary).

This phase will culminate in the submittal of a detailed engineering report with project alternatives and design recommendations and project completion timeline assessment.

The Consultant shall furnish an engineering report in accordance with standard practices and the provisions of ATTACHMENT E – Engineering Report. The report will include an analysis of preliminary surveys, geotechnical testing and alternative designs and include final project design recommendations.

The project completion timeline assessment will identify necessary effort required to complete the final project design (complete construction plans and specifications). This phase of project development will represent approximately 35% of the project design timeline. Project formulation should be consistent with the TIP submittal and the program letter project description (ATTACHMENT R). If not, identify components that have changed as a result of the preliminary assessment and schematic design analysis.

A detailed scope of services shall be attached with anticipated labor effort and costs delineated in ATTACHMENTS A / A1.

B. DESIGN PHASE SERVICES

This phase shall include activities required to accomplish a project design in accordance with the established Aeronautics letting schedule project design timeline and approved letting date determined at the pre-design meeting. Requests for time extensions beyond the previously agreed-to submittal deadline dates (as established in the Department's Letting Schedule, ATTACHMENT Q, and this Agreement) must be made to the Department in writing not less than 5 days prior to the due date of the submittal. The request for extension must be signed by

a principal/officer of the Consultant's firm. Incomplete submittals will not be accepted. Milestone submittals include the engineering report (at 35% design timeline), plan / spec review (at 80% design timeline) and final submittal of all deliverables (at 100% design timeline). A detailed scope of services shall be attached with anticipated labor effort and costs delineated in ATTACHMENTS B / B1. Elements of this phase may include:

1. CONSTRUCTION PLANS, SPECIAL PROVISIONS AND ESTIMATES

The Consultant shall prepare and furnish for Department review and comment construction plans, special provisions and construction Safety Plan (per guidance explained in FAA AC 150/5370-2F (or current) Operational Safety on Airports during Construction) at the 80% project design timeline with detailed estimate of costs, estimated DBE participation goal and working/calendar day flow chart, for the particular design authorized in this Agreement.

2. CLARIFICATION OF PLANS

The Consultant shall render clarification of the construction plans and specifications, when and if such clarification is deemed necessary.

3. BIDDING ASSISTANCE

The Consultant shall assist the Sponsor and/or Department in the bidding process, analyze and summarize bid results.

C. CONSTRUCTION PHASE SERVICES

This phase shall include all basic services after the award. A detailed scope of services shall be attached with anticipated labor effort and costs delineated in ATTACHMENTS C / C1.

1. OFFICE ENGINEERING

a. SHOP DRAWINGS

Review the detailed construction, shop and erection drawings submitted by the contractor(s) for compliance with design concepts.

b. SUPPLEMENTARY SKETCHES

Preparation of elementary and supplementary sketches plus estimates required to resolve actual field conditions.

c. RECORD DRAWINGS

The Consultant shall prepare Record Drawings within thirty (30) days after the official Notification from the Department of the Official Acceptance of the Construction Work; and after approval by the Department, furnish said Department with one (1) set of such record drawings. The submittal format shall be in accordance with the current policies of the Department.

d. MATERIALS CERTIFICATION

Prior to reporting a pay item quantity for payment, the materials used and incorporated in, or associated with the pay item, shall be verified for specification compliance by the Consultant. The Consultant shall obtain and review all certifications and/or test results required by the policies of the Department and the Department's *Manual for Documentation of Airport Materials*. At the completion of, or any time prior to the completion of the final quantity of a pay item, the Consultant shall submit the aforementioned material certifications and/or test results, that were utilized for acceptance of material, to the Department for review and final approval. Prior to final payment of engineering services under this agreement, the Consultant shall have

submitted required certifications and test results to the Department, and the Consultant shall have signed the Department's MATERIALS CERTIFICATION FORM.

2. FIELD ENGINEERING

a. RESIDENT ENGINEER APPROVAL

The Consultant agrees to furnish the name and qualifications of the Resident Engineer in writing for approval of the participating agencies prior to the preconstruction conference that shall attend said preconstruction conference and shall perform the various professional engineering services required of the Resident Engineer in 2.b. thru 2.f. below and inspection of construction.

b. DAILY DIARY

The Resident Engineer shall maintain a daily diary. Copies shall be forwarded to the Department (ATTACHMENT F).

c. DUTIES OF RESIDENT ENGINEER

Furnish full time (unless part time is approved by the Sponsor and/or Department) Resident Engineering of construction including project inspection, field testing, and furnish surveying at the site of the work, whose duties shall include all reasonable, proper and customary duties as are usually and customarily furnished in connection with the general engineering of construction of such improvements, including but not limited to the following:

- i. Performance of acceptance and quality assurance tests when required by Department policy and/or contract specification. Examples of these tests include but are not limited to: Testing concrete for slump and air content; testing concrete for strength; testing bituminous concrete pavement for density using the nuclear method and using the Bulk Specific Gravity Method. Obtaining representative samples of miscellaneous materials such as paint, geotextile fabric, joint sealer, epoxy, polyester resin, etc. for testing as necessary, and/or as directed by the Department; performance of field density tests of earthwork embankments, backfills and subgrade; field density tests of subbase and base courses, and moisture content tests on materials where applicable; and, laboratory proctor tests where applicable. Test Reports shall be submitted to the Department within three (3) working days of the date the test was conducted.
- ii. Inspection/Measurement/Oversight of construction to determine that the work was completed in substantial conformance with the approved plans and specifications, and in compliance with the requirements set forth in the contract documents. All stop or start work orders shall be issued by the Department; the Resident Engineer shall recommend the orders. Document pay item quantities reported for pay in accordance with the latest revision of the Department's *Airport Construction Documentation Manual*.
- iii. Preparation and forwarding to the Department of periodic project reports required by the Department. Bi-weekly construction reports will be submitted to the Department, within three (3) calendar days of the end of the contractor's work week.
- iv. To obtain and review for specification compliance, material certifications and/or test results for all materials prior to their use in the construction.

- v. To reject for inclusion in the project, any materials that are delivered without certification and/or test results, or materials delivered with certification that has been found to be in noncompliance, or any defect found through visual inspection which renders the material unsuitable for inclusion in the project. The Department shall be notified when any rejections are made. Materials that are delivered without certification and/or test results may be stockpiled or stored in a manner acceptable to the Resident Engineer until such time as the certification and/or test result arrive and are reviewed and accepted by the Resident Engineer.
 - vi. Preparation of Reports required per the Sponsor's NPDES permit while providing on-site services, retaining all support documentation.
 - vii. Participate in audits performed to determine that the project is proceeding accordingly per the plans and specifications and adhering to AIP grant requirements.
- d. FINAL INSPECTION
- Initiate a request, upon substantial completion of all construction work, for a final inspection by the Department. When necessary, a punch list of uncompleted items and electrical checklist (if applicable) on the project shall be established at the final inspection. Submit a final acceptance letter (punch list complete) which shall certify to the Department and the Sponsor that, to the best of the Consultant's knowledge, information and belief, the work involved has been done in substantial conformance with the plans, specifications, and Contract Document, as the same shall have been modified, or supplemented by change order, supplementary contract or otherwise, and that such work is acceptable.
- e. SAFEGUARD THE SPONSOR
- Endeavor to safeguard the Sponsor against any defects and deficiencies on the part of the Contractor. The Resident Engineer does not guarantee the performance of the contract by the Contractor, except that the Resident Engineer shall ensure that, to the best of the Resident Engineer's knowledge, information and belief, the work has been done in substantial conformance with the approved plans and specifications and advise the Sponsor and/or the Department in writing of any known noncompliance set forth in the contract. This does not in any way mean that the Resident Engineer is a guarantor of the Contractor's work. The Resident Engineer assumes no responsibility for safety in, on or about the job site, nor shall the Resident Engineer have any responsibility for the safety or adequacy of any equipment, building component, scaffolding, forms or other work aids provided by the contractor; nor is the Resident Engineer responsible for the superintendence of the contractor's work or any acts of the contractor.
- f. OTHER ENGINEERING SERVICES
- Furnish other Engineering Services which may be required by the Sponsor, including surveys. Sub-surface investigations, sampling, testing, and analysis of soils, offsite inspection of materials, laboratory testing, and inspection and control at central mixing plants. Where tests must be conducted by commercial laboratories, only those laboratories approved by the Department will be utilized. If any of these services are conducted by outside firms, the Resident Engineer shall submit copies of the executed contract for such services as specified in Section III.B., of this Agreement. The charges for such services shall be specified in the contract and will remain in effect until completion of the services and acceptance by the Consultant. Certified copies of the

results of all tests required by the Department under this paragraph are to be mailed to the Department within five (5) calendar days after the tests are completed.

g. FINAL QUANTITIES

Final quantities associated with the accepted construction work shall be submitted to the Department within thirty (30) days after final acceptance of the construction work.

D. PLANNING AND SPECIAL SERVICES

This phase may involve activities or studies unrelated to or outside of the scope of basic design and construction phase engineering services routinely performed by the Consultant. Those activities may include master plan and airport layout plan development, environmental studies and assessments, PCI surveys, FWD testing (when used to evaluate pavement as part of a publication revision), first-order NGS monument surveys, boundary surveys, aeronautical surveys, photogrammetric surveys and topographic mapping, preparation of property ownership plats and easements, appraisal and land acquisition services, benefit / cost analysis studies, RSA determination studies, drainage studies and analyses, FEMA/FIRM map revisions and GIS updates. A detailed scope of services shall be attached with anticipated labor effort and costs delineated in ATTACHMENTS D / D1.

E. ENDORSEMENT OF DOCUMENTS

The Consultant will endorse and seal all final draft reports, contract plans, maps, right of way plats, and special provisions for construction contract documents. Such endorsements must be made by a person, duly licensed or registered in the appropriate category by the Department of Financial and Professional Regulation of the state of Illinois, being employed by the Consultant and responsible for the portion of the services for which license registration is required. These sealed documents will serve as the record documents for the services covered by the terms of the Agreement.

F. DELIVERABLES

At a minimum, the Consultant shall provide the Department (copy Sponsor upon request) the following deliverables:

1. Final project estimate of costs complete w/ professional services fees and sponsor reimbursement estimates.
2. DBE participation goal and breakout of DBE work.
3. QA verification of ELM Engineers Estimate for Schedule of Prices.
4. Calendar day estimate of construction and detailed breakout of critical work items and associated production rates.
5. One set of final construction plans (half-size) and special provisions – sealed by the Consultant and executed by the Sponsor.
6. One copy of the construction Safety Plan (per guidance explained in FAA AC 150/5370-2F (or current) Operational Safety on Airports during Construction).
7. Original executed Consultant Project Certification (ATTACHMENT N).
8. Executed DBE Final Documentation (ATTACHMENT O).
9. Electronic copy/access of all information (via CD, electronic submittal or ftp site).

G. NOTICE-TO-PROCEED (NTP)

The Consultant shall not commence any phase of the work until the “official notice-to-proceed” (NTP) has been issued in writing either by the Sponsor or Department (via Office of Planning and Programming).

Services to be performed by the Consultant under this Agreement shall become eligible for funding participation consideration as of the date of the written NTP. The dated project program notification letter (i.e. Program Letter) from the Department’s Office of Planning and Programming, indicating the project’s inclusion in the state program and the estimated funding participation sources/levels, shall constitute the NTP. The Consultant shall schedule a project

phase kick-off meeting (pre-design, pre-construction, etc) with the Sponsor and the Department at the earliest possible convenience upon the Sponsor's receipt of this letter.

For projects not covered by a program letter or with program letter pending, the Sponsor may issue the written NTP with concurrence from the Department. In such cases, the Sponsor is fully liable for all costs incurred as a result of such authorization pending future reimbursement once the project is programmed and a program letter is issued. The Sponsor/Consultant is required to schedule a project phase kick-off meeting (pre-design, pre-construction, etc) with the Sponsor and the Department at the earliest possible convenience.

A copy of the program letter shall be included as ATTACHMENT R of this Agreement.

In the absence of a written, dated notice-to-proceed, the execution date of this Agreement shall be used to determine the eligibility of service dates.

The Sponsor and the Department are not liable, and shall not authorize payment to the Consultant, for any services performed prior to the date of notice to proceed or the execution of this Agreement (whichever takes precedent). All effort, regardless of the notice-to-proceed authorization, is subject to review and eligibility funding determination.

H. DETAILED SCOPE OF SERVICES (Attach / insert here).

1. Prepare Construction Plans and Specifications for letting by the Illinois Department of Transportation (IDOT) in accordance with the requirements stated herein and the project description contained within this document, for a single IDOT letting. Additional lettings shall require additional scope and budget.
2. Project will include:
 - Generally – construction of pavements around a newly constructed aircraft hangar and an access road leading to the site.
 - Grading, scarifying and compacting an existing earth subgrade;
 - Installation of underdrain piping and structures adjacent to the new pavements;
 - Placement of aggregate drainage and base course material;
 - Construction of a Hot Mix Asphalt (HMA) base and surface course.
 - Marking of the new pavements to interface with the existing site markings.
 -
3. Conduct an onsite observation of the existing conditions to evaluate the potential factors affecting the project design and construction.
4. Construction observation for a period not to exceed **44 Calendar Days**. Durations exceeding this sum shall require additional scope and budget.
5. Coordination of the proposed improvements with the Sponsor, State, Federal Aviation Administration, local regulatory agencies, and the affected airport tenants.

II. CONSULTANT COMPENSATION

The Sponsor agrees to pay the Consultant as compensation for rendering the professional services hereinabove described and submitted using the standard Department invoice forms (ATTACHMENTS G & H). Burden and overhead rates entered into this Agreement shall be in effect for the length of the agreement and will not be adjusted, except as may be determined under an audit of costs by the Auditor General or the Department. The rates used in this Agreement shall be the latest audited or provisional approved rates by IDOT as of the date of execution of this Agreement (approval letter must be attached). Should the rate change in the time between the final approval notification of fees and the execution of this Agreement, hours will be adjusted accordingly so that there is no increase in the final approved not-to-exceed amount.

Any professional services effort performed beyond the not-to-exceed limits expressed below, and for which a future amendment will be sought, will be performed under all Agreement provisions as the original contracted work. The dollar value of such effort is not considered approved for payment until review and approval by the Department.

A. PRELIMINARY ASSESSMENT AND SCHEMATIC DESIGN

For services outlined in Section I.A., Preliminary Assessment and Schematic Design, and further detailed in Section I.H., Detailed Scope of Services,

1. a lump sum payment of \$ N/A
(representing approximately 35% of the total estimated professional services compensation for design phase services as determined from the TIP request). The fee shall be paid as a lump sum when the preliminary assessment and schematic design phase deliverables (outlined in Section I.A.) are approved and accepted by the Department.

B. DESIGN PHASE SERVICES

For services outlined in Section I.B., Design Phase Services, and further detailed in Section I.H., Detailed Scope of Services,

1. a cost plus a fixed payment of \$ 4,812.30
total amount not to exceed \$ 38,000.00
unless a major change or addition to the scope of services is required by the Department or extensions of time are necessary for completion of the project. All justification for amendments shall be documented with effort recorded separate from the hours approved under this Agreement. All amendment requests must be supported by justification per Section III.M., Amendments to the Agreement. The payment of this fee shall be made in monthly installments submitted by the Consultant and approved by the Department. The final charges shall be submitted after the Design Phase Services have been performed, approved and all deliverables accepted by the Department in accordance with the guidance outlined in Section I.F. This period of time expires 30 days after award of the construction contract. Any submittal after this time shall not be considered eligible for payment / reimbursement by the Department unless extended by the Department.
2. a lump sum payment of \$ N/A
unless a major change or addition to the scope of work is required by the Department or extensions of time are necessary for completion of the project. All justification for amendments shall be documented with effort recorded separate from the hours approved under this Agreement. All amendment requests must be supported by justification per Section III.M., Amendments to the Agreement. The fee shall be paid as a lump sum when the design phase deliverables (outlined in Section I.F.) are approved and accepted by the Department.

C. CONSTRUCTION PHASE SERVICES

For services outlined in Section I.C., Construction Phase Services, and further detailed in Section I.H., Detailed Scope of Services,

1. a cost plus a fixed payment of \$ 8,078.26
total amount not to exceed \$ 70,000.00
unless a major change or addition to the scope of work is required by the Department or extensions of time are necessary for completion of the project. All justification for amendments shall be documented with effort recorded separate from the hours approved under this Agreement. All amendment requests must be supported by justification per Section III.M., Amendments to the Agreement. The payment of this fee shall be made in monthly installments submitted to and approved by the Sponsor (and/or Department if applicable). The final charges shall be as soon as possible after official notification from the Consultant of the acceptance of the construction work. A time line will be submitted at each billing cycle after 50% which will indicate a projection of the completed engineering fee vs. the contractor's progress.

If more than one contract exists for the project, the monthly invoices submitted by the Consultant shall detail the amounts of work accomplished under each separate contract. The invoices shall also indicate the dates that the services were performed. If these services are furnished by the Consultant by obtaining such services outside the Consultant's organization, the Consultant shall be reimbursed at his actual cost for obtaining these services. However, the total payment to the Consultant shall be within the "not to exceed" amount as previously stated unless an amendment to this agreement is approved by the Sponsor (and/or Department if applicable).

D. PLANNING AND SPECIAL SERVICES

For services outlined in Section I.D., Planning and Special Services, and further detailed in Section I.H., Detailed Scope of Services,

1. a cost plus a fixed payment of \$ N/A
total amount not to exceed \$ N/A
unless a major change or addition to the scope of work is required by the Department or extensions of time are necessary for completion of the project. All justification for amendments shall be documented with effort recorded separate from the hours approved under this Agreement. All amendment requests must be supported by justification per Section III.M., Amendments to the Agreement. The payment of this fee shall be made in monthly installments submitted to and approved by the Sponsor. The final charges for the Special Services Phase shall be submitted within forty-five (45) days after official notification from the Consultant of the acceptance of the construction work or within 45 days after official notification from the Department of acceptance of other non-construction projects unless extended by the Department.
2. a lump sum payment of \$ N/A
unless a major change or addition to the scope of work is required by the Department or extensions of time are necessary for completion of the project. All justification for amendments shall be documented with effort recorded separate from the hours approved under this Agreement. All amendment requests must be supported by justification per Section III.M., Amendments to the Agreement. The fee shall be paid as a lump sum when the deliverables are approved and accepted by the Department.

III. SPECIAL CONDITIONS

The Consultant shall render the services in accordance with generally accepted Professional Standards.

A. TERMINATION

(Reference: 49 CFR Part 18.36(i)(2); FAA Order 5100.38)

The Sponsor, by written seven (7) day notice, may terminate this agreement in whole or in part at any time, because of the failure of the other party to fulfill his agreement obligations. Upon receipt of such notice, the Consultant shall: (1) immediately discontinue all services affected (unless the notice directs otherwise), and (2) deliver to the Sponsor all data, drawings, specifications, reports, estimates, summaries and such other information and materials as may have been accumulated by the Consultant in performing this agreement whether completed or in process.

1. If the termination is due to the failure of the Consultant to fulfill his agreement obligations, the Sponsor may take over the work and prosecute the same to completion by agreement or otherwise. In such case, the Consultant shall be liable to the Sponsor for any additional cost occasioned to the Sponsor thereby.
2. If, after notice of termination for failure to fulfill agreement obligations, it is determined that the Consultant had not so failed, the termination shall be deemed to have been effected for the convenience of the Sponsor.
3. It is hereby understood and agreed that should the agreement be terminated, the Consultant shall be entitled to and shall receive a fee based on the amount of work accomplished and approved by the Department up to the day of notification of termination. The fee shall be equal to the sum of the actual number of man-hours of each category of work applied at a negotiated hourly rate, plus any outside services approved by the participating agencies and accomplished prior to the notification. If terminated under Section III.HH., Breach of Contract Terms, the Consultant will not be entitled to profit on the work accomplished.

B. CHANGE IN CONSTRUCTION PLANS

It is hereby understood and agreed that if the construction plans are completed in accordance with criteria and/or decisions made by the Sponsor (and/or the Department if applicable), and approved by the Department, and said construction plans are substantially changed or revised, for any reason other than the fault of the Consultant in preparing same, then the Consultant shall be entitled to compensation for rendering the services necessary to complete the changes. The amount of this fee shall be negotiated between the Sponsor, Consultant and the Department, and approved by the Department, and an amendment to the agreement should be accomplished prior to authorizing the Consultant to proceed with the changes. The fee shall be due and payable when the revisions are approved by the Sponsor and the Department.

It is the Consultants responsibility to notify the Department as soon as possible when changes/revisions are identified that are beyond the scope of services contemplated under this Agreement.

C. HOLD HARMLESS

The Consultant shall be responsible to pay for all labor, material and equipment costs incurred and for any and all damages to property or persons to the proportionate extent arising out of the negligent performance of services under this agreement and shall indemnify and save harmless the Sponsor, (and/or the Department if applicable), their officers, agents and

employees from all third party suits, claims, actions or damages of any nature whatsoever to the proportionate extent resulting there from. These indemnities shall not be limited by the listing of any insurance coverage. If any errors, negligent acts and/or omissions are made by the Consultant in any phase of the work under this agreement, the correction of which may require additional field or office work, the Consultant will be promptly notified and will be required to perform such additional services as may be necessary to correct these errors, negligent acts and/or omissions without undue delay and without additional cost to the Sponsor (and/or the Department if applicable). The Consultant shall be responsible for any damages incurred as a result of his errors, negligent acts and/or omissions and for any losses or cost to repair or remedy construction as a result of his errors, omission and/or negligent acts, to the extent such error, omission or negligent act breaches the Professional Standard of care. The Consultant shall not be responsible for any consequential damages of the Sponsor or the Department. Neither the Consultant, nor the Sponsor, nor the Department shall be obligated for the other parties' negligence or for the negligence of others.

D. DRAWING OWNERSHIP

It is further mutually agreed by the parties hereto that reproducible copies of the drawings, computer disks, tracings, construction plans, specifications and maps prepared or obtained under the terms of the contract shall be delivered to and become the property of the Sponsor and basic survey notes and sketches, charts, computations and other data shall be made available upon request of the Sponsor. If any information is used by the Sponsor or another Consultant such use or reuse by the Sponsor or others shall be at the sole risk and without liability or legal exposure to the Consultant.

E. CONTRACT FOR OUTSIDE SERVICES

If any of the services outlined in Section I. are furnished by the Consultant by obtaining such services outside the Consultant's organization, the Consultant shall provide an executed contract between the person(s) or firm and the Consultant outlining the services to be performed and the charges for the same. Two (2) copies of the executed contract shall be submitted to the participating agencies for approval prior to the services being performed; all covenants and Special Conditions shall be included and binding on all subcontracts.

F. FORMERLY NOTICE TO PROCEED (See Section I.G.)

G. SUBLET AGREEMENT

Each party binds himself, his partners, successors, executors, administrators and assigns, to the other part of this agreement and to the partners, successors, executors, administrators and assigns for such other party at all covenants of this Agreement.

Except as above, neither the Sponsor nor the Consultant shall assign, sublet or transfer his interest in this agreement without the written consent of the other party hereto.

H. AGREEMENT EXPIRES

This agreement expires upon final approval and acceptance of the completed project(s) by the Sponsor (and/or Department as applicable), and after all final engineering charges have been paid to the Consultant as of the date of project close-out or after five years from the date of execution, whichever comes first. Payment liability by the State is as outlined above (see Section I.G., Notice to Proceed and Section II., Consultant Compensation).

I. EQUAL EMPLOYMENT OPPORTUNITY

(Reference: 49 CFR Part 21; FAA AC 150/5100-15A or latest revision)

The Consultant agrees to conduct the services in compliance with all the requirements imposed by or pursuant to Title VI of the Civil Rights Act of 1964, Part 21 of the Regulations of the Secretary of Transportation, and Executive Order No. 11246, "Equal Employment Opportunity," as amended.

During the performance of this contract, the Consultant, for itself, its assigns and successors in interest (hereinafter referred to as the "Consultant") agrees as follows:

1. Compliance with Regulations. The Consultant shall comply with the Regulations relative to nondiscrimination in federally assisted programs of the Department of Transportation (hereinafter, "DOT") Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time, (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this contract.
2. Nondiscrimination. The Consultant, with regard to the work performed by it during the contract, shall not discriminate on the grounds of race, color, or national origin in the selection and retention of subconsultants, including procurements of materials and leases of equipment. The Consultant shall not participate either directly or indirectly in the discrimination prohibited by Section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.
3. Solicitations for Subcontracts, Including, Procurements of Materials and Equipment. In all solicitations either by competitive bidding or negotiation made by the Consultant for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subconsultant or supplier shall be notified by the Consultant of the Consultant's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.
4. Information and Reports. The Consultant shall provide all information and reports required by the Regulations or directives issued pursuant thereto and shall permit access to its books, records, accounts, other sources of information and its facilities as may be determined by the sponsor or the Federal Aviation Administration (FAA) to be pertinent to ascertain compliance with such Regulations, orders, and instructions. Where any information required of a Consultant is in the exclusive possession of another who fails or refuses to furnish this information, the Consultant shall so certify to the sponsor or the FAA, as appropriate, and shall set forth what efforts it has made to obtain the information.
5. Sanctions for Noncompliance. In the event the Consultant's noncompliance with the nondiscrimination provisions of this contract, the sponsor shall impose such contract sanctions as may determine to be appropriate, including, but not limited to:
 - a. withholding of payments to the Consultant under the contract until the Consultant complies, and/or
 - b. cancellation, termination, or suspension of the contract, in whole or in part.

Incorporation of Provisions. The Consultant shall include the provisions of paragraphs 1 through 5 (above) in every subcontract, including procurement of materials and leases of equipment, unless exempt by the Regulations or directives issued pursuant thereto. The Consultant shall take such action with respect to any subcontract or procurement as the sponsor may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, however, that in the event an Consultant becomes involved in, or is threatened with, litigation with a subconsultant or supplier as a result of such direction, the Consultant may request the sponsor and/or Department to enter into such litigation to protect the interests of the sponsor and, in addition, the Consultant.

DISADVANTAGED BUSINESS ENTERPRISE (DBE) ASSURANCES

1. Policy. It is the policy of the Department of Transportation (DOT) that disadvantaged business enterprises as defined in 49 CFR Part 23 shall have the maximum opportunity to participate in the performance of contracts financed in whole or in part with Federal funds under this agreement. Consequently, the DBE requirements of 49 CFR Part 23 applies to this agreement.
2. DBE Obligation. The Consultant agrees to ensure that disadvantaged business enterprises as defined in 49 CFR Part 23 have the maximum opportunity to participate in the performance of contracts and subcontracts financed in whole or in part with Federal funds provided under this agreement. In this regard, all Consultants shall take all necessary and reasonable steps in accordance with 49 CFR Part 23 to ensure that disadvantaged business enterprises have the maximum opportunity to compete for and perform contracts. Consultants shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of DOT-assisted contracts.

J. OPEN ACCESS TO DOCUMENTS

(Reference: 49 CFR Part 18.36(i); FAA Order 5100.38)
(Public Act 90-0572 Section 20-65; Public Act 87-991)

The Consultant shall maintain, for a minimum of 5 years after the completion of the contract, adequate books, records, and supporting documents to verify the amounts, recipients, and uses of all disbursements of funds passing in conjunction with the contract; the contract and all books, records, and supporting documents related to the contract shall be available for review and audit by the Auditor General; and the Consultant agrees to cooperate fully with any audit conducted by the Auditor General and to provide full access to all relevant materials. Failure to maintain the books, records, and supporting documents required by this Section shall establish a presumption in favor of the State for the recovery of any funds paid by the State under the contract for which adequate books, records, and supporting documentation are not available to support their purported disbursement.

K. CERTIFICATION OF CAPACITY TO CONTRACT

(Public Act 90-0572, Section 50-13)

It is unlawful for any person holding an elective office in this State, holding a seat in the General Assembly, or appointed to or employed in any of the offices of State government, or who is an officer or employee of the Illinois Building Authority or the Illinois Toll Highway Authority, or who is the wife, husband or minor child of any such person, to have or acquire any contract, or any direct pecuniary interest in any contract therein, whether for stationery, printing, paper or for any services, materials or supplies, which will be wholly or partially satisfied by the payment of funds appropriated by the General Assembly of the State of Illinois or in any contract of the Illinois Building Authority or the Illinois Toll Highway Authority. Payments made for a public aid recipient are not payments pursuant to a contract with the State within the meaning of this Section.

It is unlawful for any firm, partnership, association or corporation in which any such person is entitled to receive more than 7 1/2% of the total distributable income to have or acquire any such contract or direct pecuniary interest therein.

It is unlawful for any firm, partnership, association or corporation in which any such person together with his spouse or minor children is entitled to receive more than 15%, in the aggregate, of the total distributable income to have or acquire any such contract or direct pecuniary interest therein.

Nothing in this Section invalidates the provisions of any bond or other security hereto or hereafter offered for sale or sold by or for the State of Illinois.

This Section does not affect the validity of any contract made between the State and an officer or employee of the State or member of the General Assembly, his spouse, minor child or any combination of such persons, if that contract was in existence before his election or employment as such officer, member, or employee. Such a contract is void, however, if it cannot be completed within 6 months after such officer, member, or employee takes office, or is employed.

This Section does not apply to (1) a contract for personal services as a teacher or school administrator between a member of the General Assembly or his spouse, or a State officer or employee or his or her spouse, and any school district, public community, college district, the University of Illinois, Southern Illinois University or any institution under the control of the Board of Governors of State Colleges and Universities or under the control of the Board of Regents or (2) a contract for personal service of a wholly ministerial character including but not limited to services as a laborer, clerk, typist, stenographer, page, bookkeeper, receptionist or telephone switchboard operator, made by a spouse or minor child of an elective or appointive State officer or employee or of a member of the General Assembly or (3) payments made to a member of the General Assembly, a State officer or employee, his or her spouse or minor child acting as a foster parent, homemaker, advocate, or volunteer for or in behalf of a child or family served by the Department of Children and Family Services.

Any person convicted of a violation of this Section shall be guilty of a business offense and shall be fined not less than \$1,000 nor more than \$5,000.

The appropriate Certification of Capacity to Contract will be executed in Section III.X., of this agreement.

L. THE CONSULTANT SELECTION

(Reference: 49 CFR Part 18; FAA AC 5100-14D or latest revision)
(30 ILCS 535; IDOT-Aeronautics Administrative Bulletin: 2010-02)

The City of Pekin, Illinois hereby certifies that it
(Sponsor)

has completed the prescribed qualifications based consultant selection procedures.

The firm of Hanson Professional Services Inc. of Springfield, IL has
(Consultant) (Location)

been selected to provide the engineering services required for the project on:

February 27, 2023

(Date)

A copy of the executed Retainer Agreement identifying the project covered by this Agreement is included as ATTACHMENT U.

M. AMENDMENTS TO THE AGREEMENT

All effort recorded to document a claim for additional compensation must be delineated separately from the original scope of services with personnel, classifications, dates worked, rates, hours and services thoroughly detailed and clearly identified.

The Department shall be notified of potential amendment requests at the earliest possible opportunity once it has been determined that any of the following three circumstances may exist. Any amendments to the Agreement which increases the fee or the time of performance must contain one of the following written determinations (with support documentation) depending upon the circumstances of the change.

1. The undersigned determine that the circumstances which necessitate this change were not reasonably foreseeable at the time the contract was signed.
2. The undersigned determine that the circumstances which necessitate this change were not within the contemplation of the contract as signed.
3. The undersigned determine that this change is in the best interest of the state of Illinois and is authorized by law.

_____	_____
Date	Sign Name

	Print Name

	Title

Any professional services effort performed beyond the not-to-exceed limits expressed in Section II. Consultant Compensation, and for which a future amendment will be sought, will be performed under all Agreement provisions as the original contracted work. The dollar value of such effort is not considered approved for payment until review and approval by the Department.

N. CERTIFICATION OF CONSULTANT
(Public Act 90-0572 Section 50-5)

I hereby certify that I am _____ **Assistant Vice President** (title) and duly authorized representative of the firm _____ **Hanson Professional Services Inc.** whose address is **1525 South Sixth Street, Springfield, Illinois** and that neither I nor the above firm I here represent has:

1. employed or retained for a commission, percentage, brokerage, contingent fee, or other consideration, any firm or person (other than a bona fide employee working solely for me or the above Consultant) to solicit or secure this Agreement,
2. agreed, as an express or implied condition for obtaining this contract, to employ or retain the services of any firm or person in connection with carrying out the Agreement, or
3. paid or agreed to pay to any firm, organization, or person (other than a bona fide employee working solely for me or the above Consultant) any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out the contract; except as here expressly stated (if any):

The firm certifies by execution:

1. it has not been convicted of bribery or attempting to bribe an officer or employee of the State of Illinois, nor has the firm made an admission of guilt of such conduct which is a

matter of record, nor has an official, agent, or employee of the firm committed bribery or attempted bribery on behalf of the firm and pursuant to the direction or authorization of a responsible official of the firm, nor has the firm been barred from being awarded a contract or subcontract.

2. it has not been barred from contracting with a unit of state or local government as a result of a violation of the Criminal Code of 1961.

I acknowledge that this certification is to be furnished to the Federal Aviation Administration of the United States' Department of Transportation in connection with this contract involving participation of Airport Improvement Program (AIP) funds and is subject to applicable state and Federal laws, both criminal and civil.

4/11/24
Date


Sign Name

Robert A. Waller

Print Name

Assistant Vice President

Title

O. FEDERAL TAXPAYER IDENTIFICATION NUMBER

The following statement is made under penalty of perjury:

"The Firm's correct Federal Taxpayer Identification Number is 37-0844717

This firm is doing business as a (please check one):

 Individual Partnership X Corporation"

P. DISADVANTAGED BUSINESS ENTERPRISE (DBE) PARTICIPATION

(Reference: 49 CFR Part 26)

Contract Assurance (§26.13) - The Consultant or subconsultant shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The Consultant shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT assisted contracts. Failure by the Consultant to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy, as the recipient deems appropriate.

In keeping with the DBE plan adopted by the Sponsor, the Consultant shall take all necessary and reasonable steps to attain DBE participation in this contract.

The work for each subconsultant should be listed separately. If the subconsultant is being utilized to meet the project DBE goal, they must be prequalified in that category and certified as a DBE in that category. Please indicate that they are certified in that category. The certification list is available on the Department's website on the "Doing Business" menu under Small Business Enterprises and IL UCP directory <http://www.dot.il.gov/ucp/ucp.html#DBEDirectory>. The percent of work is computed based on the individual subconsultant's work effort in each category.

Firm Name: N/A

Subcontract Amount (\$): 0.00

Prequalification Category	% of Work	DBE Certification
<u>Arch\Engineering, Professional</u>	<u>0.00</u>	<u>541370-Surveying</u>
<u>Arch\Engineering, Professional</u>	<u>0.00</u>	<u>541380-Testing Lab</u>

If more than one subconsultant is being used, attach additional sheets.

NOTE: All final payment requests shall include a completed ATTACHMENT O - DBE Final Documentation or the complete information on the Consultant's format.

Q. DISCRIMINATION

(Reference: 49 CFR Part 21; FAA AC 150/5100-15A or latest revision)
(Executive Order 11246 of September 24, 1965; 41 CFR Part 60)

The Consultant agrees not to commit unlawful discrimination in employment in Illinois and further agrees to take affirmative action to ensure that no unlawful discrimination is committed.

R. DUES/FEES TO CLUBS WHICH DISCRIMINATE

(775 ILCS 25/2 Source: P.A. 85-909)

The Consultant of the business entity certifies that it is not prohibited from selling goods or services to the State of Illinois because it pays dues or fees on behalf of its employees or agent or subsidizes or otherwise reimburses them for payment of their dues or fees to any club which unlawfully discriminates.

S. CONFLICT OF INTEREST

(Public Act 90-0572 Section 50-13)

The Consultant agrees to comply with the provision of the Illinois Public Act prohibiting conflict of interest and all the terms, conditions and provisions of those Sections apply to this contract and are made a part of this contract the same as though they were incorporated and included herein.

T. FELONY CONVICTION

(Public Act 90-5072 Section 50-10)

The Consultant certifies that if he/she or the business entity has been convicted of a felony, at least five years has passed since the completion of the sentence as of the contract date.

U. ILLINOIS HUMAN RIGHTS NUMBER

The Consultant must have an Illinois Department of Human Rights prequalification number, or have an application on file with the Illinois Department of Human Rights office at the State of Illinois Center, Suite 10-100, 100 West Randolph, Chicago, Illinois 60601 (refer to Department of Human Rights form).

(#IDHR PC-1/IL 442-0010). IDHR #91932-00.

V. EDUCATIONAL LOAN DEFAULT

(5 ILCS 385).

The Consultant certifies that, if this agreement is with an individual or individuals, that he/she is not in default on an educational loan.

W. DRUG FREE WORKPLACE

(30 ILCS 580).

If the Consultant has 25 or more employees, the following certification shall apply and, by signing this document, the Consultant certifies as follows:

1. The Consultant certifies that he will provide a drug free workplace in compliance with the Drug Free Workplace Act ("Act"). Specifically, Consultant certifies he will do the following:
 - a. Publish a statement:
 - i. Notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance, including cannabis, is prohibited in the Consultants workplace.
 - ii. Specifying the actions that will be taken against employees for violations of such prohibition.
 - iii. Notifying the employee that, as a condition of employment on this agreement, the employee will:
 - 1) abide by the terms of the statement; and
 - 2) notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than 5 days after such conviction.
 - b. Establish a drug free awareness program to inform employees about:
 - i. the dangers of drug abuse in the workplace;
 - ii. the Consultant policy of maintaining a drug free workplace;
 - iii. any available drug counseling, rehabilitation, and employee assistance programs; and
 - iv. the penalties that may be imposed upon employees for drug violations.

- c. Give a copy of the statement described above to each employee engaged in the performance of the contract and post the statement in a prominent place in the workplace.
- d. Notify the State within 10 days after receiving notice under part (a)(3)(B) above from an employee or otherwise receiving actual notice of such conviction.
- e. Impose a sanction on, or require the satisfactory participation in a drug abuse assistance or rehabilitation program by, any employee who is so convicted, as required by §5 of the Act.
- f. Assist employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicate that a trained referral team is in place.
- g. Make a good faith effort to continue to maintain a drug free workplace through implementation of §3 of the Act.

If an individual, the Consultant further certifies that he will not engage in the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance in the performance of the agreement.

X. CAPACITY TO CONTRACT
(Public Act 90-0572)

The Consultant certifies that the Corporation's certificate of Authority to do business in Illinois, is in good standing with the Secretary of State's Office.

CERTIFICATION OF CAPACITY TO CONTRACT

Public Act 90-0572 prohibits certain persons and entities from having or acquiring any contract with the State of Illinois and from having or acquiring any direct pecuniary interests in any contract with the State of Illinois, whether for materials, services, supplies, printing or stationery. This prohibition does not extend to certain contracts for personal services of a ministerial nature as provided for in the Act.

(Corporation)

The undersigned, being a duly authorized officer and the duly elected Secretary of

Hanson Professional Services Inc., a corporation, hereby certify that they have read Public Act 90-0572 Section 50-13 and that they have checked the records of the corporation and that no person who is entitled to receive individually more than 7 1/2% of the total distributable income of the corporation, or together with their spouse or minor child more than 15% of the total distributable income of the corporation, is (i) an elected State official, a member of the General Assembly, an appointed State officer, a State employee; (ii) an officer or employee of the Illinois Toll Highway Authority or of the Illinois Building Authority; or (iii) a spouse or a minor child of any such enumerated person.

Hanson Professional Services Inc.

Corporation

4/11/24

Date

BY

Robert A. Waller, Assistant Vice President

Printed Name & Title

BY

Dennis J. Hollahan, Vice President

Printed Name & Title

CERTIFICATION OF CAPACITY TO CONTRACT

Public Act 90-0572 prohibits certain persons and entities from having or acquiring any contract with the State of Illinois and from having or acquiring any direct pecuniary interests in any contract with the State of Illinois, whether for materials, services, supplies, printing or stationery. This prohibition does not extend to certain contracts for personal services of a ministerial nature as provided for in the Act.

(Partnerships and Non-Corporate Firms and Associations)

The undersigned, being each and every one of the partners/members/associates/(other) of

_____, hereby certify on behalf of themselves individually, that they have read Public Act 90-0572 Section 50-13 and that (i) they are not an elected State official, a member of the General Assembly, an appointed State officer, a State employee; an officer or employee of the Illinois Toll Highway Authority or of the Illinois Building Authority; nor a spouse or minor child of any such enumerated person; or (ii) that they are such an enumerated person but that they are not entitled to receive individually more than 7 1/2% of the total distributable income of the partnership/firm/association, or together with their spouse or a minor child more than 15% of the total distributable income of the partnership/firm/association.

_____ day of _____, AD, 20_____

BY _____

BY _____

Printed Name & Title

Printed Name & Title

d/b/a _____
(Name)

CERTIFICATION OF CAPACITY TO CONTRACT

Public Act 90-0572 prohibits certain persons and entities from having or acquiring any contract with the State of Illinois and from having or acquiring any direct pecuniary interests in any contract with the State of Illinois, whether for materials, services, supplies, printing or stationery. This prohibition does not extend to certain contracts for personal services of a ministerial nature as provided for in the Act.

(Individual Consultants)

I, _____,
(Name)

hereby certify that I have read Public Act 90-0572 Section 50-13 and I further certify (i) that I am not an elected State official, a member of the General Assembly, an appointed State officer, a State employee; (ii) that I am not an officer or employee of the Illinois Toll Highway Authority or of the Illinois Building Authority; and (iii) that I am not a spouse or a minor child of any such official, member, officer or employee.

____ day of _____, AD, 20____

BY _____

Printed Name & Title

d/b/a _____
(Name)

Y. CERTIFICATION REGARDING LOBBYING

(Reference: 49 CFR Part 20, Appendix A)

Certification for Contracts, Grants, Loans and Cooperative Agreements.

The Consultant certifies compliance with Section 319 of Public Law 101-102 and to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an Officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, US Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Z. INTERNATIONAL BOYCOTT

(Applicable to contracts in excess of \$10,000):

The Consultant certifies that neither it nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the U.S. Export Administration Act of 1979 or the regulations of the U.S. Department of Commerce promulgated under that Act. The Consultant makes the certification set forth in Section 5 of the International Anti-Boycott Certification Act.

AA. NON-APPROPRIATION CLAUSE

Obligations of the State will cease immediately without penalty or further payment being required in any fiscal year the Illinois General Assembly fails to appropriate or otherwise make available sufficient funds for payment of this Agreement.

BB. DEBT CERTIFICATION

The Consultant certifies that it, or any affiliate, is not barred from being awarded a contract under 30 ILCS 500/50-11 and 50-12. The Consultant further acknowledges that the contracting State agency may declare the contract void if the preceding certification is false or if the contractor, or any affiliate, is determined to be delinquent in the payment of *any* debt to the State during the term of the contract.

CC. GOODS FROM CHILD LABOR ACT

The Consultant certifies in accordance with Public Act 94-0264 that no foreign made equipment, materials, or supplies furnished to the State under the contract have been produced in whole or in part by the labor of any child under the age of 12.

DD. QUALIFICATION BASED SELECTION ACT

(Reference: 49 CFR Part 18.36; FAA Order 5100.38; FAA AC 150/5100-14 (latest))

The parties hereby certify that there was compliance with the provisions of the State of Illinois' Architectural, Engineering and Land Surveying Qualifications Based Selection Act, Chapter 30 ILCS 535 in the procurement of the services covered by this Agreement.

EE. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

(Reference: 49 CFR Part 29; FAA Order 5100.38)

The Consultant certifies, by submission of this proposal or acceptance of this contract, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency. It further agrees by submitting this Agreement that it will include this clause without modification in all lower tier transactions, solicitations, proposals, contracts, and subcontracts. Where the Consultant or any lower tier participant is unable to certify to this statement, it shall attach an explanation to this solicitation/proposal.

FF. RIGHTS TO INVENTIONS

(Reference: 49 CFR Part 18.36(i)(8); FAA Order 5100.38)

All rights to inventions and materials generated under this contract are subject to regulations issued by the FAA and the Sponsor of the Federal grant under which this contract is executed.

GG. TRADE RESTRICTION CLAUSE

(Reference: 49 CFR Part 30.13; FAA Order 5100.38)

The Consultant or subconsultant, by submission of an offer and/or execution of a contract, certifies that it:

1. is not owned or controlled by one or more citizens of a foreign country included in the list of countries that discriminate against U.S. firms published by the Office of the United States Trade Representative (USTR);
2. has not knowingly entered into any contract or subcontract for this project with a person that is a citizen or national of a foreign country on said list, or is owned or controlled directly or indirectly by one or more citizens or nationals of a foreign country on said list;
3. has not procured any product nor subcontracted for the supply of any product for use on the project that is produced in a foreign country on said list.

Unless the restrictions of this clause are waived by the Secretary of Transportation in accordance with 49 CFR 30.17, no contract shall be awarded to a Consultant or subconsultant who is unable to certify to the above. If the Consultant knowingly procures or subcontracts for the supply of any product or service of a foreign country on said list for use on the project, the Federal Aviation Administration may direct through the Sponsor cancellation of the contract at no cost to the Government.

Further, the Consultant agrees that, if awarded a contract resulting from this solicitation, it will incorporate this provision for certification without modification in each contract and in all lower

tier subcontracts. The Consultant may rely on the certification of a prospective subconsultant unless it has knowledge that the certification is erroneous.

The Consultant shall provide immediate written notice to the sponsor if the Consultant learns that its certification or that of a subconsultant was erroneous when submitted or has become erroneous by reason of changed circumstances. The subconsultant agrees to provide written notice to the contractor if at any time it learns that its certification was erroneous by reason of changed circumstances.

This certification is a material representation of fact upon which reliance was placed when making the award. If it is later determined that the Consultant or subconsultant knowingly rendered an erroneous certification, the Federal Aviation Administration may direct through the Sponsor cancellation of the contract or subcontract for default at no cost to the Government.

Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by this provision. The knowledge and information of a contractor is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

This certification concerns a matter within the jurisdiction of an agency of the United States of America and the making of a false, fictitious, or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code, Section 1001.

HH. BREACH OF CONTRACT TERMS

(Reference: 49 CFR Part 18.36)

Any violation or breach of terms of this contract on the part of the Consultant or their subconsultants may result in the suspension or termination of this contract or such other action that may be necessary to enforce the rights of the parties of this agreement. The duties and obligations imposed by the Contract Documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights and remedies otherwise imposed or available by law.

II. BAN ON TEXTING WHILE DRIVING

(Reference: Executive Order 13513)

In accordance with Executive Order 13513, Federal Leadership on Reducing Text Messaging While Driving, October 1, 2009, and DOT Order 3902.10, Text Messaging While Driving, December 30, 2009, the Consultant or subconsultant is encouraged to:

1. Adopt and enforce workplace safety policies to decrease crashes caused by distracted drivers including policies to ban text messaging while driving when performing any work for, or on behalf of, the Federal government, including work relating to a grant or subgrant.
2. Conduct workplace safety initiatives in a manner commensurate with the size of the business, such as:
 - a. Establishment of new rules and programs or re-evaluation of existing programs to prohibit text messaging while driving; and
 - b. Education, awareness, and other outreach to employees about the safety risks associated with texting while driving.

The Consultant or subconsultant must insert the substance of this clause on banning texting when driving in all contracts and subcontracts.

Policies and procedures for procurement of professional services are established in Federal Regulation Title 49 CFR Part 18, Uniform Administrative Requirements for Grants and Cooperative Agreements. The Airport and Airway Improvement Act (AAIA) of 1982, as amended, serves as the enabling legislation. The parties agree that these policies and procedures have been followed.

IN WITNESS WHEREOF, the parties hereto have affixed their hand and seals at

Pekin, Illinois, this _____, **2024**.
(city) (date) (year)

ATTEST:

(SEAL)

City of Pekin

(Sponsor Name)

37-6002169

(Federal Employee's Identification Number)

BY

Printed Name & Title

BY

Printed Name & Title

ATTEST:

(SEAL)

Hanson Professional Services Inc.

(Consultant Name)

37-0844717

(Federal Employee's Identification Number)

BY


Robert A. Waller, Assistant Vice President

Printed Name & Title

BY


Dennis J. Hollahan, Vice President

Printed Name & Title

LIST OF ATTACHMENTS

<u>ATTACHMENT A / A1</u> –	PRELIMINARY ASSESSMENT AND SCHEMATIC DESIGN PHASE SERVICES <u>ESTIMATE OF COSTS / SALARY EXPENSES</u>
<u>ATTACHMENT B / B1</u> –	DESIGN PHASE SERVICES <u>ESTIMATE OF COSTS / SALARY EXPENSES</u>
<u>ATTACHMENT C / C1</u> –	CONSTRUCTION PHASE SERVICES <u>ESTIMATE OF COSTS / SALARY EXPENSES</u>
<u>ATTACHMENT D / D1</u> –	PLANNING AND SPECIAL SERVICES <u>ESTIMATE OF COSTS / SALARY EXPENSES</u>
<u>ATTACHMENT E</u> –	ENGINEERING REPORT (General Guidance)
<u>ATTACHMENT F</u> –	RESIDENT ENGINEER’S DIARY (Standard Format)
<u>ATTACHMENT G</u> –	COST PLUS FIXED PAYMENT INVOICE (Standard Format)
<u>ATTACHMENT H</u> –	LUMP SUM INVOICE (Standard Format)
<u>ATTACHMENT I</u> –	EFFORT DETAIL BREAKDOWN (Standard Format)
<u>ATTACHMENT J</u> –	TESTING SCHEDULE
<u>ATTACHMENT K</u> –	TESTING RATES & COST SUMMARY
<u>ATTACHMENT L</u> –	SUMMARY OF PAYROLL BURDEN AND FRINGE COSTS
<u>ATTACHMENT M</u> –	SUMMARY OF OVERHEAD AND INDIRECT COSTS
<u>ATTACHMENT N</u> –	PROJECT CERTIFICATION
<u>ATTACHMENT O</u> –	DBE FINAL DOCUMENTATION
<u>ATTACHMENT P</u> –	PROJECT SKETCH
<u>ATTACHMENT Q</u> –	PROJECT LETTING SCHEDULE
<u>ATTACHMENT R</u> –	OP&P PROGRAM LETTER
<u>ATTACHMENT S</u> –	CURRENT IDOT PROVISIONAL PAYROLL BURDEN / FRINGE EXPENSE AND GENERAL / ADMINISTRATIVE EXPENSE RATE LETTER
<u>ATTACHMENT T</u> –	CONSULTANT’S PRELIMINARY ESTIMATE OF PROBABLE CONSTRUCTION COSTS
<u>ATTACHMENT U</u> –	RETAINER AGREEMENT

ATTACHMENT A

PRELIMINARY ASSESSMENT AND SCHEMATIC DESIGN PHASE SERVICES

ESTIMATE OF COSTS

<u>Category</u>	<u>Amount (\$)</u>	
1. <u>Direct Salary Costs</u>	_____	(ATTACHMENT A-1)
2. <u>Labor and General and Administrative Overhead</u> ¹	_____	
3. <u>Direct Nonsalary Expenses</u>		
Lodging ^{2,3}	_____	
Meals/Per Diem ^{2,3}	_____	
Transportation ²	_____	
Materials & Supplies	_____	
Printing	_____	
CADD time ⁴	_____	
Other Costs (excluding outside services)	_____	
4. <u>Fixed Payment</u> ⁵	_____	
5. <u>Outside Services</u>	_____	
	Lump Sum	
Total Amount Not to Exceed	N/A	(Rounded Down)

Estimated cost of total professional design phase services from TIP: \$ N/A

NOTES:

- 1/ A letter from IDOT with approval or provisional payroll burden / fringe and general / administrative expense rates must be attached (ATTACHMENT S) for verification of rates.
- 2/ Current approved rates established by State of Illinois - Governors Travel Control Board.
- 3/ Shall not be used in calculation of fixed payment amount.
- 4/ CADD incorporated into approved overhead and burden rate.
- 5/ Fixed Payment (Profit) = (14.5%)x[Direct Salary Costs + (OH&B)x(Direct Salary Costs) + Transportation + Materials & Supplies + Printing + CADD time + Other Costs (excluding outside services)].

ATTACHMENT B

DESIGN PHASE SERVICES

ESTIMATE OF COSTS

<u>Category</u>	<u>Amount (\$)</u>	
1. <u>Direct Salary Costs</u>	<u>12,509.19</u>	(ATTACHMENT B-1)
2. <u>Labor and General and Administrative Overhead</u> ¹	<u>20,501.31</u>	
3. <u>Direct Nonsalary Expenses</u>		
Lodging ^{2,3}	<u>0.00</u>	
Meals/Per Diem ^{2,3}	<u>0.00</u>	
Transportation ²	<u>160.80</u>	
Materials & Supplies	<u>0.00</u>	
Printing	<u>17.00</u>	
CADD time ⁴	<u>0.00</u>	
Other Costs (excluding outside services)	<u>0.00</u>	
4. <u>Fixed Payment</u> ⁵	<u>4,812.30</u>	
5. <u>Outside Services</u>	<u>0.00</u>	
OR		
Cost Plus Fixed Payment Total Amount Not to Exceed	<u>38,000.00</u>	(Rounded Down)
Lump Sum Total Amount Not to Exceed	<u>N/A</u>	

Estimated Construction Cost: \$ 929,570.00 (ATTACHMENT T)

Attach a sketch labeled ATTACHMENT P in sufficient detail to clearly delineate the proposed areas of work.

NOTES:

- 1/ A letter from IDOT with approval or provisional payroll burden / fringe and general / administrative expense rates must be attached (ATTACHMENT S) for verification of rates.
- 2/ Current approved rates established by State of Illinois - Governors Travel Control Board.
- 3/ Shall not be used in calculation of fixed payment amount.
- 4/ CADD incorporated into approved overhead and burden rate.
- 5/ Fixed Payment (Profit) = (14.5%)x[Direct Salary Costs + (OH&B)x(Direct Salary Costs) + Transportation + Materials & Supplies + Printing + CADD time + Other Costs (excluding outside services)].

ESTIMATE OF SALARY EXPENSES

Classification*	Hours	\$Rate/Hour	Cost (\$)
Principal		86.00	
Sr. Project Manager – ES-VII	15	80.47	1,207.05
Project Manager – ES-VII		74.41	
Senior Project Engineer – ES-VI	27	61.57	1,662.39
Project Engineer – ES-V	57	52.03	2,965.71
Engineer – ES-III	46	37.83	1,740.18
Senior Electrical Engineer – Max		86.00	
Electrical Engineer – ES-IV		45.39	
Senior Engineering Technician – T-VII	98	45.07	4,416.86
Engineering Technician – T-IV	10	33.11	331.10
Project Administrator – A-V	5	37.18	185.90
Administrative Assistant – A-IV		31.03	
Total	258 (hours)	48.49 (average)	12,509.19 (total direct salary costs) (ATTACHMENT B)

* Classifications may be adjusted as per Consultant's work force. Rates dated February 9, 2023, unless otherwise noted.

** Actual rate shown.

ATTACHMENT C

CONSTRUCTION PHASE SERVICES

ESTIMATE OF COSTS

<u>Category</u>	<u>Amount (\$)</u>	
1. <u>Direct Salary Costs</u>	<u>20,270.17</u>	(ATTACHMENT C-1)
2. <u>Labor and General and Administrative Overhead¹</u>	<u>33,220.78</u>	
3. <u>Direct Nonsalary Expenses</u>		
Lodging ^{2,3}	<u>2,850.48</u>	
Meals/Per Diem ^{2,3}	<u>1,620.00</u>	
Transportation ²	<u>2,117.20</u>	
Materials & Supplies	<u>41.00</u>	
Printing	<u>63.00</u>	
CADD time ⁴	<u>0.00</u>	
Other Costs (excluding outside services)	<u>0.00</u>	
4. <u>Fixed Payment⁵</u>	<u>8,078.26</u>	
5. <u>Outside Services</u>	<u>1,740.00</u>	
Cost Plus Fixed Payment Total Amount Not to Exceed	<u>70,000.00</u>	(Rounded Down)

Estimated Number of Calendar Days: 44

Estimated Days of On-Site Resident Engineer Services: 32

NOTES:

- 1/ A letter from IDOT with approval or provisional payroll burden / fringe and general / administrative expense rates must be attached (ATTACHMENT S) for verification of rates.
- 2/ Current approved rates established by State of Illinois - Governors Travel Control Board.
- 3/ Shall not be used in calculation of fixed payment amount.
- 4/ CADD incorporated into approved overhead and burden rate.
- 5/ Fixed Payment (Profit) = (14.5%)x[Direct Salary Costs + (OH&B)x(Direct Salary Costs) + Transportation + Materials & Supplies + Printing + CADD time + Other Costs (excluding outside services)].

ESTIMATE OF SALARY EXPENSES

Page 465 of 520

ATTACHMENT D

PLANNING AND SPECIAL SERVICES

ESTIMATE OF COSTS

<u>Category</u>	<u>Amount (\$)</u>	
1. <u>Direct Salary Costs</u>	<u>0.00</u>	(ATTACHMENT D-1)
2. <u>Labor and General and Administrative Overhead¹</u>	<u>0.00</u>	
3. <u>Direct Nonsalary Expenses</u>		
Lodging ^{2,3}	<u>0.00</u>	
Meals/Per Diem ^{2,3}	<u>0.00</u>	
Transportation ²	<u>0.00</u>	
Materials & Supplies	<u>0.00</u>	
Printing	<u>0.00</u>	
CADD time ⁴	<u>0.00</u>	
Other Costs (excluding outside services)	<u>0.00</u>	
4. <u>Fixed Payment⁵</u>	<u>0.00</u>	
5. <u>Outside Services</u>	<u>0.00</u>	
OR		
Cost Plus Fixed Payment		
Total Amount Not to Exceed	<u>N/A</u>	(Rounded Down)
Lump Sum		
Total Amount Not to Exceed	<u>N/A</u>	

NOTES:

- 1/ A letter from IDOT with approval or provisional payroll burden / fringe and general / administrative expense rates must be attached (ATTACHMENT S) for verification of rates.
- 2/ Current approved rates established by State of Illinois - Governors Travel Control Board.
- 3/ Shall not be used in calculation of fixed payment amount.
- 4/ CADD incorporated into approved overhead and burden rate.
- 5/ Fixed Payment (Profit) = (14.5%)x[Direct Salary Costs + (OH&B)x(Direct Salary Costs) + Transportation + Materials & Supplies + Printing + CADD time + Other Costs (excluding outside services)].

ESTIMATE OF SALARY EXPENSES

[illegible]

* Classifications may be adjusted as per Consultant's work force. Rates dated February 9, 2023, unless otherwise noted.

** Actual rate shown.

ATTACHMENT E

ENGINEERING REPORT (General Guidance)

The Engineering Report is to be prepared by the Consultant and submitted to the Sponsor and/or Department, if possible, prior to starting Plans and Specifications. The Report shall include, at a minimum, a discussion of the following elements which are applicable and any other elements deemed necessary by the Department:

1. Introduction, project overview and consistency with approved ALP, justification, scope, authorization, funding, required environmental actions and schedule.
2. Investigations and evaluations, including pavement history, PCI information, topographic survey data, soil sampling and testing, boring logs, CBR test results, subgrade stabilization considerations, and seasonal frost issues.
3. Pavement design considerations, including pavement types and/or alternates; any unusual design and reasons therefore, selection of design CBR value, traffic distribution, and reported pavement strength.
4. Rehabilitation, strengthening and/or overlay work shall be detailed as to the type of work required, including existing pavement conditions, material selection considerations, thickness design and economic analysis.
5. Construction features which vary from FAA criteria should be identified including the problem(s) facts, alternative solutions, and/ or desired solution. Is the desired solution the most economical?
6. Items such as materials sources, soils, drainage, water for construction, cost of land vs. development, contractor resources, available finances, and stage development. The report should say how these factors affected the decisions made by the Consultant in the design.
7. Explanation of drainage design criteria including explanation of drainage districts data INPUT and off-site drainage impact on design. Include drainage calculations and modeling.
8. Special considerations for local circumstances such as available material, equipment, contractors, and airport sponsored events.
9. Consultant's choice of options for the lighting design; similar explanation of choices made for the drainage, fencing, turfing and marking, including decisions regarding cover crop seeding.
10. Approach conditions which will result from proposed work and comparison with FAA criteria.
11. Analysis of potential RSA determination (if applicable).
12. Development of PCN for runway strengthening and rehabilitation projects.
13. Description of non-AIP work and quantity separation from AIP eligible items.
14. Identify work to be done by others such as utility companies and airports sponsor forces.
15. The Consultant's preliminary estimate of construction costs, fees and expenses shall be included.
16. A discussion of project safety concerns (per guidance explained in FAA AC 150/5370-2F (or current) Operational Safety on Airports during Construction) shall be included.
17. A discussion of project phasing / sequencing and estimate of construction calendar days shall be included.


**Illinois Department
of Transportation**
Resident Engineer's Diary

Airport: _____

Date: _____

Contractor: _____

IL Project No.: _____ SBG Project _____

Temperature _____ Wind: _____ Weather Conditions: _____

 Status: ☐ Active ☐ Suspended

 Jobsite Conditions: ☐ Workable ☐ Non-workable

Controlling Item: _____

Workforce

Consultant (# of people, hours): _____

Contractor (# of people, equipment, hours): _____

Daily Work

Pay items / General Location: _____

Instructions to Contractor / Unusual Events: _____

Verbal Approvals (official & item): _____

Additional Work (change order, etc.): _____

Official Visitors: _____

Materials Deliveries (material, quantity, quality) / Testing (test, location, corrective action):

Other:

 Calendar Days: _____

 Awarded
 Charged
 Remaining

 DBE Onsite? (yes or no)
 Own forces used? (yes or no)
 Own equipment used? (yes or no)

Submitted _____ Firm: _____ Date: _____

ATTACHMENT G



Hanson Professional Services Inc.
1525 South Sixth Street
Springfield, IL 62703
Phone: (217) 788-2450
Fax: (217) 788-2503

Invoice No: _____
Project No: _____
Invoice Date: _____
Partial: _____
Final: _____

Project Title: _____

Phase: _____

Agreement Date: _____

Amendment Date: _____

AIP Project No.: _____

Program Letter Date: _____

IL Project No.: _____

Airport _____

County _____

FOR PROFESSIONAL SERVICES RENDERED FROM: _____ - _____

Contract Maximum Amount: _____

	PREVIOUS BALANCE	CURRENT BILLING	PROJECT TO DATE BILLING
Direct Salaries			
Overhead Burden			
Total Direct Salaries & Overhead			
Premium Labor Cost			
Total Direct Labor			
Percent Complete	#N/A	#N/A	#N/A
Fixed Fee			
Direct Costs of Services by Others			
Direct Expenses			
Total Amount Invoiced			
Less: Amount Previously Invoiced			
Amount Due This Invoice			

I CERTIFY THIS BILLING IS CORRECT
TO THE BEST OF MY KNOWLEDGE.

(Name/Date)

APPROVED BY IDA ENGINEERING: _____

Project Summary					
Previous	Current	Project to Date	Remaining	Payments	Outstanding

ATTACHMENT H



Hanson Professional Services Inc.
1525 South Sixth Street
Springfield, IL 62703
Phone: (217) 788-2450
Fax: (217) 788-2503

Invoice No:
Project No:
Invoice Date:
Partial:
Final:

Project Title: _____

Phase: _____

Agreement Date: _____

Amendment Date: _____

AIP Project No.: _____

Program Letter Date: _____

IL Project No.: _____

Airport _____

County _____

FOR PROFESSIONAL SERVICES RENDERED FROM: _____ - _____

PROJECT TO
DATE BILLING

Lump Sum Fee (or Maximum Payable per Engineering Agreement) _____

Percent of Work Complete #N/A

Fees Earned to Date #N/A

Less: Amount Previously Billed #N/A

Amount Due This Invoice #N/A

I CERTIFY THIS BILLING IS CORRECT
TO THE BEST OF MY KNOWLEDGE.

(Name/Date)

APPROVED BY IDA ENGINEERING: _____

Project Summary					
Previous	Current	Project to Date	Remaining	Payments	Outstanding

ATTACHMENT I

EFFORT DETAIL BREAKDOWN (Standard Format)

Page ____ of ____ Pages

Airport: _____

Illinois Project No. _____

Federal Project No. _____

Invoice No. _____

Date: _____

ENGINEERING COSTS BREAKDOWN

Agreement Paragraph Governing Services	Service Performed	Date Performed	Employee's Name and Classification	Hours	Rate	Amount

Total _____

ENGINEERING FIRM

Name _____

Address _____

Prepared By _____

Date _____

NOTE:

This format is for general information; however the consultant's format containing the essential data may be acceptable.

ATTACHMENT J

TESTING SCHEDULE

Testing Schedule - anticipated for the Preliminary Assessment and Schematic Design, Design and Construction phases of the project.

Description	Approximate Number
ASTM D 421, Particle Size Analysis	
ASTM D 2217	
ASTM C 422	
ASTM D 698, Moisture-Density Relations of Soil	
ASTM D 1557	
ASTM D 427, Shrinkage Factors of Soil	
ASTM D 2434, Permeability of Granular Soils	
AASHTO T 194, Determination of Organic Materials in Soils by Wet Combustion	
ASTM D 1883, Bearing Ratio of Laboratory Compacted Soil	
AASHTO T 222, Modulus of Soil Reaction	
ASTM D 2487, Soil Classification "Unified System"	
ASTM D 2113, Soil Borings	
ASTM C 207, Hydrated Lime	
ASTM C 131, Abrasion	
ASTM C 88, Soundness	
ASTM D 946, Penetration	
ASTM D 3381, Viscosity	
ASTM D 1559, Marshall Method	
ASTM C 136, Gradation	
ASTM D 2172, Extraction and Gradation	
ASTM D 2726, Bulk Specific Gravity	
ASTM D 2041, Maximum Theoretical Specific Gravity	
ASTM D 2950, Nuclear Density	
ASTM C 117 Washed Aggregate Sample	
ASTM D 4318, Liquid Limit, Plastic Limit, Plasticity Index	
ASTM C 127, Absorption and Specific Gravity	
ASTM C 128	
ASTM C 566, Moisture Content	
ASTM C 31, PCC Test Cylinders	
ASTM C 141, Slump	
ASTM C 231, Air Content	
ASTM C 78, Flexural Strength	
ASTM C 138, Yield, Cement Content	
ASTM D 412, Rubber in Tension	
ASTM D 1664, Striping Test	

The testing form shall be adjusted to the specific project. The consultant shall not assume IDOT will provide any testing and inspections. Payment for these services shall be at the rates established in ATTACHMENT K. - TESTING RATES & COST SUMMARY.

TESTING RATES & COST SUMMARY

Page 474 of 520

ATTACHMENT L (Optional)

SUMMARY OF PAYROLL BURDEN AND FRINGE COSTS

	% of Direct Productive Payroll
Federal Insurance Contributions Act (FICA)	12.44
State and Federal Unemployment Compensation	0.38
Worker's Compensation Insurance	0.44
Paid Holidays, Vacation, Sick Leave, and Jury Duty	18.34
Severance Pay	0.02
Incentive Compensation	11.82
Pension and Retirement Benefits	12.03
Employee Group Insurance	16.03
Total Payroll Burden and Fringe Benefit Costs	71.49

NOTE:

A letter from IDOT with approval or provisional payroll burden / fringe and general / administrative expense rates must be attached (ATTACHMENT S) for verification of rates.

ATTACHMENT M (Required)

SUMMARY OF OVERHEAD AND INDIRECT COSTS

	% of Direct Productive Payroll
Indirect salaries	50.07
Occupancy expenses – rent, utilities, and maintenance	10.33
Business insurance	2.88
Depreciation – furniture, fixtures, and building	1.39
Reproduction and printing costs	0.15
Office supplies and postage	0.82
Telephone	0.31
Computer Expenses	13.87
Legal and Accounting Services	0.71
Employees travel expenses	0.69
Services and consultant fees	3.13
Training and educational non-salary expenses (professional development)	1.75
Fees, licenses, dues, publications (technical and professional)	1.11
Equipment rental	0.31
Taxes except Federal Income Tax	1.25
Business development and Marketing expenses	0.39
Recruiting and relocating expense	1.13
Vehicle costs	1.22
Survey, material testing, and engineering supplies	0.45
Other indirect expenses	0.14
Gain/Loss of disposition of assets	-0.66
Facilities capital cost of money	0.36
General and Administrative Overhead Expenses	92.40
TOTAL OVERHEAD (Sum of Attachment L + Attachment M Values)	163.89

NOTE: A letter from IDOT with approval or provisional payroll burden / fringe and general / administrative expense rates must be attached (ATTACHMENT S) for verification of rates.

ATTACHMENT N

AIRPORT: _____

IL. PROJ. NO.: _____

IL. BLOCK GRANT PROJ. NO.: _____

CONTRACT NO.: _____

Project Description:

Pursuant to Federal Aviation Regulations, Part 152, as amended, and as a condition to receiving any Federal and/or State financial assistance through a Grant Offer from the FAA and/or State of Illinois – Department of Transportation for the proposed airport development project, it is hereby represented, to the best of our knowledge, information and belief that:

1. The Consultant has been selected to provide the necessary professional services for the project described herein and identified in the Professional Services Request For Qualifications (RFQ). Copy of Retainer attached (ATTACHMENT U).
Selection Date: _____
2. Project is clearly delineated on the approved Airport Layout Plan.
Approval Date: _____
3. The project is environmentally cleared.
☐ YES ☐ NO DOCUMENT TYPE: ☐ CATEX ☐ EA ☐ EIS ☐ FONSI
Approval Date: _____
4. All Corps/EPA permits and other regulatory agency reviews/approvals/mitigation have been satisfied and there are no known encumbrances to the completion of the project.
☐ YES ☐ NO
5. Plans were prepared in accordance with FAA approved standards and advisory circulars; and , the specifications were prepared in accordance with the FAA approved *Illinois Standard Specifications For Construction Of Airports*, along with the Division of Aeronautics' most current Policy Memorandums and "Handout" Specifications, except as noted by attached Modification to Standards (MOS) which has been addressed and justified in the engineering report and submitted to and approved by the Engineer of Design.
☐ YES ☐ NO
Approval Date of MOS (if applicable): _____
6. The design conforms to the approved programmed project scope.
☐ YES ☐ NO
7. Provisions have been included for safety during construction (per guidance explained in FAA AC 150/5370-2F (or current) Operational Safety on Airports during Construction).
☐ YES ☐ NO
8. The plans, special provisions and quantities have been thoroughly checked in accordance with best management practices by the Consultant for accuracy and consistency, and are in conformance with AC 150/5300-13 (latest edition). All contract deliverables referenced in Section I.F. DELIVERABLES have been submitted, received and determined acceptable.
☐ YES ☐ NO

Date _____

By: _____ P.E.

Project Engineer (Consultant)

Date _____

By: _____

Sponsor

Date _____

By: _____ P.E.

Aeronautics Design Engineer

Date _____

By: _____ P.E.

Aeronautics Engineer of Design

ATTACHMENT O

DBE FINAL DOCUMENTATION



Prime Consultant

Name _____

Address _____

Telephone _____

Subject

Airport _____

Illinois Project No. _____

Federal Project No. _____

DBE Subconsultant

Name _____

Address _____

Telephone _____

Contract Amounts

Consultant Contract Amount _____

DBE Contract Amount _____

DBE Participation (%) _____

This documentation verifies the services provided and the amount paid to the DBE Subconsultant on the above captioned contract. The undersigned certifies that the services reported herein were executed by the DBE, that the DBE actually provided the services and that the services reported herein conform to the services reported in the approved Professional Services Agreement together with any amendments approved by the Sponsor and/or Division as applicable.

Description of Service Provided	Contract Amount	Amount Paid	Difference (+/-)
1.			
2.			
3.			
4.			
5.			
6.			
Totals			

DBE Contract amount has been met or exceeded [] Yes [] No (*check one*).

DBE Contract amount not met – Shortfall \$_____ (*documentation explaining shortfall attached*).

Prime Consultant

DBE Subconsultant

Print Name _____

Print Name _____

Title _____

Title _____

Signature _____

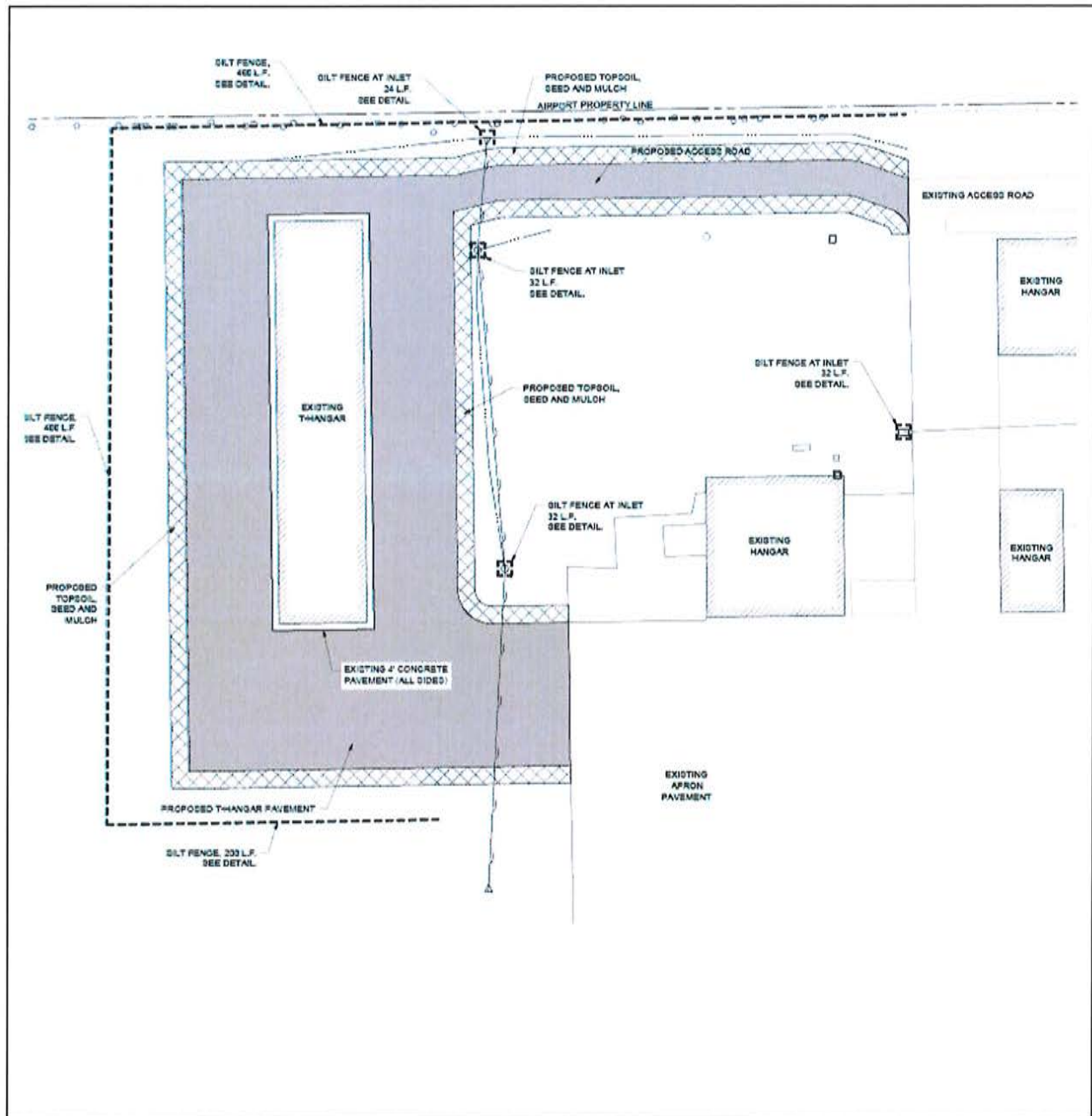
Signature _____

Date _____

Date _____

ATTACHMENT P

PROJECT SKETCH



ATTACHMENT Q

PROJECT LETTING SCHEDULE

ILLINOIS DEPARTMENT OF TRANSPORTATION DIVISION OF AERONAUTICS 2024 LETTING SCHEDULE WITH ASSOCIATED REGRESSIVE DATES

22 Week Project Development Timeline (154 Calendar Days)				IDOT Letting Date	Anticipated Award Date	Anticipated Start to Work Date
START (0%)	35%	80%	100%			
Pre-design Meeting Target Date	Engineering Report to IDA for Comments	*Sealable Plans and Specifications to IDA for Comments	Approved and Sealed Final Plans and Specifications to IDA			
18-Nov-2022	13-Jan-2023	24-Mar-2023	21-Apr-2023	16-Jun-2023	15-Sep-2023	13-Oct-2023
06-Jan-2023	03-Mar-2023	12-May-2023	09-Jun-2023	04-Aug-2023	03-Nov-2023	01-May-2024
24-Feb-2023	21-Apr-2023	30-Jun-2023	28-Jul-2023	22-Sep-2023	22-Dec-2023	01-May-2024
21-Apr-2023	16-Jun-2023	25-Aug-2023	22-Sep-2023	17-Nov-2023	16-Feb-2024	01-May-2024
16-Jun-2023	11-Aug-2023	20-Oct-2023	17-Nov-2023	19-Jan-2024	19-Apr-2024	17-May-2024
11-Aug-2023	06-Oct-2023	15-Dec-2023	12-Jan-2024	08-Mar-2024	07-Jun-2024	05-Jul-2024
29-Sep-2023	24-Nov-2023	02-Feb-2024	01-Mar-2024	26-Apr-2024	26-Jul-2024	23-Aug-2024
17-Nov-2023	12-Jan-2024	22-Mar-2024	19-Apr-2024	14-Jun-2024	13-Sep-2024	11-Oct-2024
05-Jan-2024	01-Mar-2024	10-May-2024	07-Jun-2024	02-Aug-2024	01-Nov-2024	01-May-2025
23-Feb-2024	19-Apr-2024	28-Jun-2024	26-Jul-2024	20-Sep-2024	20-Dec-2024	01-May-2025

*Requirements of the 80% milestone submittal shall include a complete set of Contract Documents, as outlined in the A/E agreement, designed and reviewed for adherence to Federal Aviation Administration (FAA) and Illinois Division of Aeronautics (IDA) concepts and standards by a licensed Illinois Professional Engineer. Submittals shall include but not limited to the following documents: Construction Plans, Special Provisions and Construction Safety Phasing Plan with a detailed Estimate of Costs, Contract Time, and DBE Participation Goal along with any applicable Modifications of Standards and Certification requirements required by the FAA Review Matrix Guide per memorandum dated 08/02/2016.

Revised 01/21/2023

2024 Letting Schedule.xlsx

ATTACHMENT R

OP&P PROGRAM LETTER



Illinois Department of Transportation

Office of Planning and Programming
2300 South Dirksen Parkway / Springfield, Illinois / 62764

December 9, 2021

Mr. Todd Dugan
Pekin Municipal Airport
13906 Airport Lane
Pekin IL 61554

Mr. Dugan,

In June 2019 Governor JB Pritzker signed a historic, bipartisan Rebuild Illinois Bill that gives Illinois its first capital plan in nearly a decade – and the most robust in state history. This capital plan includes \$150 million in funding for projects at airports throughout the state to ensure the continuation of safe and efficient operations at these facilities and maximize opportunities for economic development in Illinois.

The project detailed herein was selected for your airport based on project requests submitted by the airport to the Department during the April 30, 2021 Rebuild Illinois Capital Investment Program call for projects that ended June 14, 2021. Funding for the Rebuild Illinois Airport Capital Investment Program is dependent upon legislative authorization of state appropriations and the release of funds by the Governor's Office.

In the event the Illinois General Assembly fails to appropriate funds, or sufficient funds are otherwise not made available for this project, the Airport Sponsor will be required to pay the state costs as itemized below. This will also include any amount which exceeds the totals listed.

The GRANTEE shall pay such additional project costs which exceed the sum of the GRANTOR's funds, as are herein committed for this Project. No additional state funds beyond those listed in this program letter will be allocated to the project indicated. Any additional project costs which exceed the total sum of state funds as planned and programmed are solely the responsibility of the Sponsor.

The project is titled: **"Construct Aircraft T-Hangar Pavement and Airport Access Road"**.

Multi-modal Transportation Bond Funds	\$945,000
Local Match	\$105,000
Total Project Cost	\$1,050,000

Pekin Municipal Airport
December 9, 2021
Page 2

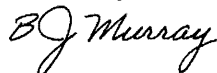
To ensure eligibility of professional services for state participation, you are required to satisfy the qualifications-based selection process and enter into a retainer agreement, or professional services A/E agreement with the consultant of record selected for the project prior to any costs being incurred. This should take place prior to the project initiation/pre-design meeting. Aeronautics will facilitate this process, as well as the initial development and review of fees.

A requirement of the Rebuild Illinois Airport Capital Investment Program is the **Illinois Works Jobs Program Act (30 ILCS 559/20-1 et seq.)**. **For contracts having an awarded contract value of \$500,000 or more, the Grantee shall comply with the Illinois Works Apprenticeship Initiative (30 ILCS 559/20-20 to 20-25) and all applicable administrative rules.** The goal of the Illinois Apprenticeship Works Initiative is that apprentices will perform either 10% of the total labor hours actually worked in each prevailing wage classification or 10% of the estimated labor hours in each prevailing wage classification, whichever is less. The Grantee may seek from the Department of Commerce and Economic Opportunity (DCEO) a waiver or reduction of this goal in certain circumstances pursuant to 30 ILCS 559/20-20(b). The Grantee shall ensure compliance during the term of the contract and will be required to report on and certify its compliance. An apprentice use plan, apprentice hours, and a compliance certification shall be submitted to the Engineer on forms provided by the Department and/or DCEO.

Please contact Mr. Joe Staats, P.E. – Section Chief of Airport Design at 217.785.5746 to initiate this project. Projects are initiated by scheduling a predesign meeting for design/construction projects or a project initiation meeting for planning and environmental projects.

Please contact Richard Borus in Aeronautics at 217.785.0056 or me in the Office of Planning and Programming at 217.782.4118 if you have questions regarding this program letter.

Sincerely,



BJ Murray
Section Chief, Aviation Program Planning
Office of Planning and Programming

.....
.....
.....
.....

ATTACHMENT S

**CURRENT IDOT PROVISIONAL PAYROLL BURDEN / FRINGE EXPENSE AND GENERAL /
ADMINISTRATIVE EXPENSE RATE LETTER**



Illinois Department of Transportation

2300 South Dirksen Parkway / Springfield, Illinois / 62764

September 29, 2023

Subject: PRELIMINARY ENGINEERING
Consultant Unit
Prequalification File

James Messmore
HANSON PROFESSIONAL SERVICES INC.
1525 South Sixth Street
Springfield, IL 62703

Dear James Messmore,

We have completed our review of your "Statement of Experience and Financial Condition" (SEFC) which you submitted for the fiscal year ending Dec 31, 2022. Your firm's total annual transportation fee capacity will be \$93,600,000.

Your firm's payroll burden and fringe expense rate and general and administrative expense rate totaling 163.89% are approved on a provisional basis. The rate used in agreement negotiations may be verified by our Bureau of Investigations and Compliance in a pre-award audit. Pursuant to 23 CFR 172.11(d), we are providing notification that we will post your company's indirect cost rate to the Federal Highway Administration's Audit Exchange where it may be viewed by auditors from other State Highway Agencies.

Your firm is required to submit an amended SEFC through the Engineering Prequalification & Agreement System (EPAS) to this office to show any additions or deletions of your licensed professional staff or any other key personnel that would affect your firm's prequalification in a particular category. Changes must be submitted within 15 calendar days of the change and be submitted through the Engineering Prequalification and Agreement System (EPAS).

Your firm is prequalified until December 31, 2023. You will be given an additional six months from this date to submit the applicable portions of the "Statement of Experience and Financial Condition" (SEFC) to remain prequalified.

Sincerely,
Jack Elston, P.E.
Bureau Chief
Bureau of Design and Environment

CONSULTANT'S PRELIMINARY ESTIMATE OF PROBABLE CONSTRUCTION COSTS

**Illinois Department of Transportation
Office of Intermodal Project Implementation, Aeronautics
Engineering**

Submittal: 100%
Date: 01/08/24

Airport:	Pekin Municipal Airport
Project Name:	Construct T-Hangar Pymnt and Access Rd
IL Project:	C15-5019
SBG Project:	N/A
Hanson Project:	19A0112D
Calendar Days:	44

ENGINEER'S OPINION OF PROBABLE CONSTRUCTION COSTS

Construct t-hangar pavements and access road Full depth bituminous pavement, underdrains, pavement marking, and associated items

[illegible]

Other Costs

Engineering Services

Design	\$38,000.00
--------	-------------

Construction	\$70,000.00
--------------	-------------

Special Serv.

Miscellaneous

NPDES Permit	\$250.00
--------------	----------

Utility

Base Construction Total:	\$929,570.90	\$49,425 DBE
---------------------------------	---------------------	---------------------

Other Costs: \$108,250.00 5% DBE

Total Project Cost: \$1,037,820.90

Program Amount: \$1,050,000.00

Remaining/Contingency:	\$12,179.10	1.2% Const.
------------------------	-------------	-------------



ATTACHMENT U

RETAINER AGREEMENT

(SEE NEXT PAGE)

**AGREEMENT FOR CONSULTANT SERVICES
(RETAINER AGREEMENT)**

THIS AGREEMENT, made at Pekin, Illinois, this 27th day of February, in the year 2023 by and between the
City of Pekin Illinois

(sometimes hereinafter also referred to as the "Owner", or "Sponsor"), as Party of the First Part, and
Hanson Professional Services Inc. (Hanson)
(hereinafter referred to as the "Consultant"), as Party of the Second Part.

WITNESSETH:

WHEREAS, the Owner intends to sponsor the accomplishment of a development program, in stages, of a public air navigation facility known as Pekin Municipal Airport (hereafter referred to as "Airport"), in Tazewell County, State of Illinois; and

WHEREAS, the Owner wishes to have the Consultant available to perform certain projects as described in the Owner's development program; and

WHEREAS, the development program shall be described as:

1. Construction of a new Fuel Farm and Aboveground Tanks
2. Construction of Hangar
3. Rehabilitate Parallel Taxiway
4. Install/Replace Perimeter Fence
5. Construct Hangar Access Pavement
6. Installation of Weather Observation Equipment and Associated Site Work to Provide Basic Weather Information to Pilots
7. Rehabilitate Hangar Pavement
8. Installation of PAPI System
9. Property Acquisition
10. Acquisition of Snow Removal Equipment

WHEREAS, the Department of Transportation, Office of Intermodal Project Implementation-Aeronautics, State of Illinois is the authorized Agent of the Owner under the proposed development program (it may be hereinafter referred to as "Aeronautics");

WHEREAS, the Consultant agrees to furnish an executed "Certification of Engineer" and certain professional consulting services enumerated hereinafter, in connection with projects assigned to the Consultant by the Owner under the development program.

NOW, THEREFORE, for and in consideration of the mutual promises made by the parties hereto, **IT IS MUTUALLY COVENANTED AND AGREED** as follows:

I. CONSULTING SERVICES

- A. As an independent contractor, the Consultant agrees to furnish and perform various professional consulting services in accordance with the usual and customary standard of care for such services in the local area, applicable legal standards and State of Illinois, Office of Intermodal Project Implementation-Aeronautics and Federal Aviation Administration requirements, upon request of the Owner and its principal representative, for the preparation of the above referenced projects. Owner agrees that estimating and projecting future weather, climate, rainfall, flood and tidal conditions and their impacts upon existing or contemplated developments, infrastructure or resources is difficult, complex and based on variable assumptions that are impacted by factors beyond Consultant's ability to predict or control. Accordingly, any estimates, forecasts, studies, reviews, conclusions, recommendations, or assessments provided as part of Consultant's services are presented solely on the basis of data currently available and current design standards and may no longer be valid if the available data or design standards materially change. Owner further agrees and understands that weather, climate, rainfall, flood and tidal conditions are predicted based on probability, and extreme events can and will occur and may cause damage regardless of mitigation measures. If Owner decides to proceed with a course of action against advice of Consultant where Consultant's advice is intended to reduce the risk of damage in the event of highly likely or certain natural or manmade events, Owner hereby agrees to release, hold harmless, defend, and indemnify Consultant from any and all claims, damages, losses, or costs associated with or arising out of Owner's decision to proceed against Consultant's advice. The parties mutually acknowledge that Owner may or may not currently possess funding for many of the aforementioned tasks (recitals above). Therefore, this Agreement does not obligate the Owner to proceed with all of the aforementioned tasks described, and the Owner reserves the right to not utilize the Consultant for some or all of these tasks during the term of this Agreement.
- B. When the Owner is seeking federal and/or State of Illinois funding and elects to proceed with a project listed in the recitals above, the parties hereto agree to negotiate in good faith and to execute a STANDARD AGREEMENT FOR CONSULTANT SERVICES AT ILLINOIS AIRPORTS FOR ARCHITECTURAL/ ENGINEERING (A/E), PLANNING AND SPECIAL SERVICES ("Standard Agreement") covering the specifically defined parts of the scope of services which are to be funded under a specific project. The Standard Agreement(s) will cover the Design, Construction, and Planning and Special Services Phases of the specific project. When the Owner is not seeking federal and/or State of Illinois funding and elects to proceed with a project not listed in the recitals above, the Parties hereto agree to negotiate in good faith a Professional Services Agreement ("PSA") in a form satisfactory to both parties. Should the Owner and Consultant not reach agreement on services and/or conditions within 30 calendar days, either party may, after written notice, terminate agreement negotiations. No fees will be earned or payable to the Consultant until the Standard Agreement or PSA is finalized and approved by the Owner, except for any preparatory work such as surveys, investigations, studies, and other work performed in anticipation of the parties' agreement, and which was authorized by the Owner. If, after one State of Illinois, Department of Transportation programming cycle the project is not programmed, or, within two years following the Owner's issuance of a project notice to proceed to Consultant, Owner does not secure federal and/or State of Illinois funding or the project is bid but not contracted to a construction contractor, Owner shall compensate Consultant in full for services rendered. When the Owner is not seeking federal and/or State of Illinois funding, the Owner shall compensate Consultant for services pursuant to the terms of the parties' PSA.

II. CHARGES FOR CONSULTING SERVICES

- A. The Owner agrees to pay the Consultant as compensation for rendering the professional consulting services herein above described in Section I, Paragraphs A and B, as agreed to between the parties. Charges for services will be billed within 30 days of the execution of the Owner's Intergovernmental Grant Agreement (IGA) with Aeronautics, for eligible work performed prior to the IGA, and at each milestone deliverable identified within Aeronautics regressive (design) schedule (including, but not limited to, 35%, 80%, and 100% or final) or other defined, and agreed upon, project schedules, unless other payment arrangements have been made and documented in writing. Payment of invoices shall not be subject to any discounts or set-offs by Owner unless agreed to in writing by Consultant. Invoices are delinquent if payment has not been received within 30 days of receipt of payment from the State of Illinois, Department of Transportation, Division of Aeronautics. There will be an additional charge of 1 1/2 percent per month compounded on amounts outstanding more than 45 days. All time spent and expenses incurred (including attorney's fees) in connection with collection of any delinquent amount will be paid by Owner to Consultant per Consultant's current fee schedules.
- B. For services of the Consultant provided at the request of the Owner that are not otherwise included as a part of a Standard Agreement or PSA, the Consultant will be compensated for its reasonable and customary charges, after prior written acceptance of the proposed charges by the Owner, and only for those tasks performed which are not made necessary due to the fault or error of the Consultant.
- C. Either party by a written thirty (30) day notice, may terminate this Agreement in whole or in part at any time, because of the failure of the other party to fulfill its agreement obligations. Upon receipt of such notice, the Consultant shall: (1) immediately discontinue services affected (unless the notice directs otherwise), and (2) upon payment in full of all monies due to the Consultant, deliver to the Owner available data, drawings, specifications, reports, estimates, summaries and such other information and materials as may have accumulated by the Consultant in performing this agreement whether completed or in process. If, after the Owner's notice of termination for failure to fulfill agreement obligations, it is determined that the Consultant had not so failed, the termination shall be deemed to have been affected for the convenience of the Owner.

III. SPECIAL CONDITIONS

- A. The Owner acknowledges the Consultant's drawings and specifications, including available documents on electronic media, and basic survey notes and sketches, charts, computations, and other data produced by the Consultant under the Standard Agreement or PSA are instruments of the Consultant's professional service. Nevertheless, the information, drawings and specifications prepared under the Standard Agreement or PSA shall become the property of the Owner upon completion of the services and payment in full of all monies due to the Consultant.

The Consultant may remove any information from any drawings, computer disks, tracings, construction plans, specifications, maps, survey notes and sketches, charts, computations, and other data deemed to be proprietary, copyrighted, or confidential in nature. The Owner will not make or permit to be made any modification to the drawings and specifications, as approved, without the prior written authorization of the Consultant. The Owner agrees to waive any claim against the Consultant arising from any unauthorized transfer, reuse or modification of the information, drawings, and specifications.

- B. The Consultant shall proceed to furnish consulting services on any part of the scope of services, only after the request for services has been made in writing by the Owner.
- C. Each party binds itself, its partners, successors, executors, administrators, and assigns, to the other party of this Agreement and to the partners, successors, executors, administrators and assigns for such other party as to all covenants of this Agreement.
- D. Unless otherwise terminated as provided herein, this Agreement expires upon final approval and acceptance of the completed project(s) listed in the development program or within **five (5) years** of the execution of this Agreement, whichever occurs first.
- E. The Consultant agrees to conduct the services in compliance with the requirements imposed by or pursuant to Title VI of the Civil Rights Act of 1964, Part 21 of the Regulations of the Secretary of Transportation, and Executive Order No. 11246, "Equal Employment Opportunity", as amended.
- F. The Consultant agrees that the Owner, Aeronautics, the Federal Aviation Administration, the Comptroller General of the United States, or any of their duly authorized representatives, shall have access to any books, documents, papers, and records of the Consultant which are directly pertinent to the specific grant program for the purpose of making audit, examination, excerpts and transcriptions.

IV. SPECIAL PROVISIONS

- A. No portion of the services may be subcontracted by Consultant without the prior consent of the Owner.
- B. This Agreement shall be construed and enforced in accordance with the laws of the State of Illinois and Owner and Consultant hereby consent to the jurisdiction of said State.
- C. During the performance of this contract, the Consultant, for itself, its assignees and successors in interest agrees as follows:
 - 1. The Consultant shall comply with the Regulations relative to nondiscrimination in federally assisted programs of the Department of Transportation (DOT) Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time, (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this Agreement.
 - 2. The Consultant, with regard to the work performed by it during the Agreement, shall not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The Consultant shall not participate either directly or indirectly in the discrimination prohibited by Section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.
 - 3. In all solicitations either by competitive bidding or negotiation made by the Consultant for work to be performed under a subcontract, including procurement of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the Consultant of the Consultant's obligations under this Agreement and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.
 - 4. The Consultant shall provide available information and reports required by the Regulations or directives issued pursuant thereto and shall permit access to its books,

records accounts, other sources of information, and its facilities as may be determined by the Owner or the Federal Aviation Administration (FAA) to be pertinent to ascertain compliance with such Regulations, orders, and instructions. Where any information required of the Consultant is in the exclusive possession of another who fails or refuses to furnish this information, the Consultant shall so certify to the Owner or the FAA, as appropriate, and shall set forth what efforts it has made to obtain the information.

5. In the event of the Consultant's noncompliance with the nondiscrimination provisions of this Agreement, the Owner shall impose such agreement sanctions as it or the FAA may determine to be appropriate, including, but not limited to:
 - a. Withholding of payments to the Consultant under the Agreement until the Consultant complies, and/or,
 - b. Cancellation, termination, or suspension of the Agreement, in whole or in part.
 6. The Consultant shall include the provisions of Paragraphs 1 through 5 in every subcontract, including procurement of material and leases of equipment, unless exempt by the Regulations or directives issued pursuant thereto. The Consultant shall take such action with respect to any subcontract or procurement as the Owner or the FAA may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, however, that in the event the Consultant becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the Consultant may request the Owner to enter into such litigation to protect the interests of the Owner and, in addition, the Consultant may request the United States to enter into such litigation to protect the interests of the United States.
- D. The Consultant agrees to ensure that minority business enterprises as defined in 49 CFR Part 23 have the maximum opportunity to participate in the performance of contracts and subcontracts financed in whole or in part with Federal funds provided under this Agreement.
- E. Except as otherwise provided for under this Agreement regarding obligations from early termination in Paragraph II. C., the Owner may terminate this Agreement in whole or in part for its sole convenience by furnishing written thirty (30) day notice of such termination to the Consultant. If a contract for a specific project is terminated for convenience or if a default termination of a specific project agreement is converted to a termination for convenience under paragraph II.C., above, the Owner will be obligated to compensate the Consultant its fee based on the amount of services accomplished up to the day of notification of termination, plus any outside services approved by the participating agencies and accomplished prior to the notification. Profit paid to the Consultant shall be limited to the standard percentage applied solely to the amount of work that has been completed by the Consultant at the time of the stop services notice.
- F. The parties hereby certify that there was compliance with the provisions of the State of Illinois' Architectural, Engineering and Land Surveying Qualifications Based Selection Act, Chapter 30 ILCS 535; and Federal guidance included in 49 CFR Part 18.36, FAA Order 5100.38, and FAA AC 150/5100-14 (current at time of selection), in the procurement of the services covered by this Agreement.

IN WITNESS WHEREOF, the parties hereto have affixed their hand and seals at Pekin,
Illinois, this 27th day of February, 2023.

CITY OF PEKIN, ILLINOIS

ATTEST:
(SEAL)

BY: Sue^W McMillan
TITLE: City Clerk

City of Pekin
BY: Becky Clay
TITLE: Mayor + no term
37-6002169

Federal Employer's Identification Number
(F.E.I.N.)

State of: Illinois

County of: ~~Fazewell~~ Peoria

This instrument was acknowledged before me on February 27, 2023, by

Sue McMillan and Becky Clay

Nicole L. Stewart

Notary Public

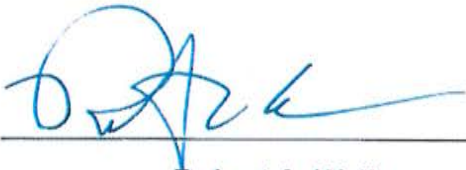


My Commission Expires:

April 15, 2025

HANSON PROFESSIONAL SERVICES INC.

ATTEST:
(SEAL)



BY: Robert A. Waller

TITLE: Assistant Vice President

91932-00

Illinois Human Rights Number



BY: Dennis J. Hollahan

TITLE: Vice President

37-0844717

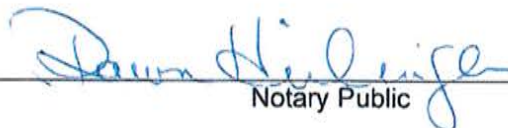
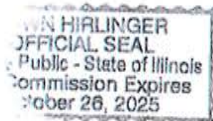
Federal Employer's Identification Number
(F.E.I.N.)

State of: **Illinois**

County of: **Sangamon**

This instrument was acknowledged before me on February 17, 2023, by

Robert A. Waller and Dennis J. Hollahan



Notary Public

My Commission Expires:

10-26-2025

**REQUEST FOR COUNCIL ACTION**

Agenda Date: May 13, 2024
To: Members of the City Council
From: Dean Schneider, Public Works Director

AGENDA ITEM: Resolution No. 139-24/25 Approving Agreement for Airport Pavement for T-Hangars with UCM

DESCRIPTION: This resolution is to award the pavement contract to UCM for the T-hangar new pavement and roadway at the Pekin Airport. During the Bidding process there was only one bidder. The state has approved a single bid award for this project. Engineer's estimates came in at around \$1,050,000.00. UCM bid is \$ 839,345.02. This reimbursement is different in the fact that the state will pay the contractor and send us a payment for Hanson's services with the 10% local pay on our end. UCM is looking to start the first of September. The staff is recommending approval.

FINANCIAL IMPACT:

Requested Amount: \$839,345.02
 Line Item: 525-000-580100
 Budgeted Amount: \$1,050,00.00
 Remaining Funds: \$1,016,454.98
 Notes:

REVIEWED BY:

Dean Schneider, Public Works Director	Approved - 5/6/2024
Katherine Swise, City Attorney	Approved - 5/7/2024
Robert Grogan, Finance Consultant	Approved - 5/8/2024
John Dossey, City Manager	Approved - 5/10/2024
Sue McMillan, City Clerk	Final Approval - 5/10/2024

Resolution No. 139-24/25 Approving Agreement for Airport Pavement for T-Hangars with UCM

WHEREAS, new pavement and a new paved access road is needed for the recently constructed T-Hangar at the Pekin Municipal Airport; and

WHEREAS, IDOT solicited bids for the paving project and received only one bid from United Contractors Midwest ("UCM"), which bid was below the engineer's estimates; and

WHEREAS, IDOT requires the approval of the City Council to accept the bid from UCM for this project; and

WHEREAS, the City Council finds that the bid submitted by UCM is reasonable and responsible.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF PEKIN, TAZEWELL COUNTY, ILLINOIS, THAT:

Section 1. The foregoing findings and recitals are found to be true and correct and are incorporated herein.

Section 2. The bid submitted by UCM for the T-Hangar paving at the Pekin Municipal Airport, a copy of which is attached hereto as Exhibit A, is approved.

Section 3. The Mayor and/or the Director of Public Wrks is authorized and directed to authorize the award of the contract for the paving project to UCM by IDOT, and to execute such documents as may be required by IDOT to award the contract and complete the project in accordance with the approval set forth herein.

RESULT:	(0 TO 0)
MOVER:	None
SECONDER:	None
AYES:	None
NAYS:	None
ABSTAIN:	None
ABSENT:	

ADOPTED AND APPROVED at a Regular meeting of the City Council of the City of Pekin this _____ day of _____, 20____.

Mayor

ATTEST:

City Clerk



Illinois Department of Transportation

Office of Intermodal Project Implementation / Division of Aeronautics
1 Langhorne Bond Drive / Springfield, Illinois 62707-8415

May 2, 2024

Mike Cruce
Airport Manager
Pekin Municipal Airport
13906 Airport Lane
Pekin, IL 61554

Re: Item 08A – March 8, 2024 Letting
Pekin Municipal Airport
Illinois Project Number C15-5019
SBG Project Number N/A
Contract Number PN012

Dear Mr. Cruce:

Enclosed for your information is one copy of the Contract Schedule of Unit Prices for the referenced project. We recommend and request your concurrence in awarding the contract to the low bidder, R. A. Cullinan and Son, a div of UCM, Inc., in the amount of \$839,345.02.

Please sign and return this letter indicating your concurrence and authorization in awarding this project within 30 days.

This request is made subject to the contractor's compliance with all DBE, bonding, and other post-letting administrative requirements.

If you have any questions concerning this matter, please contact me at (217) 785-5746 or Joseph.Staats@illinois.gov.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Joseph Staats'.

Joseph Staats, P.E.
Section Chief of Airport Design

Award Concurrence/Authorization

(Sponsor Signature and Title)

Enclosure (1)
JKS/nr

cc: William Eves, P.E., Bureau Chief of Airport Engineering
Kristy Brod, P.E., Section Chief of Airport Programming, Planning, and Environment
David Salgado, P.E., Senior Airport Program Engineer
Joseph Riedell, Assistant Design Engineer
Lindsay Hausman, P.E., Hanson Professional Services
Dean E. Schneider, City of Pekin

ELMS012:DTGB2390:ELMR090
03/12/24 07:08:01
LETTING TYPE: AERONAUTICS
RESPONSIBLE DISTRICT: 04

ILLINOIS DEPARTMENT OF TRANSPORTATION
CONTRACT SCHEDULE OF AWARDED PRICES

PAGE 1
LETTING DATE 03/08/2024
LETTING ITEM NBR 008A
CONTRACT NBR PN012

COUNTY NAME/CODE	SECTION	PROJECT NUMBER	ROUTE (S)		
TAZEWELL /179	PEKIN MUNICIPAL				
PAY ITEM NBR	PAY ITEM DESCRIPTION	QUANTITY	UNIT OF MEASURE	UNIT PRICE	TOTAL PAY ITEM AWARD PRICE
AR150510	ENGINEER'S FIELD OFFICE	1.000	L SUM	5,904.4000	5,904.40
AR150520	MOBILIZATION	1.000	L SUM	74,969.9300	74,969.93
AR150530	TRAFFIC MAINTENANCE	1.000	L SUM	58,318.1700	58,318.17
AR152410	UNCLASSIFIED EXCAVATION	1,749.000	CU YD	40.5700	70,956.93
AR152540	SOIL STABILIZATION FABRIC	5,724.000	SQ YD	6.5100	37,263.24
AR154606	GRANULAR DRAINAGE SUBBASE - 6"	5,724.000	SQ YD	22.2500	127,359.00
AR156510	SILT FENCE	1,180.000	FOOT	8.1400	9,605.20
AR209604	CRUSHED AGG. BASE COURSE - 4"	5,638.000	SQ YD	19.7500	111,350.50
AR401613	BIT. SURF. CSE.-METHOD I, SUPERPAVE	639.000	TON	180.1100	115,090.29
AR401660	SAW & SEAL BIT. JOINTS	114.000	FOOT	9.4800	1,080.72
AR403613	BIT. BASE CSE.-METHOD I, SUPERPAVE	639.000	TON	172.5200	110,240.28
AR602510	BITUMINOUS PRIME COAT	1,666.000	GALLON	7.4500	12,411.70
AR603510	BITUMINOUS TACK COAT	833.000	GALLON	6.6800	5,564.44
AR620520	PAVEMENT MARKING-WATERBORNE	965.000	SQ FT	4.5400	4,381.10
AR620525	PAVEMENT MARKING-BLACK BORDER	965.000	SQ FT	5.0500	4,873.25
AR705506	6" PERFORATED UNDERDRAIN	1,197.000	FOOT	36.2900	43,439.13
AR705640	UNDERDRAIN CLEANOUT	4.000	EACH	2,291.1200	9,164.48
AR901510	SEEDING	.360	ACRE	7,905.6100	2,846.02
AR905520	TOPSOILING (FROM OFF SITE)	286.000	CU YD	110.7700	31,680.22
AR908510	MULCHING	.360	ACRE	7,905.6100	2,846.02
CONTRACT TOTAL AWARD					839,345.02



Illinois Department of Transportation

Office of Intermodal Project Implementation / Division of Aeronautics
1 Langhorne Bond Drive / Springfield, Illinois 62707-8415

May 2, 2024

R. A. Cullinan and Son, a div of UCM, Inc.
P.O. Box 166
Tremont, IL 61568
121 West Park Street
Tremont, IL 61568

Re: Item 08A – March 8, 2024 Letting
Pekin Municipal Airport
Illinois Project Number C15-5019
SBG Project Number N/A
Contract Number PN012

Dear Contractor:

This office received bids on March 8, 2024 for the above referenced project. Your firm was accepted as the apparent low bidder.

It is our intent to award the contract subject to the review and acceptance of the bid for responsiveness, the release of state project funds, and other post-letting administrative requirements.

If you have any questions concerning this matter, please contact me at (217) 785-5746 or Joseph.Staats@illinois.gov.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Joseph Staats'.

Joseph Staats, P.E.
Section Chief of Airport Design

JKS/nr

cc: William Eves, P.E., Bureau Chief of Airport Engineering
Kristy Brod, P.E., Section Chief of Airport Programming, Planning, and Environment
David Salgado, P.E., Senior Airport Program Engineer
Joseph Riedell, Assistant Design Engineer
Lindsay Hausman, P.E., Hanson Professional Services
Dean E. Schneider, City of Pekin
Mike Cruce, Pekin Municipal Airport



State of Illinois UNIFORM CAPITAL BUDGET TEMPLATE

This form is used to apply to individual State of Illinois discretionary grant programs. Applicants should submit budgets based upon the total estimated costs for the project including all funding sources. Pay attention to applicable program specific instructions, if attached. The applicant organization should refer to 2 CFR 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" cited within these instructions.

You must consult with your Business Office prior to submitting this form for any award restrictions, limitations or requirements when filling out the narrative and Uniform Budget Template.

Section A – Budget Summary STATE OF ILLINOIS FUNDS

All applicants must complete Section A and provide a break-down by the applicable budget categories shown in lines 1-18. Eligible applicants requesting funding for only one year should complete the column under "Year 1." Eligible applicants requesting funding for multi-year grants should complete all applicable columns. **Please read all instructions before completing form.**

STATE OF ILLINOIS GRANT FUNDS

Provide a total requested State of Illinois Grant amount for each year in the Revenue portion of Section A. The amount entered in Line (a) will equal the total amount budgeted on Line 18 of Section A.

BUDGET SUMMARY – STATE OF ILLINOIS FUNDS

All applicants must complete Section A and provide a break-down by the applicable budget categories shown in lines 1-18.

Line 19: Show the total budget request for each fiscal year for which funding is requested.

Please use detail worksheet and narrative section for further descriptions and explanations of budgetary line items.

Section A (continued) Indirect Cost Information: *(This information should be completed by the applicant's Business Office). If the applicant is requesting reimbursement for indirect costs on line 18, the applicant's Business Office must select one of the options listed on the Indirect Cost Information page under Section-A Indirect Cost Information (1-4).*

Option (1): The applicant has a Negotiated Indirect Cost Rate Agreement (NICRA) that was approved by the Federal government. A copy of this agreement must be provided to the State of Illinois' Indirect Cost Unit for review and documentation. This NICRA will be accepted by all State of Illinois Agencies up to any statutory, rule-based or programmatic restrictions or limitations. *If this option is selected by the applicant, basic information is required for completion of this section. See bottom of "Section-A Indirect Cost Information".*

NOTE: The applicant may not have a Federally Negotiated Indirect Cost Rate Agreement. Therefore, in order for the applicant to be reimbursed for Indirect Costs from the State of Illinois, the applicant must either:

- A) Negotiate an Indirect Cost Rate with the State of Illinois' Indirect Cost Unit with guidance from our State Cognizant Agency on an annual basis.
- B) Elect to use the de minimis rate of 10% modified total direct cost (MTDC) which may be used indefinitely on State of Illinois Awards.
- C) Use a Restricted Rate designated by programmatic statutory policy. (See Notice of Funding Opportunity for Restricted Rate Programs).



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

Section C – Budget Worksheet & Narrative

[Attach separate sheet(s)]

Pay attention to applicable program specific instructions, if attached.

All applicants are required to submit a budget narrative along with Section A and Section B. The budget narrative is sometimes referred to as the budget justification. The narrative serves two purposes: it explains how the costs were estimated and it justifies the need for the cost. The narrative may include tables for clarification purposes. The State of Illinois recommends using the State of Illinois Uniform Budget Template worksheet and narrative guide provided.

1. Provide an itemized budget breakdown, and justification by project year, for each budget category listed in Sections A and B.
2. For non-State of Illinois funds or resources listed in Section B that are used to meet a cost-sharing or matching requirement or provided as a voluntary cost-sharing or matching commitment, you must include:
 - a. The specific costs or contributions by budget category;
 - b. The source of the costs or contributions; and
 - c. In the case of third-party in-kind contributions, a description of how the value was determined for the donated or contributed goods or services.

[Please review cost sharing and matching regulations found in 2 CFR 200.306.]

3. If applicable to this program, provide the rate and base on which fringe benefits are calculated.
4. If the applicant is requesting reimbursement for indirect costs on line 18, this information should be completed by the applicant's Business Office. Specify the estimated amount of the base to which the indirect cost rate is applied and the total indirect expense. Depending on the grant program to which the applicant is applying and/or the applicant's approved Indirect Cost Rate Agreement, some direct cost budget categories in the applicant's grant application budget may not be included in the base and multiplied by your indirect cost rate. Please indicate which costs are included and which costs are excluded from the base to which the indirect cost rate is applied.
5. Provide other explanations or comments you deem necessary.



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

State Agency: Transportation - Aeronautics

Organization Name: City of Pekin

Notice of Funding Opportunity (NOFO) Number: _____

Data Universal Number System (DUNS)/Unique Entity Identifier (UEI) Number : ECBYN719GLG6

Catalog of State Financial Assistance (CSFA) Number: 494-60-2547

CSFA Short Description: Rebuild Illinois Airport Capital Improvement Program

Section A: State of Illinois Funds

Fiscal Year: 07/01/2023

REVENUES		Total Revenue	
State of Illinois Grant Requested		\$	852,835.52
Budget Expenditure Categories	OMB Uniform Guidance Federal Awards Reference 2 CFR 200		Total Expenditures
1. Design/Engineering		\$	34,425.00
2. Building/Land Purchase		\$	
3. Equipment/Materials/Labor		\$	
4. Equipment		\$	
5. Wiring/Electrical		\$	
6. Mechanical System		\$	
7. Paving/Concrete/Masonry		\$	
8. Plumbing		\$	
9. Construction Management/Oversight		\$	63,000.00
10. Construction		\$	75,541.52
11. Other Construction Expenses		\$	
12. Excavation/Site Prep/Demo		\$	
13. Site Work		\$	
14. Demolition and Removal		\$	
15. Contingency		\$	
16. Grant Exclusive Line Item(s)			
17. Total Direct Costs (add lines 1-16)		\$	172,966.52
18. Total Indirect Costs		\$	
Rate %:			
Base:			
19. Total Costs State Grant Funds (Lines 17 and 18)		\$	172,966.52
MUST EQUAL REVENUE TOTALS ABOVE			

Instructions
found at end of
document.



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

Organization Name: City of Pekin

NOFO Number:

Section B: Non-State of Illinois Funds

Fiscal Year: 07/01/2023

REVENUES		Total Revenue	
Grantee Match Requirement %:	(Agency to Populate)		
b) Cash		\$	94,759.50
c) Non-Cash		\$	
d) other Funding and Contributions		\$	
Total Non-State Funds (lined b through d)		\$	94,759.50
Budget Expenditure Categories		OMB Uniform Guidance Federal Awards Reference 2 CFR 200	Total Expenditures
1. Design/Engineering		\$	3,825.00
2. Building/Land Purchase		\$	
3. Equipment/Materials/Labor		\$	
4. Equipment		\$	
5. Wiring/Electrical		\$	
6. Mechanical System		\$	
7. Paving/Concrete/Masonry		\$	
8. Plumbing		\$	
9. Construction Management/Oversight		\$	7,000.00
10. Construction		\$	83,934.50
11. Other Construction Expenses		\$	
12. Excavation/Site Prep/Dem		\$	
13. Site Work		\$	
14. Demolition and Removal		\$	
15. Contingency		\$	
16. Grant Exclusive Line Item(s)		\$	
17. Total Direct Costs (add lines 1-16)		\$	94,759.50
18. Total Indirect Costs		\$	
Rate %:			
Base:			
19. Total Costs State Grant Funds (Lines 17 and 18)		\$	94,759.50
MUST EQUAL REVENUE TOTALS ABOVE			



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

FFATA Data Collection Form (if needed by agency)

Under FFATA, all sub-recipients who receive \$30,000 or more must provide the following information for federal reporting. Please fill out the following form accurately and completely.
4-digit extension if applicable:

Sub-recipient DUNS/UEI: ECBYN719GLG6

Sub-recipient Parent Company DUNS/UEI:

Sub-recipient Name: City of Pekin

Sub-recipient DBA Name:

Sub-recipient Street Address: 111 S. Capitol St

City: Pekin

State: IL

Zip-Code: 61554

Congressional District:

Sub-recipient Principal Place of Performance:

City:

State: IL

Zip-Code:

Congressional District:

Contract Number (if known):

Award Amount:

Project Period: From:

Project Period: To:

PN012

\$947,595.02

State of Illinois Awarding Agency and Project Detail Description:

IL Department of Transportation - Aeronautics: Taxiway - Construct Aircraft T-Hanger Pavement and Airport Access Road

Under certain circumstances, sub-recipient must provide names and total compensation of its top 5 highly compensated officials. Please answer the following questions and follow the instructions.

Q1. In your business or organization's previous fiscal year, did your business or organization (including parent organization, all branches and affiliates worldwide) receive (1) 80% or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants and/or cooperative agreements and (2) \$25,000,000 or more in annual gross revenue from U.S. federal contracts, subcontracts, loans, grants, subgrants and/or cooperative agreements?

Yes ☐

If Yes, must answer Q2 below.

No ☒

If No, you are not required to provide data.

Q2. Does the public have access to information about the compensation of the senior executives in your business or organization (including parent organization, all branches and all affiliates worldwide) through periodic reports filed under section 13(a) or 15(d) of the Security Exchange Act of 1934 (5 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue code of 1986 (i.e., on IRS Form 990)?

Yes ☒

No ☐

If No, you must provide the data. Please fill out the rest of this form.

Please provide names and total compensation of the top five officials:

Name:	Amount:
Name:	Amount:
Name:	Amount:
Name:	Amount:
Name:	Amount:



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

2. Building/Land Purchase

Costs to purchase, either in whole or in part, a building, structural shell, condominium, land, and/or easement including, but not limited to: the net purchase price itself, closing costs charged to the buyer on the closing document; legal fees; etc. Additionally, costs associated with Right-of-Way, appraisals, property/boundary surveys, legal fees, etc.

Purpose	Description of Work	Item Cost	Add/Delete Rows
			Add
			Delete
	State Total		
			Add
			Delete
	Non-State Total		
	Total		
Narrative (State):			
Narrative (Non-State): (i.e. "Match" or "Other Funding")			



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

4. Equipment

All costs associated with equipment that is not associated with any other contracts related to the grant.

	Item	Quantity	Cost Per Item	Item Cost	Add/Delete Rows	
					Add	
					Delete	
	State Total					
					Add	
					Delete	
	Non-State Total					
	Total					
	Narrative (State):					
Narrative (Non-State): (i.e. "Match" or "Other Funding")						



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

6. Mechanical System

Purchase of materials necessary for completion of the project scope such as: HVAC, elevators, fire alarm, sprinkler, or ventilation systems; etc. including associated labor/ installation costs, as identified within the project description.

Item	Quantity	Cost Per Item	Item Cost	Add/Delete Rows	
				Add	
				Delete	
State Total					
				Add	
				Delete	
Non-State Total					
Total					

Narrative (State):

Narrative (Non-State): (i.e. "Match" or "Other Funding")



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

8. Plumbing

Purchase of materials necessary for completion of the project scope such as: internal or external pipes for water, gas, and/or sewage; fixtures; etc. including associated labor/installation costs, as identified within the project description.

Item	Quantity	Cost Per Item	Item Cost	Add/Delete Rows	
				Add	Delete
State Total					
				Add	
				Delete	
Non-State Total					
Total					

Narrative (State):

Narrative (Non-State): (i.e. "Match" or "Other Funding")



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

10. Construction

All costs associated with physical construction and construction related services provided by the contractor(s) of the facility.

Purpose	Description of Work	Item Cost	Add/Delete Rows
Airport Improvement - Infrastructure	Taxiway - Construct Aircraft T-Hangar Pavement a	\$755,410.52	Add Delete
	State Total	\$755,410.52	
Airport Improvement - Infrastructure	Taxiway - Construct Aircraft T-Hangar Pavement a	\$83,934.50	Add Delete
	Non-State Total	\$83,934.50	
	Total	\$839,345.02	
Narrative (State): Infrastructure improvements for airport as verified by IDOT - Aeronautics personnel. Project is on an IDOT letting.			
Narrative (Non-State): (i.e. "Match" or "Other Funding") Match: Infrastructure improvements for airport as verified by IDOT - Aeronautics personnel. Project is on an IDOT letting.			



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

12. Excavation/Site Prep/Demo

Costs associated with demolition of existing structures on the project site and/or preparation of the project site including excavation, etc. ahead of actual new construction/renovation activities.

Purpose	Description of Work	Item Cost	Add/Delete Rows
			Add
			Delete
State Total			
			Add
			Delete
Non-State Total			
Total			
Narrative (State):			
Narrative (Non-State): (i.e. "Match" or "Other Funding")			



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

14. Demolition and Removal

All costs associated with removal of any structures required to accommodate new construction and approved as part of the grant.

Purpose	Description of Work	Item Cost	Add/Delete Rows
			Add
			Delete
	State Total		
			Add
			Delete
	Non-State Total		
	Total		
Narrative (State):			
Narrative (Non-State): (i.e. "Match" or "Other Funding")			



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

16. Grant Exclusive Line Item(s)

Line item determine to be needed by Programmatic of federal reporting purposes. State Awarding Agency will be required to provide definition and instructions per each Grant Exclusive Line Item developed.

Description	Quantity	Basis	Cost	Length of Time	Grant Exclusive Line Item Cost	Add/Delete Row
Administration Fee for IDOT processing of grant						Add Delete
State Total						
NON-State Total						
Total Grant Exclusive Line Item						
Grant Exclusive Line Item Narrative (State): An administrative fee is charged to the Federal Grant by IDOT for the administration of the State Block Grant Program. The total amount to administer this project will be recified at the conclusion of the project based upon internal time card records.						
Grant Exclusive Line Item Narrative (Non-State): (i.e. "Match" or "Other Funding")						
Add New Grant Exclusive Line Item	Delete Grant Exclusive Line Item					



State of Illinois
UNIFORM CAPITAL BUDGET TEMPLATE

Budget Narrative Summary--When you have completed the budget worksheet, transfer the totals for each category to the spaces below to the uniform template provided (SECTION A & B). Verify the total costs and the total project costs. Indicate the amount of State requested funds and the amount of non-State funds that will support the project.. (Note: The State, Non-State, and Total cost amounts for each line item below are auto-filled based upon the entries in the preceding budget tables 1-15 and 17. The State and Non-State Total amounts from Table 16 above, Grant Exclusive Line Item(s), must be entered into this table by hand due to the possibility of there being more than one Grant Exclusive Line Item table. Once the Grant Exclusive Line Item(s) amounts are entered into this table, the State Request amount, Non-State Amount and the Total Project Costs will be calculated automatically. It is imperative that the summary tables be completed accurately for the Budget Narrative Summary to be accurate.)

Budget Category	State	Non-State	Total
1. Design/Engineering	\$34,425.00	\$3,825.00	\$38,250.00
2. Building/Land Purchase			
3. Equipment/Materials/Labor			
4. Equipment			
5. Wiring/Electrical			
6. Mechanical System			
7. Paving/Concrete/Masonry			
8. Plumbing			
9. Construction Management/Oversight	\$63,000.00	\$7,000.00	\$70,000.00
10. Construction	\$755,410.52	\$83,934.50	\$839,345.02
11. Other Construction Expenses			
12. Excavation/Site Prep/Demo			
13. Site Work			
14. Demolition and Removal			
15. Contingency			
16. Grant Exclusive Line Item(s)			
17. Indirect Costs			
	State Request	\$852,835.52	
	Non-State Amount	\$94,759.50	
TOTAL PROJECT COSTS			\$947,595.02

**REQUEST FOR COUNCIL ACTION**

Agenda Date: May 13, 2024
To: Members of the City Council
From: Dean Schneider, Public Works Director

AGENDA ITEM: Resolution No. 140-24/25 Approving a Contract for Waste Water Piping Change in the Phosphates Process

DESCRIPTION: This resolution is to change some of the piping routing in the sludge processing building. These changes will help with removal of phosphates from the sludge process. The City is currently under state mandate to improve our phosphate removal processes. Staff received two quotes. #1 From G.A.Rich for 24,894.00 #2 From Illinois Pipe for \$26,900.00 Staff is recommending awarding to G.A.Rich for this piping contract.

FINANCIAL IMPACT:

Requested Amount: \$24,894.00
 Line Item:231-231-529000
 Budgeted Amount:\$50,000
 Remaining Funds: \$25,106
 Notes:

REVIEWED BY:

Dean Schneider, Public Works Director	Approved - 5/7/2024
Katherine Swise, City Attorney	Approved - 5/7/2024
Robert Grogan, Finance Consultant	Approved - 5/8/2024
John Dossey, City Manager	Approved - 5/10/2024
Sue McMillan, City Clerk	Final Approval - 5/10/2024

Resolution No. 140-24/25 Approving a Contract for Waste Water Piping Change in the Phosphates Process

WHEREAS, the City has been directed by the Illinois Environmental Protection Agency to reduce levels of phosphorus in treated wastewater; and

WHEREAS, the City sought quotes to modify pipe routing in the wastewater processing building to create a bypass that will help remove phosphates during the sludge process; and

WHEREAS, G.A. Rich & Sons, Inc. submitted the lowest quote at \$24,834; and

WHEREAS, the City Council finds that the quote submitted by G.A. Rich & Sons, Inc. is reasonable and responsible.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF PEKIN, TAZEWELL COUNTY, ILLINOIS, THAT:

Section 1. The foregoing findings and recitals are found to be true and correct and are incorporated herein.

Section 2. The quote submitted by G.A. Rich & Sons, Inc., a copy of which is attached hereto as Exhibit A, is approved.

Section 3. The Mayor is authorized to execute, and the City Clerk is directed to attest, a contract with G.A. Rich & Sons, Inc. consistent with the terms set forth in the approved quote.

RESULT:	(0 TO 0)
MOVER:	None
SECONDER:	None
AYES:	None
NAYS:	None
ABSTAIN:	None
ABSENT:	

ADOPTED AND APPROVED at a Regular meeting of the City Council of the City of Pekin this _____ day of _____, 20____.

Mayor

ATTEST:

City Clerk

G. A. RICH & SONS, INC.

MECHANICAL & UTILITY CONTRACTOR

204 S PERRY STREET P.O. BOX 50 DEER CREEK, ILLINOIS 61733 (309) 447-6231 FAX (309) 447-6500

PROPOSAL
For
CITY OF PEKIN
SLUDGE BUILDING BYPASS PIPE IMPROVEMENTS
PEKIN, ILLINOIS

April 24, 2024

G. A. Rich & Sons, Inc. is pleased to submit the attached scope and quotation furnish and install ductile pipe, fittings, and plug valves to make a phosphorus piping bypass in the sludge building at the WWTP in Pekin, Illinois:

- Cut the existing 8" supernatant pipe and add (1) 8" flange tee with (2) 8" Plug Valves with chain wheel operators in the sludge building.
- Furnish and install ductile iron pipe and fittings from the above plug valve and tie onto the 8" flange tee on the drying bed piping on the other side of the room.
- Furnish and install pipe supports as needed.
- All piping and fittings to be primed.
- Cut and cap existing 2" plant water pipe near the drying bed pipe tie in location.

Total - \$24,894.00

Adder to furnish and install (1) additional 8" Plug Valve with chain wheel add - \$2,566.80.

Should you have any questions or require additional information, please do not hesitate to contact me at 309-447-6231 or Nate Joos at 309-208-8848. Thank you in advance for an opportunity to provide a quotation for this project.

Proposal may be withdrawn by G.A. Rich if not accepted within 14 working days from the date above.

ACCEPTED BY: _____ DATE: _____

Please acknowledge acceptance by signing and returning one copy.

Respectfully Submitted,
Matt Armstrong
Estimator/Project Manager
matta@garich.com

ILLINOIS PIPING CORPORATION

To: Chris Schaefer
Pekin Waste Water Treatment – Pekin, IL
Re: Phosphorus Bypass Piping

From: Garrett Ducat
Date: 2024-04-30
IPC Number: 24-102-010

We are pleased to provide the following estimate for piping work at your facility.

Provide Labor, Equipment, and Material necessary for the following Project Scope:

- Remove existing 2" piping connection at DSC tie in. Weld cap at the existing 2" tee.
- Modify existing 3" soil pipe drain (existing drain will interfere with plug valves).
- Tie into DSC pipe and provide back-to-back 90 degree elbows.
- Route piping along wall, and offset up after existing 10" pipe with back to back 45 degree elbows.
- Tie into SUP piping with new tee and restrained flange adapters. Provide (2) valves at SUP tie in.
- Paint the exterior of all new piping and fittings (expected restrained flange adapters).

The following items are Furnished by PWWT:

- Isolation and draining of piping system as necessary to make tie-ins.
- Dumpsters and scrap bins for disposing demolished materials.
- Restrooms and break facilities.

IPC offers the following Material Specifications:

- Piping will be 8" CL52 ductile iron, cement lined and pre-primed. All ends will be flat faced ANSI 150# bolt pattern.
- Valves will be 8" eccentric plug valves with epoxy coating, rubber liner, manual gear operator, and handwheel with chain. Equal to Pratt 601N1.
- Gaskets will be red rubber, full face. Hardware will be A307 grade B bolts with A563 Grade A nuts, heavy hex and zinc plated.
- Restrained flange adapters for connecting to existing piping will be equal to Megaflange 2100 series.
- Paint will be two coats of oil-based paint, brown in color, to match existing.

The following items are Excluded:

- Sales tax and overtime premiums.

Estimate Type:

☐ Budget	Labor and Equip:	\$ 13,400
☐ T&M Estimate	Material:	\$ 13,500
☒ Bid / Quote	Total:	<u>\$ 26,900</u>

Thank you for the opportunity to provide this estimate. Please feel free to call or email with any questions.

Sincerely,



Garrett U. Ducat
Project Manager
Cell: 708-220-9017
garrettducat@illinoispiping.com

**REQUEST FOR COUNCIL ACTION**

Agenda Date: May 13, 2024
To: Members of the City Council
From: John Dossey, City Manager

AGENDA ITEM: Resolution No. 141-24/25 Authorizing a Public Question Referendum Regarding Home Rule

DESCRIPTION: In accordance with Policy No. 25-22/23, Councilmember Hilst, with second by Councilmember Orrick, requested this item be placed on the Council's agenda.

The resolution before Council, if approved, would direct staff to place a referendum on the ballot for the November 2024 General Election asking the public if the City should no longer be a home rule municipality.

The question of whether to remove the City's home rule status is complex and will require significant analysis by staff. Areas of impact will include zoning regulations and procedures, annexation and development agreements, taxation, fees, fines, administrative adjudication, real estate transactions, and more. Preliminarily, staff believes removing home rule status will cause a revenue loss of over \$8 million annually to the City.

If a majority of the Council would like to explore this option, staff recommends postponing this item until the June 10 Council meeting. The deadline to file a local governing body resolution or ordinance to adopt a public question for the November ballot is August 19th.

If a majority of the Council is not interested in removing home rule status, staff recommends voting against this item at this meeting.

FINANCIAL IMPACT:

Requested Amount:

Line Item:

Budgeted Amount:

Remaining Funds:

Notes: Significant financial impacts would be expected with the loss of Home Rule Status

REVIEWED BY:

Katherine Swise, City Attorney
 Robert Grogan, Finance Consultant
 John Dossey, City Manager
 Sue McMillan, City Clerk

Approved - 5/6/2024
 Approved - 5/7/2024
 Approved - 5/10/2024
 Final Approval - 5/10/2024

Resolution No. 141-24/25 Authorizing a Public Question Referendum Regarding Home Rule

WHEREAS, the City of Pekin is a home rule municipality as described in Section 6(a), Article VII, of the 1970 Constitution of the State of Illinois; and

WHEREAS, pursuant to Section 6(b), Article VII, of the Illinois Constitution, a home rule municipality may elect not to be home rule by referendum; and

WHEREAS, pursuant to Section 11(a), Article VII, of the Illinois Constitution, a proposal for eliminating home rule by referendum may be initiated and submitted to the electors by resolution of the City Council; and

WHEREAS, the City Council of the City of Pekin has concluded it is in the best interests of the City to submit the question of whether the City of Pekin should continue as a home-rule municipality to the electors of the City.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF PEKIN, TAZEWELL COUNTY, ILLINOIS, THAT:

Section 1. The foregoing findings and recitals are found to be true and correct and are incorporated herein.

Section 2. The following proposition, in the form required by Section 28-8 of the Election Code of the State of Illinois (10 ILCS 5/28-8), shall be submitted to the voters of the City of Pekin at the General Election to be held on Tuesday, November 5, 2024:

Shall the City of Pekin, Illinois, cease to be a home rule unit?

YES

NO

Section 3. The City Clerk shall, not less than sixty-eight (68) days before the regularly scheduled General Election to be held on November 5, 2024, certify to the County Clerk of Tazewell County and the County Clerk of Peoria County (the "Election Authorities") the proposition as hereinabove set forth to be submitted to the voters of the City of Pekin at said General Election. Such certification shall include the form of the public question to be placed on the ballot, the date on which the public question was initiated by the adoption of this Resolution, and a certified copy of this Resolution.

Section 4. Notice of said General Election and the proposition hereinabove set forth (the "Notice") shall be given in accordance with the Election Code by the Election authorities by (i) publishing the Notice not more than thirty (30) nor less than ten (10) days prior to the date of the General Election in a local, community newspaper, having general circulation in the City of Pekin and (ii) posting a copy of the Notice at least ten (10) days before the date of the General Election at the principal office of the Election Authority, all in accordance with Article 12 of the Election Code. (10 ILCS 5/12-1 *et seq.*).

Section 5. The City Clerk shall also post a copy of the Notice at City Hall prior to the date of the General Election in a timely manner in accordance with Section 12-5 of the Election Code (10 ILCS 5/12-5).

Section 6. Should the proposition set forth herein pass, the City Clerk shall, within 45 days after the referendum, file with the Illinois Secretary of State a certified statement showing the results of the proposition and the resulting status of the City of Pekin as a home rule unit or a non-home rule unit, in accordance with section 28-8 of the Election Code (10 ILCS 5/28-8).

Section 7. This Resolution shall be in effect from and after the date of its passage and approval in the manner provided by law.

RESULT:	(0 TO 0)
MOVER:	None
SECONDER:	None
AYES:	None
NAYS:	None
ABSTAIN:	None
ABSENT:	

ADOPTED AND APPROVED at a Regular meeting of the City Council of the City of Pekin this _____ day of _____, 20____.

ATTEST: _____
Mayor

City Clerk

Pekin City Flag Contest Winner Named

Gordon M. Snow, chairman of the Pekin City Flag Committee of the Pekin Sesquicentennial and Marigold Festival Committee, announces that the winner of the recent City Flag contest is James W. Thomas of 914 E. Corrington street, Peoria. More than 100 entries were submitted in the contest and the winning design was selected by a panel of judges. Snow presented the design at Monday evening's, Apr. 8, Pekin City Council meeting, and Mayor William Waldmeier said that the city will draw up an ordinance for adoption next Monday declaring the design as the official design for the Pekin City Flag.

DETAILS OF the flag design were described by Snow as follows: A blue cross outlined in red on a white field; a yellow marigold with petals delineated in red centering the cross in memory of the late Senator Everett McKinley

Dirksen; a red dragon, the city and athletic team official symbol, in the upper left corner under a blue scroll bearing the legend "Pekin"; and a blue riverboat silhouette in the lower right corner symbolizing Pekin's heritage, past and present, as a river town.

Snow also states that a coupon will be printed in the TIMES at a later date to enable interested persons to place advance orders for their flags.

JAMES THOMAS, as winner, received a \$50 cash prize from William Troutman, president of First National Bank, the sponsor of the contest. He also will receive two tickets to the 1974 Marigold Festival Ball, and will be invited to other activities of the upcoming Marigold Festival. In a telephone interview, Thomas stated that he is not an artist. "I am just a doodler - an amateur artist who has not done anything worth framing and hanging." He entered the contest at the suggestion of a colleague at Illinois Central College where he is employed as a political science teacher

Members of the judging committee were Mayor William Waldmeier; Bill Blazak of Peoria Flag and Decorating Company; Ralph Brower, president of Pekin Chamber of Commerce; Mrs. Alberta Zessin and Mrs. Sue Carson, professional artists, both members of Pekin Palette Club; and Mrs. William (Ruth) Troutman.

Members of the City Flag Committee are Gordon M. Snow, chairman, Richard Tolbert, Dale Adolph, Larry T. Reeves, Mrs. Richard (Tommie Lou) Lashbrook, Miss Josephine Jubain, Mrs. Charles (Lou) Milburn, and Mrs. Kenneth (Marcia Fabry) Jansen.

The 103 entries which were submitted were from individuals including students at L. E. Starke, Wilson, Willow, and Lincoln grade schools, Edison Junior high school, and Pekin High School, East Campus Club entries which were identified on the designs submitted were Pekin Palette Club, Pekin Jay-Cettes and Pekin Garden Club.

'Citizen Hartab Club Pr

HARTSB Teen - H'e April meet leader, Mrs. next meeting the Reiner

"Citizens of the pro meeting wi Laora Oltr

Demons cluded "M for Teens' Talks we Rabbits," "Getting Flowers"

Business plans for night to Friday. Ap High School 4-H clubs Refresh

ANY IT AVAILABLE CAL AI

Judge Won't Rule On Nude Dancing: He's Bikini Man

CHICAGO (UPI) — Melinda Zollicoffer offered to do her thing for Circuit Court Judge F. Emmett Morrissey Monday. He asked her to save it for a younger man.

Miss Zollicoffer's thing is dancing naked in the upstairs lounge in suburban Oak Mill.

The 41-year-old brunette was up on a charge of obscenity. She offered to give Morrissey proof there was nothing obscene about her. Morrissey begged off.

He said he was heading for



one day service

smart people go to

Professional Eye Care

wear NEU glasses - LOOK better

four hours to repair broken lenses

FREE PARKING at EAST NATIONAL

We Welcome

CONIA